



NEW YORK
STATE OF
OPPORTUNITY™

FY 2021 First Quarterly Update

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Introduction

Introduction

This is the First Quarterly Update (the “Quarterly Update” or “Updated Financial Plan”) to the Enacted Budget Financial Plan for Fiscal Year (FY) 2021. Except for the specific revisions described herein, the projections in the Updated Financial Plan (and the assumptions upon which they are based) are consistent with the FY 2021 Enacted Budget Financial Plan (“Enacted Budget Financial Plan” or “Enacted Budget”). The State’s FY 2021 began on April 1, 2020 and ends on March 31, 2021. The Division of the Budget (DOB) expects to update its Financial Plan projections following the close of the second quarter.

Factors affecting the State’s financial condition are numerous and complex. The Updated Financial Plan contains “forward-looking statements” relating to future results and economic performance as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State’s expectations at the time they were prepared and are necessarily dependent upon assumptions, estimates and data that it believes are reasonable as of the date made, but that may be incorrect, incomplete or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” “assumes” and analogous expressions are intended to identify forward-looking statements. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from projections. Such risks and uncertainties include, but are not limited to, general economic and business conditions; changes in political, social, economic and environmental conditions, including climate change and extreme weather events; epidemics or pandemics; cybersecurity events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; Federal tax law changes; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress, but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances. Many of these risks and uncertainties are beyond the control of the State. These forward-looking statements are based on the State’s expectations as of the date of this Updated Financial Plan.

Significant Budgetary/Accounting Practices

Unless clearly noted otherwise, all financial information is presented on a cash basis of accounting.¹

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Updated Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees, and other revenues that are used for specified purposes; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

The State's **General Fund** receives most State taxes and all income not earmarked for a specified program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund Budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions; (b) restore the balances in the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund (collectively, the "Rainy Day Reserves") to levels at or above those on deposit when the fiscal year began; and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund and is typically the financing source of last resort for the State's other major funds, including the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTf), and the Lottery Fund. Therefore, General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in the Updated Financial Plan is generally weighted toward the General Fund.

¹ State Finance Law also requires DOB to prepare a pro forma Financial Plan using, to the extent practicable, Generally Accepted Accounting Principles (GAAP). The GAAP-basis Financial Plan is informational only. DOB does not use it as a benchmark for managing State finances during the fiscal year and does not update it on a quarterly basis. The GAAP-basis Financial Plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP financial plan conforms to all GAAP principles.

At times, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., reserve for timing of payments). These amounts are typically, but not uniformly, identified with the phrase “reserved for.” They are not held in distinct accounts within the General Fund and may be used for other purposes.

Projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current service levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on many assumptions and are developed by DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the multi-year projections assume that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually in the context of the current and projected fiscal position of the State.

State Operating Funds is a broader measure of spending on operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity in the General Fund, as well as State-funded Special Revenue Funds and Debt Service Funds (spending from Capital Projects Funds and Federal Funds is excluded). As significant financial activity occurs in funds outside the General Fund, the State Operating Funds perspective is, in DOB’s view, a more comprehensive measure of operations funded with State resources (e.g., taxes, assessments, fees and tuition). The State Operating Funds perspective eliminates certain distortions in operating activities that may be caused by, among other things, the State’s complex fund structure and the transfer of money between funds. For example, the State funds its share of the Medicaid program from both the General Fund and State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. Accordingly, projections often emphasize the State Operating Funds perspective.

The Updated Financial Plan projections reflect certain actions that have affected, or are intended to affect, the amount of annual spending reported on a State Operating Funds basis. Such actions include but are not limited to: (a) payment of certain operating costs using available resources outside the State Operating Funds basis of reporting; and (b) reclassification as Enterprise Funds of certain activities in which goods or services are provided to the public for a fee. If these or other transactions are not executed or reported in a manner consistent with DOB’s interpretation of the legislation and legislative intent, annual spending growth in State Operating Funds would be higher than projections.

State Operating Funds Spending Adjustments

State Operating Funds disbursements for FY 2021 that appear in certain Financial Plan tables within the text are adjusted to exclude the impact of short-term borrowings that have been executed and are budgeted to be repaid within the current fiscal year. The Updated Financial Plan tables starting at page T-1 are not adjusted.

The State also reports disbursements and receipts activity for All Governmental Funds (All Funds), which includes spending from Capital Projects Funds and Federal Funds, in addition to State Operating Funds. The All Funds basis provides the most comprehensive view of the cash-basis financial operations of the State.

Differences may occur from time to time between DOB and OSC's financial reports in presentation and reporting of receipts and disbursements. For example, DOB may reflect a net expenditure amount while OSC may report the gross expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

Financial Plan Overview

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)			
	FY 2020 Results	FY 2021	
		Enacted	First Quarter
State Operating Funds Disbursements¹			
Size of Budget	\$102,160	\$94,901	\$93,652
Annual Growth	0.3%	-7.1%	-8.3%
Other Disbursement Measures			
General Fund (Including Transfers) ²	\$77,469	\$73,169	\$70,690
Annual Growth	6.4%	-5.6%	-8.8%
Capital Budget (Federal and State)	\$11,999	\$14,734	\$14,676
Annual Growth	-2.2%	22.8%	22.3%
Federal Operating Aid	\$58,823	\$63,383	\$72,256
Annual Growth	0.6%	7.8%	22.8%
All Funds	\$172,982	\$177,518	\$185,084
Annual Growth	1.2%	2.6%	7.0%
Capital Budget (Including "Off-Budget" Capital) ³	\$12,484	\$15,093	\$15,035
Annual Growth	-2.3%	20.9%	20.4%
All Funds (Including "Off-Budget" Capital) ³	\$173,467	\$177,877	\$185,443
Annual Growth	1.2%	2.5%	6.9%
Inflation (CPI)	1.9%	0.5%	0.3%
All Funds Receipts			
Taxes	\$82,889	\$75,543	\$74,461
Annual Growth	9.7%	-8.9%	-10.2%
Miscellaneous Receipts	\$29,466	\$30,669	\$31,066
Annual Growth	-5.5%	4.1%	5.4%
Federal Receipts (Operating and Capital)	\$65,080	\$72,833	\$77,097
Annual Growth	6.1%	11.9%	18.5%
Total All Funds Receipts	\$177,435	\$179,045	\$182,624
Annual Growth	5.5%	0.9%	2.9%
General Fund Cash Balance	\$8,944	\$6,717	\$7,087
Rainy Day Reserves	\$2,476	\$2,476	2,476
Extraordinary Monetary Settlements	\$2,610	\$2,185	2,185
Economic Uncertainties	\$890	\$970	1,340
All Other Reserves/Fund Balances	\$2,968	\$1,086	1,086
Debt			
Debt Service as % All Funds Receipts ¹	2.8%	3.3%	3.3%
State-Related Debt Outstanding	\$54,447	\$59,450	\$60,093
Debt Outstanding as % Personal Income	3.9%	4.2%	4.3%
State Workforce FTEs (Subject to Direct Executive Control)	118,193	118,850	118,850

¹ Excludes the repayment of \$4.5 billion in short-term borrowing executed and expected to be repaid in FY 2021.

² Includes planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds for designated purposes.

³ Includes capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.

Summary

Since the FY 2021 Enacted Budget was adopted, the COVID-19 outbreak (the “COVID-19 pandemic” or “pandemic”) has moved in two directions. In New York and the other northeastern states, the pandemic’s spread has been slowed through extensive governmental restrictions and reasonably widespread citizen compliance. But elsewhere in the nation the virus is surging. Travelers from thirty-one states, the Commonwealth of Puerto Rico, and the U.S. Virgin Islands are subject to quarantine on entering New York as of the date of this Quarterly Update.

New York’s progress on the public health front, however, is not expected to translate into an improved economic or fiscal outlook compared to the Enacted Budget Financial Plan. The State continues to face significant risks to budget balance in the current fiscal year and large budget gaps in future years.

In this Updated Financial Plan, DOB has reduced the FY 2021 estimate for General Fund receipts by \$1.3 billion. General Fund tax receipts has been lowered by \$931 million, reflecting pronounced weakness in sales and use tax collections. The estimates for video lottery and commercial gaming revenues have also been lowered by \$288 million, which in turn drives an increase in General Fund transfers to support for School Aid. Further reductions have also been made to dedicated tax receipts to the DHBTf, for which the General Fund is the payor of last resort. PIT collections through July 2020 were in line with expectations, with weaker than expected extension payments offset by stronger withholding. Therefore, DOB is making no changes to PIT estimates in this update.

In comparison to the Executive Budget Financial Plan (“February Plan”), DOB has reduced the FY 2021 estimate for General Fund receipts by \$14.5 billion. All Funds tax receipts alone are down by \$13.5 billion -- a 15.3 percent reduction since the February Plan. The dramatic decline in General Fund receipts is not a one-year problem. DOB expects the reduced receipts to carry through each subsequent year of the Financial Plan, creating a total loss of over \$62 billion through FY 2024 compared to February Plan, as summarized in the table below.

GENERAL FUND RECEIPTS REVISIONS TO THE FEBRUARY PLAN (millions of dollars)					
	FY 2021 Updated ¹	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected	Total
ENACTED BUDGET REVISIONS	(13,257)	(15,899)	(15,795)	(15,589)	(60,540)
Receipts (includes Lottery Aid)	(13,089)	(15,858)	(15,756)	(15,547)	(60,250)
Dedicated Tax Receipts (DHBTf)	(168)	(41)	(39)	(42)	(290)
Q1 UPDATE REVISIONS	(1,259)	(308)	(19)	33	(1,553)
Receipts (includes Lottery/Gaming Aid)	(1,219)	(293)	(9)	42	(1,479)
Dedicated Tax Receipts (DHBTf)	(40)	(15)	(10)	(9)	(74)
TOTAL GENERAL FUND REVISIONS	(14,516)	(16,207)	(15,814)	(15,556)	(62,093)

¹ Excludes receipts of Extraordinary Monetary Settlements.

Reduced receipts in FY 2021 are expected to be offset by lower General Fund disbursements from the assured extension, through September 30, 2020 of the enhanced Federal matching assistance percentage (“eFMAP”) for eligible Medicaid expenditures, the allocation of certain eligible General Fund expenses to the Coronavirus Relief Fund (CRF), consistent with the State’s interpretation of current U.S. Department of the Treasury (“U.S. Treasury”) guidelines, and other savings.

The net result of these revisions is that the General Fund is expected to remain in balance in the current fiscal year. The outyear budget gaps are currently projected at \$8.4 billion in FY 2022, \$9.8 billion in FY 2023, and \$9.4 billion in FY 2024. The three-year combined total amount of the outyear budget gaps has increased by almost \$2 billion in comparison to the Enacted Budget projections.

The Updated Financial Plan continues to include \$8 billion in recurring local aid reductions that are expected to be executed pursuant to budget control authority that was granted to the Budget Director in the FY 2021 Enacted Budget. If the U.S. Congress approves substantial new recovery aid to the states and localities, the level of State-planned reductions may be reduced.

In the absence of Federal action since enactment of the FY 2021 budget, DOB began withholding 20 percent of most local aid payments in June, pursuant to the withholding authority granted in the FY 2021 Enacted Budget. Through the end of July, DOB has withheld approximately \$1.9 billion of payments that were scheduled to be made during this period in the Enacted Budget Financial Plan. All or a portion of these withholds may be converted to permanent reductions, depending on the size and timing of new Federal aid, if any.

Consistent with the Enacted Budget Financial Plan, the State has implemented a hiring freeze and controls on non-personal service and capital commitments and expenditures. It has also deferred, through at least September 30, the general salary increases that were scheduled to take effect on April 1, 2020. State agencies have been directed to reduce operating expenditures by 10 percent from the levels authorized in the FY 2021 Enacted Budget Financial Plan. Certain exceptions are expected to be granted for facility operations and public health and safety priorities. The Enacted Budget Financial Plan describes these controls on agency operations in more detail.

The State completed two PIT note sales for cash flow purposes in the first quarter of FY 2021. The note sales generated a total of \$4.5 billion in net proceeds, consistent with the assumptions in the Enacted Budget Financial Plan. The sales were done to avoid anticipated liquidity needs arising from the Federal government’s decision to extend the calendar year 2019 income tax filing deadline from April 15, 2020 to July 15, 2020.

The wide-ranging economic, health, and social disruptions caused by the pandemic continue to have an adverse impact on State authorities and localities. The Metropolitan Transportation Authority (MTA) and the Thruway Authority have disclosed that observed declines in mass transit, commuter rail, and vehicular traffic are having an adverse and material impact on their financial condition and operating results. The City of New York has made material reductions to estimated tax receipts for City Fiscal Year (CFY) 2020 and CFY 2021, and other localities have identified similar concerns. The State aid reductions expected to be taken in the Updated Financial Plan may materially and adversely affect the financial position of the MTA, the City of New York, and other localities.

Updated Budget Gap Closing Plan

The following table summarizes the FY 2021 gap-closing plan, updated for revisions in this Updated Financial Plan. The gap-closing plan for FY 2021 must now cover a General Fund receipts shortfall of \$14.5 billion. The plan consists of specific savings in the Enacted Budget, budget control actions taken by the Executive to reduce authorized spending, and surplus resources.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS (millions of dollars)				
	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
EXECUTIVE BUDGET (FEB. 2020) SURPLUS/(GAP)	0	(1,939)	(3,313)	(3,266)
Receipts (includes Lottery Aid)	(14,308)	(16,151)	(15,765)	(15,505)
Dedicated Tax Receipts (DHBTF)	(208)	(56)	(49)	(51)
UPDATED BUDGET SURPLUS/(GAP)	(14,516)	(18,146)	(19,127)	(18,822)
Changes to Exec. Proposals Adopted in Enacted Budget:	782	911	737	618
School Aid - Offset by Federal Funds	1,169	1,791	1,986	2,278
Medicaid	(100)	(778)	(1,160)	(1,543)
Legislative Adds	(180)	(10)	(10)	(10)
Legislative Rejection of Executive Proposals	(107)	(92)	(79)	(107)
Budget Control Actions:	10,120	8,904	9,100	9,407
Budget Balance Reductions (Aid to Localities)	8,180	8,010	8,010	8,010
Cash Management Withholds (Apr-Jul)	1,714	0	0	0
Financial Plan Reconciliation	(1,714)	0	0	0
<i>School Aid</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Medicaid/Health</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Higher Education</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Social Services</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Mental Hygiene</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Transportation</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Other</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
Agency Operations Budget Balance Reductions	1,647	716	967	1,300
Cash Management Withholds (Apr-Jul)	230	0	0	0
Financial Plan Reconciliation	(230)	0	0	0
Debt Service/Other Revisions	293	178	123	97
Resource Changes:	4,285	517	(19)	2
Federal Medicaid Share Increase (eFMAP)	2,238	0	0	0
Prior Year Balances	553	550	0	0
Fund Eligible Expenses from CRF	869	0	0	0
Refunded Prior-Year COVID-19 Expenses	132	0	0	0
Other Resources	493	(33)	(19)	2
New Costs:	(671)	(544)	(540)	(557)
Health Care: Enrollment Increases/Other	(671)	(532)	(527)	(544)
Other Costs	0	(12)	(13)	(13)
FIRST QUARTERLY UPDATE SURPLUS/(GAP)	0	(8,358)	(9,849)	(9,352)

The gap-closing plan for FY 2021 is described at length in the Enacted Budget Financial Plan. The incremental changes to that plan are described in the following section. The Enacted Budget Financial Plan and this First Quarterly Update should be read in their entirety.

First Quarterly Revisions

The following table summarizes the General Fund revisions to the Enacted Budget Financial Plan. The table is followed by a brief explanation of the revisions.

FY 2021 FIRST QUARTERLY UPDATE GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS (millions of dollars)				
	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ENACTED BUDGET SURPLUS/(GAP)	0	(7,473)	(9,271)	(8,830)
Receipt Revisions¹	(578)	(164)	24	(30)
Taxes	(931)	(131)	42	(32)
Extraordinary Monetary Settlements	370	0	0	0
Non-Tax Receipts/Transfers	(17)	(33)	(18)	2
Disbursement Revisions¹	948	(721)	(602)	(492)
Local Assistance:	115	(692)	(578)	(470)
Medicaid: eFMAP Extension (7/1 to 9/30)	786	0	0	0
Health Care: Enrollment Increases/Other	(671)	(532)	(527)	(544)
Gaming/Lottery Receipts (General Fund Guarantee for School Aid)	0	(160)	(51)	74
Agency Operations	1,001	(12)	(13)	(13)
Transfers to Other Funds	(168)	(17)	(11)	(9)
Reclassifications	0	0	0	0
Liquidity Financing	0	0	0	0
Transfers From PIT Revenue Bond Tax Fund	(1,500)	0	0	0
Transfers To Debt Service Fund	1,500	0	0	0
MTA Bond Financing	0	0	0	0
Transfers From PIT Revenue Bond Tax Fund	(27)	(42)	(42)	(42)
Transfers To Payroll Mobility Tax (excludes savings shown above)	27	42	42	42
Transportation CHIPS Issuance	0	0	0	0
Transfers From PIT Revenue Bond Tax Fund	(4)	(13)	(13)	(13)
Transfers To Dedicated Highway and Bridge Trust Fund	4	13	13	13
Use/(Reserve) of Fund Balances	(370)	0	0	0
Economic Uncertainties	(370)	0	0	0
FIRST QUARTERLY UPDATE SURPLUS/(GAP)	0	(8,358)	(9,849)	(9,352)

¹ Accounting reclassifications that have no net impact on General Fund balance are shown separately.

Receipts Revisions

Tax Receipts. The General Fund estimate for consumption/use taxes is reduced by \$931 million in the current fiscal year and smaller amounts in the outyears, as collections through the first quarter fell below expectations. The reduction in the annual estimate also affects deposits to other funds, including the Mass Transportation Operating Assistance Fund (\$111 million) and the DHBTf (\$40 million). PIT receipts through July were in line with expectations, with weaker extension payments offset by stronger withholding. The income tax settlement for calendar year 2020, which normally occurs in April, was delayed until mid-July due to the extension of income tax filing deadlines from April 15 to July 15.

Extraordinary Monetary Settlements. Since publication of the Enacted Budget Financial Plan, the State has received two settlement payments from Deutsche Bank (\$150 million) and Hapoalim Bank (\$220 million). The payments have been reserved for economic uncertainties, consistent with the treatment of other recent settlements. The amount reserved for this purpose now totals \$1.3 billion.

Non-Tax Receipts/Transfers. The annual estimates for transfers from other funds has been reduced marginally to reflect changes in available resources in other funds.

Disbursement Revisions

Local Assistance. Health Care revisions account for most of the updated local assistance estimates in this Quarterly Update.

- **Enhanced FMAP Extension.** The Enacted Budget Financial Plan included \$1.45 billion in General Fund savings from the enhanced FMAP rate authorized in the Families First Coronavirus Response Act (FFCRA). The enhanced rate was expected to continue through June 30. In late June, the Secretary of Health and Human Services extended the enhanced rate through the third quarter of calendar year 2020. This assured extension is estimated to provide \$786 million in additional savings in FY 2021 compared to the Enacted Budget Financial Plan. Additionally, in late July, the Secretary extended the enhanced rate through the end of calendar year 2020. However, the enhanced rate can be revoked at any time before the start of the final quarter if the Secretary determines that there is no longer a public health emergency. Therefore, these savings, which would be comparable to the savings expected from the assured extension through September 30, are not counted on in this Quarterly Update.
- **Health Care.** The spike in unemployment caused by the COVID-19 pandemic is expected to drive increased enrollment in public health insurance programs. DOB and DOH project that approximately 500,000 people will become eligible for Medicaid, Child Health Plus (CHP), or Essential Plan (EP) coverage. DOB estimates the costs of the increase in enrollments at \$665 million in FY 2021 and roughly \$523 million in each subsequent fiscal year. Other revisions mainly include higher projected spending for the Health Workforce Retraining Initiative and Area Health Education Centers.
- **Gaming/Lottery Receipts (General Fund Guarantee for School Aid).** DOB has lowered its multi-year estimate for lottery and gaming receipts. The lower receipts in turn drive higher General Fund local assistance spending for School Aid starting in FY 2022.

Agency Operations. Certain costs incurred by the State in FY 2020 to build out hospital capacity, secure critical equipment, and fund other pandemic response activities are eligible for reimbursement from the CRF, pursuant to guidelines established by the U.S. Treasury. These costs, which total \$369 million, have been charged to the CRF in FY 2021. In addition, approximately \$500 million in payroll costs for public health and safety employees are eligible to be funded from the CRF through December 31, 2020. These costs were expected to be funded from the General Fund in the Enacted Budget Financial Plan. Lastly, approximately \$132 million in expenditures that were incurred in FY 2020 have subsequently been cancelled and refunded in FY 2021.

It is expected that State agencies will continue to incur significant costs to respond to the COVID-19 pandemic. The Updated Financial Plan continues to assume that all direct COVID-19 costs incurred by agencies will be fully covered with Federal aid.

Outside of the revisions related to pandemic-related costs, the Governor signed a bill requiring the use of body cameras. The Division of State Police (DSP) estimates a cost of \$10 million annually to implement the requirements of the bill, starting in FY 2022.

Transfers. Estimated transfers to other funds have been updated to reflect further reductions made to the estimates for lottery and gaming revenues that support School Aid (\$288 million) and dedicated tax receipts to the DHBTf (\$40 million), for which the General Fund is the payor of last resort. Transfers to support capital projects have been reduced to account for the expected use of proceeds for projects that were funded in the first instance in prior years (\$153 million). Other revisions include lower transfers based on results to date and changes related to the MTA bond financing.

Reclassifications

As in prior updates, DOB is reclassifying certain financial transactions based on results to date. These changes have no net impact on General Fund operating results.

Liquidity Financing. The State executed \$4.5 billion in PIT note sales in the first quarter of FY 2021. The borrowings were done in response to the Federal government's decision to extend the calendar year 2019 income tax filing from April 15, 2020 to July 15, 2020. The notes provided a lower cost of funds than the combination of notes (\$3.0 billion) and line of credit proceeds (\$1.5 billion) contemplated in the Enacted Budget Financial Plan. The State secured a \$3.0 billion line of credit but has not drawn on it.

MTA Bond Financing. The adverse impact of the pandemic on the MTA's operating budget has affected credit ratings and interest rates on MTA Transportation Revenue Bonds (TRBs). Due to the increased cost of borrowing for the MTA, the State issued \$1.1 billion in PIT Revenue Bonds in July to fund its FY 2021 contribution to the MTA's 2015-19 Capital Plan. Previously, the Enacted Budget Financial Plan had assumed that the projects would be bonded by the MTA but funded by the State through additional operating aid to the MTA. Accordingly, the Quarterly Update reflects a decrease in local aid payments to MTA of \$31 million in FY 2021 and \$42 million in each of the outyears. A corresponding increase is reflected in PIT Bond debt service of \$27 million in FY 2021 and \$42 million in each of the outyears. Savings of \$4 million in FY 2021 is reflected in transfers to other funds in the chart above. It is expected that the State may execute additional bond sales in FY 2021 or in later years to fund all or a portion of its contribution to the MTA 2015-19 Capital Plan.

Transportation CHIPS Issuance. PIT debt service for the CHIPS program was reduced by \$4 million in FY 2021 and \$13 million annually in FY 2022 and beyond. This change reflects the actual results of bond sales that occurred during the first quarter of FY 2021, as interest rates and CHIPS bond issuances were below budgeted levels. Debt service for the CHIPS transportation program, which funds local road and bridge projects, is reimbursed by a transfer of Dedicated Highway and Bridge revenues to the PIT RBTF. A commensurate transfer change was made in the transfer from the General Fund to the DHBTf, since the General Fund backstops this fund.

State Spending

State Operating Funds spending, excluding the impact of liquidity financing, is expected to total \$93.7 billion, a decrease of \$8.5 billion (8.3 percent) compared to FY 2020 results. The Updated Financial Plan reflects proceeds from \$4.5 billion of PIT notes issued in the first quarter of FY 2021 to mitigate the cash flow impact of the PIT filing extension from April 15 to July 15, 2020. The \$4.5 billion of notes are expected to be repaid by March 31, 2021, which increases estimated State Operating Funds disbursements, bringing total spending to \$98.2 billion in FY 2021, a decrease of \$4 billion (3.9 percent) compared to FY 2020 results.

The decrease in spending includes nearly \$10 billion in expected budget balance reductions comprised of reduced aid-to-localities disbursements that will be executed pursuant to the budget-balance and withholding authority granted in the Enacted Budget, as well as operational reductions for Executive agencies, Judiciary and elected officials, and deferral of the employer's share of Social Security taxes through December 2020 as permitted in the Coronavirus Aid, Relief, and Economic Security Act (CARES Act).

The following table summarizes the annual change in spending.

STATE OPERATING FUNDS DISBURSEMENTS				
FY 2020 TO FY 2021				
(millions of dollars)				
	FY 2020	FY 2021	Annual Change	
	Results	Updated	\$	%
LOCAL ASSISTANCE	68,653	61,379	(7,274)	-10.6%
School Aid (School Year Basis) ¹	27,812	26,780	(1,032)	-3.7%
DOH Medicaid ²	22,077	23,278	1,201	5.4%
Temporary eFMAP Increase	0	(2,238)	(2,238)	0.0%
Transportation	3,488	3,792	304	8.7%
STAR ³	2,184	2,073	(111)	-5.1%
Social Services	2,355	3,250	895	38.0%
Higher Education	2,362	3,518	1,156	48.9%
Mental Hygiene ⁴	3,427	2,849	(578)	-16.9%
All Other ⁵	4,948	6,077	1,129	22.8%
Budget Balance Reduction	0	(8,000)	(8,000)	0.0%
STATE OPERATIONS/GENERAL STATE CHARGES	28,591	26,409	(2,182)	-7.6%
State Operations	20,168	18,294	(1,874)	-9.3%
Personal Service:	<u>14,090</u>	<u>14,274</u>	<u>184</u>	<u>1.3%</u>
Executive Agencies	7,814	7,536	(278)	-3.6%
27th Administrative Payroll	0	107	107	0.0%
University Systems	4,128	4,363	235	5.7%
Elected Officials	2,148	2,268	120	5.6%
Non-Personal Service:	<u>6,078</u>	<u>5,000</u>	<u>(1,078)</u>	<u>-17.7%</u>
Executive Agencies	3,226	2,285	(941)	-29.2%
University Systems	2,298	2,144	(154)	-6.7%
Elected Officials	554	571	17	3.1%
Budget Balance Reduction - Executive Agencies	0	(700)	(700)	0.0%
Budget Balance Reduction - Elected Officials	0	(280)	(280)	0.0%
General State Charges	8,423	8,115	(308)	-3.7%
Pension Contribution	2,456	2,592	136	5.5%
Health Insurance	4,303	4,513	210	4.9%
Other Fringe Benefits/Fixed Costs	1,664	1,010	(654)	-39.3%
DEBT SERVICE	4,916	5,864	948	19.3%
TOTAL STATE OPERATING FUNDS (Excluding Liquidity Financing)	102,160	93,652	(8,508)	-8.3%
Liquidity Financing	0	4,500	4,500	0.0%
TOTAL STATE OPERATING FUNDS (Including Liquidity Financing)	102,160	98,152	(4,008)	-3.9%

¹ FY 2021 does not reflect \$1.1 billion in Federal CARES Act funding.

² Total State share Medicaid funding is reported prior to the spending offset from the application of Master Settlement Agreement (MSA) payments, which are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's takeover of Medicaid costs for counties and New York City. The value of this offset is reported in "All Other" local assistance disbursements.

³ The conversion of benefit payments to a State PIT credit decreases reported disbursements for STAR and decreases reported PIT receipts by an identical amount. The shift from the basic exemption to the credit program does not reduce the value of the benefit received by homeowners.

⁴ Total Mental Hygiene spending is \$4.3 billion in FY 2021, an increase of 7 percent from FY 2020, a portion of which is funded by the DOH Medicaid budget.

⁵ "All Other" includes spending for various other functions, as well as reclassifications between financial plan categories, a reconciliation between school year and State fiscal year spending for School Aid, and MSA payments deposited directly to a Medicaid Escrow Fund (\$321 million in FY 2020 and \$362 million in FY 2021), which reduces reported disbursements.

Budget Balance Reduction Plan

The Enacted Budget granted the Budget Director the authority to reduce aid-to-localities appropriations and disbursements by any amount needed to achieve a balanced budget, as estimated by DOB. In addition, the Budget Director is authorized under section 1(f) of Chapter 53 of the Laws of 2020, to withhold all or some of specific local aid payments during FY 2021 if the budget is deemed unbalanced and if the Budget Director deems, in his sole discretion, that such withholding is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic (the “Reduction Authority”). The budget is deemed out of balance for the fiscal year, and the Budget Director’s powers are activated, if actual tax receipts are less than 99 percent of estimated tax receipts, or actual disbursements are more than 101 percent of estimated disbursements, as measured at three points during 2020 (April 1-30, May 1-June 30, and July 1-December 31).

As of the initial measurement period (April 1 - 30) the budget was deemed out of balance for the fiscal year and Budget Director’s powers have been activated and in force for the entire FY 2021.²

The results for the initial measurement period are summarized in the table below.

APRIL 2020 MEASUREMENT PERIOD STATE OPERATING FUNDS (millions of dollars)				
	30-Day Estimate	Actual Results		Trigger
		Dollar	% of Estimate	
Tax Receipts	11,746	3,584	31%	<99%
Disbursements	7,479	4,373	58%	>101%

The Enacted Budget Financial Plan for FY 2021 reflected \$8.0 billion in recurring reductions in aid-to-localities disbursements that were expected to be implemented pursuant to the budget balance and withholding authority described above.

The Enacted Budget Financial Plan noted that the ultimate size of any permanent reductions would depend in part on the availability of unrestricted Federal aid. While the U.S. Congress has begun discussions on additional recovery legislation, no agreement has been reached as of the date of this Quarterly Update. Therefore, the earliest that DOB now expects to transmit a detailed aid-to-localities reduction plan to the Legislature is late in the second quarter of the State’s FY 2021.

² The second measurement period (May 1 - June 30) showed actual tax receipts at 94 percent of estimated receipts.

In the interim, without assurance of Federal aid, DOB has begun withholding a minimum of 20 percent of most local aid payments to achieve the cash flow savings anticipated in the Updated Financial Plan pursuant to the Reduction Authority. As of July 31, 2020, DOB has withheld roughly \$361 million pursuant to the Reduction Authority. In addition, DOB is withholding a range of other payments, including local aid payments that do not have specific statutory payment dates and liabilities, transfers to other funds, and general salary increases that were scheduled to take effect on April 1. DOB estimates that such withholdings have totaled a minimum of \$1.9 billion through the end of the first quarter.

TOTAL WITHHELD AS OF JULY 31, 2020 (millions of dollars)	
Total Withheld	<u>1,944</u>
Local Assistance ¹	<u>1,714</u>
Payments with Statutory Due Dates	361
All Other Payments	1,353
Transfers to SUNY	190
General Salary Increases	40
¹ Includes amounts withheld from payments above \$500,000. Payments below this threshold are also subject to withholding.	

A summary of local payments withheld is provided later in this Updated Financial Plan. See “Financial Plan Accompanying Notes” herein. It is expected that, in the absence of unrestricted Federal aid, DOB will continue to withhold a range of payments through the second quarter of FY 2021.

Coronavirus Relief Fund

The CARES Act established the CRF to assist states and local governments in funding COVID-19 related expenses. The State received \$5.1 billion from the CRF.

The State has incurred \$2.2 billion of CRF-eligible expenses in the four-month period from March 1 through June 30. As of July 31, an estimated \$1.1 billion of such costs have been allocated to the CRF, reducing the balance to \$4.0 billion at month-end. DOB expects that the full allotment from the CRF will be committed by December 31, 2020. The following table summarizes actual and estimated activity related to the CRF.

SUMMARY OF STATE EXPENSES ELIGIBLE UNDER CORONAVIRUS RELIEF FUND ¹			
(millions of dollars)			
	March 1 - June 30 Incurred	July 1 - Dec. 31 Estimated	Total Estimated
Durable Hospital Equipment	552	12	564
Personal Protective Equipment	552	109	661
Testing	117	308	425
Personal Service	286	600	886
Metropolitan Transportation Authority	245	195	440
Student Refunds	119	59	178
Quarantine Activities	28	308	336
IT and Telecomm Equipment	53	140	193
Financing	9	153	162
Hand Sanitizer/Cleaning/PPE Production	28	87	115
Food Bank	25	4	29
Other	157	990	1,147
Total	2,171	2,965	5,136

¹ Includes off-budget spending by public authorities and CUNY.

A more detailed discussion of Federal aid related to the pandemic can be found later in this Updated Financial Plan.

The Updated Financial Plan assumes that the Federal government will fully fund the State's direct cost for pandemic response. Aid is expected through FEMA disaster assistance grants and aid, and the CRF. Accordingly, the Updated Financial Plan reflects no net State costs from COVID-19 related expenses. However, the Updated Financial Plan has been revised to reflect reclassifications of eligible expenses incurred in the prior year and payroll expenses for public health and safety employees to the Federal CRF pursuant to U.S. Treasury eligibility guidelines.



General Fund Financial Plan

General Fund Cash-Basis Financial Plan

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. Two significant factors affect reported General Fund tax receipts that are unrelated to actual collections. First, changes in debt service on State revenue bonds affect General Fund tax receipts. The State has bonding programs where tax receipts are deposited into dedicated debt service funds (outside the General Fund) and used to make debt service payments on bonds issued by the State. After satisfying debt service requirements for these bonding programs, the balance is transferred to the General Fund. Second, the STAR program is funded from PIT receipts, with changes in the cost of the program affecting reported PIT receipts.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year.

For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, disbursements, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Multi-Year Projections" herein.

Disbursement estimates by Financial Plan category reflect the impact of the budget balance reductions that DOB expects to execute during FY 2021 as a distinct line below each Financial Plan category. The precise reductions in the aid-to-localities programs will be contained in the reduction plan that DOB expects to submit to the Legislature. The cash disbursement estimates to local aid programs and State agency operations are expected to be allocated by agency later in the fiscal year.

FY 2021 Financial Plan

The General Fund is estimated to be balanced on a cash-basis in FY 2021. The estimate is dependent on many factors, including the accuracy of the tax receipts forecast, which is subject to many uncertainties as a result of the COVID-19 pandemic and recession; the successful implementation of steep and wide-ranging reductions to aid-to-localities disbursements and controls on State agency operating expenses; the reimbursement of first-instance capital expenditures with bond proceeds; and the receipt of Federal funding, through FEMA, the CRF, and other aid, to cover the full cost of the State’s pandemic response efforts in FY 2021.

The following table summarizes the projected annual change in General Fund receipts, disbursements, and fund balances from FY 2020 to FY 2021.

GENERAL FUND FINANCIAL PLAN				
(millions of dollars)				
	FY 2020 Results	FY 2021 Updated	Annual Change	
			Dollar	Percent
Opening Fund Balance	7,206	8,944	1,738	24.1%
Total Receipts	<u>79,207</u>	<u>68,833</u>	<u>(10,374)</u>	<u>-13.1%</u>
Taxes ¹	73,133	59,528	(13,605)	-18.6%
Miscellaneous Receipts	3,159	6,744	3,585	113.5%
Non-Tax Transfers from Other Funds	2,915	2,561	(354)	-12.1%
Total Disbursements	<u>77,469</u>	<u>70,690</u>	<u>(6,779)</u>	<u>-8.8%</u>
Local Assistance	51,863	46,285	(5,578)	-10.8%
State Operations	19,508	17,903	(1,605)	-8.2%
Transfers to Other Funds	6,098	6,502	404	6.6%
Net Change in Operations	1,738	(1,857)	(3,595)	-206.8%
Closing Fund Balance	<u>8,944</u>	<u>7,087</u>	<u>(1,857)</u>	<u>-20.8%</u>
Rainy Day Reserves	2,476	2,476	0	
Economic Uncertainties	890	1,340	450	
Reserve for Timing of Payments	1,313	0	(1,313)	
All Other Reserves/Balances	1,655	1,086	(569)	
Extraordinary Monetary Settlements	2,610	2,185	(425)	

¹ Includes the transfer of tax receipts from other funds after debt service.

General Fund tax receipts, miscellaneous receipts, and transfers to other funds in the table above are each affected by the use of liquidity financing (PIT notes) to manage the liquidity impact of the extension of income tax filing deadlines. The Updated Financial Plan reflects the issuance of \$4.5 billion in PIT notes, which are currently budgeted to be repaid within FY 2021. In FY 2021, General Fund miscellaneous receipts reflect the deposit of \$4.5 billion in note proceeds. PIT receipts are expected to be reserved and used for repayment of the notes. The Updated Financial Plan assumes that interest expense on the PIT notes will be reimbursed from Federal aid as an eligible COVID-19 expense, as the need for liquidity financings was a direct result of the extension of tax filing deadlines as a result of the pandemic.

General Fund receipts and disbursements, as well as fund balances, are affected by the receipt and use of Extraordinary Monetary Settlements. The table below summarizes the General Fund sources and uses of Extraordinary Monetary Settlements and how they impact General Fund miscellaneous receipts and capital projects transfers.³

GENERAL FUND FINANCIAL PLAN EXTRAORDINARY MONETARY SETTLEMENTS (millions of dollars)				
	FY 2020 Results	FY 2021 Updated	Annual Change	
			Dollar	Percent
Opening Balance	4,194	2,610	(1,584)	-37.8%
Total Receipts	889	450	(439)	-49.4%
Settlements Received	895	450	(445)	-49.7%
Funds Retained by Dept. of Law	(6)	0	6	-100.0%
Total Uses	2,473	875	(1,598)	-64.6%
Capital Purposes	1,345	425	(920)	-68.4%
Rainy Day Reserves	238	0	(238)	-100.0%
Economic Uncertainties	890	450	(440)	-49.4%
Net Change in Operations	(1,584)	(425)	1,159	73.2%
Closing Balance	2,610	2,185	(425)	-16.3%

³ More information on the receipt and use of Extraordinary Monetary Settlements can be found in “Other Matters Affecting the Financial Plan” herein.

Receipts

As noted above, the reporting of General Fund tax receipts and miscellaneous receipts is affected by the use of liquidity financings to manage the impact of the tax filing extensions on monthly cash flows.

General Fund receipts, including transfers from other funds, are estimated to total \$68.8 billion in FY 2021, a decrease of \$10.4 billion (13.1 percent) from FY 2020 results due to the shock to the economy brought on by the global pandemic.

PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are estimated to drop from \$50.5 billion in FY 2020 to \$40.1 billion in FY 2021, a decrease of \$10.4 billion (20.7 percent). The decrease reflects extraordinary declines in both bonus and non-bonus wages impacting withholding and estimated payments. In addition, refunds are expected to decline due to a steep decline in advance credit payments related to Tax Year 2020, due to the expired Property Tax Relief Credit program, as well as a decrease in the administrative cap on the amount of refunds paid from January to March 2021. General Fund PIT receipts in FY 2021 also include the impact of the repayment of \$4.5 billion of short-term PIT notes.

Consumption/use tax receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total nearly \$11.1 billion in FY 2021, a drop of \$3.2 billion (22.3 percent) from FY 2020. The drop reflects a significant decline in the sales tax base of roughly 23 percent. This is partly offset by the full-year impact of the new requirement that online marketplace providers collect Sales and Use Tax (SUT) on sales that they facilitate and Energy Service Companies (ESCOs) be subject to sales tax.

Business tax receipts are estimated at \$6.5 billion in FY 2021, an increase of \$136 million (2.1 percent) from FY 2020. The increase is primarily attributable to growth in corporation franchise tax receipts, driven by higher audit receipts and lower refunds.

Other tax receipts, including transfers after payment of debt service on Clean Water/Clean Air (CW/CA) Bonds, are expected to total \$1.9 billion in FY 2021, a decrease of \$142 million (-7.0 percent) from FY 2020, primarily due to an estimated decrease in real estate transfer tax receipts resulting from a large estimated decline in housing starts, housing prices, and bonuses. This decline is partly offset by a slight increase in estate tax receipts, primarily due to a partial-year impact of the estimated growth in household net worth.

Non-tax receipts and transfers are estimated at \$9.3 billion in FY 2021, an increase of \$3.2 billion from FY 2020. This increase reflects an increase of \$4.5 billion in miscellaneous receipts from liquidity financing for cash flow purposes. This increase is partly offset by a reduction in the level of Extraordinary Monetary Settlements from \$889 million in FY 2020 to \$450 million in FY 2021, and the use of certain resources available in FY 2020 that either do not recur or recur at a lower amount in FY 2021.

Disbursements

General Fund disbursements, including transfers to other funds, are expected to total \$70.7 billion in FY 2021, a decrease of \$6.8 billion (8.8 percent) from FY 2020.

Local assistance spending is estimated at \$46.3 billion in FY 2021, a decrease of \$5.6 billion (10.8 percent) from FY 2020. The decrease includes a \$8 billion recurring reduction in aid-to-localities disbursements that are expected to be executed pursuant to the budget-balance and withholding authority granted in the Enacted Budget. The allocation of the savings plan will depend on what programs are included or excluded from reductions, the level of targeted reductions in certain areas, and the availability of Federal aid. Accordingly, the specific agency and program spending levels described below do not reflect any reductions that may occur as a result of the savings plan.

General Fund School Aid spending, on a State fiscal year basis, is expected to grow by \$529 million, reflecting in part the expected reduction in lottery and gaming receipts that must now be funded by the General Fund. Medicaid spending is expected to increase by \$1 billion, including the impact of a temporary increase in FMAP that is expected to provide \$2.2 billion in savings in FY 2021. Medicaid spending subject to the Global Cap Index is expected to grow by \$573 million (3.0 percent) and the cost of minimum wage increases and local takeover, currently outside the Global Cap, are estimated to grow above FY 2020 levels by \$314 million and \$183 million, respectively.

General Fund personal and non-personal service costs are expected to total \$10.8 billion in FY 2021, a decrease of \$1.2 billion (10.1 percent) from FY 2020. The decrease reflects the allocation of certain eligible General Fund expenses to the CRF consistent with U.S. Treasury guidelines, as well as \$700 million in recurring savings from the planned 10 percent reduction in Executive agency spending compared to the amounts authorized in the Enacted Budget. Limited exceptions are expected to be made for facility operations and public health and safety. The Updated Financial Plan also assumes that the Judiciary and elected officials will initiate comparable reductions in their budgets for FY 2021 (\$280 million).

General State Charges (GSCs), which include fringe benefits and certain fixed costs, are projected to decline by \$384 million (5.2 percent) from FY 2020. The decrease is mainly due to the interest-free deferral of the employer's share of Social Security taxes through December 2020 (\$667 million) that will be repaid in equal installments in December 2021 and December 2022, as permitted in the CARES Act. Health insurance costs for State employees and retirees are projected to increase by \$210 million (4.9 percent), due to medical inflation and current enrollment levels. The State's annual pension payment is projected to grow by \$136 million (5.5 percent). The State's costs for Workers' Compensation are expected to increase by \$41 million, due to underlying growth in average weekly wage, benefit and medical costs, as well as a reduction in other resources available to offset costs.

General Fund transfers to other funds are projected to total \$6.5 billion in FY 2021, an increase of \$404 million from FY 2020. The increase primarily reflects support for School Aid in lieu of lower video lottery and commercial gaming revenues.

FY 2021 Closing Balance

The State’s liquidity position is dependent on the performance of tax receipts, the management of cash disbursements, and the execution of reductions in aid-to-localities programs and State agency operations. All of these actions are subject to risks and uncertainties. Accordingly, reserves are not used to help close the FY 2021 budget gap, but instead are held to preserve liquidity and respond to further deterioration in tax receipts.

DOB projects the State will end FY 2021 with a General Fund cash balance of \$7.1 billion, a decrease of \$1.9 billion from FY 2020. The change in the balance reflects the use of available cash at the end of FY 2020 to reduce the budget gap in FY 2021 and the timing of payments not made at the close of FY 2020 that are expected to be made in FY 2021. The estimated closing balance is dependent on many factors, including the implementation of reductions in local aid and State agency operations, the performance of tax receipts, the level of Federal aid available to the State and other assumptions in the Updated Financial Plan.

In addition, the expected use of Extraordinary Monetary Settlements for initiatives approved in prior budgets will reduce the balance in the General Fund. See "Other Matters Affecting the Financial Plan - Extraordinary Monetary Settlements" herein.

TOTAL BALANCES (millions of dollars)			
	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Updated</u>	<u>Annual</u> <u>Change</u>
TOTAL GENERAL FUND BALANCE	8,944	7,087	(1,857)
Statutory Reserves:			
Rainy Day Reserves	2,476	2,476	0
Community Projects	31	15	(16)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Economic Uncertainties	890	1,340	450
Debt Management	500	500	0
Timing of Payments	1,313	0	(1,313)
Undesignated Fund Balance	1,103	550	(553)
Subtotal Excluding Settlements	6,334	4,902	(1,432)
Extraordinary Monetary Settlements	2,610	2,185	(425)

Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). The FY 2021 Enacted Budget amended the statute to permit the borrowings until the end of the fiscal year. Previously, the borrowing period was limited to four months. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

The State completed two PIT note sales for cash-flow purposes in the first quarter of FY 2021. The note sales generated a total of \$4.5 billion in net proceeds, consistent with the assumptions in the Enacted Budget Financial Plan. The sales were done to avoid anticipated liquidity needs arising from the Federal government's decision to extend the income tax filing deadline from April 15 to July 15. The receipt and expected repayments are shown in the monthly cash balances. The Enacted Budget authorizes the State to issue up to \$8 billion in PIT notes for cash flow purposes in FY 2021. All cash flow notes must be issued by December 31, 2020. The notes may be renewed once for up to a year, and as a contingency option, refinanced on a long-term basis. A line of credit for \$3.0 billion has also been secured. Draws on the line may be done through March 31, 2021, subject to available appropriation. Any balance on the line may be refinanced twice for up to a year at each refinancing, and, as a contingency option, refinanced on a long-term basis. No draws have been made as of the date of this Quarterly Update, and none are planned at this time.

The State continues to reserve money on a quarterly basis for debt service payments financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

PROJECTED MONTH-END CASH BALANCES

FY 2021

(millions of dollars)

	General Fund	Other Funds	All Funds	Adjustments		Adjusted
				Liquidity Financing ¹	Budget Balance	All Funds
April 2020	10,082	10,461	20,543	0	0	20,543
May 2020	7,310	10,339	17,649	(1,000)	0	16,649
June 2020	6,864	13,760	20,624	(3,500)	0	16,124
July 2020	14,576	13,782	28,358	0	0	23,858
August 2020	12,550	13,384	25,934	0	(498)	20,936
September 2020	13,828	12,554	26,382	0	(1,098)	20,286
October 2020	13,087	13,320	26,407	0	(598)	19,713
November 2020	11,306	13,063	24,369	0	(398)	17,277
December 2020	10,499	13,658	24,157	1,000	(1,398)	16,667
January 2021	10,445	16,221	26,666	0	(398)	18,778
February 2021	11,118	14,848	25,966	0	(898)	17,180
March 2021	7,087	5,628	12,715	3,382	(3,694)	3,617

¹ The short-term notes were sold at a premium and the interest costs are expected to be reimbursed by Federal Funds for expenses related to COVID-19.

Other Matters Affecting the Financial Plan

General

The Updated Financial Plan is subject to complex economic, social, financial, political, public health, and environmental risks and uncertainties, many of which are outside the ability of the State to predict or control. DOB asserts that the projections of receipts and disbursements in the Updated Financial Plan are based on reasonable assumptions, but there can be no assurance that results will not differ materially and adversely from these projections. For example, in past years, tax receipts collections have varied substantially from the levels forecasted, and entitlement-based programmatic spending has also varied significantly from initial projections. More recently, DOB recognized the need to correct a structural imbalance under the Medicaid Global Cap as spending levels exceeded the indexed levels. Similarly, there are inherent risks with the financial condition of health care providers and enrollment in public health insurance programs driven directly or indirectly by the COVID-19 pandemic. Updated Financial Plan projections include recurring savings associated with reductions implemented in FY 2020 and the Medicaid Redesign Team II (MRT II) actions authorized in the Enacted Budget to limit Medicaid spending, which also included increased General Fund support.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State has regularly made certain payments above those initially planned, subject to available resources, to maintain budget flexibility.

The Updated Financial Plan is based on numerous assumptions including the condition of the State and national economies, and the concomitant collection of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include impacts of: national and international events; ongoing financial risks in the Eurozone; changes in consumer confidence, price and supply of oil and gas; major terrorist events and hostilities or war; climate change and extreme weather events; severe epidemic or pandemic events; cybersecurity threats; Federal statutory and regulatory changes concerning financial sector activities; Federal tax law; changes to Federal programs; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; credit rating agency actions; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; technology industry developments and employment; effect of household debt on consumer spending and State tax collections; and outcomes of litigation and other claims affecting the State.

The Updated Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; realization of the projected rate of return for pension fund assets, and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; willingness and ability of the Federal government to provide the aid projected in the Updated Financial Plan; ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; unanticipated growth in Medicaid program costs; and ability of the State and its public authorities to issue securities successfully in public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Updated Financial Plan are subject to revisions which may result in substantial changes. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of nonrecurring resources; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by the Governor.

The Enacted Budget granted the Budget Director the authority to reduce aid-to-localities appropriations and disbursements by any amount needed to achieve a balanced budget, as estimated by DOB. The budget would be deemed out of balance for the fiscal year, and the Director's powers are activated, if actual State Operating Funds tax receipts are less than 99 percent of estimated tax receipts, or actual State Operating Funds disbursements are more than 101 percent of estimated disbursements, as measured at three points during the year (April 1-30, May 1-June 30, and July 1-December 31). Upon identification of an imbalance, the Budget Director is authorized to transmit a plan to the Legislature identifying the specific appropriations and cash disbursements that would be reduced. The Legislature would then have ten days to adopt, by concurrent resolution, its own plan for eliminating the imbalance. If no plan is adopted, the plan submitted by the Budget Director would take effect automatically. The process exempts certain types of local assistance appropriations from uniform reduction, including public assistance and Supplemental Security Income (SSI) payments.

Any reductions made pursuant to this authorization may be paid in full or in part if one or both of the following events occur: (i) Actual State Operating Funds Tax Receipts through February 28, 2021 are not less than 98 percent of Estimated State Operating Funds Tax Receipts through February 28, 2021; or (ii) the Federal government provides aid that the Budget Director deems sufficient to reduce or eliminate the imbalance in the General Fund for FY 2021 and does not adversely impact the projected budget gap in FY 2022.

In addition, if a General Fund imbalance is identified, the Budget Director is authorized to withhold any payments, including amounts that are to be paid on specific dates prescribed in law or regulation if such action is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic.

The Updated Financial Plan forecast assumes various transactions will occur as planned including, but not limited to: receipt of certain payments from public authorities; receipt of revenue sharing payments under the Tribal-State Compacts; receipt of miscellaneous revenues at the levels set forth in the Updated Financial Plan; and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected and Federal approvals necessary to implement the MRT II savings actions authorized in the Enacted Budget. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years, or both.

The Updated Financial Plan also includes actions that affect spending reported on a State Operating Funds basis, including accounting and reporting changes. If these and other transactions are not implemented or reported as planned, the annual spending change in State Operating Funds would increase above current estimates.

In developing the Updated Financial Plan, DOB attempts to mitigate financial risks from receipts volatility, litigation, and unexpected costs, with an emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements and managing the accumulation of financial resources that can be used to offset new costs. Such resources include, but are not limited to, fund balances not needed each year, reimbursement for capital advances, acceleration of tax refunds above the level budgeted each year, and prepayment of expenses. There can be no assurance that such resources will be enough to address risks that may materialize in a given fiscal year.

In FY 2012, the State enacted legislation intended to limit the year-to-year growth in the State's two largest local assistance programs, School Aid and Medicaid. These limitations on spending growth are described further in the following sections.

School Aid

The School Aid growth cap was previously calculated based on the annual growth in the State Personal Income Growth Index (PIGI). With the exception of the 2013 school year increase (based on a five-year average), the PIGI was based on a one-year growth index. However, in FYs 2014 through 2019, the authorized School Aid increases were above the indexed levels. Beginning in FY 2021, the statutory PIGI for School Aid has been amended to limit School Aid increases to no more than the average annual income growth over a ten-year period. This change will reduce volatility in allowable growth and align the School Aid cap with the statutory Medicaid cap. Due to the anticipated impact of the COVID-19 pandemic on State revenues, State support for School Aid for School Year (SY) 2021 in the Enacted Budget is 3.7 percent lower than in SY 2020, but is offset in part with increased Federal support noted below. This reduction in State Operating Funds support will be offset by approximately \$1.1 billion in funding provided to the State through the Federal CARES Act, including the Elementary and Secondary School Emergency Relief Fund and the Governor's Emergency Education Relief Fund. With these Federal funds, SY 2021 School Aid is expected to total \$27.9 billion, an annual increase of approximately \$100 million or 0.4 percent.

Medicaid Global Cap

A portion of DOH State Funds Medicaid spending growth is subject to the Global Cap -- the ten-year rolling average of the medical component of the Consumer Price Index (CPI). Thus, the Global Cap allows for growth attributable to increasing costs, but not increasing utilization.

The statutory provisions of the Global Cap allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster, and grant the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner's powers are intended to limit the annual growth rate to the levels set by the Global Cap indexed rate for the then current fiscal year, through actions which may include reducing reimbursement rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. Major changes to the State share of Medicaid spending, outside of the Global Cap, include State costs for the takeover of Medicaid growth from local governments and reimbursement to providers for increased minimum wage costs. It should be further noted that General Fund spending remains sensitive to revenue performance in the State's HCRA fund that finances approximately one-quarter of DOH State-share costs in the Medicaid program. Limitations on elective procedures, changes in consumer behavior, and other factors attributable to the COVID-19 pandemic may have a material and adverse impact on HCRA revenues.

Since enactment of the Global Cap, subject to the management action described below, the portion of DOH State Funds Medicaid spending subject to the Global Cap has remained at or below indexed levels. However, DOH has taken management actions, including adjustments to the timing of Medicaid payments, consistent with contractual terms, to ensure compliance with the Global Cap.

At the close of FY 2019, DOH deferred, for three business days into FY 2020, the final cycle payment to Medicaid Managed Care Organizations, as well as other payments. The FY 2019 deferral had a State-share value of \$1.7 billion and was paid from available funds in the General Fund in April 2019, consistent with contractual obligations. Absent the deferral and any other actions, Medicaid spending under the Global Cap would have exceeded the statutorily indexed rate for FY 2019 and the State would have used available General Fund resources to fund the payments in FY 2019. According to DOH, the deferral had no impact on provider services and was attributable to growth in managed care and long-term managed care enrollment and utilization costs above initial projections, as well as timing of certain savings actions and offsets not processed by the end of FY 2019.

MRT II Solutions to Global Cap Imbalance

Following the need to defer FY 2019 Medicaid payments, DOB recognized that a structural imbalance existed within the Global Cap based on a review of price and utilization trends, and other factors.⁴ A structural imbalance in this case meant that estimated expense growth in State-share Medicaid subject to the Global Cap, absent measures to control costs, was growing faster than allowed under the Global Cap spending growth index.⁵

DOB estimated that, absent actions to control costs, State-share Medicaid spending subject to the Global Cap would have exceeded the indexed growth amount by upwards of \$3 to \$4 billion annually, inclusive of the FY 2019 deferral of \$1.7 billion.

In response to the estimated Global Cap imbalance, the Governor formed the MRT II as part of the FY 2021 Executive Budget with the objective of restoring financial sustainability to the Medicaid program while connecting other programmatic initiatives that would advance the core healthcare strategies he has pursued since taking office in 2011. The Enacted Budget included \$2.2 billion in recommendations put forward by the MRT II to create efficiencies within the Medicaid program and address the Medicaid imbalance, including identifying efficiencies in Managed Care and Managed Long-Term Care, as well as eligibility and administrative reforms.

Additionally, policy initiatives such as the carve out of services from Managed Care within pharmacy and the centralization of a transportation broker, will increase transparency and identify efficiencies within these areas. The MRT II also focuses on greater program integrity within the Medicaid program and includes reforms to modernize regulations to eliminate fraud, waste and abuse.

⁴ Factors that place upward pressure on State-share Medicaid spending include but are not limited to: reimbursement to providers for the cost of the increase in the minimum wage; phase-out of enhanced Federal funding; increased enrollment and costs in managed long-term care; and larger payments to financially distressed hospitals.

⁵ Under State law, annual growth in Medicaid spending subject to the Global Cap is limited to the ten-year rolling average of the medical component of the CPI.

Other Matters Affecting the Financial Plan



Through a combination of MRT II recommended actions, continued payment restructuring, and use of General Fund resources, the Medicaid program is expected to stay within statutorily allowable levels in FY 2021 and beyond.

The following table summarizes the Medicaid savings actions reflected in the Enacted Budget Financial Plan.

SUMMARY OF MEDICAID SAVINGS ACTIONS				
SAVINGS/(COSTS)				
(millions of dollars)				
	FY 2021	FY 2022	FY 2023	FY 2024
Total Medicaid Savings Actions¹	3,251	2,737	2,754	2,772
Non-MRT II Savings	1,050	0	0	0
MRT II Savings	2,201	2,737	2,754	2,772
Continue FY 2020 Reductions	739	682	682	682
Across the Board (ATB) Rate Reduction (1.0% Annually; Effective 1/1/20)	248	248	248	248
Discontinue Delivery System Reform Incentive Program (DSRIP) Equity Pools	190	190	190	190
Medicaid Managed Care (MMC) Rate Range Reduction	96	96	96	96
Discontinue the Hospital Enhanced Safety Net Program	66	66	66	66
Reduce Mainstream Managed Care (MMC) Quality Pool Payments by 50%	60	60	60	60
Other	79	22	22	22
FY 2021 Budget Year Reductions	1,462	2,056	2,073	2,091
Managed Care	145	134	134	134
Encounter Data Accountability Penalty/Withhold (2.0% on MMC Plans)	143	115	115	115
Authorize Electronic Notifications	2	5	5	5
Other	0	14	14	14
Hospitals	297	304	304	304
H+H Financial Assistance	186	193	193	193
Reduce Indigent Care Pool for Voluntary Hospitals	88	88	88	88
Discontinue Hospital Quality and Sole Community Pools	35	35	35	35
Other	(12)	(12)	(12)	(12)
Long-Term Care	669	1,055	1,055	1,055
Cap Statewide Managed Long-Term Care (MLTC) Enrollment Growth at a Target Percentage and Implement a 3% Withhold	215	215	215	215
Modify Benefit Eligibility Criteria for Personal Care Services (PCS) & Consumer Directed Personal Assistance Program (CDPAS) Benefit	119	277	277	277
Encounter Data Accountability Penalty/Withhold (1.5% on MLTC Plans)	102	89	89	89
Administrative Reforms to the PCS and CDPAS Benefit	82	263	263	263
Delay Community First Choice Option (CFCO) Services	47	47	47	47
Other	104	164	164	164
Care Management	43	70	70	70
Comprehensive Prevention and Management of Chronic Disease	17	37	37	37
Discontinue Health Home Outreach	16	16	16	16
Achieve Health Home (HH) Rate Efficiencies (HH Admission/Step Down Criteria Revisions)	12	16	16	16
Reform Patient Center Medical Homes (PCMH)	6	18	18	18
Establish Plan of Care Incentive/Penalty Payments	5	5	5	5
Other	(13)	(22)	(22)	(22)
Pharmacy	35	130	147	165
Reduce Drug Cap Growth by Enhancing Purchasing Power	46	43	43	43
Transition Pharmacy Benefit to Fee-for-Service (FFS)	(11)	87	104	122
Transportation	75	217	217	217
Public Emergency Certified Public Expenditure (CPE)	38	90	90	90
Reduce Taxi/Livery Rates	35	51	51	51
Maximize Public Transit in NYC	2	26	26	26
Other	0	50	50	50
All Other	198	146	146	146
Additional ATB Rate Reduction (0.5% Annually; Effective 4/1/20)	125	50	50	50
Other	73	96	96	96

¹ Excludes temporary 6.2 percent enhanced FMAP authorized in the CARES Act.

Public Health Insurance Programs/Public Assistance

Historically, the State has experienced growth in Medicaid enrollment and public assistance caseloads during economic downturns resulting from increased unemployment. DOB is evaluating public insurance enrollment and caseload trends connected to the economic downturn attributable to the COVID-19 pandemic. Many who were laid off or otherwise saw family income decrease in early 2020 due to the COVID-19 pandemic are expected to qualify for Medicaid, EP, and CHP. As Medicaid enrollees remain eligible for coverage for 12 continuous months, these enrollment impacts will continue into the outyear projections. In FY 2021, the cost of the enrollment increase will be partially offset by eFMAP provided in the Families First Coronavirus Response Act (FFCRA).

Public Assistance caseload has remained stable in large part by the Federal Pandemic Unemployment Compensation program (FPUC), which provides an additional \$600 per week to individuals collecting regular unemployment benefits. Similarly, eviction moratoriums for residential tenants facing financial hardships due to the COVID-19 pandemic have mitigated the need for public assistance benefits. Absent Federal action to continue these benefits after they are set to expire, DOB anticipates a material uptick in caseload projections as early as the Mid-Year Update.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to and recover from acute crises or emergencies such as severe weather events, disasters and disease outbreaks. Many policies that drive this Federal aid are subject to possible changes by the Trump Administration and Congress. Current Federal aid projections and the assumptions on which they rely are subject to revision because of changes in Federal policy.

Similarly, the Updated Financial Plan may also be adversely affected by other Federal government actions including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Updated Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare & Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011 and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

Current Federal Aid

President Trump proposed significant cuts to mandatory and discretionary domestic programs in Federal Fiscal Years (FFYs) 2018, 2019, and 2020 which were largely rejected in the final appropriations bills approved for each of those years.

The Bipartisan Budget Act of 2019 (BBA 19) increased the discretionary spending caps set by the Budget Control Act of 2011 for the final two years that the caps are in place under current law – FFYs 2020 and 2021. The FFY 2021 budget process began with the release of the President’s budget proposal in February 2020. The proposal continued the President’s prior calls for cuts to many programs, including discretionary spending levels below those authorized in BBA 19. Congressional appropriators are currently negotiating fiscal 2021 spending bills. The deadline for an agreement is September 30.

Federal Coronavirus Response Legislation

To date, the Federal government has taken legislative, administrative, and Federal Reserve actions intended to stabilize financial markets; extend aid to large and small businesses, health care providers, and individuals; and reimburse governments for the direct costs of pandemic response. Four Federal bills with \$16.2 billion of funding for expenses related to COVID-19 have been awarded to the State, including local governments, and other organizations such as Health Research, Inc. (HRI) and MTA. For a majority of the enacted legislation, the economic benefits do not flow to or through the State’s Financial Plan, but instead flow directly to individuals in the form of tax rebates, and to large and small businesses in the form of loans or grants. Specifically, the Federal government enacted four pieces of legislation in response to the ongoing COVID-19 pandemic:

(i) The Coronavirus Preparedness and Response Supplemental Appropriations Act which provides an initial \$8 billion in emergency funding to respond to the COVID-19 pandemic, including support for vaccine development, the Public Health Emergency Preparedness program, and small businesses;

(ii) The Families First Coronavirus Response Act provides \$192 billion in aid, and includes paid sick leave, free testing, expanded food assistance and unemployment benefits, protections for health care workers, and increased Medicaid funding in response to the COVID-19 pandemic;

(iii) Coronavirus Aid, Relief, and Economic Security Act provides approximately \$1.8 trillion in aid for Federal agencies, individuals, businesses, states and localities to respond to the COVID-19 pandemic, and authorized the Federal Reserve Bank to purchase revenue and bond anticipation notes of states and certain local governments through the Municipal Liquidity Facility; and;

(iv) The Paycheck Protection Program and Health Care Enhancement Act provides \$484 billion in funding for small business programs, hospitals, and testing activities.

State Fiscal Relief

Together, the new laws are expected to drive approximately \$2 trillion in aid to a wide range of recipients in the United States with approximately 90 percent of the total aid included in the CARES Act. Assistance to states through the CARES Act is generally restricted to specific purposes and includes the \$150 billion in the CRF (\$5.1 billion State allocation) and the \$30.75 billion Education Stabilization Fund (\$2.2 billion State allocation). In addition, the Families First Coronavirus Response Act includes an emergency 6.2 percent increase to the Medicaid FMAP during the public health emergency. This is estimated to provide the State with \$2.2 billion in savings in FY 2021, however, projected Medicaid enrollment growth as a result of the recession erodes the value of the FMAP benefit.⁶

In response to the President's major disaster declaration for the State, FEMA is also expected to provide funding for costs related to emergency protective measures conducted as a result of the COVID-19 pandemic. The State will also receive additional Federal aid in the form of enhanced Unemployment Insurance funding.

The Updated Financial Plan assumes that the Federal aid will fully fund the direct costs of the State's pandemic response efforts. Aid is expected through FEMA disaster assistance grants and the CARES Act. Accordingly, the Updated Financial Plan reflects no net costs from COVID-19 related expenses. However, the Updated Financial Plan has been revised to reflect reclassifications of eligible expenses incurred in the prior year and payroll expenses for public health and safety employees to the Federal CRF pursuant to U.S. Treasury eligibility guidelines.

The Federal legislation to date, however, provides only limited unrestricted aid to replace the expected severe loss in State tax receipts -- \$62 billion over four years. As of the date of this Updated Financial Plan, certain congressional leaders continue to express support for legislation to provide such aid to the states and local governments, but no consensus has been reached. In the absence of Federal funding to offset that loss, the State will need to achieve \$8.2 billion in local assistance cuts in addition to operational reductions agencies are expected to achieve (\$980 million). About 90 percent of State funding supports schools, healthcare, local grants and services for the most vulnerable populations. Therefore, the State cannot count on additional Federal aid and must move ahead with plans to impose deep, widespread reductions to local aid programs and agency operations to provide for a balanced budget in FY 2021. If unrestricted Federal aid becomes available, or State tax receipts rebound unexpectedly, the planned reductions may become less severe.

⁶ Updated Financial Plan reflects nine months of aid retroactive to January 2020.

Liquidity Support

The MLF was established by the Federal Reserve based on funding provided in the CARES Act to support the financial stability of state and local governments impacted by the COVID-19 pandemic. Subject to change, the MLF is authorized to purchase up to \$500 billion of short-term notes through December 31, 2020 from U.S. states and the District of Columbia, U.S. cities with populations of at least 250,000 residents, U.S. counties with at least 500,000 residents, multi-state entities, and designated municipal issuers. The MLF is intended to facilitate the purchase of short-term notes from qualifying issuers which will in turn provide proceeds that will enable government entities to manage reductions in cash flow and increases in expenses related to the COVID-19 pandemic. DOB will continue to monitor any further Federal Reserve actions or changes to the MLF.

Medicaid Disproportionate Share Hospital (DSH) Payments

Provisions within the Federal Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the Affordable Care Act (ACA) to reduce the aggregate amount of Federal reimbursements for DSH payments are scheduled to take effect in FFY 2021, beginning December 1, 2020, reflecting recent provisions included in the CARES Act. The State estimates that if the changes take effect as scheduled, New York hospitals will lose \$5.9 billion when fully phased in. This would be the largest reduction in Federal DSH payments among all states.

Essential Plan (EP)

Due to the economic downturn caused by the COVID-19 pandemic, the number of eligible recipients for EP coverage is anticipated to increase as unemployment increases, employer sponsored coverage ends and incomes fall below the eligibility threshold. New costs associated with increased EP coverage are expected to continue in the outyears as the economy recovers. Since the EP is fully Federally funded, additional enrollment costs will draw in additional Federal revenues and is not anticipated to increase State support in FY 2021 and beyond.

Although the EP is not a Medicaid program, EP resources are managed within the Medicaid Global Cap. Accordingly, State savings associated with the EP local assistance program are realized within the Global Cap.

MRT Medicaid Waiver

The CMS and the State have an existing agreement authorizing up to \$8 billion in Federal funding through March 31, 2021 to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding was provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver. Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced FMAP funding associated with childless adults.

Due to the demonstrated success of the DSRIP waiver, the State submitted a waiver request to CMS on November 25, 2019, seeking an extension of the original waiver to authorize the remaining \$625 million of spending in FY 2021 for an additional period of four years (through FY 2024) and up to \$8 billion in additional Federal funding for continued health care beginning in FY 2022.

However, CMS denied the State's request on February 21, 2020. CMS' denial was on the basis that the original DSRIP award was time-limited and meant to be a one-time investment, and that it was not in a position to authorize a conceptual agreement beyond the current demonstration program. While the State's requested amendment was denied, the State submitted a subsequent 1115 Medicaid waiver request that aligns with the expiration of the MRT Waiver on March 31, 2021. The COVID-19 1115 Waiver was submitted to CMS on May 11, 2020 and would provide the State with \$1.9 billion and new flexibilities to respond to the public health emergency.

Federal Debt Limit

The Bipartisan Budget Act of 2019 (BBA 19) suspended the Federal debt limit through July 31, 2021 and brought to a close the extraordinary measures that the U.S. Treasury had been operating under since the prior suspension expired on March 1, 2019. A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on national and State economies, financial markets, and intergovernmental aid payments. Specific effects on the Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggests that the State's revenue loss could be substantial if there was an economic downturn due to a Federal default.

A payment default by the Federal Government may also adversely affect the municipal bond market. Municipal issuers, including the State, could face higher borrowing costs and impaired access to capital markets. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Federal Tax Law Changes

On December 22, 2017, President Trump signed into law the Tax Cuts and Jobs Act of 2017 (TCJA) (H.R. 1, P.L. 115-97), making major changes to the Federal Internal Revenue Code, most of which were effective in Tax Year 2018. The Federal tax law made extensive changes to Federal personal income taxes, corporate income taxes, and estate taxes.

The State's income tax system interacts with the Federal system in numerous ways. Changes to the Federal tax code have significant flow-through effects on State tax burdens and State tax receipts. From the standpoint of certain individual New York State taxpayers, the \$10,000 limit on the deductibility of State and Local Tax (SALT) payments, effective for Tax Year 2018, is substantial. The TCJA's SALT deduction limit represents a large increase in the State's effective tax rate relative to historical experience and may adversely affect New York State's economic competitiveness.

The SALT deduction originated with the first Federal income tax implemented to fund the Civil War effort and has been in place continuously since 1913. DOB and the Department of Taxation and Finance (DTF) estimate that the SALT deduction limit raised Federal tax liability for New York taxpayers by roughly \$14 billion for Tax Year 2018, relative to what taxpayers would have paid absent the limitation. Over the course of the eight years the SALT deduction limit is scheduled to be in effect, the State estimates that resident taxpayers who itemize at the Federal level for each year through 2025 will collectively pay an additional \$121 billion in Federal taxes relative to what they would have paid absent the SALT deduction limit.

Moreover, the TCJA contains numerous provisions that may adversely affect residential real estate prices in New York State and elsewhere, of which the SALT deduction limit is the most significant. A loss of wealth associated with a decline in home prices could have a significant impact on household spending in the State through the wealth effect, whereby consumers perceive the rise and fall of the value of an asset, such as a home, as a corresponding increase or decline in income, causing them to alter their spending practices. Reductions in household spending by New York residents, if they were to occur, would be expected to result in lower sales for the State's businesses which, in turn, would cause further reductions in economic activity and employment. Lastly, falling home prices could result in homeowners delaying the sale of their homes. The combined impact of lower home prices and fewer sales transactions could result in lower real estate transfer tax collections.

In sum, the Federal tax law changes may intensify migration pressures and the drag on the value of home prices, thereby posing risks to the State's tax base and current Financial Plan projections.

State Response to Federal Tax Law Changes

In response to the TCJA, the State enacted tax reforms in Tax Year 2018 intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, creation of an optional payroll tax program, and establishment of a new State charitable giving vehicle, as described below.

The State developed the Employer Compensation Expense Program (ECEP) and Charitable Gifts Trust Fund, based on a review of existing laws, regulations, and precedents. However, there can be no assurance that the IRS will allow taxes paid under the ECEP by an electing employer, or donations made by taxpayers to the Charitable Gifts Trust Fund, to be deductible for Federal tax purposes under current law and the TCJA. As noted below, the IRS issued regulations that impair the ability of taxpayers to deduct donations to the Charitable Gifts Trust Fund from Federally taxable income, while receiving State tax credits for such donations.

On July 17, 2018, the State, joined by Connecticut, Maryland, and New Jersey, filed a lawsuit to protect New York taxpayers from the new Federal limit on the SALT deduction. The lawsuit claimed the new SALT limit was enacted to target New York and similarly situated states, interfered with states' rights to make their own fiscal decisions, and disproportionately harmed taxpayers in these states. On September 30, 2019, the Southern District of New York found that the states failed to make a valid legal claim that the SALT limit unconstitutionally encroaches on states' sovereign authority to determine their own taxation and fiscal policies. The State, along with Connecticut, Maryland, and New Jersey, filed a notice of appeal on November 26, 2019, and the states' brief was filed on March 9, 2020.

On June 13, 2019, the IRS issued final regulations (Treasury Decision 9864) that provided final rules and additional guidance on the availability of Federal income tax deductions for charitable contributions, when a taxpayer receives or expects to receive a State or local tax credit for such charitable contributions. In the case of State tax credits received by a taxpayer making a charitable contribution, the regulations require the taxpayer to reduce the Federal income tax deduction by the amount of the State tax credit received for such charitable contribution. This rule does not apply, however, if the value of the State tax credit does not exceed 15 percent of the charitable contribution. The regulations were made retroactive to August 27, 2018 (the date on which the U.S. Treasury and IRS first published proposed regulatory changes).

On July 17, 2019, New York State, joined by Connecticut and New Jersey, filed a Federal lawsuit challenging Treasury Decision 9864. Among other things, the lawsuit seeks to restore the full Federal income tax deduction for charitable contributions, regardless of the amount of any State tax credit provided to taxpayers as a result of contributions made to the Charitable Gifts Trust Fund, in accordance with the precedent since 1917. The states filed a motion for summary judgment on February 28, 2020. If the lawsuit is successful it is expected that donations to the Charitable Gifts Trust Fund in future years could be higher than the \$93 million level of donations made in 2018. See "Impact of State Tax Law Changes on PIT Revenue Bonds" below.

As part of the State tax reforms enacted in 2018, taxpayers may claim reimbursement from the State for interest on underpayments of Federal tax liability for the 2019, 2020 and 2021 Tax Years, if the underpayments arise from reliance on the 2018 amendments to State Tax Law. To receive reimbursement, taxpayers are required to submit their reimbursement claims to DTF within 60 days of making an interest payment to the IRS.

The State would incur costs if taxpayer participation in the ECEP and Charitable Gifts initiatives for the 2019, 2020 and 2021 Tax Years results in Federal determinations of underpayment of Federal income tax. Any cost to the Financial Plan from State reimbursement of interest charges would occur in FY 2021 at the earliest, for determinations on 2019 tax payments due in July 2020 or thereafter.

The Financial Plan does not include any estimate of the magnitude of the possible interest expense to the State, which depends on several factors including the rates of participation in the ECEP; magnitude of donations to the Charitable Gifts Trust Fund; aggregate amount of underpayment attributable to reliance on the 2018 amendments to State Tax Law; amount of time between the due date of the return and the date any Federal determination is issued; interest rate applied; and frequency at which taxpayers submit timely reimbursement claims to the State. Interest on unpaid Federal tax generally accrues from the due date of the return until the date of payment in full. Under current Federal law, the interest rate is determined quarterly and is the Federal short-term rate plus 3 percent, compounded daily.

Employer Compensation Expense Program

Employers that elect to participate in the ECEP will be subject to a 5 percent State tax on all annual payroll expenses in excess of \$40,000 per employee, phased in over three years beginning on January 1, 2019 as follows: 1.5 percent in Tax Year 2019, 3 percent in Tax Year 2020, and 5 percent in Tax Year 2021. Employers must elect to participate in the ECEP for the upcoming tax year by December 1 of the preceding calendar year. For Tax Year 2019, 262 employers elected to participate in the ECEP and remitted \$1.5 million, with the number of participating employers increasing to 311 for Tax Year 2020.

The ECEP is intended to mitigate the tax burden for employees affected by the SALT deduction limit. While the TCJA limits deductibility for individuals, it does not cap deductibility for ordinary and necessary business expenses paid or incurred by employers in carrying on a trade or business. The ECEP is expected to be State revenue-neutral, with any decrease in New York State PIT receipts expected to be offset by a comparable increase in ECEP revenue. A State PIT credit is available to employees whose wages are subject to the tax. Any decrease in New York State PIT receipts is expected to be offset by a comparable increase in ECEP revenue because the formula used to calculate the State PIT credit corresponds in value to the ECEP. Remittance of ECEP revenue to the State began in the fourth quarter of FY 2019.

Charitable Gifts Trust Fund

Starting in Tax Year 2018, the Charitable Gifts Trust Fund was established to accept gifts for the purposes of funding health care and education in New York State. Taxpayers who itemize deductions may claim these charitable contributions as deductions on their Federal and State income tax returns. Any taxpayer who donates may also claim a State tax credit equal to 85 percent of the donation amount for the tax year after the donation is made. State PIT receipts will be reduced by the State tax deduction and 85 percent tax credit.⁷

⁷ State University of New York (SUNY) Research Foundation, City University of New York (CUNY) Research Foundation, and Health Research, Inc. are allowed to accept up to \$10 million each in charitable gifts on an annual basis. The State PIT receipts will also be reduced by the State tax deduction and an 85 percent credit for those donations that is available beginning in Tax Year 2019.

Through FY 2020, the State received \$93 million in charitable gifts deposited to the Charitable Gifts Trust Fund for healthcare and education (\$58 million and \$35 million, respectively). Charitable gifts are appropriated for the authorized purposes.

Impact of State Tax Law Changes on PIT Revenue Bonds

To offset the potential reduction in the level of New York State PIT receipts resulting from activity of the ECEP and donations to the Charitable Gifts Trust Fund, State Finance Law provisions creating the Revenue Bond Tax Fund (RBTF) were amended to increase the percentage of New York State PIT receipts required to be deposited upon receipt in the RBTF, from 25 percent to 50 percent. In addition, the legislation that created the ECEP required that 50 percent of ECEP receipts received by the State be deposited to the RBTF. These changes became effective April 1, 2018.

The amendments also increased the amount of all New York State PIT receipts collected from payroll withholding and ECEP receipts that must be deposited in the RBTF if (a) the State Legislature fails to appropriate amounts required to make all debt service payments on State PIT Revenue Bonds or (b) having been appropriated and set aside pursuant to a certificate of the Director of the Budget, debt service payments and other cash requirements under the applicable financing agreements have not been made when due on the State PIT Revenue Bonds. Under prior law, New York State PIT receipts from payroll withholding were to be deposited to the RBTF until amounts on deposit in the RBTF equaled the greater of 25 percent of annual New York State PIT receipts, or \$6 billion. Under the new law, New York State PIT receipts and ECEP receipts are deposited to the RBTF until amounts on deposit in the RBTF equal the greater of 40 percent of the aggregate of annual New York State PIT receipts and ECEP receipts, or \$12 billion.

Donations to the Charitable Gifts Trust Fund could reduce State PIT receipts by nearly one dollar for every dollar donated. If Treasury Decision 9864 is upheld in Federal court, taxpayer participation in the future will likely be reduced. However, if the legal challenge is successful in restoring the full Federal tax deduction for charitable contributions, donations to the Charitable Gifts Trust Fund in future years could be higher than in 2018, when donations totaled \$93 million. In such event, the amount of donations to the Charitable Gifts Trust Fund would pose a risk to the amount of New York State PIT receipts deposited to the RBTF in future years. To address this risk, the State increased the amount of PIT receipts deposited to the RBTF from 25 percent to 50 percent as part of the State tax reforms enacted in 2018.

DOB and DTF performed a calculation of the maximum amount of charitable donations to the Charitable Gifts Trust Fund that could occur annually under varying assumptions. This calculation of the maximum amount of potential contributions to the Charitable Gifts Trust Fund was intended to serve as a stress test on State PIT receipts that may flow to the RBTF under different levels of assumed taxpayer participation. Accordingly, the calculation should not, under any circumstances, be viewed as a projection of likely donations in any future year. The factors that may influence donation activity are complex and include, but are not limited to, possible statements, actions, or interpretive guidance by the IRS or others relating to the deductibility of such donations; the liquidity position, risk tolerance, and knowledge of individual taxpayers; advice or guidance of tax advisors or other professionals; changes in general economic conditions; adoption of similar trusts in other states; and tax reciprocity agreements among states.

The calculation of the maximum amount of potential donations from Tax Year 2020 through 2023 is on average in the range of \$25 billion annually. The calculation assumes that every resident taxpayer who has an incentive to donate will do so, and such donations will be equal to the total value of each resident taxpayer's SALT payments, less the value of the \$10,000 Federal SALT deduction limit, up to the value of the taxpayer's total State tax liability. The calculation is dependent on several assumptions concerning the number of itemized filers. It relies on the most recent PIT population study file, as trended forward, as well as the impact of the TCJA and State law changes on the number and distribution of itemized and standardized filers. The calculation also assumes that (a) no further changes in Federal tax law occur, and (b) DOB projections of the level of State taxpayer liability for the forecast period as set forth in the Financial Plan are materially accurate.

In general, assumptions made regarding taxpayer behavior were intended to maximize the calculated impact of charitable giving on PIT receipts in each year. After factoring in all the foregoing adjustments and with inclusion of ECEP revenues, RBTF receipts are projected to remain above the level of receipts that would have been expected under statutes in effect prior to April 2018, even in a maximum participation scenario.

The DOB and DTF calculation of the projected maximum amount of potential contributions to the Charitable Gifts Trust Fund is necessarily based on many assumptions that may change materially over time. While DOB believes that these factors can be expected to constrain donation activity, there can be no assurance that, under conditions of maximum participation, the amount of annual charitable gifts will not reduce the level of PIT receipts deposited into the RBTF below the levels projected in February 2018 before State tax reforms were enacted. If that were to occur, it is DOB's expectation that changes to the tax law would be recommended to further increase the percentage of PIT receipts deposited into the RBTF.

Climate Change Adaptation

Climate change poses significant long-term threats to physical, biological and economic systems in New York and around the world. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. The potential effects of climate change could adversely impact the Financial Plan in current or future years. To mitigate and manage these impacts, significant long-term planning and investments by the Federal government, the State, municipalities, and public utilities are expected to be needed to adapt existing infrastructure to climate change risks.

The State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding in various counties. In September 2011, Tropical Storm Lee caused flooding in additional counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal Funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide in response to Superstorm Sandy. To date, a total of \$28.9 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks to the State and its localities.

Financial market participants are increasingly acknowledging climate change risks. In June 2017, an industry-led Task Force on Climate-Related Financial Disclosure convened by the Financial Stability Board (an international body which monitors the global financial system), published recommendations stating that climate risk affects most market sectors and that climate-related risk should be publicly disclosed to investors in annual financial filings.⁸ In November 2017, Moody's Investors Service issued guidance to state and local governments that climate change is forecast to heighten exposure to economic losses, placing potential pressure on credit ratings. The Moody's report identified rising sea levels and their effect on coastal infrastructure as the primary climate risks for the northeastern United States, including New York State. These risks are heightened by population and critical infrastructure concentration in coastal counties.

⁸ For further context to the June 2017 disclosure recommendations, the Financial Stability Board was asked by an international coalition of G20 Finance Ministers and Central Bank Governors to address concerns that undisclosed climate risk could destabilize global financial markets.

An October 2018 special report released by the Intergovernmental Panel on Climate Change of the United Nations (IPCC) found that human activity has already caused approximately 1.0°C of warming and is continuing to increase average global temperatures at 0.2°C per decade due to past and ongoing emissions. The IPCC states that global warming is likely to reach 1.5°C of warming between 2030 and 2052 if temperatures continue to increase at the current rate. This increase is expected to produce a range of adverse outcomes (“reasons for concern”). For example, the IPCC rates global risks of extreme weather events and coastal flooding as increasing from moderate (“detectable”) today, to high (“severe and widespread”) at 1.5°C of warming. The risk of severe impacts increases further at higher temperatures. Using current trends, climate change risks increasingly fall within the term of current outstanding bonds of the State, its public authorities and municipalities. State bonds may be issued with a term of up to 30 years under State statute.

The State is participating in efforts to reduce greenhouse gas emissions in order to mitigate the risk of severe impacts from climate change. The Climate Leadership and Community Protection Act of 2019 set the State on a path toward developing regulations to reduce statewide greenhouse gas emissions to 85 percent below the 1990 level by 2050. As part of this target, the State plans to fully transition its electricity sector away from carbon emissions by 2040. The State is a member of the Regional Greenhouse Gas Initiative (RGGI) and has used a cap and trade mechanism to regulate carbon dioxide emissions from electric power plants since 2008.

Current Labor Negotiations and Agreements (Current Contract Period)

On December 18, 2019, the State and the Police Benevolent Association of New York State (PBANYS) conferred authority to a public arbitration panel to issue a final and binding arbitration award covering the four-year period April 1, 2015 to March 31, 2019 (FY 2016 to FY 2019). The award provides a 2 percent general salary increase in each fiscal year and additional compensation, which is partially offset by benefit design changes within New York State Health Insurance Program (NYSHIP) and reductions in overtime costs. The cost of this award has been reflected in the multi-year spending projections in the Financial Plan for the affected agencies.

The State has multi-year labor agreements in place with most of the unionized workforce and continues to negotiate new agreements with the Public Employees Federation (PEF), the Council 82 Security Supervisors Unit and PBANYS.

The Civil Service Employees Association (CSEA) and DC-37 (Local 1359 Rent Regulation Service Employees) have five-year labor contracts that provide annual salary increases of 2 percent for FYs 2017 through 2021 and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs. Salary increases provided to CSEA and DC-37 (Local 1359) employees were also extended to Management/Confidential (M/C) employees.

The United University Professions (UUP) has a six-year labor contract (2017 through 2022). The contract provides for 2 percent general salary increases annually and additional compensation changes, which are partly offset by benefit design changes within NYSHIP.

The Graduate Student Employees Union (GSEU) has a four-year labor contract that provides for 2 percent general annual salary increases for 2020 through 2023.

The Professional Staff Congress at CUNY has a six-year labor contract (2018 through 2023). The contract provides for annual 2 percent general salary increases commencing October 1, 2018.

The Police Benevolent Association of the New York State Troopers (NYSTPBA) and the New York State Police Investigators Association (NYSPIA) have five-year collective bargaining agreements for FY 2019 through FY 2023. The agreements provide for 2 percent general salary increases in each year of the contracts and additional compensation changes, which are partly offset by benefit design changes within NYSHIP.

The New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) has a seven-year labor contract (FY 2017 through FY 2023). The contract provides for 2 percent general salary increases in each year of the agreement and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs.

Other Matters Affecting the Financial Plan



Contract periods and related general salary increases for State employee union contracts are summarized below.

UNION LABOR CONTRACTS											
	Contract Period	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
NYSTPBA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%	TBD
NYSPIA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%	TBD
NYSCOPBA	FY 2017 - FY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%	TBD
GSEU	AY 2020 - AY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%	TBD
CUNY	AY 2018 - AY 2023	2.5%	2%	2%	1.5%	2%	2%	2%	2%	2%	TBD
UUP	AY 2017 - AY 2022	2%	2%	2%	2%	2%	2%	2%	2%	TBD	TBD
CSEA	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD	TBD
DC-37	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD	TBD
PEF	FY 2017 - FY 2019	2%	2%	2%	2%	2%	TBD	TBD	TBD	TBD	TBD
PBANYS	FY 2016 - FY 2019	2%	2%	2%	2%	2%	TBD	TBD	TBD	TBD	TBD
COUNCIL 82	FY 2010 - FY 2016	2%	2%	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD

The Judiciary also has contracts in place with all 12 unions represented within its workforce, which include CSEA (FY 2018 to FY 2020); the New York State Supreme Court Officers Association, the New York State Court Officers Association and the Court Clerks Association (FY 2012 to FY 2021); and eight other unions (FY 2020 to FY 2021).

Due to the adverse financial impacts from the COVID-19 pandemic, the State is withholding the general salary increases that were scheduled to go into effect on April 1, 2020. The current Financial Plan reflects only the liquidity benefit of the withholding. If a decision is made to withhold the full amount for the fiscal year, it would provide savings of \$215 million in FY 2021 and offset the need for reductions elsewhere in the budget.

Pension Contributions

Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS). This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.⁹ All projections are based on estimated market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, NYSLRS announced that employer contribution rates would decrease beginning in FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.9 percent to 3.8 percent, and for PFRS the scale was reduced from 6 percent to 4.5 percent. During FY 2019, salary scale assumptions were further changed via a one-time 10 percent increase for both ERS and PFRS, which was reflected in FY 2020 contribution rates.

In August 2019, the actuary for NYSLRS issued the Annual Report to the Comptroller on Actuarial Assumptions, which provided a reduction in the State pension fund's assumed long-term rate of return on investments from 7 percent to 6.8 percent. The estimated average employer contribution rate for the ERS will remain stable at 14.6 percent of payroll due to offsetting gains from a change in the mortality improvement scale and new Tier 6 entrants. However, the estimated average employer contribution rate for the PFRS will increase by 0.9 percent, from 23.5 percent to 24.4 percent of payroll (the assumed rate reduction had more leverage in PFRS due to the maturity of the system).¹⁰

The FY 2021 ERS/PFRS pension estimate of \$2.3 billion relied upon the most recent estimate from the State Comptroller, which reflects a reduction in the assumed rate of return and other increases, partially offset by the use of a new mortality improvement scale and lower cost Tier 6 entrants. The State will continue to pay \$432 million towards the balance outstanding on prior-year deferrals. OSC does not forecast pension liability estimates beyond the budget year, thus estimates for FY 2022 and beyond are developed by DOB. DOB's forecast assumes growth in the salary base consistent with collective bargaining agreements and a stable rate of return. The current Financial Plan forecast does not reflect the potential losses in asset value as a result of the COVID-19 outbreak and recession.

⁹ The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both the SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

¹⁰ Average contribution rates include the Group Life Insurance Plan (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (a) were honorably discharged, (b) have achieved five years of credited service in a public retirement system, and (c) have agreed to pay the employee share of such additional pension credit. Costs to the State for employees in the ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year. Costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g., FY 2017 costs were first billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits that are newly incurred in a given fiscal year. The ERS cost to the State (including costs covered for local ERS) was \$16 million in FY 2020 based on actual credit purchased through December 31, 2019. DOB currently estimates annual ERS costs of \$7 million in FY 2021 and beyond, as additional veterans become eligible to purchase the credit.

Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorized the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower recalculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (i.e., the normal rate¹¹) to a system-wide amortization threshold (i.e., the graded rate). Graded rates are determined for ERS and PFRS according to a statutory formula, and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed

¹¹ For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (i.e., normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.

the graded rate computation to provide an employer-specific graded rate based on the employer's own tier and plan demographics.

Neither the State nor the Office of Court Administration (OCA) have amortized pension costs since FY 2016.

The amortization threshold is equal to the normal rate and is projected to remain so in the upcoming fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS (millions of dollars)									
Fiscal Year	Statewide Pension Payments ¹				Interest Rate on Amortization Amount (%) ³	Rates for Determining (Amortization Amount) / Excess Contributions			
	Normal Costs ²	(Amortization Amount) / Excess Contributions	Repayment of Amortization	Total Statewide Pension Payments		System Average Normal Rate ⁴		Amortization Threshold (Graded Rate)	
						ERS (%)	PFRS (%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.00	11.5	18.1	9.5	17.5
2012	2,037.5	(562.8)	32.3	1,507.0	3.75	15.9	21.6	10.5	18.5
2013	2,077.9	(778.5)	100.9	1,400.3	3.00	18.5	25.7	11.5	19.5
2014	2,633.6	(937.0)	192.1	1,888.7	3.67	20.5	28.9	12.5	20.5
2015	2,328.8	(713.1)	305.7	1,921.4	3.15	19.7	27.5	13.5	21.5
2016	1,972.1	(356.2)	390.0	2,005.9	3.21	17.7	24.7	14.5	22.5
2017	1,789.0	0.0	432.2	2,221.2	2.33	15.1	24.3	15.1	23.5
2018	1,788.7	0.0	432.2	2,220.9	2.84	14.9	24.3	14.9	24.3
2019	1,770.2	0.0	432.2	2,202.4	3.64	14.4	23.5	14.4	23.5
2020	1,782.2	0.0	432.2	2,214.4	2.55	14.2	23.5	14.2	23.5
2021 Est.	1,908.4	0.0	432.2	2,340.6	TBD	14.1	24.4	14.1	24.4
----- Projected by DOB ⁵ -----									
2022	2,206.3	0.0	399.9	2,606.2	TBD	15.0	25.0	15.0	25.0
2023	2,403.5	0.0	331.3	2,734.8	TBD	15.5	25.5	15.5	25.5
2024	2,494.7	0.0	240.1	2,734.8	TBD	16.5	26.5	16.5	26.5

¹ Pension Contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

³ Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

⁴ The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortized) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

⁵ Outyear projections are prepared by DOB. The retirement system does not prepare, or make available, outyear projections of pension costs.

The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before the effects of amortization. The “(Amortization Amount) / Excess Contributions” column shows amounts amortized. The “Repayment of Amortization” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total Statewide Pension Payments” column provides the State’s actual or planned pension contribution, including amortization. The “Interest Rate on Amortization Amount (%)” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed “(Amortized)” amount or the mandatory “Excess Contributions” amount for a given fiscal year.

Social Security

The CARES Act, in response to impacts caused by the COVID-19 pandemic, allows employers to defer the deposit and payment of the employer’s share of Social Security taxes through December 2020, and for the deferral to be repaid, interest free, in two equal installments in December 2021 and December 2022. The Executive and the Judiciary have elected to defer the allowable Social Security payments for estimated savings of \$599 million and \$68 million, respectively.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State; are enrolled in either NYSHIP or the NYSHIP opt-out program at the time they reach retirement; and have the required years of eligible service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

The State Comptroller adopted Governmental Accounting Standards Board (GASB) Statement (GASBS) 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*, for the State’s Basic Financial Statements for FY 2019. GASBS 75, which replaces GASBS 45 and GASBS 57, addresses accounting and financial reporting for OPEB that is provided to the employees of state and local governmental employers. GASBS 75 establishes standards for recognizing and measuring liabilities and expenses/expenditures, as well as identifying the methods and assumptions required to be used to project benefit payments, discount projected benefit payments to their actuarial determined present value, and attribute that present value to periods of employee service. Specifically, GASBS 75 now requires that the full liability be recognized.

The State's total OPEB liability equals the employer's share of the actuarial determined present value of projected benefit payments attributed to past periods of employee service. The total OPEB obligation less any OPEB assets set aside in an OPEB trust or similar arrangement represent the net OPEB obligation.

As reported in the State's Basic Financial Statements for FY 2020, the total ending OPEB liability for FY 2020 is \$63.9 billion (\$51.1 billion for the State and \$12.8 billion for SUNY). The total OPEB liability as of March 31, 2020 was measured as of March 31, 2019 and was determined using an actuarial valuation as of April 1, 2018, with update procedures used to roll forward the total OPEB liability to March 31, 2019. The total beginning OPEB liability for FY 2020 was \$63.4 billion (\$50.9 billion for the State and \$12.5 billion for SUNY). The total OPEB liability was calculated using the Entry Age Normal cost method. The discount rate is based on the Bond Buyer 20-year general obligation municipal bond index rate on March 31 (3.89 percent in FY 2019 and 3.79 percent in FY 2020). The total OPEB liability increased by \$529 million (0.8 percent) during FY 2020.

The contribution requirements of NYSHIP members and the State are established by, and may be amended by, the Legislature. The State is not required to provide funding above the PAYGO amount necessary to provide current benefits to retirees and has not funded a qualified trust or its equivalent as defined in GASBS 75. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis, meaning the State pays these costs as they become due.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the "Trust Fund"), a qualified trust under GASBS 75 that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit into the Trust Fund, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability. The Financial Plan does not currently include any deposits to the Trust Fund.

GASBS 75 is not expected to alter the Financial Plan cash PAYGO projections for health insurance costs. DOB's methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.

Litigation

Litigation against the State may include, among other things, potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant a description herein but, in the aggregate, could still adversely affect the Financial Plan.

Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State's Chief Information Security Office (CISO) within the State's Office of Information Technology Services (ITS) maintains comprehensive policies and standards, programs, and services relating to the security of State government networks and geographic information systems,¹² and annually assesses the implementation of security policies and standards to ensure compliance through the Nationwide Cyber Security Review. In addition, the CISO maintains the New York State Cyber Command Center team, which provides a security operations center, digital forensics capabilities, and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful at guarding against cyber threats and attacks. The results of any such attack could impact business operations and/or damage State digital networks and systems, State and local infrastructure, and the costs of remedying any such damage could be substantial.

The State has also adopted regulations designed to protect the financial services industry from cyberattacks. Banks, insurance companies and other covered entities regulated by the Department of Financial Services (DFS) are, unless eligible for limited exemptions, required to: (a) maintain a cybersecurity program, (b) create written cybersecurity policies and perform risk assessments, (c) designate a CISO with responsibility to oversee the cybersecurity program, (d) annually certify compliance with the cybersecurity regulations, and (e) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity's normal operation(s) or for which notice is required to any government body, self-regulatory agency, or supervisory body.

¹² Statewide cybersecurity policies can be found at: <https://its.ny.gov/ciso/policies/security>.

Financial Condition of New York State Localities

The State's localities rely in part on State aid to balance their budgets and meet their cash requirements. As such, unanticipated financial need among localities can adversely affect the State's Financial Plan projections. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to aid distressed local governments. The Restructuring Board performs comprehensive reviews and provides grants and loans on the condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

The wide-ranging economic, health, and social disruptions caused by the COVID-19 outbreak are having an adverse impact on State authorities and localities, including the MTA and the City of New York. The aid-to-localities reductions that will need to be taken as set forth in the Financial Plan may materially and adversely affect the financial position of the MTA, the City of New York, and other localities.

Bond Market and Credit Ratings

Successful implementation of the Financial Plan is dependent on the State's ability to market bonds. The State finances much of its capital spending, in the first instance, from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. An inability of the State to sell bonds or notes at the level or on the timetable it expects could have a material and adverse impact on the State's financial position and the implementation of its FY 2021 Enacted Capital Program and Financing Plan ("Capital Plan"). The success of projected public sales of municipal bonds is subject to prevailing market conditions and related ratings issued by national credit rating agencies, among other factors. The outbreak of COVID-19 in the United States significantly disrupted the municipal bond market. In response, the Federal CARES Act created the MLF, which authorizes the Federal Reserve Bank to purchase revenue and bond anticipation notes of states and certain other municipal issuers. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt. The TCJA adversely impacted the State and its public authorities by removing certain refunding opportunities for Federal tax-exempt financing, including advance refunding for debt service savings when interest rates are favorable.

The major rating agencies, Fitch, Kroll, Moody's, and Standard & Poor's assign the State general credit ratings of AA+, AA+, Aa1, and AA+, respectively. On April 1, 2020, Moody's changed the State's credit outlook from "stable" to "negative," noting that New York is the epicenter of the COVID-19 outbreak and that, in their view, the crisis was "eating into the state's reserves and straining its ability to structurally balance its budget." On April 10, 2020, Fitch changed the State's credit outlook from "stable" to "negative," citing "the considerable economic and fiscal uncertainty faced by the state as it confronts the coronavirus pandemic."

On April 16, 2020, Standard & Poor's confirmed the State's stable outlook, noting the State's "strong track record of fiscal resilience during periods of crisis" but observing that "pressures on the state's finances will mount as a result of the COVID-19 induced recession and prudent actions taken to mitigate related health and safety risks."

The State, through its public authorities and general obligation issuances, is one of the largest issuers of municipal bonds in the United States. The State relies on regular bond sales to fund its capital program. In addition, in FY 2021, the State is authorized to sell short-term notes to meet temporary liquidity needs caused by the pandemic.

In April 2020, the Federal Reserve initiated programs to purchase short-term debt from municipal issuers, as a measure to bolster liquidity and repair the municipal market. The State has no plans to use the MLF at this time, as it can borrow more cost effectively in the open market as of the date of this Quarterly Update. DOB will continue to monitor any further Federal Reserve actions or changes to the purchase facilities.

Debt Reform Act Limit

The Debt Reform Act of 2000 (“Debt Reform Act”) restricts the issuance of State-supported debt funding to capital purposes only and limits the maximum term of bonds to 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to new State-supported debt issued after April 1, 2000. DOB, as administrator of the Debt Reform Act, determined that the State was in compliance with the statutory caps in the most recent calculation period.

The Enacted Budget suspended the Debt Reform Act for FY 2021 issuances as part of the State response to the COVID-19 pandemic. Accordingly, any borrowing initiated in FY 2021 is not limited to capital purposes and is not counted towards the statutory caps on debt outstanding and debt service. The suspension of the Debt Reform Act also includes up to \$8 billion of PIT notes and up to \$3.0 billion of line of credit facilities that were authorized as part of the Enacted Budget, as well as any short or long-term refinancing of such borrowings in future years.

Current projections anticipate that debt outstanding and debt service will continue to remain below limits imposed by the Debt Reform Act, due to the suspension of the debt cap during FY 2021. Based on the most recent personal income and debt outstanding forecasts, the available debt capacity under the debt outstanding cap is expected to fluctuate from \$6.1 billion in FY 2020 to a low point of \$2.4 billion in FY 2024. This calculation excludes all issuances in FY 2021 but includes the estimated impact of funding increased capital commitment levels with State bonds after FY 2021. The cost of debt issued after April 1, 2000 and subject to the statutory cap is projected at \$5.1 billion in FY 2021, or roughly \$4.0 billion below the statutory debt service limit.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Included in Cap ¹	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Excluded from Cap	Total State-Supported Debt Outstanding
FY 2020	\$1,389,409	4.00%	55,576	49,433	6,143	3.56%	0.44%	4,774	54,207
FY 2021	\$1,408,058	4.00%	56,322	46,651	9,671	3.31%	0.69%	11,237	57,888
FY 2022	\$1,379,843	4.00%	55,194	51,068	4,126	3.70%	0.30%	10,475	61,543
FY 2023	\$1,441,006	4.00%	57,640	54,540	3,100	3.78%	0.22%	9,597	64,137
FY 2024	\$1,502,265	4.00%	60,091	57,666	2,425	3.84%	0.16%	8,831	66,497
FY 2025	\$1,565,663	4.00%	62,627	59,880	2,747	3.82%	0.18%	8,021	67,901

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Included in Cap ¹	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Excluded from Cap ²	Total State-Supported Debt Service ³
FY 2020	\$177,435	5.00%	8,872	4,820	4,052	2.72%	2.28%	1,130	5,950
FY 2021	\$182,624	5.00%	9,131	5,116	4,015	2.80%	2.20%	5,712	10,828
FY 2022	\$170,959	5.00%	8,548	5,150	3,398	3.01%	1.99%	1,842	6,992
FY 2023	\$175,115	5.00%	8,756	5,659	3,097	3.23%	1.77%	1,764	7,423
FY 2024	\$180,634	5.00%	9,032	6,034	2,998	3.34%	1.66%	1,580	7,614
FY 2025	\$182,897	5.00%	9,145	6,456	2,689	3.53%	1.47%	1,280	7,736

¹ Does not include debt issued prior to April 1, 2000. In addition, debt issued during FY 2021 is not subject to caps pursuant to Chapter 56 of the Laws of 2020.

² Includes liquidity financings expected to be repaid within FY 2021, consisting of \$4.5 billion of short-term note issuances.

³ Total State-supported debt service is adjusted for prepayments.

The State uses personal income estimates published by the Federal government, specifically the Bureau of Economic Analysis (BEA), to calculate the cap on debt outstanding, as required by statute. The BEA revises these estimates on a quarterly basis and such revisions can be significant. The volatility in New York State personal income estimates has prompted DOB to reexamine the way BEA calculates personal income, in particular the apportionment of income among states. For Federal reporting purposes, BEA reassigns income from the state where it was earned to the state in which a person resides, for situations where a person lives and earns income in different states (the “residency adjustment”). The BEA residency adjustment has the effect of reducing reported New York State personal income because income earned in New York by nonresidents regularly exceeds income earned in other states by New York residents. The State taxes all personal income earned in New York, regardless of place of residency. Therefore, including the BEA personal income residency adjustment in the debt cap calculation reduces alignment with the State tax base and understates the PIT revenues available to support State-supported debt. To date, in administering the debt reform cap, DOB has used State personal income, as reduced by the BEA residency adjustment, in debt outstanding cap calculations and projections, which correspondingly reduces the State’s debt capacity under the Debt Reform Act.

Changes in the State's available debt capacity reflect personal income forecast adjustments, debt amortizations, and bond sale results. The debt capacity continues to reflect the suspension of the Debt Reform Act for FY 2021 issuances in response to the COVID-19 pandemic, as discussed previously. The State may adjust capital spending priorities and debt financing practices from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP ^{1, 2}						
REMAINING CAPACITY SUMMARY						
(millions of dollars)						
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025
	Results	Updated	Projected	Projected	Projected	Projected
Enacted Budget Financial Plan	6,157	9,313	3,720	3,084	2,347	2,599
Personal Income Forecast Adjustment	(14)	358	406	16	78	148
First Quarterly Update Financial Plan	6,143	9,671	4,126	3,100	2,425	2,747

¹ Does not include liquidity financings expected to be repaid within FY 2021, consisting of \$4.5 billion of short-term note issuances.

² Debt issued during FY 2021 is not subject to cap pursuant to Chapter 56 of the Laws of 2020.

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals, and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2020, approximately \$135 million of bonds were outstanding under this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$157 million for debt service costs. DASNY estimates that the State will pay debt service costs of approximately \$32 million in FY 2021, \$27 million in FY 2022, \$21 million in both FY 2023 and FY 2024, and \$13 million in FY 2025. These amounts are based on the actual experience to date of the participants in the program and would cover debt service costs for one hospital whose debt service obligation was discharged in bankruptcy, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$6 million annually, if all hospitals in the program failed to meet the terms of their agreements with DASNY, and if available reserve funds were depleted.

SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with (a) the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and (b) New York University (NYU) Hospitals Center (now “NYU Langone”), which proposes to provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The second closing occurred on March 13, 2020 (the New Medical Site (NMS) Closing) and title to the NMS portion of the LICH property was conveyed to NYU Langone.

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The third and final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center, and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.

Extraordinary Monetary Settlements

Beginning in FY 2015, the State began receiving Extraordinary Monetary Settlements for violations of State laws by major financial institutions and other entities. The table below lists the receipts by firm and amount. Effective April 1, 2019, DOB no longer classifies or distinctly identifies any settlement of less than \$25 million as an Extraordinary Monetary Settlement.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	Total
Extraordinary Monetary Settlements	4,942	3,605	1,317	805	1,186	895	450	13,200
Aetna Insurance Company	0	0	0	0	2	0	0	2
Agricultural Bank of China	0	0	215	0	0	0	0	215
American International Group, Inc.	35	0	0	0	0	0	0	35
Athene Life Insurance	0	0	0	0	15	0	45	60
AXA Equitable Life Insurance Company	20	0	0	0	0	0	0	20
Bank Hapoalim	0	0	0	0	0	0	220	220
Bank Leumi	130	0	0	0	0	0	0	130
Bank of America	300	0	0	0	0	0	0	300
Bank of America Merrill Lynch	0	0	0	0	42	0	0	42
Bank of Korea	0	0	0	0	0	0	35	35
Bank of Tokyo Mitsubishi	315	0	0	0	0	0	0	315
Barclays	0	670	0	0	15	0	0	685
BNP Paribas	2,243	1,348	0	350	0	0	0	3,941
Chubb	0	0	0	0	1	0	0	1
Cigna	0	0	0	2	0	0	0	2
Citigroup (State Share)	92	0	0	0	0	0	0	92
Commerzbank	610	82	0	0	0	0	0	692
Conduent Education Services	0	0	0	0	1	0	0	1
Credit Agricole	0	459	0	0	0	0	0	459
Credit Suisse AG	715	30	0	135	0	0	0	880
Deutsche Bank	0	800	444	0	205	0	150	1,599
FedEx	0	0	0	0	26	0	0	26
Goldman Sachs	0	50	190	0	55	0	0	295
Google/YouTube	0	0	0	0	0	34	0	34
Habib Bank	0	0	0	225	0	0	0	225
Intesa SanPaolo	0	0	235	0	0	0	0	235
Lockton Affinity	0	0	0	0	7	0	0	7
Mashreqbank	0	0	0	0	40	0	0	40
Mega Bank	0	0	180	0	0	0	0	180
MetLife Parties	50	0	0	0	20	0	0	70
Morgan Stanley	0	150	0	0	0	0	0	150
MUFG Bank	0	0	0	0	0	33	0	33
Nationstar Mortgage	0	0	0	0	5	0	0	5
New Day	0	1	0	0	0	0	0	1
Ocwen Financial	100	0	0	0	0	0	0	100
Oscar Insurance Company	0	0	0	0	1	0	0	1
PHH Mortgage	0	0	28	0	0	0	0	28
PricewaterhouseCoopers LLP	25	0	0	0	0	0	0	25
Promontory	0	15	0	0	0	0	0	15
RBS Financial Products Inc.	0	0	0	0	100	0	0	100
Société Générale SA	0	0	0	0	498	0	0	498
Standard Chartered Bank	300	0	0	0	40	322	0	662
Unicredit	0	0	0	0	0	506	0	506
UBS	0	0	0	0	41	0	0	41
Volkswagen	0	0	32	33	0	0	0	65
Wells Fargo	0	0	0	0	65	0	0	65
Western Union	0	0	0	60	0	0	0	60
William Penn	0	0	0	0	6	0	0	6
Other Settlements	7	0	(7)	0	1	0	0	1

Other Matters Affecting the Financial Plan



The table below summarizes the past and planned uses of Extraordinary Monetary Settlements received. The planned use of settlements will be evaluated in light of economic conditions and fiscal needs arising from the COVID-19 pandemic.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FYs							Total
	2015 - 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025	
Opening Settlement Balance in General Fund	0	4,194	2,610	2,185	1,226	479	134	0
Receipt of Extraordinary Monetary Settlements	11,855	895	450	0	0	0	0	13,200
Use/Transfer of Funds	7,661	2,479	875	959	747	345	134	13,200
Capital Purposes:	4,134	1,345	425	959	747	345	134	8,089
Dedicated Infrastructure Investment Fund	3,374	939	1,130	877	525	330	134	7,309
Environmental Protection Fund	120	0	0	0	0	0	0	120
Mass Transit	70	3	7	2	2	1	0	85
Healthcare	24	132	80	30	45	14	0	325
Clean Water Grants	0	0	25	50	175	0	0	250
Javits Center Expansion	546	271	183	0	0	0	0	1,000
Bond Proceed Receipts for Javits Center Expansion	0	0	(1,000)	0	0	0	0	(1,000)
Other Purposes:	3,122	6	0	0	0	0	0	3,128
Audit Disallowance - Federal Settlement	850	0	0	0	0	0	0	850
CSX Litigation Payment	76	0	0	0	0	0	0	76
Financial Plan - General Fund Operating Purposes	1,807	0	0	0	0	0	0	1,807
Mass Transit Operating	10	0	0	0	0	0	0	10
MTA Operating Aid	194	0	0	0	0	0	0	194
Department of Law - Litigation Services Operations	180	6	0	0	0	0	0	186
OASAS Chemical Dependence Program	5	0	0	0	0	0	0	5
Reservation of Funds:	405	1,128	450	0	0	0	0	1,983
Rainy Day Reserves	250	238	0	0	0	0	0	488
Reserve for Economic Uncertainties	0	890	450	0	0	0	0	1,340
Reserve for Retroactive Labor Agreements	155	0	0	0	0	0	0	155
Closing Settlement Balance in General Fund	4,194	2,610	2,185	1,226	479	134	0	0



State Financial Plan Multi-Year Projections

Introduction

This section presents FY 2020 results and the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FY 2021 through FY 2024, with an emphasis on FY 2021 projections, which reflect the impact of the Financial Plan.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

Receipts. The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before distribution to various funds and accounts, including tax receipts dedicated to Capital Projects Funds (which fall outside the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking tax receipts for specific purposes.

Disbursements. Roughly 30 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, and transportation. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of the Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the Budget, FY 2022, is the most relevant from a planning perspective. In addition, the reliability of all projections is further complicated by the COVID-19 pandemic, given the uncertainty as to its duration and the pace of a sustained recovery.

Differences may occur from time to time between DOB and OSC's financial reports in presentation and reporting of receipts and disbursements. For example, DOB may reflect a net expenditure while OSC may report the gross expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).



State Financial Plan Multi-Year Projections

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between State Operating Funds projections and General Fund budget gaps. The tables are followed by a summary of multi-year receipts and disbursements forecasts.



General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RECEIPTS					
Taxes (After Debt Service)	73,133	59,528	63,663	67,389	71,215
Miscellaneous Receipts	3,159	6,744	1,750	1,773	1,811
Other Transfers	2,915	2,561	1,822	1,514	1,354
Total Receipts	79,207	68,833	67,235	70,676	74,380
DISBURSEMENTS					
Local Assistance	51,863	46,285	49,659	53,022	56,055
School Aid	23,522	24,054	24,336	25,262	26,094
Medicaid	16,071	14,876	18,177	19,146	20,052
All Other	12,270	15,355	15,146	16,614	17,909
Budget Balance Reduction	0	(8,000)	(8,000)	(8,000)	(8,000)
State Operations	12,054	10,833	11,551	11,566	11,831
Personal Service	8,940	9,264	9,539	9,702	9,842
Non-Personal Service	3,114	2,549	3,062	3,164	3,289
Budget Balance Reduction	0	(980)	(1,050)	(1,300)	(1,300)
General State Charges	7,454	7,070	9,013	9,559	9,689
Transfers to Other Funds	6,098	6,502	6,877	7,125	6,502
Debt Service	736	310	488	501	553
Capital Projects	3,128	3,396	3,749	3,913	3,133
SUNY Operations	1,179	1,273	1,273	1,267	1,267
All Other	1,055	1,523	1,367	1,444	1,549
Total Disbursements	77,469	70,690	77,100	81,272	84,077
Use (Reservation) of Fund Balance:	(1,738)	1,857	1,507	747	345
Community Projects	4	16	0	0	0
Labor Agreements	206	0	0	0	0
Business Tax Refund	202	0	0	0	0
Timing of Payments	(1,313)	1,313	0	0	0
Undesignated Fund Balance	(1,103)	553	548	0	0
Rainy Day Reserves	(428)	0	0	0	0
Economic Uncertainties	(890)	(450)	0	0	0
Extraordinary Monetary Settlements ¹	1,584	425	959	747	345
BUDGET SURPLUS/(GAP) PROJECTIONS	0	0	(8,358)	(9,849)	(9,352)

¹ Reflects transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.

State Operating Funds Projections

STATE OPERATING FUNDS DISBURSEMENTS (millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RECEIPTS					
Taxes	81,472	73,240	73,997	78,039	81,873
Miscellaneous Receipts/Federal Grants	22,761	22,693	17,940	17,413	17,085
Total Receipts	104,233	95,933	91,937	95,452	98,958
DISBURSEMENTS					
Local Assistance	68,653	61,379	65,088	68,108	70,966
School Aid (School Year Basis) ¹	27,812	26,780	27,918	28,911	29,854
DOH Medicaid ²	22,077	21,040	24,244	25,297	26,282
Transportation	3,488	3,792	3,806	4,008	4,198
STAR	2,184	2,073	1,979	1,858	1,750
Higher Education	2,362	3,518	2,924	2,961	2,991
Social Services	2,355	3,250	2,896	3,010	3,047
Mental Hygiene	3,427	2,849	3,641	4,267	4,921
All Other ³	4,948	6,077	5,680	5,796	5,923
Budget Balance Reduction	0	(8,000)	(8,000)	(8,000)	(8,000)
State Operations	20,168	18,294	18,885	18,839	18,979
Personal Service	14,090	14,274	14,379	14,530	14,616
Non-Personal Service	6,078	5,000	5,556	5,609	5,663
Budget Balance Reduction	0	(980)	(1,050)	(1,300)	(1,300)
General State Charges	8,423	8,115	10,152	10,727	10,842
Pension Contribution	2,456	2,592	2,855	2,990	2,996
Health Insurance	4,303	4,513	4,860	5,219	5,608
All Other	1,664	1,010	2,437	2,518	2,238
Debt Service	4,916	5,864	6,980	7,399	7,591
Capital Projects	0	0	0	0	0
Total Disbursements (Excluding Liquidity Financing)	102,160	93,652	101,105	105,073	108,378
Liquidity Financing	0	4,500	0	0	0
Total Disbursements (Including Liquidity Financing)	102,160	98,152	101,105	105,073	108,378
Net Other Financing Sources/(Uses)	(28)	(179)	(785)	(1,096)	(310)
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	(2,045)	2,398	1,595	868	378
General Fund	(1,738)	1,857	1,507	747	345
Special Revenue Funds	(309)	535	93	125	54
Debt Service Funds	2	6	(5)	(4)	(21)
GENERAL FUND BUDGET SURPLUS/(GAP)	0	0	(8,358)	(9,849)	(9,352)

¹ FY 2021 does not reflect \$1.1 billion in Federal CARES Act funding.

² Total State share Medicaid funding is reported prior to the spending offset from the application of Master Settlement Agreement (MSA) payments, which are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's takeover of Medicaid costs for counties and New York City. The value of the offset is reported in "All Other" local assistance disbursements. Spending is offset by the benefit of enhanced FMAP for 6.2 percent for 9 months.

³ All Other includes education, parks, environment, economic development, and public safety, as well as the MSA payment offset, and a reconciliation between school year and State fiscal year spending on School Aid.

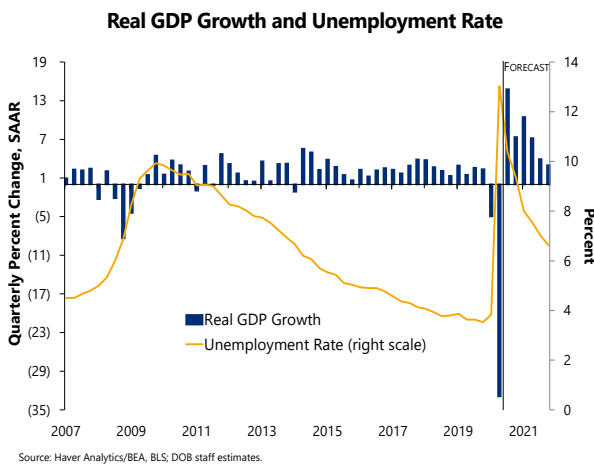
Economic Backdrop

The U.S. and Global Economy

Worldwide lockdowns to prevent the spread of COVID-19 sent most major economies into an economic downturn in the first half of 2020. With global infections continuing to rise, uncertainty remains over how fast international travel, global trade flows, supply chain disruptions, business and household demand will recover from their pandemic triggered recession. The International Monetary Fund (IMF), in its latest World Economic Outlook report (June 2020), revised down its projections of global economic growth for calendar years 2020 and 2021 compared to its April 2020 outlook.¹³

U.S. Economic Forecast¹⁴

The Bureau of Economic Analysis (BEA) reported that real GDP plunged at a 32.9 percent annual rate during the second quarter of 2020, after slipping 5.0 percent in the first quarter. The second quarter decline marked the strongest quarterly contraction in the 70 years since the government began reporting quarterly GDP figures. The previous record was a 10 percent decline during the first quarter of 1958. The economic contraction in the first half of 2020 is deeper than estimated in the Enacted Budget forecast and reflects the collapse in economic activity that occurred in late March and April.



With social distancing programs put in place, an unprecedented scale of business closures, and skyrocketing employee furloughs and layoffs, it was clear the U.S. economy had fallen into recessionary territory. The National Bureau of Economic Research (NBER) Business Cycle Dating Committee recently designated February 2020 as a business cycle peak. DOB expects that the

¹³ <https://www.imf.org/en/Publications/WEO/Issues/2020/06/24/WEOUpdateJune2020>.

¹⁴ DOB's US Macro forecast incorporates the third estimate of 2020 first quarter GDP report released on June 25, 2020; May 2020 BEA personal income and outlays report released on June 26, 2020; June 2020 employment report released on July 2, 2020; and May 2020 CPI report released on June 10, 2020.



State Financial Plan Multi-Year Projections

Committee will ultimately declare that a business cycle trough occurred during the second quarter of 2020. Although the 2020 recession may have been short in length (first and second quarters of 2020), the declines in economic activity will have been extraordinary in terms of the depth and the pace of the economic contraction. Monthly data show that the economy bounced starting in May, especially in terms of employment, equity prices, and consumer spending. Therefore, DOB has made upward revisions to its outlook for the second half of 2020, projecting real GDP to rebound as soon as the third quarter of 2020.

DOB expects that U.S. real GDP will rebound 14.8 percent in the third quarter and grow 7.4 percent in the fourth quarter of 2020. The peak-to-trough decline (fourth quarter of 2019 to second quarter of 2020) in real GDP is 10.6 percent. Real GDP for 2020 is estimated to decline 5.5 percent. As the economy recovers, real GDP growth is expected to remain elevated in the first half of 2021 before slowing to 3.0 percent by the fourth quarter of 2021. The projection of 2021 real GDP growth is 5.1 percent, significantly stronger than the Enacted Budget forecast of 2.8 percent. In terms of levels, however, real GDP is not expected to surpass its recent peak in the fourth quarter of 2019 until the first quarter of 2022.

The labor market is recovering robustly, at least through mid-June. The employment report released on July 2, 2020 indicated 7.5 million nonfarm job gains during May and June, after 22.2 million jobs were lost in March and April of 2020. The unemployment rate fell to 11.1 percent in June 2020, 3.6 percentage points below its peak of 14.7 percent in April. The May and June employment reports beat consensus forecasts by wide margins and indicated a widespread recovery among industries. Mining and utilities were the only two private sector industries still shedding jobs in June. However, the construction industry has gained over half of its lost jobs as of June and the manufacturing industry has recovered 44 percent of its lost jobs, as these two industries have been among the first to start reopening in most states. Similarly, retail trade jobs have recovered 47 percent of losses as of June after many stores reopened with curbside pickup. Leisure and hospitality, which includes restaurants, bars and hotels, accounted for 8.3 million or approximately 40 percent of the private sector job losses in March and April. As of June, 3.5 million (42 percent) of the jobs lost in this hardest-hit sector have recovered.

U.S. ECONOMIC INDICATORS			
(Calendar Year Growth)			
	CY 2019	CY 2020	CY 2021
	Actual	Forecast	Forecast
Real U.S. Gross Domestic Product	2.2	-5.5	5.1
Consumer Price Index (CPI)	1.8	0.7	1.5
Personal Income	3.9	3.1	0.7
Nonfarm Employment	1.4	-5.1	3.6
Civilian Unemployment Rate	3.7	9.1	7.3

Source: Haver Analytics; DOB staff estimates.



State Financial Plan Multi-Year Projections

DOB expects national employment to gradually improve over the second half of 2020. Nonfarm payroll employment is expected to decline 5.1 percent in 2020 before a 3.6 percent gain in 2021. The annual average unemployment rate is expected to peak at 9.1 percent for 2020 before dropping to 7.3 percent for 2021.

Equity prices have moved significantly ahead of the economy. The S&P 500 stock price index has rebounded sharply since the end of March and has almost returned to its all-time highs, even though about two-thirds of the 22.2 million jobs that were lost in March and April have not yet been recovered as of June. The S&P 500 index is projected to grow 4.8 percent in 2020 compared to a decline of 14.7 percent projected in the Enacted Budget forecast.

Oil prices have gradually recovered from their April lows of less than \$20 per barrel as global growth rebounded and OPEC+ countries succeeded in restricting production, but they remain well below the early-2020 level of around \$60 per barrel.¹⁵ Downward price pressure from the effects of low fuel prices, together with weak aggregate demand, have more than offset any upward pressure from supply interruptions so far. With an expectation that aggregate demand is not likely to return to its pre-COVID period until 2022, DOB's CPI inflation outlook is 0.7 percent for 2020 and remains weak in 2021 at 1.5 percent.

DOB continues to expect monetary and fiscal policies to be supportive. The Federal Reserve is expected to keep its Federal funds rate target around zero within the next three years and continue to use its full range of tools to support the U.S. economy and ensure the normal functioning of financial markets. Congress and the Trump Administration are considering new stimulus packages in addition to the ones enacted so far this year. According to the BEA's personal income report released on June 26, 2020, one-time payments and expanded Unemployment Insurance based on the CARES Act boosted personal income by \$363 billion in April and May. According to Congressional Budget Office's estimates, another \$200 billion are left for June and the third quarter of 2020 under these two provisions.¹⁶ However, after the middle two quarters of 2020, this direct fiscal support on personal income, and thus consumer spending, would fade rapidly without additional stimulus.

¹⁵ OPEC+ member countries are the Organization of Petroleum Exporting Countries (OPEC) plus other key oil producers like Azerbaijan, Bahrain, Brunei, Kazakhstan, Malaysia, Mexico, Oman, Russia, South Sudan and Sudan.

¹⁶ <https://www.cbo.gov/publication/56334>.



State Financial Plan Multi-Year Projections

As states have begun lifting restrictions on business and social gatherings, recent data on mobility, credit card spending, restaurants dining, and other consumer activities all suggest an earlier and stronger than anticipated rebound. DOB estimates consumer spending to recover at a stronger pace than investment in the third quarter of 2020. Real residential investment growth, which has been a bright spot in the economy since the third quarter of 2019, has also fallen into negative territory in the third quarter of 2020. But as construction activities resume, housing permits, pending home sales and mortgage applications are also climbing up. The housing sector is expected to bounce back steadily. Real nonresidential fixed investment growth has been declining since the second quarter of 2019 due to trade policy uncertainty, slowing global growth, production delays of the Boeing 737 Max aircraft, and a global manufacturing downturn. With factory closures and production slowdowns, real nonresidential fixed investment growth plunged in the second quarter of 2020 and is expected to have remained flat in the third quarter before turning up at the end of the year. Real exports and imports also shrank during the first half of 2020 but will likely recover as soon as domestic demand and foreign growth start to rise.

Risks

The current forecast represents an upward revision to the U.S. economic outlook compared to DOB's forecast released in April 2020. This upward revision is mainly attributed to stronger-than-expected high frequency data as a result of faster business reopenings in some states. However, an alarming surge in new COVID-19 cases since the last week of June has forced some states to pause their reopening plans and others to begin reversing them. Therefore, downside risks to U.S. economic outlook have increased recently. On the upside, further business and income stabilization legislation from Congress and the timely containment of the COVID-19 virus could lift the economy faster and further.

The New York State Economy¹⁷

New York State and especially New York City have been hit particularly hard by the COVID-19 pandemic. Coronavirus fears, travel bans, and regulations that limit social gatherings caused businesses to cease operations in a wide range of sectors, especially retail trade and leisure and hospitality. Recent Current Employment Statistics (CES) employment data and initial unemployment insurance claims suggest that job losses were worse than expected compared to the Enacted Budget forecast. Private sector employment is expected to decline 8.5 percent in 2020, 1.0 percentage point lower than the Enacted Budget forecast. Although private sector employment growth is projected to enter positive territory in 2021, with 4.6 percent job growth, a full recovery to pre-COVID employment levels is expected to take several years.

NEW YORK STATE ECONOMIC INDICATORS (State Fiscal Year Growth)			
	FY 2019 Actual	FY 2020 Estimated	FY 2021 Forecast
Personal Income*	3.2	3.8	-1.3
Wages	3.6	4.3	-6.3
Nonfarm Employment	1.4	0.9	-8.8

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.
* Personal income is constructed by using QCEW wages and BEA non-wage income.

As a result of the decline in economic activity, as well as global economic and financial uncertainties, finance and insurance sector bonuses are expected to decline 28.0 percent in FY 2021, revised up from the Enacted Budget forecast of a 50.4 percent decline. The upward revision is due to better-than-expected equity market performance and Wall Street earnings. The improved total bonuses forecast caused an upward revision of total wage growth in FY 2021 to a 6.3 percent decline from a 7.2 percent decline in the Enacted Budget forecast. Total wages are projected to grow 7.4 percent in FY 2022 as economic and financial conditions improve.

State property income and proprietor's income are projected to decline 5.1 percent and 8.1 percent, respectively, in FY 2021. The CARES Act payments are reflected within State transfer income as early as the second quarter of 2020, driving up State transfer income growth to 25.6 percent in FY 2021. On balance, State personal income is revised up by 0.9 percentage point from the Enacted Budget forecast to a decline of 1.3 percent in FY 2021, followed by a projected growth of 1.0 percent in FY 2022.

¹⁷ DOB's New York State economic forecast incorporates the 2020 first quarter BEA State personal income report released on June 23, 2020.



State Financial Plan Multi-Year Projections

All the risks to the U.S. forecast apply to the State forecast as well. The coronavirus pandemic and weak global growth are contributing to increased market volatility and restraining equity prices growth over the near term. The recent resurgence of COVID-19 cases in some states is threatening the economic recovery and adding more uncertainties to the financial market conditions. As the nation's financial capital, both the volume of financial market activity and volatility in equity markets pose a significant degree of risk to the New York State economy. Since the New York City area became an early epicenter of the COVID-19 outbreak in the U.S., a prolonged impact of the virus would threaten the economic growth within the City and overall State. Higher-than-expected layoffs or a resurgence of the virus in the State could pose significant downside risks to our employment and wage outlook. Upside risks such as the faster-than-expected containment of the virus, stronger equity markets, and more robust national and global growth could result in higher employment and wage growth.

Receipts

Financial Plan receipts results and projections include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts. Multiyear receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance (DTF) and other agencies which collect State receipts and are premised on economic analysis and forecasts.

Overall base growth (i.e., growth not due to law changes) in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

Projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

Overview of the Receipts Forecast

All Funds receipts in FY 2021 are projected to total \$182.6 billion, a 2.9 percent (\$5.2 billion) increase from FY 2020 results. FY 2021 State tax receipts are projected to decrease \$8.4 billion (10.2 percent) from prior year results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
Personal Income Tax	53,660	49,046	-8.6%	47,975	-2.2%	50,732	5.7%	53,862	6.2%
Consumption/Use Taxes	18,021	14,404	-20.1%	16,439	14.1%	17,090	4.0%	17,496	2.4%
Business Taxes	8,996	8,945	-0.6%	8,874	-0.8%	9,403	6.0%	9,592	2.0%
Other Taxes	2,212	2,066	-6.6%	2,054	-0.6%	2,161	5.2%	2,266	4.9%
Total State Taxes	82,889	74,461	-10.2%	75,342	1.2%	79,386	5.4%	83,216	4.8%
Miscellaneous Receipts	29,466	31,066	5.4%	25,421	-18.2%	24,085	-5.3%	23,648	-1.8%
Federal Receipts	65,080	77,097	18.5%	70,194	-9.0%	71,644	2.1%	73,771	3.0%
Total All Funds Receipts	177,435	182,624	2.9%	170,957	-6.4%	175,115	2.4%	180,635	3.2%

The COVID-19 pandemic is projected to continue to have a significant negative impact on tax receipts. The Enacted Budget Financial Plan anticipated reductions to FY 2021 All Funds tax receipts of over \$12 billion. These estimates have been adjusted further, consistent with the economic analysis outlined in the previous section. Total tax receipts reductions from the Executive Budget Financial Plan now reach over \$13 billion for FY 2021 estimates.

- Personal income taxes are reduced significantly in FY 2021 with an estimated loss of nearly \$8 billion and a projected \$11 billion annual decline across the financial plan period over the Executive Budget forecasts.
- Consumption/Use taxes and fees are reduced by over \$4 billion with most of the decline in sales and use taxes.
- Business taxes are reduced by nearly \$1 billion in FY 2021 with the largest portion of the decline in corporate franchise taxes.
- Other taxes are reduced by \$274 million in FY 2021 and over \$370 million in the outyears.

Further analysis of each tax component by fiscal year is below.

Personal Income Tax

PERSONAL INCOME TAX									
(millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	53,660	49,046	-8.6%	47,975	-2.2%	50,732	5.7%	53,862	6.2%
Gross Collections	64,985	59,640	-8.2%	60,084	0.7%	63,330	5.4%	66,921	5.7%
Refunds (Incl. State/City Offset)	(11,325)	(10,594)	6.5%	(12,109)	-14.3%	(12,598)	-4.0%	(13,059)	-3.7%
GENERAL FUND¹	24,646	22,450	-9.8%	22,008	-2.0%	23,508	6.8%	25,181	7.1%
Gross Collections	64,985	59,640	-8.2%	60,084	0.7%	63,330	5.4%	66,921	5.7%
Refunds (Incl. State/City Offset)	(11,325)	(10,594)	6.5%	(12,109)	-14.3%	(12,598)	-4.0%	(13,059)	-3.7%
STAR	(2,184)	(2,073)	5.1%	(1,979)	4.5%	(1,858)	6.1%	(1,750)	5.8%
RBTF	(26,830)	(24,523)	8.6%	(23,988)	2.2%	(25,366)	-5.7%	(26,931)	-6.2%

¹Excludes Transfers.

All Funds PIT receipts for FY 2021 are estimated to decrease significantly, primarily reflecting step declines in withholding and total estimated payments, partially offset by a decrease in total refunds.



State Financial Plan Multi-Year Projections

The following table summarizes, by component, actual receipts for FY 2020 and forecast amounts through FY 2024.

ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Updated	Projected	Projected	Projected
Receipts					
Withholding	43,118	39,752	42,570	44,344	46,269
Estimated Payments	17,025	14,669	12,951	14,091	15,438
Current Year	10,996	9,129	9,683	10,576	11,254
Prior Year ¹	6,029	5,540	3,268	3,515	4,184
Final Returns	3,454	3,608	2,882	3,164	3,431
Current Year	340	316	331	346	367
Prior Year ¹	3,114	3,292	2,551	2,818	3,064
Delinquent	1,388	1,611	1,681	1,731	1,783
Gross Receipts	64,985	59,640	60,084	63,330	66,921
Refunds					
Prior Year ¹	5,928	6,267	7,475	7,645	7,793
Previous Years	531	638	669	700	732
Current Year ¹	2,244	1,751	1,750	1,750	1,750
Advanced Credit Payment	1,505	664	816	979	1,135
State/City Offset ¹	1,117	1,274	1,399	1,524	1,649
Total Refunds	11,325	10,594	12,109	12,598	13,059
Net Receipts	53,660	49,046	47,975	50,732	53,862

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

FY 2021 withholding is estimated to be markedly lower than FY 2020 results, driven by extraordinary declines in both bonus and non-bonus wages. Extension payments related to Tax Year 2019 are projected to decrease due to improved extension payment accuracy. The three-month pandemic-related filing deadline delay is expected to result in less-than-usual extension overpayment since taxpayers had additional time to estimate their tax liabilities. Estimated payments attributable to Tax Year 2020 are expected to substantially decrease, driven by a steep decline in nonwage income. FY 2021 final return payments and delinquencies are both expected to increase.



The decrease in total refunds reflects a steep decrease in advanced credit payments attributable to Tax Year 2020, coupled with a decline in the administrative January-March refund cap. These decreases are partially offset by increases in prior-year refunds related to Tax Year 2019, refunds related to tax years prior to 2019, and the State-City offset. The large decline in advanced credit payments attributable to Tax Year 2020 reflects the expiration of the Property Tax Relief Credit. General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. The FY 2021 STAR transfer is expected to decline. PIT RBTF receipts are statutorily set to 50 percent of net PIT receipts, and FY 2021 RBTF receipts therefore reflect the decrease in All Funds receipts noted above. FY 2021 General Fund PIT is expected to decrease due to these changes.

All Funds FY 2022 receipts are projected to decrease, reflecting sharp declines in Tax Year 2020 extension payments and final returns, coupled with growth in total refunds. These changes are primarily driven by exceptionally weak Tax Year 2020 nonwage income. Revenue declines are partially offset by increases in withholding, Tax Year 2020 current estimated payments, and delinquencies. The FY 2022 STAR transfer is expected to decline. The FY 2022 RBTF is projected to decrease based on the decrease in FY 2022 All Funds receipts. General Fund PIT receipts for FY 2022 are also expected to decrease, driven by the aforementioned changes to All Funds receipts, the STAR transfer, and RBTF receipts.

All Funds PIT receipts for FY 2023 are projected to increase from FY 2022 projections as the State economy recovers. Gross PIT receipts are projected to increase as well, reflecting projected increases in withholding and total estimated payments, partially offset by a projected increase in total refunds.

General Fund PIT receipts for FY 2023 are expected to increase, reflecting an increase in All Funds PIT receipts coupled with a decrease in the STAR transfer, partially offset by an increase in RBTF receipts.

All Funds PIT receipts and General Fund PIT receipts are both expected to increase in FY 2024 reflecting normal baseline growth in income and associated tax liability.

Consumption/Use Taxes

CONSUMPTION/USE TAXES									
(millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	18,021	14,404	-20.1%	16,439	14.1%	17,090	4.0%	17,496	2.4%
Sales Tax	15,932	12,482	-21.7%	14,456	15.8%	15,139	4.7%	15,581	2.9%
Cigarette and Tobacco Taxes	1,035	971	-6.2%	946	-2.6%	904	-4.4%	864	-4.4%
Vapor Excise Tax	10	14	40.0%	6	-57.1%	6	0.0%	6	0.0%
Motor Fuel Tax	512	447	-12.7%	509	13.9%	507	-0.4%	502	-1.0%
Highway Use Tax	142	131	-7.7%	139	6.1%	138	-0.7%	140	1.4%
Alcoholic Beverage Taxes	259	266	2.7%	264	-0.8%	266	0.8%	269	1.1%
Opioid Excise Tax	19	34	78.9%	34	0.0%	34	0.0%	34	0.0%
Medical Cannabis Excise Tax	6	7	16.7%	7	0.0%	7	0.0%	7	0.0%
Auto Rental Tax ¹	106	52	-50.9%	78	50.0%	89	14.1%	93	4.5%
GENERAL FUND²	8,038	6,446	-19.8%	7,380	14.5%	7,691	4.2%	7,890	2.6%
Sales Tax	7,447	5,850	-21.4%	6,777	15.8%	7,096	4.7%	7,302	2.9%
Cigarette and Tobacco Taxes	313	296	-5.4%	305	3.0%	295	-3.3%	285	-3.4%
Alcoholic Beverage Taxes	259	266	2.7%	264	-0.8%	266	0.8%	269	1.1%
Opioid Excise Tax	19	34	78.9%	34	0.0%	34	0.0%	34	0.0%

¹No longer includes receipts remitted directly to the MTA without an appropriation beginning in FY 2020.

²Excludes Transfers.

All Funds consumption/use tax receipts for FY 2021 are estimated to decrease significantly from FY 2020 results due to the impacts of the COVID-19 pandemic. Sales tax receipts are estimated to decrease due to a significant decline in taxable consumption (i.e., estimated sales tax base decline of 22.6 percent). The excise taxes on opioids and vapor products are both fully implemented in FY 2021. Vapor products tax receipts are projected to moderately increase from FY 2020 results despite legislation enacted in the Budget to ban all flavored vapor products other than tobacco flavored products. Cigarette and tobacco tax collections are projected to decrease, reflecting a continued decline in taxable cigarette consumption. Highway use tax collections are estimated to decrease, reflecting a decline in demand from the trucking sector related to the economic slowdown and limited travel activities. Motor fuel tax receipts are estimated to decrease due to declines in both gasoline and diesel consumption. Auto rental tax receipts are estimated to decrease, mainly due to the significant and ongoing negative impact of the COVID-19 pandemic on the travel industry.

A portion of sales tax receipts is initially deposited to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under the Local Government Assistance Corporation (LGAC) and State Sales Tax Revenue Bond programs, respectively. Receipts in excess of the debt service requirements of these funds and the local assistance payments to New York City, or its assignee, are subsequently transferred to the General Fund.



State Financial Plan Multi-Year Projections

General Fund consumption/use tax receipts for FY 2021 are estimated to decrease, largely due to the sales and use tax trends noted above.

All Funds consumption/use tax receipts for FY 2022 are projected to increase by slightly more than \$2 billion from FY 2021 estimates. The increase in sales tax receipts reflects a rebound in taxable consumption with projected base growth of 15.2 percent. The excise tax on opioids is projected to remain flat. Motor fuel tax, auto rental tax, and highway use tax receipts are all estimated to increase from FY 2021 estimates as the economy and travel activity are expected to improve compared to the prior year. These increases are partially offset by a continued decline in taxable cigarette consumption.

FY 2022 General Fund consumption/use tax receipts are projected to increase, mainly due to the sales and use tax trend noted above.

FY 2023 and FY 2024 All Funds consumption/use tax receipts are projected to increase compared to the prior year, largely reflecting growth in the sales tax base, which is slightly offset by a continued decline in taxable cigarette consumption. Similarly, General Fund consumption/use tax receipts are projected to increase in both FY 2023 and FY 2024 primarily due to the All Funds sales and use tax and cigarette tax trends noted above.



Business Taxes

BUSINESS TAXES									
(millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	8,996	8,945	-0.6%	8,874	-0.8%	9,403	6.0%	9,592	2.0%
Corporate Franchise Tax	4,824	4,868	0.9%	4,883	0.3%	5,345	9.5%	5,476	2.5%
Corporation and Utilities Tax	705	640	-9.2%	637	-0.5%	654	2.7%	659	0.8%
Insurance Tax	2,306	2,165	-6.1%	2,269	4.8%	2,327	2.6%	2,389	2.7%
Bank Tax	0	270	0.0%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	1,161	1,002	-13.7%	1,085	8.3%	1,077	-0.7%	1,068	-0.8%
GENERAL FUND	6,370	6,506	2.1%	6,337	-2.6%	6,778	7.0%	6,918	2.1%
Corporate Franchise Tax	3,791	3,882	2.4%	3,852	-0.8%	4,228	9.8%	4,309	1.9%
Corporation and Utilities Tax	518	470	-9.3%	463	-1.5%	477	3.0%	481	0.8%
Insurance Tax	2,053	1,929	-6.0%	2,022	4.8%	2,073	2.5%	2,128	2.7%
Bank Tax	8	225	2712.5%	0	-100.0%	0	0.0%	0	0.0%

FY 2021 All Funds business tax receipts are estimated to decline slightly, albeit \$1 billion below Executive Budget Financial Plan estimates, driven primarily by a decrease in gross receipts from corporation and utilities taxes, insurance taxes, and petroleum business taxes. These declines are partially offset by increases in bank tax audit receipts and a decline in corporation franchise tax (CFT) refunds paid.

CFT receipts are estimated to increase slightly in FY 2021, reflecting a reduction in refunds paid and an increase in audit receipts. Refunds are estimated to return to recent historical levels after the previous year included a large refund that was originally anticipated to be paid in FY 2019. Audit receipts are estimated to increase based on anticipated large cases expected to close this fiscal year. Gross receipts are estimated to decline due to projected large declines in corporate profits and investment in equipment and software, in addition to the continued phase-out of the capital base that will be complete in 2021.

Corporation and utilities tax receipts for FY 2021 are estimated to decrease over the prior fiscal year, largely driven by decreases in gross receipts from both the telecommunication and utilities sectors and a decrease in audits. FY 2020 audit receipts more than doubled over the prior year and are expected to return to trend level in FY 2021 while refunds are estimated to increase slightly.

Insurance tax receipts for FY 2021 are estimated to decrease significantly due to a decline in gross receipts. FY 2020 gross receipts increased sharply due to payments covering two liability periods from the conversion of a not-for-profit insurer to a for-profit insurer. Projected declines in corporate profits also contribute to the drop in gross receipts. Audits are estimated to increase to trend levels while refunds paid are expected to decline compared to historically high refunds paid last fiscal year.



State Financial Plan Multi-Year Projections

Receipts from the repealed bank tax (all from prior liability periods) in FY 2021 are estimated to increase, primarily due to an estimated increase in audits based on large cases expected to close this fiscal year. Petroleum business tax (PBT) receipts are estimated to decrease from FY 2020 results, primarily due to a decline in both gasoline and diesel consumption coupled with the impact of a 2 percent decline in the PBT rate index on January 1, 2020, paired with a projected 5 percent decline in the PBT rate index on January 1, 2021.

General Fund business tax receipts for FY 2021 are estimated to increase due to the trends in bank and corporation franchise tax receipts described above.

General Fund and All Funds business tax receipts for FY 2022 are projected to decline, primarily reflecting a decline in audit receipts from bank taxes. A projected decline in bank taxes and corporation and utilities taxes is offset by projected increases in CFT, insurance tax, and PBT receipts.

General Fund and All Funds business tax receipts for FY 2023 are projected to increase, primarily reflecting increases in CFT, insurance taxes, and corporation and utilities taxes. This increase is partially offset by a modest decline in PBT receipts.

General Fund and All Funds business tax receipts for FY 2024 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices.

Other Taxes

OTHER TAXES									
(millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	2,212	2,066	-6.6%	2,054	-0.6%	2,161	5.2%	2,266	4.9%
Estate Tax	1,070	1,100	2.8%	1,028	-6.5%	1,077	4.8%	1,128	4.7%
Real Estate Transfer Tax	1,124	949	-15.6%	1,004	5.8%	1,061	5.7%	1,114	5.0%
Employer Compensation Expense Program	2	4	100.0%	6	50.0%	7	16.7%	7	0.0%
Pari-Mutuel Taxes	14	11	-21.4%	14	27.3%	14	0.0%	14	0.0%
All Other Taxes	2	2	0.0%	2	0.0%	2	0.0%	3	50.0%
GENERAL FUND¹	1,087	1,115	2.6%	1,047	-6.1%	1,097	4.8%	1,148	4.6%
Estate Tax	1,070	1,100	2.8%	1,028	-6.5%	1,077	4.8%	1,128	4.7%
Employer Compensation Expense Program	1	2	100.0%	3	50.0%	4	33.3%	3	-25.0%
Pari-Mutuel Taxes	14	11	-21.4%	14	27.3%	14	0.0%	14	0.0%
All Other Taxes	2	2	0.0%	2	0.0%	2	0.0%	3	50.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2021 are estimated to decrease from FY 2020 results, primarily due to an estimated decrease in real estate transfer tax receipts resulting from large estimated declines in housing starts, housing prices, and bonuses. The real estate transfer tax receipts estimated decrease is partially offset by a slight increase in estate tax receipts, primarily due to the partial-year impact of minor growth in estimated household net worth.

General Fund other tax receipts are estimated to increase, mainly due to the estimated increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2022 are projected to decrease slightly, primarily due to a decrease in estate tax receipts, reflecting an estimated year-over-year decline in super large payments and slower growth in key variables such as household net worth and Wilshire 5000. This is largely offset by an increase in real estate transfer tax receipts, primarily due to projected growth in housing starts, housing sales and housing prices as activity rebounds compared to the prior year.

General Fund other tax receipts for FY 2022 are projected to decrease, due to the decline in estate tax receipts noted above.

All Funds other tax receipts for FY 2023 and FY 2024 are projected to increase, largely due to increases in both estate tax and real estate transfer tax receipts, reflecting projected growth in household net worth, housing starts, and housing prices.

General Fund other tax receipts for FY 2023 and FY 2024 are projected to increase, resulting from the projected increases in estate tax receipts noted above.

Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, Tribal-State Compact receipts, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, tuition income revenue and other miscellaneous receipts.

MISCELLANEOUS RECEIPTS									
(millions of dollars)									
	<u>FY 2020</u>	<u>FY 2021</u>		<u>FY 2022</u>		<u>FY 2023</u>		<u>FY 2024</u>	
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
ALL FUNDS	29,466	31,066	5.4%	25,421	-18.2%	24,085	-5.3%	23,648	-1.8%
General Fund	3,159	6,744	113.5%	1,750	-74.1%	1,773	1.3%	1,811	2.1%
Special Revenue Funds	19,279	15,750	-18.3%	15,980	1.5%	15,432	-3.4%	15,068	-2.4%
Capital Projects Funds	6,551	8,191	25.0%	7,306	-10.8%	6,496	-11.1%	6,385	-1.7%
Debt Service Funds	477	381	-20.1%	385	1.0%	384	-0.3%	384	0.0%

All Funds miscellaneous receipts are projected to total \$31.1 billion in FY 2021, an increase of 5.4 percent from FY 2020 results, driven by the issuance of \$4.5 billion in PIT notes in response to the COVID-19 pandemic and increasing bond proceeds.

All Funds miscellaneous receipts are projected to decline annually after FY 2021, reflecting the nonrecurring short-term financing, continued impact of the COVID-19 pandemic and a decrease in bond proceed reimbursements in later years, which corresponds to prior-year capital expenses.

Federal Grants

FEDERAL GRANTS (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
ALL FUNDS	65,080	77,097	18.5%	70,194	-9.0%	71,644	2.1%	73,771	3.0%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	62,897	74,841	19.0%	67,909	-9.3%	69,361	2.1%	71,519	3.1%
Capital Projects Funds	2,109	2,182	3.5%	2,213	1.4%	2,214	0.0%	2,186	-1.3%
Debt Service Funds	74	74	0.0%	72	-2.7%	69	-4.2%	66	-4.3%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, School Aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in Federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, a temporary increase in the FMAP, and funding from the CRF, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

Under the Trump Administration and the current Congress, many of the policies that drive Federal aid may be subject to change. At this time, it is not possible to assess the potential fiscal impact of future policies that may be proposed and adopted. If Federal funding to the State were reduced, this could have a materially adverse impact on the Financial Plan.

Disbursements

In FY 2021, disbursements from the State's General Fund, including transfers, are expected to total \$70.7 billion, and disbursements from State Operating Funds are expected to total \$98.2 billion. School Aid, Medicaid, transportation, debt service, and health benefits are significant drivers of annual spending growth, as further described in this section.

The multi-year disbursements projections consider various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

The Financial Plan estimates include \$8 billion in recurring reductions in aid-to-localities disbursements that are expected to be executed pursuant to the budget-balance and withholding authority granted in the Enacted Budget. The allocation of the savings plan will depend on what programs are included or excluded from reductions, the level of targeted reductions in certain areas, and the availability of Federal aid. Accordingly, the specific agency and program spending levels described below do not reflect any reductions that may occur as a result of the savings plan. However, such reductions may be significant.

Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds, including budget balance reductions, is estimated at \$61.4 billion in FY 2021, which is approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing spending projections for the State’s major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2020 Results ¹	FY 2021 Updated	Forecast		
			FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
HEALTH CARE					
Medicaid - Individuals Covered ²	6,179,986	6,643,148	6,403,502	6,382,210	6,378,218
Essential Plan - Individuals Covered	800,438	810,724	831,139	834,539	834,539
Child Health Plus - Individuals Covered	433,405	477,809	466,382	465,701	465,701
State Takeover of County/NYC Costs ³	<u>\$4,115</u>	<u>\$4,468</u>	<u>\$4,818</u>	<u>\$5,179</u>	<u>\$5,551</u>
CY 2005 Local Medicaid Cap	\$3,015	\$3,185	\$3,353	\$3,531	\$3,720
FY 2013 Local Takeover Costs	\$1,100	\$1,283	\$1,465	\$1,648	\$1,831
EDUCATION					
School Aid (School Year-Basis Funding) ⁴	\$27,812	\$26,780	\$27,918	\$28,911	\$29,854
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	549,800	549,800	549,800	549,800	549,800
Tuition Assistance Program (Recipients)	265,936	265,936	265,936	265,936	265,936
PUBLIC ASSISTANCE					
Family Assistance Program (Families)	178,038	171,392	166,404	165,110	165,243
Safety Net Program (Families)	105,016	101,741	99,351	98,373	97,930
Safety Net Program (Singles)	191,424	196,052	201,179	206,590	212,376
MENTAL HYGIENE					
OMH Community Beds	45,596	48,052	49,362	50,585	51,085
OPWDD Community Beds	43,099	43,331	43,564	43,798	44,034
OASAS Community Beds	<u>13,494</u>	<u>13,574</u>	<u>13,804</u>	<u>14,035</u>	<u>14,115</u>
Total	102,189	104,957	106,730	108,418	109,234

¹ Reflects updated information on results.
² Enrollment in public health insurance programs is subject to direct/indirect risks related to the COVID-19 pandemic.
³ Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then fully transferred to the State as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.
⁴ FY 2021 does not reflect \$1.1 billion in Federal CARES Act funding.



Education

School Aid

School Aid supports elementary and secondary education for New York pupils enrolled in the 673 major school districts. State aid is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally-defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

State Operating Funds support for School Aid is expected to total \$26.8 billion in SY 2021, an annual decrease of \$1 billion (3.7 percent). This reduction in State Operating Funds support will be offset by approximately \$1.1 billion in funding provided to the State through the Federal CARES Act, including the Elementary and Secondary School Emergency Relief Fund and the Governor’s Emergency Education Relief Fund. With these Federal funds, SY 2021 School Aid is expected to total \$27.9 billion, an annual increase of approximately \$100 million or 0.4 percent.

The Budget continues prior year funding levels for existing programs, including Foundation Aid, Community Schools and Universal Prekindergarten. The Budget also provides over \$200 million in support for competitive grant programs, including \$1 million for development of a new Civics Education curriculum and \$10 million for a Student Mental Health program. Funding for expense-based aids, such as Building Aid, Transportation Aid, and Boards of Cooperative Educational Services (BOCES) Aid, is continued under existing aid formulas.

Outyear growth in School Aid reflects current projections of the ten-year average growth in State personal income.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	<u>SY 2020</u>	<u>SY 2021¹</u>	<u>Change</u>	<u>SY 2022</u>	<u>Change</u>	<u>SY 2023</u>	<u>Change</u>	<u>SY 2024</u>	<u>Change</u>
Total	27,812	26,780	-1,032 -3.7%	27,918	1,138 4.2%	28,911	993 3.6%	29,854	943 3.3%

¹Does not reflect \$1.1 billion in Federal CARES Act funding.



State Financial Plan Multi-Year Projections

State Fiscal Year

The State finances School Aid from the General Fund, commercial gaming receipts and Lottery Fund receipts, including revenues from Video Lottery Terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1 and the school year begins on July 1, the State typically pays approximately 70 percent of the annual school year commitment during the initial State fiscal year and the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2020 Results	FY 2021 ¹ Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	27,368	26,906	-1.7%	27,453	2.0%	28,478	3.7%	29,435	3.4%
General Fund Local Assistance	23,384	23,913	2.3%	24,195	1.2%	25,122	3.8%	25,954	3.3%
Medicaid	138	140	1.4%	140	0.0%	140	0.0%	140	0.0%
Lottery Aid	2,709	2,206	-18.6%	2,246	1.8%	2,246	0.0%	2,246	0.0%
VLT Lottery Aid	975	558	-42.8%	746	33.7%	834	11.8%	943	13.1%
Commercial Gaming	162	89	-45.1%	126	41.6%	136	7.9%	152	11.8%

¹ Does not reflect \$1.1 billion in Federal CARES Act funding.

State fiscal year spending for School Aid on a State Operating Funds basis is projected to total \$26.9 billion in FY 2021, a 1.7 percent decrease from FY 2020. In FY 2021, the share of School Aid spending financed by lottery, video lottery and commercial gaming revenues is projected to decrease due largely to the impact of the COVID-19 pandemic on economic activity. If gaming revenues drop further below currently projected levels, then the General Fund is expected to transfer the value of the shortfall to the appropriate State Special Revenue Fund. In addition to State aid, school districts currently receive more than \$3 billion annually in existing Federal aid. School districts are also expected to receive approximately \$1.1 billion in Federal CARES Act funds.

Other Education Funding

The State also provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 Education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION FUNDING (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	2,319	2,303	-0.7%	2,387	3.6%	2,473	3.6%	2,542	2.8%
Special Education	1,331	1,357	2.0%	1,428	5.2%	1,495	4.7%	1,564	4.6%
All Other Education	988	946	-4.3%	959	1.4%	978	2.0%	978	0.0%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

The increase in projected Special Education spending in FY 2021 and thereafter is primarily attributable to increased State reimbursement to special education providers for minimum wage costs and projected enrollment and cost growth in preschool and summer school special education programs.

The projected spending increases for All Other Education programs in FYs 2022 – 2023 are largely due to continued growth in charter school supplemental tuition, facilities aid payments for charter schools in New York City, and payments to nonpublic schools.



School Tax Relief Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income (below \$88,050) senior citizens will receive a \$69,800 exemption in FY 2021.

Spending on STAR property tax exemptions reflects reimbursements made to school districts to offset the reduction in the amount of property tax revenue collected from homeowners. Since FY 2017, the STAR exemption program has been gradually transitioned from a spending program to an advance refundable PIT credit program. As a result, first-time homebuyers and homeowners who move receive a refundable PIT credit in lieu of a property tax exemption. This change initially had no impact on the value of the STAR benefit received by homeowners. Since the FY 2020 Enacted Budget and moving forward, homeowners who receive a property tax exemption will not see an increase in their STAR benefit (details below).

The STAR program also includes a credit for income-eligible resident New York City taxpayers. The New York City PIT rate reduction was converted into a State PIT tax credit starting with Tax Year 2017. As of FY 2019, New York City STAR payments are no longer a component of State Operating Funds spending. This change has no impact on the value of the STAR benefit received by taxpayers.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,184	2,073	-5.1%	1,979	-4.5%	1,858	-6.1%	1,750	-5.8%
Gross Program Costs	3,353	3,434	2.4%	3,511	2.2%	3,571	1.7%	3,636	1.8%
Personal Income Tax Credit	(1,169)	(1,361)	-16.4%	(1,532)	-12.6%	(1,713)	-11.8%	(1,886)	-10.1%
Basic Exemption	1,321	1,230	-6.9%	1,171	-4.8%	1,095	-6.5%	1,027	-6.2%
Gross Program Costs	1,737	1,802	3.7%	1,860	3.2%	1,916	3.0%	1,967	2.7%
Personal Income Tax Credit	(416)	(572)	-37.5%	(689)	-20.5%	(821)	-19.2%	(940)	-14.5%
Enhanced (Senior) Exemption	863	843	-2.3%	808	-4.2%	763	-5.6%	723	-5.2%
Gross Program Costs	936	935	-0.1%	936	0.1%	922	-1.5%	918	-0.4%
Personal Income Tax Credit	(73)	(92)	-26.0%	(128)	-39.1%	(159)	-24.2%	(195)	-22.6%
New York City PIT	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Gross Program Costs	680	697	2.5%	715	2.6%	733	2.5%	751	2.5%
Personal Income Tax Credit	(680)	(697)	-2.5%	(715)	-2.6%	(733)	-2.5%	(751)	-2.5%

Starting in FY 2020, all homeowners with incomes above \$250,000 were transitioned from the basic exemption benefit program to the advance credit program. Additionally, the zero percent growth cap on the STAR exemption benefit that was included in the FY 2020 Enacted Budget remains in effect. Most of the spending decline projected in FYs 2021 through 2024 can be attributed to these actions. By shifting taxpayers to the credit program, the State can more efficiently administer the program while strengthening its ability to prevent abuse. The shift from the basic exemption to the credit program does not reduce the value of the benefit received by homeowners.



Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and the Higher Education Services Corporation (HESC).

HIGHER EDUCATION (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,362	3,518	48.9%	2,924	-16.9%	2,961	1.3%	2,991	1.0%
City University	933	2,245	140.6%	1,625	-27.6%	1,658	2.0%	1,688	1.8%
Senior Colleges	873	1,812	107.6%	1,381	-23.8%	1,415	2.5%	1,445	2.1%
Community College	60	433	621.7%	244	-43.6%	243	-0.4%	243	0.0%
Higher Education Services	950	814	-14.3%	838	2.9%	843	0.6%	843	0.0%
Tuition Assistance Program	833	664	-20.3%	669	0.8%	665	-0.6%	665	0.0%
Scholarships/Awards	108	138	27.8%	157	13.8%	166	5.7%	166	0.0%
Aid for Part-Time Study	9	12	33.3%	12	0.0%	12	0.0%	12	0.0%
State University	479	459	-4.2%	461	0.4%	460	-0.2%	460	0.0%
Community College	475	455	-4.2%	457	0.4%	456	-0.2%	456	0.0%
Other/Cornell	4	4	0.0%	4	0.0%	4	0.0%	4	0.0%

SUNY and CUNY operate 47 four-year colleges and graduate schools with a total enrollment of nearly 400,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving approximately 309,000 students. State funds support a significant portion of SUNY and CUNY operations. In addition to the spending reflected in the above table, the State provides more than \$1 billion annually for SUNY campus operations through a General Fund transfer and more than \$2 billion to fully support fringe benefit costs of SUNY employees at State-operated campuses. The State is also projected to pay \$1.3 billion in FY 2021 for debt service on bond financed capital projects at SUNY and CUNY. In FY 2021, an estimated \$250 million in student financial aid support will be transferred from HESC to SUNY. This is the result of an accounting change implemented in FY 2020 to reflect certain financial aid payments from HESC to SUNY as transfers instead of disbursements.

HESC is New York State’s student financial aid agency and a national leader in helping make college affordable. HESC oversees numerous State-funded financial aid programs, including the Excelsior Scholarship, Tuition Assistance Program (TAP), the Aid for Part-Time Study program, and 25 other scholarship and loan forgiveness programs. Together, these programs provide financial aid to approximately 380,000 students. HESC also partners with OSC in administering the College Choice Tuition Savings program.

Spending on higher education is projected to increase by \$1.2 billion, or 48.9 percent, from FY 2020 to FY 2021, and decrease by \$594 million, or 16.9 percent, from FY 2021 to FY 2022. The spending increase in FY 2021, and subsequent decrease in FY 2022, is primarily due to the timing of academic year 2021 payments for CUNY Senior and Community Colleges. Additionally, the implementation of accounting changes, which reflect the payment of certain student financial aid from HESC to SUNY as transfers instead of disbursements, will result in lower disbursements in FY 2021. The increase in outyear spending is primarily attributable to increased support for CUNY fringe benefits.



Health Care

DOH works with local health departments and social services departments, including New York City, to coordinate and administer statewide health insurance programs and activities. Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

In addition to State funding, DOH also engages in Federal supported initiatives, such as the DSRIP program, with the goal of transforming New York's health care system. For more information on the MRT Medicaid Waiver and DSRIP program please see "Other Matters Affecting the Financial Plan" herein.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed by a combination of State, Federal, and local government resources. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

Historically, the State has experienced growth in Medicaid enrollment during economic downturns resulting from increased unemployment. DOB is evaluating enrollment trends connected to the economic downturn attributable to the COVID-19 pandemic. As many families and individuals saw a decrease in income in early 2020, more are expected to qualify for Medicaid or other public insurance programs. As a result, the number of State Medicaid recipients is expected to increase over levels previously anticipated. As new enrollees remain eligible for continuous coverage for 12 months, costs associated with enrollment growth will continue to rise in the outyears. Enrollment in Medicaid is also increasing among populations associated with higher service utilization and costs, augmenting growth in the State share of Medicaid spending. The aforementioned spending pressures will be offset from eFMAP provided in the FFCRA.

Other factors that continue to place upward pressure on State-share Medicaid spending (which includes spending within and outside the Global Cap) include, but are not limited to: reimbursement to providers for the cost of the increase in the minimum wage; the phase-out of enhanced Federal funding; increased costs and enrollment growth in managed long-term care; and payments to financially distressed hospitals.



State Financial Plan Multi-Year Projections

Financing of Medicaid Spending

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on financing sources for State Medicaid spending.

DEPARTMENT OF HEALTH MEDICAID (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
STATE OPERATING FUNDS	26,300	24,616	-6.4%	28,609	16.2%	30,232	5.7%	31,793	5.2%
Department of Health Medicaid	22,037	21,006	-4.7%	24,237	15.4%	25,287	4.3%	26,279	3.9%
General Fund - DOH Medicaid Local	16,071	14,876	-7.4%	18,177	22.2%	19,146	5.3%	20,052	4.7%
DOH Medicaid	13,228	12,957	-2.0%	14,118	9.0%	15,014	6.3%	15,951	6.2%
Non-DOH Medicaid ¹	611	1,469	140.4%	945	-35.7%	573	-39.4%	174	-69.6%
Minimum Wage	1,453	1,767	21.6%	2,011	13.8%	2,273	13.0%	2,458	8.1%
Local Takeover Cost ²	1,100	1,283	16.6%	1,465	14.2%	1,648	12.5%	1,831	11.1%
MSA Payments (Share of Local Growth) ³	(321)	(362)	-12.8%	(362)	0.0%	(362)	0.0%	(362)	0.0%
Enhanced FMAP ⁴	0	(2,238)	0.0%	0	100.0%	0	0.0%	0	0.0%
General Fund - DOH Medicaid State Ops	207	252	21.7%	279	10.7%	278	-0.4%	285	2.5%
General Fund - Essential Plan	74	76	2.7%	76	0.0%	74	-2.6%	74	0.0%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	74	76	2.7%	76	0.0%	74	-2.6%	74	0.0%
Other State Funds - DOH Medicaid Local	5,685	5,802	2.1%	5,705	-1.7%	5,789	1.5%	5,868	1.4%
HCRA Financing	3,836	4,149	8.2%	4,025	-3.0%	4,082	1.4%	4,133	1.2%
Indigent Care Support	917	717	-21.8%	717	0.0%	717	0.0%	717	0.0%
Provider Assessment Revenue	931	934	0.3%	961	2.9%	988	2.8%	1,016	2.8%
Medical Indemnity Fund	1	2	100.0%	2	0.0%	2	0.0%	2	0.0%
Other State Agency Medicaid Spending	4,263	3,610	-15.3%	4,372	21.1%	4,945	13.1%	5,514	11.5%
Use of MSA Payments (Share of Local Growth) ³	321	362	12.8%	362	0.0%	362	0.0%	362	0.0%
Use of Enhanced FMAP ⁴	0	2,238	0.0%	0	-100.0%	0	0.0%	0	0.0%
LOCAL SHARE OF MEDICAID⁵	8,353	7,418	-11.2%	7,422	0.1%	7,292	-1.8%	7,327	0.5%
FEDERAL SHARE OF MEDICAID	44,756	52,702	17.8%	51,413	-2.4%	53,022	3.1%	54,868	3.5%
DOH Medicaid	40,922	47,958	17.2%	46,573	-2.9%	48,121	3.3%	49,959	3.8%
Essential Plan	3,834	4,744	23.7%	4,840	2.0%	4,901	1.3%	4,909	0.2%
ALL FUNDING SOURCES	79,730	87,336	9.5%	87,806	0.5%	90,908	3.5%	94,350	3.8%

¹ The DOH Medicaid budget funds a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.
² Beginning in FY 2013, the State began phasing (3-2-1-0) in takeover of the local government share of growth. As of County Year (CY) 2015 the State pays the full share of Medicaid program growth on behalf of local governments.
³ MSA payments are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's share of local Medicaid growth.
⁴ Enhanced FMAP of 6.2 percent for 9 months retro to January 2020.
⁵ The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals. Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.



State Financial Plan Multi-Year Projections

State share Medicaid spending also appears in the Financial Plan estimates for other State agencies and programs, including the mental hygiene agencies, child welfare programs, education aid and corrections.

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS¹ (millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Department of Health Medicaid	<u>21,963</u>	<u>20,930</u>	<u>24,161</u>	<u>25,213</u>	<u>26,205</u>
Local Assistance	22,077	23,278	24,244	25,297	26,282
State Operations	207	252	279	278	285
MSA Payments (Share of Local Growth) ²	(321)	(362)	(362)	(362)	(362)
Enhanced FMAP ³	0	(2,238)	0	0	0
Other State Agency Medicaid Spending	<u>4,263</u>	<u>3,610</u>	<u>4,372</u>	<u>4,945</u>	<u>5,514</u>
Mental Hygiene	4,088	3,397	4,157	4,730	5,299
Foster Care	37	71	75	75	75
Education	138	140	140	140	140
Corrections	0	2	0	0	0
Total State Share Medicaid (All Agencies)	26,226	24,540	28,533	30,158	31,719
Annual \$ Change		(1,686)	3,993	1,625	1,561
Annual % Change		-6.4%	16.3%	5.7%	5.2%
Essential Plan⁴	74	76	76	74	74
Local Assistance	0	0	0	0	0
State Operations	74	76	76	74	74

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and a share of minimum wage increases.

² MSA payments are deposited directly to a Medicaid Escrow Fund to cover a portion of the State share for Medicaid.

³ Enhanced FMAP of 6.2 percent for 9 months retroactive to January 2020.

⁴ The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

Global Cap

The majority of DOH State Funds Medicaid spending is budgeted and expended principally through DOH. A portion of this spending is subject to the Global Cap -- the ten-year rolling average of the medical component of the CPI. The Global Cap excludes non-indexed items including the takeover of local Medicaid growth, the multi-year takeover assumption of local Medicaid administration costs, increased Federal Financial Participation (FFP) pursuant to the ACA (effective in January 2014), and the cost of minimum wage increases for health care providers. The Global Cap allows for growth related to increasing costs but does not account for utilization growth. The statutory provisions of the Global Cap allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster, and grant the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner's powers are intended to limit the annual growth rate to the levels set by the Global Cap indexed rate for the then-current fiscal year, through actions which may include reducing reimbursement rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation.

Medicaid Redesign Team (MRT) II

In FY 2020, DOB recognized that a structural imbalance existed in the Medicaid program. Absent actions to rein in spending growth, State Medicaid spending levels would have exceeded the allowable indexed growth as set by Global Cap statute. In response to the imbalance, the Governor formed the MRT II with the objective of restoring financial sustainability to the Medicaid program while connecting other programmatic initiatives that would advance the Administration's core healthcare strategies.

The Financial Plan includes \$2.2 billion in recommendations, including the recurring value of savings that began in FY 2020, put forward by the MRT II to create efficiencies within the Medicaid program and address the Medicaid imbalance, including identifying efficiencies in Managed Care and Managed Long-Term Care, as well as eligibility and administrative reforms. Additionally, policy initiatives, including the carve out of services from Managed Care within pharmacy and the centralization of a transportation broker will lead to better transparency and greater efficiencies within these areas. The MRT also focused on greater Program Integrity within Medicaid and included reforms to modernize regulations to eliminate fraud, waste and abuse.

Through a combination of MRT II actions, the continued FY 2020 savings plan, payment delays and restructuring, spending under the Global Cap has been significantly reduced to ensure Medicaid spending stays within statutorily allowable levels in FY 2021 and beyond. In FY 2020, spending was roughly \$650 million lower than anticipated, resulting in a temporary reduction to the continued payment deferral previously planned. These savings, along with a recurring \$400 million spending reduction, partially offsets the required General Fund contribution in FY 2021 by \$100 million.



Programmatic and payment reforms to the Medicaid program addressed by the MRT II include, but are not limited to; reductions in Hospital supplemental pool payments; promoting quality Managed Care Encounter Data by withholding a portion of premiums; modifying criteria for Personal Care Services and the Consumer Directed Personal Assistance Program (CDPAP); delaying new discretionary Community First Choice Option (CFCO) services that are already furnished via Medicaid waivers; reducing drug cap growth by enhancing the purchasing power to lower cost drugs and an across the board rate reduction. For more information on the MRT II activities please see “Other Matters Affecting the Financial Plan” herein.

As a result of the MRT II and other combined savings actions, Global Cap spending growth is projected to adhere to the indexed rate of 3 percent in FY 2021. Similarly, the Financial Plan reflects the continuation of the “Global Cap” through FY 2024, and the projections assume that statutory authority will be extended in subsequent years.

MEDICAID GLOBAL CAP FORECAST					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Global Medicaid Cap¹	19,433	20,006	20,594	21,200	21,824
Annual \$ Change		573	588	606	624
Annual % Change		3.0%	2.9%	2.9%	2.9%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

Temporary eFMAP

In response to the COVID-19 pandemic, the President signed into law the FFCRA in March 2020 which included supplemental Federal funding for various programs, including an enhanced FMAP for unexpected costs attributable to the pandemic retroactive to January 2020.

The FFCRA includes a 6.2 percent base increase to the FMAP rate for each calendar quarter occurring during the public health emergency, with exemptions placed on certain expenditures, including expansion spending that already receives enhanced Federal support. As of July 2020, the public health emergency has not been lifted and as such, the enhanced funding remains in place for quarter three of the calendar year. The Financial Plan assumes a nine-month State benefit of approximately \$2.2 billion that will be used to offset unanticipated General Fund expenses directly or indirectly related to the pandemic, including costs associated with increased Medicaid enrollment.¹⁸

¹⁸ In late July, the Secretary of Health and Human Services extended the public health emergency period through October 23, 2020, which would trigger a fourth quarter of the 6.2 base increase through December 31, 2020. However, the emergency period can be revoked at any time before the start of the final quarter if the Secretary determines there is no longer a public health emergency. Therefore, these savings, which would be comparable to the savings expected from the extension through September 30, are not reflected in this Quarterly Update.

Master Settlement Agreement (MSA)

In FY 2018, all outstanding bonds secured by annual payments from tobacco manufacturers under the MSA were retired, with no remaining debt service requirements to be paid on these bonds. DOB expects to receive MSA payments of approximately \$362 million in FY 2021 and in each subsequent year. Existing statutes direct these payments be used to help defray costs of the State’s takeover of Medicaid costs for counties and New York City. The State takeover, which capped local districts’ Medicaid costs at calendar year 2015 levels, is expected to cost the State \$1.3 billion in FY 2021, growing to \$1.5 billion in FY 2022. Consistent with State law, DOB expects MSA payments to be deposited directly to a Medicaid Payment Escrow Fund to offset the non-Federal share of annual Medicaid growth, formerly borne by local governments, which the State now pays on behalf of local governments. The deposit mechanism has no impact on overall Medicaid spending funded with State resources but reduces reported State-supported Medicaid spending accounted for in State Operating Funds.

The table below shows total State spending adjusted for MSA payments.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS (millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Share Support	26,621	24,978	28,971	30,594	32,155
State Funds Medicaid Disbursements	26,300	24,616	28,609	30,232	31,793
MSA Payments (Local Growth)	321	362	362	362	362

Minimum Wage

Medicaid spending includes the cost of increases in the minimum wage for employees in the health care sector. These costs are not subject to the Global Cap indexed spending limit. The State costs of minimum wage increases in the health care sector are projected to grow roughly \$300 million to \$1.8 billion in FY 2021. Per State statute, home health care workers in New York City and certain counties receive supplemental benefits in addition to their base wage. These benefits include paid leave, differential wages, premiums for certain shifts, education and fringe benefits. The supplemental benefits typically can be satisfied by increasing the base cash wage by a corresponding amount. As a result, wages for home health care workers in these regions exceed minimum wage levels by \$4.09 for New York City and \$3.22 for Westchester, Nassau, and Suffolk counties. However, State statute exempts the supplemental wages portion of total compensation from the minimum wage calculation to ensure home health care workers in these counties receive incremental growth in wage compensation commensurate with the new minimum wage schedule.



Local Medicaid Cap

The local Medicaid Cap was designed to relieve pressure on county property taxes and the New York City budget by capping local costs and having the State absorb all local program growth above a fixed statutory inflation rate. Beginning in January 2006, counties' Medicaid cost contributions were capped based on 2005 expenditures that were indexed at a growth rate of 3.5 percent in 2006, 3.25 percent in 2007, and 3 percent per year thereafter. In FY 2013, the State committed to phasing out over a three-year period all growth in the local share of Medicaid costs. The takeover of local Medicaid costs by the State is projected to save local districts a total of \$4.5 billion in FY 2021 including approximately \$2.3 billion for counties outside New York City and \$2.2 billion for New York City.



State Financial Plan Multi-Year Projections

**LOCAL GOVERNMENT SAVINGS
STATE TAKEOVER OF LOCAL MEDICAID COSTS (2005 CAP AND GROWTH TAKEOVER)
FY 2020 to FY 2024
(in dollars)**

County	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Albany	42,689,168	45,924,447	49,145,707	52,460,384	55,871,186
Allegany	6,772,552	7,282,837	7,790,910	8,313,717	8,851,686
Broome	45,031,526	47,571,195	50,099,859	52,701,854	55,379,307
Cattaraugus	15,132,371	16,107,474	17,078,352	18,077,385	19,105,391
Cayuga	15,561,190	16,470,059	17,374,989	18,306,163	19,264,340
Chautauqua	30,536,154	32,422,534	34,300,740	36,233,414	38,222,136
Chemung	16,488,992	17,606,113	18,718,393	19,862,930	21,040,658
Chenango	8,645,524	9,211,451	9,774,926	10,354,742	10,951,372
Clinton	13,123,058	14,054,886	14,982,677	15,937,373	16,919,755
Columbia	12,839,564	13,567,329	14,291,940	15,037,564	15,804,811
Cortland	8,805,834	9,380,674	9,953,023	10,541,971	11,147,998
Delaware	8,898,054	9,433,363	9,966,352	10,514,798	11,079,148
Dutchess	56,414,674	59,419,628	62,411,561	65,490,261	68,658,242
Erie	177,505,131	189,303,042	201,049,829	213,137,272	225,575,252
Essex	5,624,785	6,001,647	6,376,876	6,762,988	7,160,296
Franklin	8,587,732	9,155,077	9,719,964	10,301,233	10,899,359
Fulton	10,673,940	11,419,990	12,162,806	12,927,165	13,713,689
Genesee	9,025,263	9,592,429	10,157,138	10,738,223	11,336,160
Greene	9,557,304	10,145,907	10,731,959	11,335,007	11,955,543
Hamilton	687,021	727,545	767,892	809,410	852,132
Herkimer	12,250,594	13,037,477	13,820,950	14,627,145	15,456,719
Jefferson	18,285,842	19,451,308	20,611,724	21,805,792	23,034,488
Lewis	4,243,589	4,527,009	4,809,201	5,099,576	5,398,373
Livingston	9,545,038	10,117,564	10,687,610	11,274,187	11,877,774
Madison	10,611,590	11,274,217	11,933,972	12,612,860	13,311,436
Monroe	162,292,163	172,706,043	183,074,797	193,744,244	204,723,105
Montgomery	13,283,037	14,050,740	14,815,117	15,601,660	16,411,013
Nassau	236,493,602	250,812,829	265,070,006	279,740,641	294,836,725
Niagara	39,497,776	42,088,881	44,668,758	47,323,452	50,055,132
Oneida	50,086,271	53,309,028	56,517,821	59,819,668	63,217,269
Onondaga	100,968,739	107,166,225	113,336,855	119,686,433	126,220,149
Ontario	16,280,759	17,271,271	18,257,491	19,272,311	20,316,561
Orange	90,379,187	95,303,291	100,206,057	105,251,004	110,442,254
Orleans	8,078,898	8,577,544	9,074,029	9,584,912	10,110,610
Oswego	25,520,345	27,054,376	28,581,761	30,153,439	31,770,697
Otsego	8,536,571	9,117,002	9,694,918	10,289,593	10,901,514
Putnam	11,406,609	12,045,986	12,682,592	13,337,660	14,011,725
Rensselaer	24,542,662	26,323,971	28,097,561	29,922,585	31,800,535
Rockland	83,821,671	88,391,821	92,942,167	97,624,473	102,442,566
St. Lawrence	18,202,037	19,484,562	20,761,529	22,075,528	23,427,634
Saratoga	26,933,877	28,503,780	30,066,880	31,675,310	33,330,384
Schenectady	37,450,843	39,623,716	41,787,173	44,013,370	46,304,127
Schoharie	5,166,051	5,498,147	5,828,803	6,169,049	6,519,161
Schuyler	3,033,781	3,240,753	3,446,828	3,658,879	3,877,080
Seneca	5,619,596	5,972,765	6,324,404	6,686,240	7,058,570
Steuben	17,261,543	18,381,710	19,497,022	20,644,679	21,825,618
Suffolk	284,306,151	300,519,369	316,662,330	333,273,436	350,366,264
Sullivan	22,057,621	23,346,278	24,629,350	25,949,631	27,308,200
Tioga	6,304,446	6,744,480	7,182,606	7,633,439	8,097,345
Tompkins	11,104,669	11,806,747	12,505,782	13,225,089	13,965,256
Ulster	41,646,568	44,016,950	46,377,060	48,805,613	51,304,594
Warren	9,939,189	10,615,110	11,288,103	11,980,612	12,693,204
Washington	11,939,872	12,646,329	13,349,724	14,073,518	14,818,302
Wayne	18,840,889	19,842,160	20,839,092	21,864,935	22,920,527
Westchester	175,865,126	187,832,130	199,747,277	212,007,964	224,624,210
Wyoming	5,528,109	5,861,491	6,193,427	6,534,990	6,886,458
Yates	3,731,585	3,975,272	4,217,903	4,467,571	4,724,478
Rest of State	2,133,656,735	2,265,335,960	2,396,444,576	2,531,355,341	2,670,178,519
New York City	1,981,151,384	2,201,926,595	2,421,745,114	2,647,938,370	2,880,691,230
Statewide	4,114,808,119	4,467,262,556	4,818,189,690	5,179,293,711	5,550,869,749

Health Care Transformation Fund (HCTF)

Pursuant to Part FFF of Chapter 59 of the Laws of 2018, the Health Care Transformation Fund (HCTF) was created to account for receipts associated with health care asset sales and conversions. Moneys in the HCTF are to be made available for transfer to any other fund of the State, as directed by the Director of the Budget, to support health care delivery, including for capital investment, debt retirement or restructuring, housing and other social determinants of health, or transitional operating support to health care providers. Future proceeds related to asset sales and conversions may be directed to flow through the HCTF, subject to regulatory approvals.

HEALTH CARE TRANSFORMATION FUND PURSUANT TO PART FFF OF CHAPTER 59 OF THE LAWS OF 2018 (millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Opening Balance	525	315	0	0	0
Receipts	<u>501</u>	<u>138</u>	<u>138</u>	<u>68</u>	<u>0</u>
Fidelis Payment	400	50	50	0	0
Centene Payment	68	68	68	68	0
CVS Payment	13	13	13	0	0
Cigna Payment	7	7	7	0	0
STIP Interest	13	0	0	0	0
Planned Uses	<u>(711)</u>	<u>(453)</u>	<u>(138)</u>	<u>(68)</u>	<u>0</u>
Housing Rental Subsidies	(272)	(272)	(118)	(68)	0
State-Only Medicaid Payments	(228)	(160)	0	0	0
Capital Projects	(211)	(21)	(20)	0	0
Closing Balance	315	0	0	0	0

Fidelis - Centene Asset Sale

In September 2017, Fidelis Care (a nonprofit insurer associated with the Catholic Diocese of New York) agreed to sell a substantial share of its assets (under Sections 510 and 511-a of the Not-for-Profit Corporation Law “N-PCL”) to Centene Corporation, a for-profit health insurer based in St. Louis, Missouri, in order to enter New York’s health insurance marketplace. Consistent with previous transactions of similar nature in New York, the transaction was subject to regulatory approval by DOH, DFS and the Office of the Attorney General (OAG). The transaction included an agreement that the companies would contribute an estimated \$2 billion over five years beginning in FY 2019.



Direct payments are expected to offset State costs for health care transformation activities, including enhancing access to affordable quality health care and health care-related services for the poor, disabled, disadvantaged, elderly and/or underserved people of the State, and/or to assist populations with any unmet health care-related needs including, but not limited to, those associated with the social determinants of health.

Following completion of all regulatory approvals, the initial \$1 billion direct payment from Fidelis Care was deposited into the HCTF in July 2018. The State recently received the second round of conversion proceeds totaling \$468 million. Future deposits into the HCTF from these entities include a total of \$118 million in FYs 2021 and 2022, as well as \$68 million in FY 2023, at which time the conversion will be complete. The HCTF does not include increased insurance tax receipts from Centene or higher Medicaid provider rates paid to Centene, which are reflected in the General Fund.

CVS – Aetna Acquisition

In November 2018, DFS approved an application by CVS Health Corp. and CVS Pharmacy Inc. to acquire Aetna Health Insurance Company, a New York domestic stock accident and health insurance company. The acquisition was subject to several conditions, including enhanced consumer and health insurance rate protections, privacy controls, cybersecurity compliance, and a \$40 million obligation to New York State over three years. The State is expected to receive three installments of roughly \$13 million annually through FY 2022.

Cigna Health and Life Insurance Company (Cigna) – Express Scripts

In December 2018, DFS approved the request by Cigna Corporation, a health services organization, to acquire Express Scripts, a subsidiary pharmacy benefit management organization of Medco Containment Insurance Company of New York. Pursuant to the DFS approved terms, the combined entity is expected to contribute a total of \$20 million to New York through FY 2022 and will implement an enhanced care model that will reduce the cost of care and coverage gaps related to diabetes care, cardiology care and opioid abuse. Additional conditions include adherence to New York's cyber-security regulations and consumer protections related to insurance premiums and drug prices.

DOB expects to transfer HCTF funds from the above transactions to the General Fund to offset State costs for health care transformation activities.

Essential Plan

The FY 2015 Enacted Budget authorized the State to participate in the EP, a health insurance program which receives Federal subsidies authorized through the ACA. The EP includes health insurance coverage for legally residing immigrants in New York not eligible for Medicaid, CHP or other employer-sponsored coverage. Individuals who meet the EP eligibility standards are enrolled through the New York State of Health (NYSOH) insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. The Exchange – NYSOH – serves as a centralized marketplace to shop for, compare, and enroll in a health plan. More than 810,000 New Yorkers are expected to be enrolled in the EP in FY 2021. An increase in EP enrollment is anticipated in FY 2021, resulting from increased unemployment attributable to the COVID-19 pandemic. As many New Yorkers saw income fall or lost employer sponsored insurance, more are expected to become eligible and opt-in to coverage.

ESSENTIAL PLAN (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL ALL FUNDS SPENDING	3,908	4,820	23.3%	4,916	2.0%	4,975	1.2%	4,983	0.2%
State Operating Funds	74	76	2.7%	76	0.0%	74	-2.6%	74	0.0%
Local Assistance ¹	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	74	76	2.7%	76	0.0%	74	-2.6%	74	0.0%
Federal Operating Funds	3,834	4,744	23.7%	4,840	2.0%	4,901	1.3%	4,909	0.2%

¹The EP is not a Medicaid program; however, State savings associated with the EP local assistance program are realized within the Global Cap, where EP resources are managed.

Average spending growth of 6.7 percent over the multiyear Financial Plan reflects a mix of factors, including increased costs associated with higher enrollment and continued Federal support. The increase from FY 2020 to FY 2021 is partially attributable to the delayed transition of the Value Based Payment Quality Incentive Program to Federal funds and increased EP reimbursement rates to providers. Spending growth attributable to these rates tapers in the outyears.

As the State continues to collect a high Federal reimbursement rate for the EP under the current methodology, increased EP local assistance costs attributable to higher enrollment is not expected to increase State share support in FY 2021. The Financial Plan assumes the local assistance share of the EP will continue to be fully Federally funded. However, efforts by the Trump Administration beginning in 2017 to change the reimbursement continue to present uncertainties in future funding shares of the EP. Despite the uncertainty, the Financial Plan reflects full support for the EP.

Public Health/Aging Programs

Public Health includes many programs. The largest is CHP, which provides health insurance coverage for children of low-income families, up to the age of 19: General Public Health Work (GPHW) reimburses local health departments for the cost of providing certain public health services; Elderly Pharmaceutical Insurance Coverage (EPIC) which provides prescription drug insurance to seniors; and the Early Intervention (EI) program pays for services provided to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as the EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	1,805	1,933	7.1%	1,925	-0.4%	1,952	1.4%	1,970	0.9%
Public Health	1,669	1,792	7.4%	1,779	-0.7%	1,800	1.2%	1,813	0.7%
Child Health Plus ¹	735	659	-10.3%	789	19.7%	811	2.8%	823	1.5%
General Public Health Work ²	75	266	254.7%	163	-38.7%	163	0.0%	163	0.0%
EPIC	101	104	3.0%	103	-1.0%	103	0.0%	103	0.0%
Early Intervention ²	84	254	202.4%	163	-35.8%	163	0.0%	163	0.0%
HCRA Program	355	283	-20.3%	328	15.9%	328	0.0%	328	0.0%
All Other	319	226	-29.2%	233	3.1%	232	-0.4%	233	0.4%
Aging	136	141	3.7%	146	3.5%	152	4.1%	157	3.3%

¹ FY 2020 CHP spending includes the transfer of the Aliessa population previously funded under the Medicaid Global Cap. This change has no impact on service delivery.

² Fluctuating costs for General Public Health Works and Early Intervention is attributable to the timing of payment processing at the end of FY 2020.

The projected spending increase in FY 2021, and subsequent decrease in FY 2022, is primarily attributable to the timing of FY 2020 payments. The standard review process for State payments was disrupted by the COVID-19 pandemic, causing a lag in the release of several payments at the end of FY 2020, including GPHW and EI. Additionally, growth in Public Health spending can be attributable to the CHP program from increased enrollment. As many families saw incomes fall or employer sponsored insurance end due to the COVID-19 pandemic, the number of eligible CHP enrollees is anticipated to increase. The Public Health budget continues to support the CHP program and enrollment growth therein, as well as the full impact of phased down Federal support currently provided under the ACA, which will drive higher State costs across the multi-year Financial Plan.



State Financial Plan Multi-Year Projections

In addition to ongoing program support, the Financial Plan leverages \$73 million in new Federal funding to support public health programs that improve the health of children. The Health Services Initiatives option, available under CHP, will be used to offset State costs in programs such as GPHW, Healthy Neighborhoods, Genetic Disease, Public Health Campaign sexually transmitted diseases, and the Supplemental Nutrition Assistance Program (SNAP).

The Financial Plan also includes SOFA support to address locally identified capacity needs for services to maintain the elderly in their communities, support family and friends in their caregiving roles, and reduce future Medicaid costs by intervening earlier with less intensive services.

HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities and is currently authorized through FY 2023. HCRA resources include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. These resources are used to fund roughly 25 percent of State share Medicaid costs, and other programs and health care industry investments including CHP, EPIC, Physician Excess Medical Malpractice Insurance, Indigent Care payments to hospitals serving a disproportionate share of individuals without health insurance; Worker Recruitment and Retention; Doctors Across New York; and the Statewide Health Information Network for New York (SHIN-NY)/All-Payer Claims Databases (APCD) infrastructure development initiative, which improves the informational and data capabilities associated with claiming records.

HCRA FINANCIAL PLAN					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Updated	Projected	Projected	Projected
OPENING BALANCE	0	16	0	0	0
TOTAL RECEIPTS	6,261	6,180	6,228	6,289	6,353
Surcharges	3,875	3,823	3,896	3,972	4,049
Covered Lives Assessment	1,050	1,110	1,110	1,110	1,110
Cigarette Tax Revenue	722	675	641	609	579
Hospital Assessments	503	471	487	502	518
Excise Tax on Vapor Products	10	14	6	6	6
NYC Cigarette Tax Transfer	23	21	21	21	21
EPIC Receipts/ICR Audit Fees	78	66	67	69	70
TOTAL DISBURSEMENTS AND TRANSFERS	6,245	6,196	6,228	6,289	6,353
Medicaid Assistance Account	<u>3,836</u>	<u>4,149</u>	<u>4,025</u>	<u>4,082</u>	<u>4,133</u>
Medicaid Costs	3,639	3,974	3,850	3,907	3,958
Workforce Recruitment & Retention	197	175	175	175	175
Hospital Indigent Care	917	717	717	717	717
HCRA Program Account	363	291	336	336	336
Child Health Plus ¹	747	673	804	826	839
Elderly Pharmaceutical Insurance Coverage	112	116	114	114	114
Qualified Health Plan Administration	41	48	48	47	48
SHIN-NY/APCD	40	40	40	40	40
All Other	189	162	144	127	126
ANNUAL OPERATING SURPLUS/(DEFICIT)	16	(16)	0	0	0
CLOSING BALANCE	16	0	0	0	0

¹ The fluctuation in Child Health Plus expenditures from FY 2020 to FY 2021 reflects the impact of transitioning certain funding from the Medicaid Assistance account to Child Health Plus. This transition has no impact on service delivery.



State Financial Plan Multi-Year Projections

HCRA receipts are anticipated to fluctuate over the multi-year projection period, reflecting the anticipated impacts of the COVID-19 pandemic on hospital patient volume and activities associated with MRT II. The FY 2021 increase in Covered Lives Assessments (CLA) reflects receipts reverting to the maximum allowable statutory levels. Offsetting the aforementioned increases is declining cigarette tax revenue, attributable to reduced consumption, augmented by the full year impact of FY 2020 Enacted legislation that increased the purchasing age for tobacco products to 21.

Tax receipts in the State's HCRA fund are influenced by the consumption of nicotine-based products. Continued declines in the consumption of cigarettes, paired with the full year impact of increasing the purchasing age for tobacco products to 21 years, drives the projected decrease in HCRA tax receipts in FY 2021 and beyond.

Effective December 1, 2019, a 20 percent excise tax on the sale of vapor products went into effect in New York. The Enacted Budget includes legislation that bans the sale of most flavored vapor products, which represent a significant portion of the market. As such, the ban is expected to significantly reduce consumption and subsequently, HCRA tax receipts. Projected outyear declines in Vapor Tax receipts reflect the full annual impact of the vapor flavor ban.

HCRA spending is expected to fluctuate in line with the aforementioned COVID-19 pandemic impacts on receipts collections. The most substantial area of spending growth in the outyears is for the CHP program, largely due to the expiration of enhanced Federal resources provided through the ACA and expected utilization growth related to increased eligibility.

HCRA is expected to remain in balance over the multi-year Financial Plan period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to maintain a balanced fund. Any such spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would have otherwise been paid from the General Fund.

Mental Hygiene

Mental Hygiene services are delivered by the Office for People with Developmental Disabilities (OPWDD), the Office of Mental Health (OMH), the Office of Addiction Services and Supports (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are provided for adults with mental illness, children with emotional disturbance, individuals with developmental disabilities and their families, persons with chemical dependencies, and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities and indirectly through community-based providers. Costs of providing these services are reimbursed by Medicaid, Medicare, third-party insurance, and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, issued to finance infrastructure improvements at State mental hygiene facilities. Revenues in excess of debt service commitments are used to support State operating costs associated with Mental Hygiene service delivery.

MENTAL HYGIENE (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	3,427	2,849	-16.9%	3,641	27.8%	4,267	17.2%	4,921	15.3%
People with Developmental Disabilities	2,352	2,473	5.1%	2,669	7.9%	2,836	6.3%	2,997	5.7%
Residential Services	1,363	1,455	6.7%	1,542	6.0%	1,640	6.4%	1,733	5.7%
Day Programs	693	740	6.8%	784	5.9%	834	6.4%	881	5.6%
Clinic	17	18	5.9%	19	5.6%	21	10.5%	22	4.8%
All Other Services (Net of Offsets)	279	260	-6.8%	324	24.6%	341	5.2%	361	5.9%
Mental Health	1,322	1,477	11.7%	1,530	3.6%	1,597	4.4%	1,673	4.8%
Adult Local Services	1,091	1,223	12.1%	1,268	3.7%	1,325	4.5%	1,391	5.0%
Children Local Services	231	254	10.0%	262	3.1%	272	3.8%	282	3.7%
Addiction Services and Supports	363	367	1.1%	386	5.2%	406	5.2%	424	4.4%
Residential	91	93	2.2%	96	3.2%	101	5.2%	105	4.0%
Other Treatment	190	188	-1.1%	197	4.8%	207	5.1%	216	4.3%
Prevention	50	51	2.0%	55	7.8%	57	3.6%	60	5.3%
Recovery	32	35	9.4%	38	8.6%	41	7.9%	43	4.9%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
Total Spending Funded by DOH Medicaid Global Cap ¹	(611)	(1,469)	-140.4%	(945)	35.7%	(573)	39.4%	(174)	69.6%
People with Developmental Disabilities	(611)	(1,469)	-140.4%	(945)	35.7%	(573)	39.4%	(174)	69.6%
TOTAL MENTAL HYGIENE SPENDING ¹	4,038	4,318	6.9%	4,586	6.2%	4,840	5.5%	5,095	5.3%

¹ Reflects a portion of mental hygiene spending reported under the Medicaid Global Cap that has no impact on mental hygiene service delivery or operations.



State Financial Plan Multi-Year Projections

Local assistance funding for the mental hygiene agencies is expected to grow by an average 6.0 percent over the Financial Plan period. Increased funding reflects reimbursement to not-for-profit providers for increasing employee wages related to salary increases for direct care and clinical workers; compliance with incremental pay standards and related fringe benefit increases associated with the transition to a \$15 per hour minimum wage; and community-based employment and residential opportunities for individuals with disabilities.

Investments to leverage up to \$120 million (gross) in additional OPWDD funding will allow for the development of new certified housing supports in the community, support more independent living, provide more day program and employment options, and increase respite availability. Additional OMH funding will support existing residential programs and expansion of suicide prevention efforts for veterans, law enforcement, correction officers and first responders.

Spending also reflects a 4 percent total increase through FY 2021 for direct care workers and a 2 percent pay raise for clinical workers serving the mental hygiene community. Both increases are aimed at assisting not-for-profits in recruitment and retention of employees. When fully annualized, these investments will increase State share support for workers by \$107 million (\$188 million on an All Funds basis).

The Financial Plan reflects continued funding for OASAS prevention, treatment and recovery programs targeted toward chemical dependency, residential service opportunities, and public awareness activities.

A \$1.5 billion portion of mental hygiene spending is reported under the DOH Medicaid Global Cap in FY 2021, an increase of roughly \$900 million over FY 2020. This has no impact on mental hygiene service delivery or operations.

Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs are Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled persons.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	1,161	1,416	22.0%	1,346	-4.9%	1,459	8.4%	1,496	2.5%
SSI	635	666	4.9%	667	0.2%	667	0.0%	667	0.0%
Public Assistance Benefits	420	647	54.0%	541	-16.4%	541	0.0%	541	0.0%
Public Assistance Initiatives	10	9	-10.0%	9	0.0%	9	0.0%	9	0.0%
Homeless Housing and Services	92	90	-2.2%	126	40.0%	239	89.7%	277	15.9%
All Other	4	4	0.0%	3	-25.0%	3	0.0%	2	-33.3%

DOB’s caseload models project a total of 469,185 public assistance recipients in FY 2021. Approximately 171,392 families are expected to receive benefits through the Family Assistance program in FY 2021, a decrease of 3.7 percent from FY 2020. The Safety Net caseload for families is projected at 101,741 in FY 2021, a decrease of 3.1 percent from FY 2020. The caseload for single adults and childless couples supported through the Safety Net program is projected at 196,052 in FY 2021, an increase of 2.4 percent from FY 2020.

SSI spending is projected to increase slightly over the course of the multi-year Financial Plan as caseload is expected to level off. The large increase in Public Assistance payments in FY 2021 is due to interruptions in the payment review process stemming from the COVID-19 pandemic. These payments are now projected in FY 2021. Budget actions include shifting the cost of Consolidated Homeless Programs to off-budget resources and restructuring financing for the Family Assistance and Emergency Assistance for Needy Families programs, to move 5 percent of costs previously financed by Federal Temporary Assistance for Needy Families (TANF) resources to the City of New York. Spending increases in the outyears reflect a transition from State settlement funds to the General Fund for the Empire State Supportive Housing Initiative (ESSHI) supportive housing constructed for vulnerable homeless populations under the Governor’s Affordable Housing and Homelessness Plan. This transition from settlement funds reflects all costs of the ESSHI program that are shared by multiple agencies and will be allocated to those agencies in a future update to the Financial Plan.

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. It oversees the State’s system of family support and child welfare services administered by local social services districts and community-based organizations. Specifically, child welfare services, financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	1,194	1,834	53.6%	1,550	-15.5%	1,551	0.1%	1,551	0.0%
Child Welfare Service	305	685	124.6%	476	-30.5%	476	0.0%	476	0.0%
Foster Care Block Grant	287	480	67.2%	393	-18.1%	393	0.0%	393	0.0%
Child Care	191	192	0.5%	209	8.9%	209	0.0%	209	0.0%
Adoption	127	161	26.8%	148	-8.1%	148	0.0%	148	0.0%
Youth Programs	94	101	7.4%	92	-8.9%	92	0.0%	92	0.0%
Medicaid	37	71	91.9%	75	5.6%	75	0.0%	75	0.0%
Adult Protective/Domestic Violence	19	81	326.3%	54	-33.3%	54	0.0%	54	0.0%
Committees on Special Education	30	0	-100.0%	28	0.0%	29	3.6%	29	0.0%
All Other	104	63	-39.4%	75	19.0%	75	0.0%	75	0.0%

As the State responded to the COVID-19 pandemic, the normal review process for payments was interrupted. This caused a delay in the release of several payments from FY 2020 to FY 2021, including Child Welfare Services, Foster Care Block Grant, Adoption, Youth and Adult Protective/Domestic Violence programs.

The Enacted Budget included the use of TANF resources to offset State child care costs, restructuring the financing approach for residential school placements of children with special needs outside New York City, and requiring the increased use of Federal funds for Child Welfare Services. In addition, the Enacted Budget reduced funding for child care union contracts and eliminates funding for the Public/Private Partnership program.

Transportation

The Department of Transportation directly maintains and improves approximately 44,500 State highway lane miles and nearly 7,900 bridges. The Department also partially funds regional and local transit systems, including the MTA; local government highway and bridge construction; and rail, airport, and port programs.

In FY 2021, the State expects to provide \$6.6 billion in operating aid to mass transit systems, including up to \$2.8 billion from the direct remittance of various dedicated taxes and fees to the MTA (not included in the table below) and \$244 million annually from a State supplement to the Payroll Mobility Tax (PMT) tax collections. The MTA, the nation’s largest transit and commuter rail system, receives 90 percent of the State’s mass transit aid -- \$6.0 billion in FY 2021.

TRANSPORTATION (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE OPERATING FUNDS SUPPORT	3,488	3,792	8.7%	3,806	0.4%	4,008	5.3%	4,198	4.7%
Mass Transit Operating Aid:	<u>2,448</u>	<u>2,709</u>	<u>10.7%</u>	<u>2,592</u>	<u>-4.3%</u>	<u>2,718</u>	<u>4.9%</u>	<u>2,805</u>	<u>3.2%</u>
Metro Mass Transit Aid	2,292	2,571	12.2%	2,439	-5.1%	2,563	5.1%	2,649	3.4%
Public Transit Aid	112	94	-16.1%	109	16.0%	111	1.8%	112	0.9%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax	245	244	-0.4%	327	34.0%	406	24.2%	510	25.6%
MTA Aid Trust	32	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
NY Central Business District Trust	0	150	0.0%	152	1.3%	153	0.7%	155	1.3%
Dedicated Mass Transit	697	623	-10.6%	669	7.4%	665	-0.6%	662	-0.5%
AMTAP	66	66	0.0%	66	0.0%	66	0.0%	66	0.0%
All Other	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast, certain commitments to MTA capital plans, and a lag in the release of several payments in FY 2020 caused by interruption of the normal payment review process as the State responded to the evolving COVID-19 pandemic. A substantial amount of new funding to the MTA was authorized in the FY 2020 Enacted Budget as part of a comprehensive reform plan expected to generate \$25 billion in financing for the MTA’s 2020-2024 Capital Plan. This includes sales tax receipts from online marketplace provider sales tax collections on all sales facilitated through their platforms, and implementation and enforcement of regulations associated with the *Wayfair* decision, which is projected to provide the MTA with \$150 million in dedicated revenues in FY 2021.



State Financial Plan Multi-Year Projections

The MTA, and its operating agencies, have suffered devastating reductions in ridership and traffic as a result of the COVID-19 pandemic. Compared to 2019 results, as of June 22, 2020, ridership has declined 82 percent on the subways, 87 percent on MTA Metro-North Railroad, 84 percent on the Long Island Rail Road, and 52 percent on buses. For the period from June 1-24, 2020, crossings at the Triborough Bridge and Tunnel Authority facilities are down by an estimated 32 percent from 2019 figures.

At the request of the MTA, McKinsey & Company (McKinsey) provided a detailed economic analysis to assist MTA in assessing the financial impact of the COVID-19 pandemic on the MTA's operating budget. The McKinsey analysis projects the full calendar year 2020 financial impact of the pandemic on the MTA to be between \$7 and \$8.5 billion, including substantial reductions in revenues from State dedicated taxes and fees. The MTA expects to receive approximately \$4 billion under the Federal CARES Act, and on April 16, 2020, the MTA requested an additional \$3.9 billion in Federal aid for the remainder of calendar year 2020.

The adverse impact of the pandemic on the operating budget has affected credit ratings on MTA Transportation Revenue Bonds. Due to the increased cost of borrowing for the MTA, the State issued PIT Revenue Bonds in July to fund its FY 2021 contribution to the MTA's 2015-19 Capital Plan. Previously the Financial Plan had assumed that the projects would be bonded by the MTA, but funded by the State through additional operating aid to the MTA. Accordingly, the Quarterly Update reflects a decrease in PMT disbursements of \$31 million in FY 2021 and \$42 million in each of the outyears, and a corresponding increase in PIT Bond debt service. It is expected that the State may execute additional bond sales in FY 2021 or in later years to fund all or a portion of its remaining contribution to the MTA 2015-19 Capital Plan



Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, cities, towns, and villages; and efficiency-based incentive grants to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	662	671	1.4%	704	4.9%	704	0.0%	704	0.0%
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	9	9	0.0%	9	0.0%	9	0.0%	9	0.0%
Restructuring/Efficiency	6	15	150.0%	48	220.0%	48	0.0%	48	0.0%

The Budget continues to support towns and villages at the same funding level as FY 2020. State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to increase due to potential awards from the Financial Restructuring Board for Local Governments.

Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and General State Charges (GSCs). PS includes salaries of State employees of the Executive, Legislative, and Judicial branches consistent with current negotiated collective bargaining agreements, as well as temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (e.g., consultants, Information Technology (IT), and professional business services), supplies and materials, equipment, and telephone service. GSCs, discussed separately, reflect the cost of fringe benefits (e.g., pensions and health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, as well as certain fixed costs such as litigation expenses and taxes on public lands. Certain agency operating costs of the Department of Transportation (DOT) and the Department of Motor Vehicles (DMV) are included in Capital Projects Funds and are not reflected in State Operating Funds.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the SUNY system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain factors used in preparing the spending projections for agency operations.



State Financial Plan Multi-Year Projections

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS

	FY 2020 Results ¹	FY 2021 Updated	Forecast		
			FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Negotiated Base Salary Increases²					
NYSTPBA /NYSPIA/NYSCOPBA/GSEU	2%	2%	2%	2%	TBD
UUP	2%	2%	2%	TBD	TBD
CSEA/DC-37 (Rent Regulation Unit)/MC	2%	2%	TBD	TBD	TBD
Council 82/PEF/PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce ³	118,193	118,850	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ⁴	15.1%	15.1%	16.0%	16.8%	19.2%
After Amortization ⁵	18.7%	18.7%	19.2%	19.4%	21.0%
PFRS Contribution Rate					
Before Amortization ⁴	24.0%	24.8%	25.7%	26.2%	28.4%
After Amortization ⁵	26.8%	27.7%	28.4%	28.5%	30.0%
Employee/Retiree Health Insurance Growth Rates					
PS/Fringe as % of Receipts (All Funds Basis)	13.0%	12.6%	14.4%	14.4%	14.4%

¹ Reflects preliminary unaudited results.

² Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.

³ Reflects workforce that is subject to direct Executive control.

⁴ Before amortization contribution rate reflects the State's normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation.

⁵ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

Consistent with the Enacted Budget Financial Plan, the State has implemented a hiring freeze and controls on non-personal service and capital commitments and expenditures. It has also deferred, through at least September 30, the general salary increases that were scheduled to take effect on April 1, 2020. State agencies have been directed to reduce operating expenditures by 10 percent from the levels authorized in the FY 2021 Enacted Budget Financial Plan. Certain exceptions are expected to be granted for facility operations and public health and safety priorities.



State Financial Plan Multi-Year Projections

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS

(millions of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
SUBJECT TO DIRECT EXECUTIVE CONTROL¹	11,040	9,228	10,066	9,924	9,985
Mental Hygiene	2,882	2,867	2,916	2,961	3,008
Corrections and Community Supervision ²	2,871	2,704	2,709	2,748	2,745
State Police	774	500	819	838	838
Department of Health ²	710	770	802	800	807
Information Technology Services	540	546	552	564	564
Children and Family Services	146	380	379	379	384
Tax and Finance	316	357	345	344	345
Transportation	345	342	341	341	341
Environmental Conservation	216	240	234	229	228
COVID-19 Pandemic	503	(501)	0	0	0
Budget Balance Reduction	0	(700)	(750)	(1,000)	(1,000)
All Other	1,737	1,723	1,719	1,720	1,725
UNIVERSITY SYSTEMS	6,426	6,507	6,338	6,434	6,513
State University	6,324	6,375	6,335	6,431	6,510
City University	102	132	3	3	3
INDEPENDENT AGENCIES	337	326	313	313	313
Law	185	192	187	187	187
Audit & Control (OSC)	152	164	161	161	161
Budget Balance Reduction	0	(30)	(35)	(35)	(35)
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	17,803	16,061	16,717	16,671	16,811
Judiciary	2,137	2,234	2,177	2,177	2,177
Legislature	228	249	256	256	256
Budget Balance Reduction	0	(250)	(265)	(265)	(265)
Statewide Total	20,168	18,294	18,885	18,839	18,979
Personal Service	14,090	13,749	13,839	13,890	13,976
Non-Personal Service	6,078	4,545	5,046	4,949	5,003

¹ FY 2020 results include \$185 million in retroactive salary payments for NYSCOPBA, PBA and NYSPIA labor agreements, FY 2021 estimates include \$8 million in retroactive salary payments for PBANYS.

² FY 2020 and FY 2021 exclude costs incurred in response to the COVID-19 pandemic.

Prior to operating expenditure reductions directed in the Enacted Budget Financial Plan, most agencies were expected to hold spending flat. However, the cost of an additional payroll in FY 2021 is expected to add one-time costs. Other notable spending changes include:

- **State Police.** Spending projections for the State Police have been updated to reflect the reclassification of personal service costs for first responders and public safety officers to the Federal CRF pursuant to Treasury eligibility guidelines. This results in lower estimated General Fund spending in FY 2021 and a concomitant increase in the Federal CRF.
- **Corrections and Community Supervision.** Lower spending reflects the planned reduction in excess prison capacity due to declines in prison population and retroactive salary payments made in FY 2020, partially offset by increasing costs associated with solitary confinement reforms.
- **Children and Family Services.** Increased spending is mainly driven by the annualized cost of raising the age of criminal responsibility from 16 to 18, and a modification to the youth facility billing process implemented in FY 2020.
- **Tax and Finance.** Higher spending in FY 2021 reflects the timing of certain cost increases, partly offset by savings from the attrition of agency employees.
- **Mental Hygiene.** Increased spending includes the cost of continued delivery of services in State-operated program settings.
- **State University.** SUNY campus enrollment is projected to decline as a result of the COVID-19 pandemic, as such previously reported operational costs at SUNY campuses have been reduced from the Enacted Budget reflecting associated spending reductions.
- **City University.** The Enacted Budget authorized the reclassification of certain fees and associated spending, from a special revenue fund to an agency trust fund, to align with current classification of CUNY tuition revenues resulting in a reduction in CUNY spending. This Quarterly Update reflects the deferral of the implementation from FY 2021 to FY 2022.
- **Judiciary.** The Judiciary's request for increased operating support to fund salary and staff increases in court operations and security drive higher spending in FY 2021.



State Financial Plan Multi-Year Projections

- **COVID-19 Pandemic.** FY 2020 actual spending results reflect non-personal service costs incurred in response to the COVID-19 pandemic. The Enacted Budget assumed that all direct COVID-19 costs in FY 2021 would be fully funded by the Federal government and DOB did not include any estimate of such costs. However, spending projections have been updated to reflect the reclassification and reversal of non-personal service expenses that were incurred prior to March 31, 2020. Costs to build out hospital facilities, secure critical equipment, and fund other pandemic response activities totaled roughly \$500 million in FY 2020. A portion of these disbursements, roughly \$369 million is expected to be reclassified to the Federal CRF pursuant to Treasury eligibility guidelines. Another \$132 million has been refunded pursuant to cancelled contracts due to various violations of contractual terms and conditions.
- **Budget Balance Reduction.** Executive agency budgets, with limited exceptions for facility operations and public health and safety, will be reduced by 10 percent from budgeted levels. The Financial Plan assumes that the Judiciary and elected officials will initiate comparable reductions in their budgets for FY 2021.

Workforce

In FY 2021, \$13.7 billion, or 14 percent, of the State Operating Funds budget is dedicated to supporting Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY (46,834) and Independent Agencies; employees paid on a nonannual salaried basis; and overtime pay. Roughly 60 percent of Executive agency workforce is in the mental hygiene agencies and DOCCS.

STATE OPERATING FUNDS		
FY 2021 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY		
(millions of dollars)		
	Dollars	FTEs
SUBJECT TO DIRECT EXECUTIVE CONTROL	7,343	96,347
Mental Hygiene	2,379	32,326
Corrections and Community Supervision	2,208	25,611
State Police	440	5,666
Department of Health	284	4,090
Information Technology Services	302	3,418
Tax and Finance	283	4,085
Children and Family Services	266	2,297
Environmental Conservation	201	2,322
Transportation	169	2,591
Financial Services	158	1,391
Budget Balance Reduction	(300)	0
All Other	953	12,550
UNIVERSITY SYSTEMS	4,363	47,215
State University	4,279	46,834
City University ²	84	381
INDEPENDENT AGENCIES	2,043	18,434
Law	138	1,533
Audit & Control (OSC)	131	1,524
Judiciary	1,806	15,374
Legislature ³	193	3
Budget Balance Reduction	(225)	0
Statewide Total	13,749	161,996
¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include nonannual salaried positions, such as those filled on an hourly, per-diem or seasonal basis.		
² CUNY employees are funded through an agency trust fund that supports 13,349 FTEs, which are excluded from this table. The \$3 million in costs represents personal service expenses reflected in the CUNY Tuition Reimbursement account.		
³ Legislative employees who are nonannual salaried are excluded from this table.		

General State Charges

The State provides a variety of fringe benefits to current and former employees, including health insurance, pensions, workers' compensation coverage, unemployment insurance, survivors' benefits, and dental and vision benefits (some of which are provided through union-specific Employee Benefit Funds). The GSC budget also pays the Social Security payroll tax and certain statewide fixed costs, including taxes on State-owned lands, Payments in Lieu of Taxes (PILOT) and judgments and settlements awarded in the Court of Claims. Many of these payments are mandated by law or collective bargaining agreements.

Employee fringe benefits paid through GSCs are financed from the General Fund in the first instance, then partially reimbursed by revenue collected from agency fringe benefit assessments. In FY 2021, fringe benefit assessments have been updated to reflect the reclassification of personal service and related fringe benefits costs for State Police first responders and public safety officers to the Federal CRF pursuant to Treasury guidelines. This results in higher Federal fringe benefit assessments and lower General Fund spending in FY 2021.

GSC spending is projected to increase by an average of 6.5 percent over the multi-year Financial Plan period mostly due to the deferment of payroll tax payments. In response to the COVID-19 pandemic, the Federal CARES Act authorized employers to defer payment of non-Medicare payroll taxes from April – December 2020, and for the deferral to be repaid without interest in two equal payments on December 31, 2021 and December 31, 2022. Payroll taxes are 7.65 percent of personal service costs (6.2 percent for Social Security and 1.45 percent for Medicare). The Executive and the Judiciary have elected to defer the allowable non-Medicare payment -- an estimated \$599 million for the Executive and \$68 million for the Judiciary.

Growth in health insurance reflects medical inflation and current enrollment levels. Workers' Compensation costs are increasing due to reserve funds that are no longer available to offset growth in the average weekly wage used for benefit calculations and medical costs. Increases in other programs such as employee benefits and dental insurance are attributable to collectively negotiated salary increases and benefit enhancements.



State Financial Plan Multi-Year Projections

Overall pension costs are projected to increase based on the most recent estimate received from the State Comptroller’s Office, which reflects a variety of actuarial assumptions including salary increases and investment returns. The preliminary FY 2021 pension bill includes a reduction by OSC to the expected rate of return on pension assets from 7 percent to 6.8 percent. This was estimated to increase the State’s contribution by roughly \$300 million, however, the higher cost is partially offset by the implementation of a new “mortality improvement” scale. The State Comptroller does not forecast pension liability estimates beyond the budget year, thus estimates for FY 2022 and beyond are developed by DOB. DOB’s forecast assumes growth in the salary base consistent with collective bargaining agreements and a stable rate of return.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	8,423	8,115	-3.7%	10,152	25.1%	10,727	5.7%	10,842	1.1%
Fringe Benefits	8,063	7,683	-4.7%	9,678	26.0%	10,253	5.9%	10,368	1.1%
Health Insurance	4,303	4,513	4.9%	4,860	7.7%	5,219	7.4%	5,608	7.5%
Pensions	2,456	2,592	5.5%	2,855	10.1%	2,990	4.7%	2,996	0.2%
Social Security	1,070	468	-56.3%	1,472	214.5%	1,489	1.2%	1,175	-21.1%
Workers' Compensation	452	493	9.1%	638	29.4%	697	9.2%	762	9.3%
Employee Benefits	103	108	4.9%	117	8.3%	121	3.4%	121	0.0%
Dental Insurance	55	63	14.5%	65	3.2%	66	1.5%	66	0.0%
Unemployment Insurance	11	12	9.1%	12	0.0%	12	0.0%	12	0.0%
All Other/Non-State Escrow	(387)	(566)	-46.3%	(341)	39.8%	(341)	0.0%	(372)	-9.1%
Fixed Costs	360	432	20.0%	474	9.7%	474	0.0%	474	0.0%
Public Land Taxes/PILOTS	262	296	13.0%	302	2.0%	302	0.0%	302	0.0%
Litigation	98	136	38.8%	172	26.5%	172	0.0%	172	0.0%



Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Updated	Projected	Projected	Projected
TOTAL TRANSFERS TO OTHER FUNDS	6,098	6,502	6,877	7,125	6,502
Debt Service	736	310	488	501	553
SUNY University Operations	1,179	1,273	1,273	1,267	1,267
Capital Projects	3,128	3,396	3,749	3,913	3,133
Extraordinary Monetary Settlements:	1,345	425	959	747	345
Dedicated Infrastructure Investment Fund	939	1,130	877	525	330
Javits Center Expansion	271	183	0	0	0
Bond Proceeds Receipts for Javits Center Expansion	0	(1,000)	0	0	0
Clean Water Grants	0	25	50	175	0
Mass Transit Capital	3	7	2	2	1
Health Care	132	80	30	45	14
Dedicated Highway and Bridge Trust Fund	397	627	332	444	459
Environmental Protection Fund	28	28	28	96	96
All Other Capital	1,358	2,316	2,430	2,626	2,233
ALL OTHER TRANSFERS	1,055	1,523	1,367	1,444	1,549
Department of Transportation (MTA Payroll Tax)	244	244	327	406	510
SUNY - Medicaid Reimbursement	306	243	243	243	243
NY Central Business District Trust	113	150	152	153	155
Judiciary Funds	114	115	115	115	115
Indigent Legal Services	22	28	75	75	75
Dedicated Mass Transportation Trust Fund	66	65	65	65	65
Banking Services	40	49	49	49	49
Business Services Center	8	27	30	30	30
Mass Transportation Operating Assistance	23	21	21	21	21
Correctional Industries	21	21	21	21	21
Public Transportation Systems	16	16	16	16	16
Health Income Fund	10	16	16	16	16
Health Insurance Internal Services Account	8	12	12	12	12
Centralized Technology Services	11	11	11	11	11
General Services	10	10	3	0	0
Spinal Cord Injury Fund	9	9	9	9	9
Commercial Gaming Revenue (School Aid Support)	0	44	0	0	0
Video Lottery Terminal (School Aid Support)	0	244	0	0	0
All Other	34	198	202	202	201



State Financial Plan Multi-Year Projections

General Fund transfers to other funds are expected to total \$6.5 billion in FY 2021, a \$404 million increase from FY 2020. The increase primarily reflects support for School Aid in lieu of lower video lottery and commercial gaming revenues. Debt service transfers were higher in FY 2020 as the State prepaid FY 2021 debt at the end of FY 2020.

In addition, capital projects transfers are expected to increase by \$268 million in FY 2021. The capital increase reflects use of new hard dollar resources, rather than debt to fund capital projects. All other transfers in FY 2021 and beyond reflect a conservative estimate of General Fund resources needed to support various programs outside the General Fund.

The DHBTF receives revenue from motor vehicle fees, Petroleum Business Tax (PBT), the motor fuel tax, Highway Use Tax (HUT), the auto rental tax, utilities taxes, and miscellaneous transportation-related fees. Receipts deposited into the DHBTF are used to pay debt service on transportation bonds, finance capital projects on a PAYGO basis, and pay certain operating expenses of the Department of Transportation (DOT) and the Department of Motor Vehicles (DMV). The General Fund subsidizes DHBTF expenses, as expenses routinely exceed revenue deposits and bond proceeds.

Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development Corporation (ESD), DASNY, and the New York State Thruway Authority (NYSTA). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
General Fund	736	310	-57.9%	488	57.4%	501	2.7%	553	10.4%
Other State Support	4,180	5,672	35.7%	6,492	14.5%	6,898	6.3%	7,038	2.0%
Liquidity Financing ¹	0	4,382	0.0%	0	-100.0%	0	0.0%	0	0.0%
State Operating/All Funds Total	4,916	10,364	110.8%	6,980	-32.7%	7,399	6.0%	7,591	2.6%

¹ FY 2021 short-term notes issued at a premium in order to generate \$4.5 billion of proceeds.

Total State Operating/All Funds debt service is projected to be \$10.4 billion in FY 2021, of which \$310 million is paid from the General Fund via transfers, \$5.7 billion is paid from other State funds supported by dedicated tax receipts, and \$4.4 billion is for repayment of short-term liquidity financings, which represents the short-term notes issued at a premium in order to generate \$4.5 billion of proceeds for cashflow relief. The General Fund finances debt service payments on General Obligation and service contract bonds. Debt service for the State's revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

The Enacted Budget authorized liquidity financing in the form of up to \$8 billion of PIT notes and \$3.0 billion of line of credit facilities. Debt service growth from FY 2020 to FY 2021 reflects repayment in FY 2021 of \$4.5 billion of PIT notes, which were issued to help manage the adverse impact on cash flow that resulted from the extension of the Federal tax filing deadline due to the COVID-19 pandemic. A \$3.0 billion line of credit facility is currently in place, for which the Financial Plan does not assume any draws. Although no further liquidity financings are currently assumed, DOB will regularly evaluate cash results and adjust the use and size of liquidity tools based on updated information. The interest costs for liquidity financings are expected to be reimbursed by Federal Funds for expenses related to COVID-19 and are not reflected in the debt service estimates.



State Financial Plan Multi-Year Projections

The Financial Plan estimates for debt service spending have been revised to reflect bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. First quarter bond sale results include the issuance of PIT Revenue Bonds for the MTA’s 2015-19 Capital Plan. Previously the Financial Plan had assumed that the projects would be bonded by the MTA, but funded by the State through additional operating aid to the MTA. Accordingly, the Quarterly Update reflects a decrease in PMT disbursements of \$31 million in FY 2021 and \$42 million in each of the outyears, and a corresponding increase in PIT Bond debt service. It is expected that the State may execute additional bond sales in FY 2021 or in later years to fund all or a portion of its remaining contribution to the MTA 2015-19 Capital Plan.

Debt service spending estimates also reflect prepayment of \$1.5 billion in FY 2019 for debt service costs due in FY 2020, as well as the prepayment of \$465 million in FY 2020 for debt service costs due in FY 2021. See the section on “Other Matters Affecting the Financial Plan – Debt Reform Act Limit” herein for additional information on the status of State compliance with debt limits established in the State Debt Reform Act.



FY 2021
Year-to-Date Operating Results



FY 2021 Year-to-Date Operating Results

This section provides a summary of preliminary operating results for the first quarter of FY 2021 compared to: (1) the projections set forth in the Enacted Budget Financial Plan ("initial estimates") and (2) prior year FY 2020 results.

Operating results through June reflect the delayed release of payments (\$2.6 billion) and the withholding of a minimum of 20 percent of most local aid payments (\$1.3 billion), as well as certain other payments to achieve the cash flow savings anticipated in the Financial Plan.

Payments planned through June 30 in the initial estimates that were subsequently withheld resulted in lower spending primarily for local assistance, State Operations spending was also reduced by the delay in general salary increases that were scheduled to take effect on April 1. The table below summarizes the underlying State Operating Funds spending variances after adjusting for the described above.

STATE OPERATING FUNDS COMPARED TO PLAN									
FY 2021 APRIL TO JUNE									
(millions of dollars)									
	Enacted	Results	Payments Withheld	Paid After June 30	Adjusted Results	Variance Above/(Below) Enacted Estimate			
						Results		Adjusted Results	
						Dollar	Percent	Dollar	Percent
Disbursements	27,303	23,053	1,330	2,570	26,953	(4,250)	-15.6%	(350)	-1.3%
Local Assistance	18,741	14,712	1,290	2,570	18,572	(4,029)	-21.5%	(169)	-0.9%
State Operations	4,933	4,787	40	0	4,827	(146)	-3.0%	(106)	-2.1%
General State Charges	3,544	3,465	0	0	3,465	(79)	-2.2%	(79)	-2.2%
Debt Service	85	89	0	0	89	4	4.7%	4	4.7%

Summary of General Fund Operating Results

FY 2021 GENERAL FUND OPERATING RESULTS				
FY 2021 April to June				
(millions of dollars)				
	Initial Estimate	Results	Variance Above/ (Below)	
			Initial Estimate	
			\$	%
OPENING BALANCE	8,944	8,944	0	0.0%
Total Receipts	16,943	18,008	1,065	6.3%
Taxes:	11,834	12,612	778	6.6%
Personal Income Tax ¹	6,894	8,627	1,733	25.1%
Consumption / Use Taxes ¹	3,001	2,501	(500)	-16.7%
Business Taxes	1,534	1,080	(454)	-29.6%
Other Taxes ¹	405	404	(1)	-0.2%
Receipts and Grants	4,803	5,043	240	5.0%
Transfers From Other Funds	306	353	47	15.4%
Bond & Note Proceeds	-	-	-	0.0%
Total Spending	24,169	20,088	(4,081)	-16.9%
Local Assistance	16,007	13,045	(2,962)	-18.5%
Agency Operations (including GSCs)	6,289	6,126	(163)	-2.6%
Transfers to Other Funds	1,873	917	(956)	-51.0%
Debt Service Transfer	30	34	4	13.3%
Capital Projects Transfer	651	(254)	(905)	-139.0%
SUNY Operations Transfer	817	759	(58)	-7.1%
All Other Transfers	375	378	3	0.8%
Change in Operations	(7,226)	(2,080)	5,146	71.2%
CLOSING BALANCE	1,718	6,864	5,146	299.5%

¹ Includes transfers from other funds after debt service.

The General Fund ended June 2020 with a balance of \$6.9 billion, \$5.1 billion above the initial estimate. The variance was comprised of \$4.1 billion in lower spending and \$1.1 billion in higher receipts. Lower spending is almost entirely due to timing-related delays and payment withholdings, as well as lower spending on agency operations and capital projects due to the imposition of a strict hiring freeze, spending controls, and limits on new capital commitments. Higher receipts reflect PIT collections above the initial estimates due mainly to slower than expected refund payments as taxpayers appear to have waited until the July 15 deadline to file, offset by lower than projected receipts for both sales and business taxes.



FY 2021 Year-to-Date Operating Results

Through June 2020, General Fund receipts, including transfers from other funds, totaled \$18 billion, \$1.1 billion (6.3 percent) above the initial estimate. PIT receipts were \$1.7 billion higher mainly due to lower than expected current year refunds that are expected to be paid in July consistent with the filing deadline, as well as higher withholding collections, including withholding on unemployment compensation, and advanced credit payments attributable to taxpayer filing behavior. Lower consumption tax receipts are due to weaker than projected growth in sales tax receipts driven by the State's "Stay-at-Home" order and retail closures in response to the COVID-19 pandemic. Lower business taxes are attributable a higher level of refund payments than estimated and lower receipts in corporate franchise and insurance taxes that are likely related to some taxpayers waiting until July to make their payments.

Miscellaneous receipts through June include the receipt of an unplanned \$220 million extraordinary settlement from Bank Hapoalim for a penalty issued by the Department of Financial Services (DFS) in relation to assisting U.S. clients with the evasion of state and Federal taxes by conducting illegal cross-border banking business.

General Fund disbursements, including transfers to other funds, totaled \$20.1 billion, \$4.1 billion (16.9 percent) below the initial estimate of which most of the variance is due to timing related delays and payment withholds previously noted. Agency operations spending, including fringe benefits, was below the initial estimates due to cost controls put in place to limit spending to health, safety and essential services, as well as reclassification of certain eligible expenses through June 30 to the Federal CRF. The lower spending is partly offset by COVID-19 pandemic related expenses incurred by the State that are expected to be moved to the Federal Fund over the next several months. Lower than projected transfers to support Capital Projects is attributable to slower commitments in response to the pandemic.

FY 2021 Year-to-Date Operating Results



The table below summarizes variances from the initial estimates, excluding Extraordinary Monetary Settlements.

FY 2021 GENERAL FUND OPERATING RESULTS COMPARED TO FY 2021 ENACTED BUDGET			
(millions of dollars)			
	<u>Initial Estimate</u>	<u>Results</u>	Variance Above/ (Below) Initial Estimate
Opening Fund Balance (Excl. Extr. Monetary Settlements)	6,334	6,334	0
Total Receipts	<u>16,863</u>	<u>17,708</u>	<u>845</u>
Taxes ¹	11,834	12,612	778
Non-Tax Receipts/Transfers ²	5,029	5,096	67
Total Disbursements	<u>24,096</u>	<u>20,085</u>	<u>(4,011)</u>
Local Assistance	16,007	13,045	(2,962)
Agency Operations	6,289	6,126	(163)
Transfers to Other Funds ³	1,800	914	(886)
Net Change in Operations	<u>(7,233)</u>	<u>(2,377)</u>	<u>4,856</u>
Closing Fund Balance (Excl. Extr. Monetary Settlements)	<u>(899)</u>	<u>3,957</u>	<u>4,856</u>
Extraordinary Monetary Settlements			
Opening Balance	2,610	2,610	0
Settlements Received/Expected ⁴	80	300	220
Transfers/Uses	(73)	(3)	70
Closing Balance	<u>2,617</u>	<u>2,907</u>	<u>290</u>
Closing Fund Balance (Incl. Extr. Monetary Settlements)	<u>1,718</u>	<u>6,864</u>	<u>5,146</u>

¹ Includes transfers from other funds after debt service.
² Non-tax receipts exclude the monetary settlements received by the General Fund less amounts retained by the Department of Law in other funds to support operational costs.
³ Transfers/Uses exclude the use of monetary settlements to support transfers from the General Fund to other funds (e.g., Dedicated Investment Infrastructure Fund).
⁴ Includes gross value of all settlements received/expected by the State, including amounts retained by the Department of Law in other funds to support operational costs.



FY 2021 Year-to-Date Operating Results

All Governmental Funds Results Compared to Prior Year

All Funds ended June with a balance of \$20.6 billion, \$6.9 billion above FY 2020 due to a higher opening balance (\$4.3 billion) and lower receipts and disbursements than the prior year levels.

Tax receipts are dramatically lower than the prior year due to a combination of losses attributable to the economic impact of the pandemic and the delay in the PIT filing deadline from April 15 to July 15. Growth in miscellaneous receipts is mainly due to the sale of \$4.5 billion in short-term notes to offset the impact of the delayed tax filing deadline. Higher Federal Grants is attributable to the advance receipt of \$5.1 billion from the Federal government under the CARES Act that is intended to reimburse certain COVID-19 response costs.

In addition to the variances previously noted, lower spending is primarily the result of the timing of certain Medicaid payments and the impact of enhanced FMAP, which lowered State-share medical costs. Operational spending is impacted by the one-time NYSCOPBA collective bargaining retroactive payments made in FY 2020. Debt service spending was lower than the prior year due mainly to the prepayment of FY 2021 obligations at the end of FY 2020. Lower than projected support for Capital Projects is attributable to slowed spending driven by COVID-19 pandemic.

FY 2021 Year-to-Date Operating Results



ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR				
FY 2021 April to June				
(millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2020	FY 2021	\$	%
OPENING BALANCE	9,975	14,284	4,309	43.2%
ALL FUNDS RECEIPTS:	47,599	47,151	(448)	-0.9%
Total Taxes	24,092	13,926	(10,166)	-42.2%
Personal Income Tax	16,910	8,634	(8,276)	-48.9%
All Other Taxes	7,182	5,292	(1,890)	-26.3%
Miscellaneous Receipts	6,502	10,635	4,133	63.6%
Federal Grants	17,005	22,590	5,585	32.8%
ALL FUNDS DISBURSEMENTS:	43,798	40,751	(3,047)	-7.0%
STATE OPERATING FUNDS	26,861	23,053	(3,808)	-14.2%
Local Assistance	17,650	14,712	(2,938)	-16.6%
School Aid	6,742	6,854	112	1.7%
DOH Medicaid (incl. admin and EP)	7,694	5,022	(2,672)	-34.7%
All Other	3,214	2,836	(378)	-11.8%
State Operations	8,787	8,252	(535)	-6.1%
Agency Operations	5,138	4,787	(351)	-6.8%
Executive Agencies	2,732	2,489	(243)	-8.9%
University Systems	1,664	1,592	(72)	-4.3%
Elected Officials	742	706	(36)	-4.9%
Fringe Benefits/Fixed Costs	3,649	3,465	(184)	-5.0%
Pension Contribution	2,243	2,235	(8)	-0.4%
Health Insurance	1,068	1,068	0	0.0%
Other Fringe Benefits/Fixed Costs	338	162	(176)	-52.1%
Debt Service	424	89	(335)	-79.0%
CAPITAL PROJECTS (State and Federal Funds)	2,805	2,145	(660)	-23.5%
FEDERAL OPERATING AID	14,132	15,553	1,421	10.1%
NET OTHER FINANCING SOURCES	(47)	(61)	(14)	-29.8%
CHANGE IN OPERATIONS	3,754	6,339	2,585	68.9%
CLOSING BALANCE	13,729	20,623	6,894	50.2%



FY 2021 Year-to-Date Operating Results

Receipts

PIT collections were \$8.3 billion (48.9 percent) lower than the prior year primarily due to the extension of the PIT filing deadline from April 15 to July 15. Additionally, strong declines in current year estimated payments, extension payments, and final returns as well as declines in withholding and delinquencies were partially offset by declines in current year refunds, prior year refunds, and the state/city offset.

Year-over-year consumption/use tax collections were \$1.1 billion lower than the prior year primarily due to significant declines in sales tax and motor fuel tax receipts related to the effects of COVID-19 pandemic on taxpayer behavior. Lower business tax collections (\$707 million) are due to reduced CFT and insurance taxes due to the extension of the filing deadline from April to July, partially offset by lower CFT refunds.

Growth in miscellaneous receipts (\$4.1 billion) was due to PIT note sales (\$4.5 billion) and higher bond reimbursements (\$1 billion) to capital projects for SUNY (\$733 million) and ESD (\$268 million) projects, partially offset by lower extraordinary settlements receipts (\$427 million) and reduced lottery receipts (\$439 million), motor vehicle fees (\$200 million), other licenses/fees (\$111 million), and HCRA receipts (\$101 million), all of which were negatively impacted by the COVID-19 pandemic.

Federal grants were \$5.6 billion higher in FY 2021 than in FY 2020 largely due to the receipt of Federal CARES Act funding.

Spending

State Operating Funds spending totaled \$23.1 billion in FY 2021, a decrease of \$3.8 billion (14.2 percent) from FY 2020.

Local assistance spending was \$2.9 billion lower than the prior year, primarily attributable to Medicaid (\$2.7 billion) due to the temporary Federal share (eFMAP) increase (\$1.4 billion), earlier than planned use of the Tobacco Settlement Funds resource (\$294 million), and the timing of SUNY Intergovernmental Transfer payments. Spending declines in other areas due to the ongoing review and withholding process include Transportation (\$652 million), all other Education (\$245 million), and public health (\$107 million). Areas with higher spending include CUNY (\$1.3 billion) primarily due to the payment of Academic Year 2020 aid in June and School Aid (\$112 million) due to higher General Aid payments, partially offset by the timing of Tuition Assistance Program payments (\$264 million).

Lower executive agency operational spending is driven by one-time personal service costs associated with the FY 2020 NYSCOPBA collective bargaining retro payments (\$149 million), limiting spending to health, safety and essential services, and the reclassification of certain eligible expenses through June 30 to the Federal CRF. Lower costs in University System and Elected Officials reflect decreased non-personal service in SUNY general operations and Judiciary due to court closure as the result of COVID-19 pandemic. Fringe benefits spending declined mostly due to the deferment of Social Security Payments permitted under the CARES Act, offset by higher Workers Comp payments received (\$51 million) and Employee Benefits received (\$30 million).

Debt service spending declined due to the prepayment in FY 2020 of debt service due in FY 2021. Lower Capital Projects spending (State and Federal) mostly occurred in ESD (\$307 million), Special Infrastructure (\$224 million), and MTA (\$174 million).

Federal operating spending growth (\$1.4 billion) mainly reflects the temporary enhanced Federal share of Medicaid and public health and safety costs charged to the Federal CRF.

All Governmental Funds Results Compared to Estimates

ALL GOVERNMENTAL FUNDS COMPARED TO PLAN				
FY 2021 April to June				
(millions of dollars)				
	Initial Estimate	Results	Variance Above/ (Below) Initial Estimate	
			\$	%
OPENING BALANCE	14,284	14,284	0	0.0%
ALL FUNDS RECEIPTS:	43,700	47,151	3,451	7.9%
Total Taxes	13,183	13,926	743	5.6%
Personal Income Tax	6,894	8,634	1,740	25.2%
Consumption / Use Tax	3,878	3,350	(528)	-13.6%
Business Taxes	1,982	1,513	(469)	-23.7%
Other Taxes	429	429	0	0.0%
Miscellaneous Receipts	9,499	10,635	1,136	12.0%
Federal Grants	21,018	22,590	1,572	7.5%
ALL FUNDS DISBURSEMENTS:	47,100	40,751	(6,349)	-13.5%
STATE OPERATING FUNDS	27,303	23,053	(4,250)	-15.6%
Local Assistance	18,741	14,712	(4,029)	-21.5%
School Aid	7,074	6,854	(220)	-3.1%
DOH Medicaid ¹	5,898	5,022	(876)	-14.9%
Transportation	920	122	(798)	-86.7%
Social Services	896	305	(591)	-66.0%
Mental Hygiene	500	238	(262)	-52.4%
Higher Education	1,840	1,338	(502)	-27.3%
All Other	1,613	833	(780)	-48.4%
State Operations	8,477	8,252	(225)	-2.7%
Agency Operations	4,933	4,787	(146)	-3.0%
Personal Service:	3,700	3,535	(165)	-4.5%
Executive Agencies	1,937	1,803	(134)	-6.9%
University Systems	1,175	1,128	(47)	-4.0%
Elected Officials	588	604	16	2.7%
Non-Personal Service:	1,233	1,252	19	1.5%
Executive Agencies	562	686	124	22.1%
University Systems	530	464	(66)	-12.5%
Elected Officials	141	102	(39)	-27.7%
Fringe Benefits/Fixed Costs	3,544	3,465	(79)	-2.2%
Pension Contribution	2,226	2,235	9	0.4%
Health Insurance	1,110	1,068	(42)	-3.8%
Other Fringe Benefits/Fixed Co	208	162	(46)	-22.1%
Debt Service	85	89	4	4.7%
CAPITAL PROJECTS (State and Federal Funds)	3,381	2,145	(1,236)	-36.6%
FEDERAL OPERATING AID	16,416	15,553	(863)	-5.3%
NET OTHER FINANCING SOURCES	(69)	(61)	8	11.6%
CHANGE IN OPERATIONS	(3,469)	6,339	9,808	282.7%
CLOSING BALANCE	10,815	20,623	9,808	90.7%

1. Includes the Essential Plan.

Receipts

PIT collections were higher than expected (\$1.7 billion), but consumption/use tax collections (\$528 million) and business taxes (\$469 million) were lower than projected, consistent with General Fund variances described above.

Higher miscellaneous receipts (\$1.1 billion) were mostly due to reimbursements of capital projects (\$438 million) mainly to ESDC and DOT that were not planned in the initial budget, a \$220 million extraordinary monetary settlement from Bank Hapoalim, and higher receipts in SUNY hospitals (\$487 million) and Lottery (\$50 million); offset by lower than planned receipts in HCRA (\$97 million) resulting from a decline in patient volume and fewer general elective surgeries during the COVID-19 pandemic and the refund by SUNY of student dorm deposits (\$142 million).

Higher Federal grants primarily reflect the additional receipt of \$1.4 billion under the Federal CARES Act, bringing the aggregate funding to \$5.1 billion.

Spending

State Operating Funds spending was \$4.3 billion under projections, of which lower local assistance spending contributed \$4 billion and is mainly due to roughly \$3.9 billion in timing related delays and the withholding of certain payments discussed above. Agency operations, including general state charges, was \$225 million lower due to cost controls put in place to limit spending to health, safety and essential services, as well as reclassification of certain eligible expenses through June 30 to the Federal CRF.

Capital Projects spending was \$1.2 billion lower than initial projections, which is primarily attributable to spending for economic development (\$515 million lower), health and social welfare (\$212 million lower), transportation (\$134 million lower), and parks and environment (\$112 million lower). The lower spending is primarily due to disruptions in the construction industry due to the COVID-19 pandemic. The pandemic has caused many State capital projects, as well as projects funded with State capital grants, to be delayed or postponed. Additionally, a mandated pause, and additional review and prioritization, of new capital contracts has also led to lower than anticipated spending through June.



FY 2021 Year-to-Date Operating Results

Federal operating aid spending was \$863 million (5.3 percent) lower than initial projections attributable to the following areas:

- Social Services (\$533 million lower) driven by Flexible Fund for Family Services (\$160 million), Child Care (\$122 million), Child Welfare (\$84 million), the Supplemental Nutrition Assistance Program (SNAP) (\$33 million), Title XX and public assistance payments (\$29 million each), and HEAP (\$22 million).
- Medicaid Administration (\$206 million lower) attributable to the timing of NPS contract payments to certain districts resulting from spending controls.
- School Aid (\$121 million lower) due to timing of Elementary and Secondary Education Act Title grant payments (\$85 million) and USDA School Lunch Act grants (\$36 million).
- Public Health (\$100 million lower) attributable to the timing of local assistance payments for various smaller Public Health programs underspending (\$64 million) and CHP (\$27 million).
- Medicaid (\$91 million lower) related to the timing of SUNY Intergovernmental Transfer payments.

Financial Plan Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (a) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (b) highway, parkway and rail preservation projects; (c) outdoor recreation and environmental conservation projects; (d) buildings and other capital facilities required by various State departments and agencies; (e) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (f) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Operating Funds budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources and comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue Funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 – Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from Capital Projects Funds, including payments to local government units and public authorities, are recorded as local assistance.

PS (Personal Service) - Includes the payment of salaries and compensation for State employees.

NPS (Non-Personal Service) - Includes payments for operational costs such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

GSCs (General State Charges) - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits. Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs. For State PILOT programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel, or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt contractual-obligation and lease-purchase arrangements with several public authorities and municipalities and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs) and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserves - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2021 Enacted Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for a) payment of principal, interest, or related expenses; b) retiring or defeasing existing State-supported debt obligations, including accrued interest; and c) funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase “reserved for.” For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Extraordinary Monetary Settlements - Includes the balance of Extraordinary Monetary Settlements after planned uses.

Note 5 — Items Affecting Annual Comparability

MTA Fees and Taxes

Beginning in FY 2020, various supplemental fees and taxes levied on driver licenses, motor vehicle registration, taxis, and passenger car rentals, are remitted directly to the MTA without legislative appropriation. This eliminates the pass through of these fees and taxes, ensuring more timely receipt by the MTA, and is consistent with treatment of mobility tax collections (described below). The Financial Plan no longer includes these supplemental fees and taxes or associated local assistance payments.

The Metropolitan Commuter Transportation Mobility Tax is imposed on certain employers and self-employed individuals engaging in business within the Metropolitan Commuter Transportation District (MCTD). Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. Beginning in FY 2019 Mobility Tax collections are remitted directly to the MTA. This eliminated the pass through of this tax and ensures more timely receipt by the MTA. The State Financial Plan no longer includes new tax receipts or their associated local assistance.

Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by local social services districts. The statutory indexing provisions were amended through legislation to implement a three-year phased takeover of the local social services districts’ share of all growth above the previous year’s enacted levels beginning on April 1, 2013 for County Fiscal Year 2013, with the State assuming all growth in County Fiscal Year 2015.

STAR

Since FY 2017, the conversion of STAR benefits to State PIT credits has lowered STAR spending. The conversion of STAR benefits to PIT credits initially had no impact on the value of the STAR benefits received by taxpayers. However, since FY 2020, Exemption homeowners' STAR benefit growth is capped at zero. The conversion to a PIT credit decreases the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount.

Superstorm Sandy

In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan period to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

The ACA, effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace, in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.

On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment addressed critical health care issues statewide and allowed for comprehensive reform through the Delivery System Reform Incentive Payment (DSRIP) program. The program promoted community-level collaborations and focused on system reform, specifically the goal of achieving a 25 percent reduction in avoidable hospital use over five years, in addition to clinical and population health improvements. Due to the demonstrated success of the DSRIP waiver, the State submitted a waiver request to CMS on November 25, 2019, seeking an extension on the original waiver to authorize the remaining \$625 million of spending in FY 2021 for an additional period of four years (through FY 2024) and up to \$8 billion in additional Federal funding for continued health care beginning in FY 2022. The extensions were denied by CMS on February 21, 2020, on the basis that the original DSRIP award was time-limited and meant to be a one-time investment, and that it was not in a position to authorize a conceptual agreement beyond the current demonstration program. While the State's requested amendment was denied, the State intends to address its needs as part of a subsequent 1115 waiver request that aligns with the expiration of the MRT Waiver on March 31, 2021.

The EP is a health insurance program authorized through the ACA. The FY 2016 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of the EP subsidized by the State and Federal governments.

Beginning in 2017, the Trump Administration took action to reduce Federal support for the EP program. Continued efforts by the Administration to reduce the Federal share of EP costs presents uncertainties in the future funding shares of the EP. Despite the uncertainty, the Financial Plan reflects full support for the EP.

Temporary Enhanced FMAP

In response to the COVID-19 pandemic, the President signed into law the FFCRA in March 2020. The measure included supplemental funding for various programs, including an enhanced FMAP for unexpected costs attributable to the pandemic retroactive to January 2020.

The FFCRA includes a 6.2 percent base increase to the FMAP rate for each calendar quarter occurring during the public health emergency, with exemptions placed on certain expenditures, including expansion spending that already receives enhanced Federal support. The Updated Financial Plan assumes a nine-month State benefit of approximately \$2.2 billion that will be used to offset unanticipated General Fund expenses directly or indirectly related to the pandemic, including increased costs associated with higher Medicaid enrollment.

In late July, the Secretary of Health and Human Services extended the public health emergency period through October 23, 2020, which would trigger a fourth quarter of the 6.2 base increase through December 31, 2020. However, the emergency period can be revoked at any time before the start of the final quarter if the Secretary determines there is no longer a public health emergency. Therefore, these savings, which would be comparable to the savings expected from the extension through September 30, are not reflected in this Quarterly Update.

Note 6 – Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 7 — General Fund/HCRA Combined Gap

Current HCRA authorization ends March 31, 2023. HCRA is projected to remain balanced over this period. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 8 — Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds, including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, that result from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are “receivable on account” or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The FY 2021 Enacted Budget amended the statute to permit the borrowings until the end of the fiscal year, which was previously limited to up to four months. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 9 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

Note 10 — Temporary Loans Summary

Outstanding loans include activities that are financed initially by advances for bond-reimbursable capital spending pending receipt of bond proceeds) the State pending Federal receipts, State Special Revenue Funds; and Proprietary Funds. The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike reflects mainly the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received. Total outstanding loans increased \$438 million over March 31, 2019 levels, mainly attributable to the timing of year end Medicaid cycles.

TEMPORARY LOANS OUTSTANDING			
(millions of dollars)			
	March 31		Annual Change
	2019	2020	
Total Loans Outstanding	5,405	5,843	438
State Special Revenue Funds	350	379	29
Federal Funds	3,417	3,898	481
Capital Funds	1,300	1,226	(74)
Proprietary Funds	338	340	2

Note 11 - List of Extraordinary Monetary Settlements Received and Uses

From the beginning of FY 2015, the State received the following Extraordinary Monetary Settlements:

- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a rigorous compliance infrastructure, and inadequate attention to the state of compliance.
- American Family Life Assurance Company of New York paid a \$176,890 civil penalty pursuant to a July 2, 2018 consent order between American Family Life Assurance Company of New York and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries American Life Insurance Company (ALICO) and Delaware American Life Insurance Company (DelAm) (a) solicited, insurance business in New York without a license and (b) made intentional misrepresentations and omissions to DFS concerning such activities.
- ALICO, DelAm, and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- Athene Annuity and Life Company and Athene Holding Ltd., together “Athene,” paid a \$45 million civil monetary penalty pursuant to an April 11, 2020 Consent Order between Athene and the New York State Department of Financial Services. This consent order pertains to Athene’s operation of an unlicensed insurance business in the State.
- Athene Life Insurance Company of New York (“Athene”) paid a \$15 million civil monetary penalty pursuant to a June 28, 2018 consent order between Athene and DFS. This consent order pertains to Athene’s failure to provide required information to about 15,000 policyholders from 2015 to 2017.

- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Bank Hapoalim, B.M. and Bank Hapoalim, B.M. New York Branches (collectively “Bank Hapoalim”) paid a \$220 million penalty pursuant to an April 30, 2020 Consent Order between Bank Hapoalim and the New York State Department of Financial Services. This consent order pertains to Bank Hapoalim engaging in certain activities within its cross-border banking business that facilitated its customers’ concealment of their offshore assets and income from the IRS and other Federal and state agencies.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi’s (a) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (b) misleading DFS about Bank Leumi’s improper activities.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms resulting from BofA’s violations of State law regarding the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated (collectively, “BofAML”) paid a \$42 million penalty to the State of New York pursuant to a March 22, 2018 settlement agreement between BofAML and the Office of the Attorney General of the State of New York. This settlement agreement pertains to BofAML’s fraudulent conduct in connection with its electronic trading practices.
- Industrial Bank of Korea and Industrial Bank of Korea, New York Branch, together “Industrial Bank of Korea,” paid a \$35 million civil monetary penalty pursuant to an April 20, 2020 Consent Order between Industrial Bank of Korea and the New York State Department of Financial Services. This consent order pertains to Industrial Bank of Korea’s failure to maintain appropriate books, accounts, records, and an effective and compliant anti-money laundering program.

- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar-clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Barclays paid the State \$635 million, which included (a) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (b) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays' attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays' automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Barclays Bank PLC and Barclays Bank PLC, New York Branch (together, "Barclays") paid a \$15 million penalty pursuant to a December 18, 2018 consent order between Barclays and DFS. This consent order pertains to Barclays' (a) failure to implement effective governance and controls with respect to its whistleblowing program; and (b) failure to report on the use of Federal law enforcement resources secured through incomplete or inaccurate information provided to a Federal agency, in order to investigate a non-threatening or non-exigent whistleblower complaint.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively "BNPP") paid nearly \$3.6 billion pursuant to (a) a June 29, 2014 consent order between the New York State DFS and BNPP and (b) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNPP paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and DFS. This consent order pertains to BNPP's significant and material failure with respect to implementing effective controls over its foreign exchange trading business.

- Chubb Group Holdings Inc. and Illinois Union Insurance Company (collectively, “Chubb”) paid a \$1.3 million civil monetary penalty pursuant to a May 7, 2018 consent order between Chubb and DFS. This consent order pertains to Chubb’s issuance of insurance policies in New York State, or policies otherwise covering New York State residents, which may not be offered in the New York State excess line market. Chubb also issued liability insurance coverage to New York residents that failed to contain required liability insurance policy provisions.
- Cigna Health and Life Insurance Company (Cigna) paid a \$2 million civil penalty pursuant to a November 14, 2017 consent order between Cigna and DFS. This consent order pertains to Cigna’s violation of laws and regulations by selling stop-loss and fully-insured health insurance policies out of state to New York-based small groups with employees in the State.
- Citigroup Inc. (Citigroup) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations into Citigroup by Federal and State entities.
- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks that processed the illegal wire payments.
- Conduent Education Services, LLC, f/k/a Xerox Education Services, LLC, f/k/a and d/b/a ACS Education Services, Inc. (hereinafter “ACS”) paid \$1 million in penalties pursuant to a January 4, 2019 consent order between ACS and DFS. This consent order pertains to ACS’s repeated or persistent fraudulent, illegal or deceptive conduct in the servicing of Federally-guaranteed student loans.
- Credit Agricole paid \$459 million, which included (a) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (b) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney’s office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole’s processing billions of dollars of payments on behalf of certain sanctioned parties.

- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (a) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (b) concealed offshore assets and income from the IRS and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.
- Credit Suisse AG and Credit Suisse AG, New York Branch (together, "Credit Suisse") paid a \$135 million civil monetary penalty pursuant to a November 13, 2017 consent order between Credit Suisse and DFS. This consent order pertains to Credit Suisse's failure to comply with laws and regulations designed to combat improper, unsafe, and unsound conduct in the foreign exchange trading business.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively "Deutsche Bank") paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank's manipulation of benchmark interest rates including (a) the London Interbank Offered Rate, (b) the Euro Interbank Offered Rate and (c) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid a \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank's use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department's Office of Foreign Assets Control.
- In January 2017, Deutsche Bank paid a \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank's material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank's routing technology.
- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank in accordance with a consent order between Deutsche Bank and DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."

- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively, Deutsche Bank), paid a \$205 million civil monetary penalty pursuant to a June 20, 2018 consent order between Deutsche Bank and DFS. This consent order pertains to Deutsche Bank conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Deutsche Bank AG, Deutsche Bank AG New York Branch, and Deutsche Bank Trust Company of the Americas (collectively “Deutsche Bank”) paid a \$150 million penalty pursuant to a July 6, 2020 Consent Order between Deutsche Bank and the New York State Department of Financial Services. This consent order pertains to Deutsche Bank’s relationship with Jeffrey Epstein and correspondent relationships with Danske Estonia and FBME Bank.
- FedEx Corporation, FedEx Ground, Federal Express Corporation, FedEx Freight, and FedEx Corporate Services, Inc. (together, "FedEx") paid \$35 million pursuant to a December 27, 2018 Settlement Agreement between FedEx, the City of New York, and the People of the State of New York. This settlement agreement pertains to FedEx’s alleged shipment of illegal cigarettes.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman Sachs. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman Sachs in 2006 and 2007.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman’s failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- The Goldman Sachs Group, Inc. (Goldman Sachs) paid a \$54.8 million civil monetary penalty pursuant to a May 1, 2018 consent order between Goldman Sachs and DFS. This consent order pertains to Goldman Sachs conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Google, LLC (“Google”) and YouTube, LLC (“YouTube”) paid a \$34 million penalty to the State pursuant to a September 10, 2019 stipulated order between Google and YouTube and the Federal Trade Commission and the People of the State of New York. This order pertains to Google and YouTube’s failure to post a privacy policy on their online service in a clear, understandable, and complete way regarding the collection of personal information from children.

- Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and DFS. This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-06 to process payments on behalf of Iranian clients and other entities.
- Lockton Affinity, LLC and Lockton Companies, LLC (collectively, “Lockton”) paid a \$7 million civil monetary penalty pursuant to a May 2, 2018 consent order between Lockton and DFS. This consent order pertains to brokerage, administration, solicitation and marketing of insurance coverage that violated New York State laws and regulations, and submission of inaccurate affidavits required by the insurance law pertaining to excess lines insurance coverage.
- Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, “Mashreqbank) paid a \$40 million penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and DFS. This consent order pertains to Mashreqbank’s (a) failure to maintain an effective and compliant anti-money laundering (AML) program, and (b) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions. Aetna Health, Inc., Aetna Health Insurance Company of New York and Aetna Life Insurance Company (hereinafter “Aetna”) paid a \$1.95 million civil penalty pursuant to a December 12, 2018 consent order between Aetna and DFS. This consent order pertains to Aetna’s business practices and fulfillment of their obligations to policyholders and claimants.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York State Banking Law.
- The Metropolitan Life Insurance Company paid a \$19.75 million civil penalty pursuant to a January 28, 2019 Consent Order between the Metropolitan Life Insurance Company and the New York State DFS. This consent order pertains to Metropolitan Life Insurance Company’s pension risk transfer group annuity operations.

- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- MUFG Bank, Ltd., f/k/a The Bank of Tokyo-Mitsubishi UFJ, Ltd. ("MUFG Bank") paid a \$33 million penalty to the State of New York pursuant to a June 24, 2019 settlement agreement between MUFG Bank and DFS, the DFS Acting Superintendent, and the Office of the New York State Attorney General. This settlement agreement pertains to a dispute between MUFG Bank and DFS over DFS's authority to issue an order requiring MUFG Bank to continue to submit to DFS's supervisory authority during the time which MUFG Bank attempted to convert its State-licensed branch in New York to a Federally-licensed branch.
- Nationstar Mortgage LLC (Nationstar) paid a \$5 million penalty pursuant to an April 11, 2018 consent order between Nationstar and DFS. This consent order pertains to Nationstar's violations of laws, regulations and applicable industry guidance, including (a) failure to obtain authorization for the use of multiple domain names, (b) failure to maintain books, records and customer files, (c) failure to fund mortgage loans within the timeframe set forth in various loan or other documents for individual borrowers, (d) operation of two branch locations without authorization, (e) failure to maintain required documentation in servicing files, (f) failure to maintain a schedule of fees on its website, (g) failure to submit quarterly reports in a timely manner, and (h) failure to file multiple 90-day pre-foreclosure notices.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day's violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking Laws.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.

- Oscar Insurance Corporation (hereinafter "Oscar Insurance") paid a \$576,950 civil penalty pursuant to a December 12, 2018 consent order between Oscar Insurance and DFS. This consent order pertains to Oscar Insurance's (a) failure to make a determination for prospective and concurrent utilization reviews within the required time; (b) failure to include an accurate and detailed explanation of the clinical rationale for denials in the adverse determination notices; and (c) failure to include forfeiture language in the explanation of benefit statements.
- PHH Mortgage and PHH Homes Loans, LLC (collectively "PHH Mortgage") paid a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage's failure to (a) maintain books, accounts, records, and files in an appropriate manner, (b) adequately and accurately disclose certain fees, and (c) comply with other laws and regulations.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (a) resolve the DFS's investigation of PwC's actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (b) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory's performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS's requirements for consultants performing such regulatory compliance work.
- RBS Financial Products Inc. f/k/a Greenwich Capital Financial Products, Inc. (RBS) paid \$100 million to New York State and another \$400 million in consumer relief credits in New York State pursuant to a March 6, 2018 settlement agreement between RBS and the New York State Office of the Attorney General. This settlement agreement pertains to RBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- Société Générale SA paid the New York County District Attorney's Office pursuant to a November 18, 2018 deferred prosecution agreement between Société Générale SA and the Office of the United States Attorney for the Southern District of New York. This deferred prosecution agreement pertains to Société Générale SA's violation of U.S. economic sanctions, which caused both affiliated and unaffiliated U.S. financial institutions to process transactions that otherwise should have been rejected, blocked or stopped for investigation. The State received \$77.649 million of the payment.

- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$95 million civil monetary penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's persistent, uncorrected, and serious deficiencies in its Secrecy Act/Anti-Money Laundering compliance program that went uncorrected for multiple examination cycles.
- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$325 million penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's (a) unsafe and unsound manner of conducting business; (b) failure to maintain an effective and compliant Office of Foreign Assets Control (OFAC) compliance program; (c) false entries in the books, reports, and statements and omissions of material with the intent to deceive or mislead; (d) failure to maintain and make available, at the New York Branch, appropriate books, accounts and records reflecting all transactions and actions; (e) failure to submit a report immediately upon discovering fraud, dishonesty, making of false entries and omission of true entries, or other misconduct; and (f) failure to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of risks related to compliance with the Bank Security Act (BSA) and AML laws, rules, and regulations. Such risks included U.S. dollar-clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$40 million civil monetary penalty pursuant to a January 29, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered's unsafe, unsound, and improper conduct, and its failure to implement effective controls over its foreign exchange business.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$180 million civil monetary penalty pursuant to an April 9, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered (i) conducting business in an unsafe and unsound manner, (ii) failing to maintain an effective and compliant OFAC compliance program, (iii) failing to maintain and make available appropriate books, accounts, and records, reflecting all transactions and actions, (iv) failing to submit a report immediately upon discovering fraud, dishonesty, making of false entries or omission of true entries, or other misconduct, and (v) failing to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or through the state.

- Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid the State \$141.7 million. An April 9, 2019 press release issued by the Manhattan District Attorney's Office indicated that such payment was required by an amended Deferred Prosecution Agreement pertaining to Standard Chartered's falsification of records of New York financial institutions.
- Transamerica Financial Life Insurance Company paid a \$762,700 civil penalty pursuant to a July 2, 2018 consent order between Transamerica Financial Life Insurance Company and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- The Manhattan District Attorney's Office indicates in an April 15, 2019 press release that UniCredit Bank Austria AG has agreed to pay in criminal forfeiture approximately \$316 million to the Manhattan District Attorney's Office in relation to UniCredit Bank Austria AG's falsifying of business records and conspiracy to illicitly move money through New York Banks. The State received \$101.3 million as its share of the forfeiture received by the Manhattan District Attorney's Office in accordance with Section 1349 of the NYS Civil Practice Laws and Rules.
- UniCredit Bank AG and its New York Branch, UniCredit S.p.A and its New York Branch, and UniCredit Bank Austria AG (together, the "UniCredit Group") paid a \$405 million civil monetary penalty pursuant to an April 2019 Consent Order between UniCredit Group and the New York State DFS. This consent order pertains to UniCredit Group's (i) unsafe and unsound business conduct, (ii) failure to maintain an effective and complaint compliance program, (iii) failure to submit reports immediately upon discovering fraud, dishonesty, false entries or omissions of true entries, or other misconduct, and (iv) failure to submit reports of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- UBS Securities LLC and UBS Real Estate Securities Inc. (collectively, "UBS") paid \$41 million to New York State and another \$189 million in consumer relief credits in New York State pursuant to a March 20, 2018 settlement agreement between UBS and the New York State Office of the Attorney General. This settlement agreement pertains to UBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, "Volkswagen") paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen's violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$128 million for air quality improvement programs in New York.

- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively “Volkswagen”) paid \$157.4 million pursuant to a March 29, 2017 Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, “Porsche”) (Volkswagen and Porsche together, “Defendants”) and the Attorneys General of the states of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) Federal, State and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State was allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with State law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This Settlement Agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 settlement agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This settlement agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics in violation of law.
- Western Union Financial Services, Inc. (Western Union) paid a \$60 million civil monetary penalty pursuant to a January 4, 2018 consent order between Western Union and DFS. This consent order pertains to Western Union’s willful failure to comply with laws and regulations designed to combat money laundering and other illicit financial transactions.
- William Penn Life Insurance Company of New York (William Penn) paid a \$6.3 million civil penalty pursuant to a May 3, 2018 consent order between William Penn and DFS. This consent order pertains to unapproved reinsurance transactions in 2014 through 2018, and materially inaccurate statements to DFS regarding such reinsurance transactions.

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2021:

- **Thruway Stabilization (\$2.0 billion).** The \$2.0 billion investment will support both the Governor Mario M. Cuomo Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion).** In FY 2015, \$1.5 billion was awarded through the Upstate Revitalization Initiative (URI) to the three Upstate regions. An additional \$200 million (\$170 million from monetary settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Health Care/Hospitals (\$725 million).** The Capital Plan provides \$680 million in grants to health care providers to facilitate mergers, consolidations, acquisitions, or other corporate restructuring activities. The Capital Plan also funds capital expenses of the Roswell Park Cancer Institute (RPCI) (\$15.5 million); a community health care revolving loan (\$19.5 million); IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million); and \$125 million to the health care facility transformation program.
- **Affordable and Homeless Housing (\$640 million).** Settlement funds will augment the multi-year investment in affordable housing services (\$590 million) and provide housing opportunities for individuals and families who are homeless or at risk of homelessness (\$50 million).
- **Broadband Initiative (\$500 million).** The \$500 million investment in the New NY Broadband Fund Program is intended to expand the availability and capacity of broadband across the State, and support development of other telecommunication infrastructure.
- **Buffalo Billion Phase II (\$400 million).** The Capital Plan reflects a continued investment of \$400 million from monetary settlement funds to support the second phase of the Buffalo Billion initiative, which totals \$500 million.
- **Life Sciences (\$320 million).** The Capital Plan reflects the commitment of \$320 million from monetary settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.
- **MTA Capital Plan (\$315 million).** The Capital Plan includes \$250 million for the MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station. The project is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx. Also, an additional \$65 million was provided to the MTA's 2015-2019 Capital Program, which was paid to the MTA in FY 2018.

- **Municipal Restructuring and Downtown Revitalization (\$270 million).** The Capital Plan includes \$20 million in funding for a municipal consolidation competition to encourage the reduction of costs through a competitive process to be administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that are intended to reduce operating costs and property tax burdens. The second Downtown Revitalization Initiative (\$100 million) funds housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns.
- **Clean Water Infrastructure (\$250 million).** The Capital Plan continues the commitment of \$500 million for water quality capital projects, including \$250 million funded from monetary settlements. The investment continues supporting drinking water infrastructure, wastewater infrastructure, and source water protection.
- **Resiliency, Mitigation, Security, and Emergency Response (\$250 million).** The Capital Plan provides funding for the preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters. Additionally, funding has been used for counter-terrorism efforts in New York City, including increased security and anti-terror exercises at nine MTA-operated bridges.
- **Transportation Capital Plan (\$200 million).** The Capital Plan provides funding for transportation infrastructure projects across the State.
- **Transformative Economic Development Projects (\$150 million).** The Capital Plan includes funds to promote economic development in Nassau and Suffolk counties.
- **Environmental Protection Fund (EPF) (\$120 million).** This \$120 million and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, respond to problems caused by climate change, and reduce greenhouse gas emissions.
- **Infrastructure Improvements (\$115 million).** The Capital Plan provides \$115 million in funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.

- **Economic Development (\$85 million).** The Capital Plan includes \$85 million in funding for economic development with the intention of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.
- **Southern Tier/Hudson Valley Farm Initiative (\$50 million).** The Capital Plan contains \$50 million in funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- **Empire State Poverty Reduction Initiative (ESPRI) (\$25 million).** The ESPRI is intended to bring together State and local governments, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- **Non-MTA Transit (\$20 million).** These settlement funds will be directed by DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions.

Since 2015, the receipt of \$12.8 billion in monetary settlements has increased the State's cash on hand and improved its liquidity position. A large portion of the monetary settlements (\$8.1 billion) has been programmed for capital projects that are expected to spend over multiple years. This has provided the flexibility to temporarily use these cash resources to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the budget assumes the use of a portion of monetary settlements for two different purposes:

1. Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2017 to support higher education, transportation, and economic development. This \$1.3 billion advance from settlement funds has been repaid over a two-year period, as the State reimbursed this capital spending with bond proceeds in FY 2018 (\$800 million) and FY 2019 (\$500 million).
2. Meet initial capital funding requirements for the Javits Center expansion project. As shown in the following table, the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed from bond proceeds in FY 2021.

ALLOCATION OF MONETARY SETTLEMENTS TO CAPITAL PROJECTS FUNDS (millions of dollars)										
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025
Settlements Allocated to Capital Projects Funds	4,550	1,960	1,205	125	250	0	0	0	0	0
Transfers to Capital Projects Funds	(857)	(817)	(1,027)	(887)	(1,075)	(1,242)	(959)	(747)	(345)	(135)
Remaining Settlement Funds	3,693	4,836	3,714	3,588	2,881	1,368	1,226	479	134	(1)
Transfer to DIIF for Javits Center	0	0	(164)	(382)	(271)	(183)	0	0	0	0
Bond Proceed Receipts for Javits Center	0	0	0	0	0	1,000	0	0	0	0
Management of Debt Issuances	0	(1,300)	800	500	0	0	0	0	0	0
Adjusted Remaining Settlement Funds	3,693	3,536	4,350	3,706	2,610	2,185	1,226	479	134	(1)

Note 12 – FY 2019 State Operating Funds Restatement

To avoid piercing the Medicaid Global Cap (“Global Cap”) in FY 2019, DOH deferred \$1.7 billion in planned Medicaid payments from FY 2019 to FY 2020. If the deferral had not occurred, State Operating Funds disbursements for FY 2019 would have increased by a like amount. In response to the unexpected deferral, DOB and DOH conducted an in-depth examination of Medicaid expenditures. In the FY 2020 Mid-Year Update to the Financial Plan released in November 2019 (“Mid-Year Update”), DOB reported that a structural imbalance existed within the Medicaid Global Cap estimated at \$4 billion in FY 2020, including the FY 2019 payment deferral, and projected at \$3.1 billion in FY 2021. The Mid-Year Update included an assumption that the State would permanently restructure \$2.2 billion in Medicaid payments as part of the response to the FY 2020 Global Cap imbalance. The assumed restructuring included the continued deferral of the FY 2019 payment (\$1.7 billion), and a new deferral (\$552 million) in FY 2020. DOB subsequently concluded that the latter deferral was not needed and the payment was made by the end of FY 2020. The Financial Plan does not include new deferrals beyond the continuation of the FY 2019 \$1.7 billion deferral. The FY 2019 disbursement level is restated for the deferrals as shown in the table below.

FY 2019 STATE OPERATING FUNDS SPENDING	
REPORTED AND RESTATED	
(millions of dollars)	
	FY 2019 Results
Cash-basis results reported by State Comptroller	100,137
Adjustment for Medicaid Deferrals	1,692
DOB Restatement of FY 2019 Results	101,829

The calculation of annual State Operating Funds spending growth from FY 2019 to FY 2020 is presented herein using the restated FY 2019 results. The Financial Plan tables are not adjusted and use the cash-basis results as reported in the Comptroller’s Annual Report to the Legislature on State Funds Cash Basis of Accounting, Fiscal Year Ended March 31, 2019.

Note 13 – Budget Balance Reduction

Disbursement estimates by Financial Plan category reflect the impact of the budget balance reductions that DOB expects to execute during FY 2021 as a distinct line below each Financial Plan category. The precise reductions in the aid-to-localities programs will be contained in the reduction plan that DOB expects to submit to the Legislature. The cash disbursement reductions are expected to be allocated by agency later in the fiscal year.

FY 2021 ENACTED BUDGET BUDGET BALANCE REDUCTIONS (millions of dollars)				
	FY 2021 <u>Enacted</u>	FY 2022 <u>Projected</u>	FY 2023 <u>Projected</u>	FY 2024 <u>Projected</u>
Budget Balance Reduction	8,980	9,050	9,300	9,300
Local Assistance	8,000	8,000	8,000	8,000
State Operations	<u>980</u>	<u>1,050</u>	<u>1,300</u>	<u>1,300</u>
Personal Service	525	540	640	640
Non-Personal Service	455	510	660	660

Without assurance of Federal aid, DOB has begun withholding a minimum of 20 percent of most local aid payments to achieve the cash flow savings anticipated in the Updated Financial Plan pursuant to the Reduction Authority. The following is a summary of local aid payments withheld as of July 31, 2020.

LOCAL AID PAYMENTS WITHHELD - APRIL THROUGH JULY ¹ (in thousands)	
Total Payments Withheld	<u>1,714,406</u>
Higher Education	430,943
Transportation	325,307
Education	324,169
Health Care	279,353
Human Services/Housing	179,598
Mental Hygiene	78,540
Local Government Aid	77,505
Public Safety/General Government	12,741
Economic Development	6,250

¹ Includes payments withheld for local aid payments of \$500,000 or more prior to the withhold and reflects withholds only for those payments planned through July in the Enacted Budget.

Note 14 – Gaming Receipts

GAMING RECEIPTS (millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	<u>Results</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
Total Receipts	<u>3,699</u>	<u>3,083</u>	<u>3,320</u>	<u>3,442</u>	<u>3,600</u>
Education	<u>3,573</u>	<u>2,554</u>	<u>3,122</u>	<u>3,220</u>	<u>3,345</u>
Traditional Lottery	2,473	2,180	2,241	2,241	2,241
VLT Gaming	944	313	746	834	943
Commercial Gaming (School Aid)	150	56	129	139	155
Interactive Fantasy Sports	6	5	6	6	6
All Other	<u>126</u>	<u>529</u>	<u>198</u>	<u>222</u>	<u>255</u>
Tribal State Compact	88	515	166	187	216
Commercial Gaming (Local)	38	14	32	35	39

This note has been added to provide additional detail on State Operating Funds gaming receipts projections over the course of the Financial Plan.

Education gaming receipts in FY 2021 are estimated to decrease significantly from FY 2020, primarily due to the impact of COVID-19 stay-at-home orders on traditional lottery sales during the first quarter of the fiscal year, as well as the continued closure of VLT and commercial gaming facilities. Following their anticipated reopening in FY 2021, VLT and commercial gaming facilities are expected to have reduced visitation resulting in reduced gaming spending. Customers are not expected to return to their full pre-COVID gambling habits for several years.

All other tax receipts are estimated to increase in FY 2021, mainly due to the anticipated receipt of slot share payments owed to the State by the Seneca Nation since April 2017. Receipts are projected to significantly decrease in FY 2022 as collections return to their normal pattern.

Education gaming receipts are projected to increase in FY 2022, under the expectation that VLT and commercial gaming facilities will be open for the entire fiscal year with lesser capacity restrictions. Education gaming and all other tax receipts for FY 2023 and FY 2024 are expected to increase in both years driven by the continued ramp-up of gaming facilities to their pre-COVID levels as visitation and therefore overall gaming spending continue to trend upward. The gaming facilities are expected to return to around pre-COVID revenue levels by FY 2024.

Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
ATB	Across the Board
AXA	AXA Equitable Life Insurance Company
AY	Academic Year (July 1 through June 30) – SUNY/CUNY
BANs	Bond Anticipation Notes
BBA 19	Bipartisan Budget Act of 2019
BCG	Boston Consulting Group
BEA	Bureau of Economic Analysis
BLS	Bureau of Labor Statistics
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BofAML	Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CARES Act	Coronavirus Aid, Relief, and Economic Security Act
CDPAP	Consumer Directed Personal Assistance Program
CFCO	Community First Choice Option
CFT	Corporate Franchise Tax
CFY	City Fiscal Year
CHP	Child Health Plus
CIGNA	Cigna Health and Life Insurance Company
CISO	Chief Information Security Office
CLA	Covered Lives Assessments
CMS	Centers for Medicare & Medicaid Services
COVID-19	Coronavirus Disease 2019
CPI	Consumer Price Index
CRF	Coronavirus Relief Fund
CSEA	Civil Service Employees Association
CSX	CSX Transportation, Inc.
CUNY	City University of New York
CW/CA	Clean Water/Clean Air
CY	County Year
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DHSES	Division of Homeland Security & Emergency Services
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health

Glossary of Acronyms



DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSH	Disproportionate Share Hospital
DSRIP	Delivery System Reform Incentive Payment
DSP	Division of State Police
DTF	Department of Taxation and Finance
ECEP	Employer Compensation Expense Program
eFMAP	enhanced Federal Medical Assistance Percentage
EI	Early Intervention
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESCO	Energy Service Company
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
ESSHI	Empire State Supportive Housing Initiative
FEMA	Federal Emergency Management Agency
FFCRA	Families First Coronavirus Response Act
FFP	Federal Financial Participation
FFY	Federal Fiscal Year (October 1 Through September 30)
FMAP	Federal Medical Assistance Percentage
FPUC	Federal Pandemic Unemployment Compensation
FPG	Fortis Property Group
FRB	Financial Restructuring Board
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GASBS	Governmental Accounting Standards Board Statement
GDP	Gross Domestic Product
GLIP	Group Life Insurance Plan
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HCRA	Health Care Reform Act
HCTF	Health Care Transformation Fund
HESC	Higher Education Services Corporation
HRI	Health Research, Inc.
HUT	Highway Use Tax
ICR	Institutional Cost Reports
IMF	International Monetary Fund
IPCC	Intergovernmental Panel on Climate Change of the United Nations
IRS	Internal Revenue Service
IT	Information Technology
ITS	Information Technology Services
LFY	Local Fiscal Year
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LLC	Limited Liability Company
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MLF	Municipal Liquidity Facility
MRT	Medicaid Redesign Team

MRT II	Medicaid Redesign Team II
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NMS	New Medical Site
N-PCL	Not-for Profit Corporation Law
NPS	Non-Personal Service
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYSTPBA	Police Benevolent Association of the New York State Troopers
NYU	New York University
OAG	Office of the Attorney General
OASAS	Office of Addiction Services and Supports
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OFAC	Office of Foreign Assets Control
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIGI	Personal Income Growth Index
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PMT	Payroll Mobility Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QE	Quantitative Easing
RBS	RBS Financial Products Inc. (formally Greenwich Capital Financial Products, Inc.)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RGGI	Regional Greenhouse Gas Initiative
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SALT	State and Local Tax
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SFY	State Fiscal Year (April 1 Through March 31)
SHIN-NY	Statewide Health Information Network for New York
SNAP	Supplemental Nutrition Assistance Program
SOFA	State Office for the Aging

Glossary of Acronyms



SSI	Supplemental Security Income
STAR	School Tax Relief
STIP	Short-Term Investment Pool
SUNY	State University of New York
SUT	Sales and Use Tax
SY	School Year (July 1 through June 30)
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TCJA	Tax Cuts and Jobs Act of 2017
TRS	Teachers' Retirement System
TY	Tax Year (January 1 Through December 31)
UBS	UBS Securities LLC and UBS Real Estate Securities Inc.
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLT	Video Lottery Terminal

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**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2021 First Quarter</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	22,450	22,008	23,508	25,181
Consumption/Use Taxes	6,446	7,380	7,691	7,890
Business Taxes	6,506	6,337	6,778	6,918
Other Taxes	1,115	1,047	1,097	1,148
Miscellaneous Receipts	6,744	1,750	1,773	1,811
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	17,621	20,506	21,589	23,090
ECEP in Excess of Revenue Bond Debt Service	2	3	3	4
Sales Tax in Excess of LGAC Bond Debt Service	2,841	3,389	3,549	3,651
Sales Tax in Excess of Revenue Bond Debt Service	1,766	2,152	2,269	2,372
Real Estate Taxes in Excess of CW/CA Debt Service	781	841	905	961
All Other	2,561	1,822	1,514	1,354
Total Receipts	<u>68,833</u>	<u>67,235</u>	<u>70,676</u>	<u>74,380</u>
Disbursements:				
Local Assistance	46,285	49,659	53,022	56,055
State Operations:				
Personal Service	8,739	8,999	9,062	9,202
Non-Personal Service	2,094	2,552	2,504	2,629
General State Charges	7,070	9,013	9,559	9,689
Transfers to Other Funds:				
Debt Service	310	488	501	553
Capital Projects	3,396	3,749	3,913	3,133
SUNY Operations	1,273	1,273	1,267	1,267
Other Purposes	1,523	1,367	1,444	1,549
Total Disbursements	<u>70,690</u>	<u>77,100</u>	<u>81,272</u>	<u>84,077</u>
Use (Reservation) of Fund Balance:				
Community Projects	16	0	0	0
Timing of Payments	1,313	0	0	0
Undesignated Fund Balance	553	548	0	0
Economic Uncertainties	(450)	0	0	0
Extraordinary Monetary Settlements	425	959	747	345
Total Use (Reservation) of Fund Balance	<u>1,857</u>	<u>1,507</u>	<u>747</u>	<u>345</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>(8,358)</u>	<u>(9,849)</u>	<u>(9,352)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2020 Results	FY 2021 First Quarter	Annual \$ Change	Annual % Change
Opening Fund Balance	7,206	8,944	1,738	24.1%
Receipts:				
Taxes:				
Personal Income Tax	24,646	22,450	(2,196)	-8.9%
Consumption/Use Taxes	8,038	6,446	(1,592)	-19.8%
Business Taxes	6,370	6,506	136	2.1%
Other Taxes	1,087	1,115	28	2.6%
Miscellaneous Receipts	3,159	6,744	3,585	113.5%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	25,862	17,621	(8,241)	-31.9%
ECEP in Excess of Revenue Bond Debt Service	0	2	2	0.0%
Sales Tax in Excess of LGAC Bond Debt Service	3,417	2,841	(576)	-16.9%
Sales Tax in Excess of Revenue Bond Debt Service	2,762	1,766	(996)	-36.1%
Real Estate Taxes in Excess of CW/CA Debt Service	951	781	(170)	-17.9%
All Other	2,915	2,561	(354)	-12.1%
Total Receipts	79,207	68,833	(10,374)	-13.1%
Disbursements:				
Local Assistance	51,863	46,285	(5,578)	-10.8%
State Operations:				
Personal Service	8,940	8,739	(201)	-2.2%
Non-Personal Service	3,114	2,094	(1,020)	-32.8%
General State Charges	7,454	7,070	(384)	-5.2%
Transfers to Other Funds:				
Debt Service	736	310	(426)	-57.9%
Capital Projects	3,128	3,396	268	8.6%
SUNY Operations	1,179	1,273	94	8.0%
Other Purposes	1,055	1,523	468	44.4%
Total Disbursements	77,469	70,690	(6,779)	-8.8%
Excess (Deficiency) of Receipts Over Disbursements	1,738	(1,857)	(3,595)	-206.8%
Closing Fund Balance	8,944	7,087	(1,857)	-20.8%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserves	1,218	1,218	0	
Contingency Reserve	21	21	0	
Community Projects	31	15	(16)	
Reserved For				
Timing of Payments	1,313	0	(1,313)	
Undesignated Fund Balance	1,103	550	(553)	
Debt Management	500	500	0	
Economic Uncertainties	890	1,340	450	
Extraordinary Monetary Settlements	2,610	2,185	(425)	

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2021 Enacted</u>	<u>Change</u>	<u>FY 2021 First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	22,450	0	22,450
Consumption/Use Taxes	6,934	(488)	6,446
Business Taxes	6,506	0	6,506
Other Taxes	1,115	0	1,115
Miscellaneous Receipts	6,373	371	6,744
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	19,152	(1,531)	17,621
ECEP in Excess of Revenue Bond Debt Service	2	0	2
Sales Tax in Excess of LGAC Bond Debt Service	3,063	(222)	2,841
Sales Tax in Excess of Revenue Bond Debt Service	1,987	(221)	1,766
Real Estate Taxes in Excess of CW/CA Debt Service	781	0	781
All Other	2,579	(18)	2,561
Total Receipts	<u>70,942</u>	<u>(2,109)</u>	<u>68,833</u>
Disbursements:			
Local Assistance	46,400	(115)	46,285
State Operations:			
Personal Service	9,058	(319)	8,739
Non-Personal Service	2,597	(503)	2,094
General State Charges	7,249	(179)	7,070
Transfers to Other Funds:			
Debt Service	1,810	(1,500)	310
Capital Projects	3,512	(116)	3,396
SUNY Operations	1,273	0	1,273
Other Purposes	1,270	253	1,523
Total Disbursements	<u>73,169</u>	<u>(2,479)</u>	<u>70,690</u>
Use (Reservation) of Fund Balance:			
Community Projects	16	0	16
Timing of Payments	1,313	0	1,313
Undesignated Fund Balance	553	0	553
Reserve for Economic Uncertainties	(80)	(370)	(450)
Extraordinary Monetary Settlements	425	0	425
Total Use (Reservation) of Fund Balance	<u>2,227</u>	<u>(370)</u>	<u>1,857</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2022 Enacted</u>	<u>Change</u>	<u>FY 2022 First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	22,008	0	22,008
Consumption/Use Taxes	7,462	(82)	7,380
Business Taxes	6,337	0	6,337
Other Taxes	1,047	0	1,047
Miscellaneous Receipts	1,750	0	1,750
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	20,560	(54)	20,506
ECEP in Excess of Revenue Bond Debt Service	3	0	3
Sales Tax in Excess of LGAC Bond Debt Service	3,414	(25)	3,389
Sales Tax in Excess of Revenue Bond Debt Service	2,177	(25)	2,152
Real Estate Taxes in Excess of CW/CA Debt Service	841	0	841
All Other	1,855	(33)	1,822
Total Receipts	<u>67,454</u>	<u>(219)</u>	<u>67,235</u>
Disbursements:			
Local Assistance	48,967	692	49,659
State Operations:			
Personal Service	8,996	3	8,999
Non-Personal Service	2,543	9	2,552
General State Charges	9,013	0	9,013
Transfers to Other Funds:			
Debt Service	488	0	488
Capital Projects	3,747	2	3,749
SUNY Operations	1,273	0	1,273
Other Purposes	1,407	(40)	1,367
Total Disbursements	<u>76,434</u>	<u>666</u>	<u>77,100</u>
Use (Reservation) of Fund Balance:			
Undesignated Fund Balance	548	0	548
Extraordinary Monetary Settlements	959	0	959
Total Use (Reservation) of Fund Balance	<u>1,507</u>	<u>0</u>	<u>1,507</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(7,473)</u>	<u>(885)</u>	<u>(8,358)</u>

CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)

	<u>FY 2023 Enacted</u>	<u>Change</u>	<u>FY 2023 First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	23,508	0	23,508
Consumption/Use Taxes	7,686	5	7,691
Business Taxes	6,778	0	6,778
Other Taxes	1,097	0	1,097
Miscellaneous Receipts	1,773	0	1,773
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	21,644	(55)	21,589
ECEP in Excess of Revenue Bond Debt Service	3	0	3
Sales Tax in Excess of LGAC Bond Debt Service	3,530	19	3,549
Sales Tax in Excess of Revenue Bond Debt Service	2,251	18	2,269
Real Estate Taxes in Excess of CW/CA Debt Service	905	0	905
All Other	1,532	(18)	1,514
Total Receipts	<u>70,707</u>	<u>(31)</u>	<u>70,676</u>
Disbursements:			
Local Assistance	52,444	578	53,022
State Operations:			
Personal Service	9,059	3	9,062
Non-Personal Service	2,494	10	2,504
General State Charges	9,559	0	9,559
Transfers to Other Funds:			
Debt Service	501	0	501
Capital Projects	3,917	(4)	3,913
SUNY Operations	1,267	0	1,267
Other Purposes	1,484	(40)	1,444
Total Disbursements	<u>80,725</u>	<u>547</u>	<u>81,272</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	747	0	747
Total Use (Reservation) of Fund Balance	<u>747</u>	<u>0</u>	<u>747</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,271)</u>	<u>(578)</u>	<u>(9,849)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2024 Enacted</u>	<u>Change</u>	<u>FY 2024 First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	25,181	0	25,181
Consumption/Use Taxes	7,922	(32)	7,890
Business Taxes	6,918	0	6,918
Other Taxes	1,148	0	1,148
Miscellaneous Receipts	1,811	0	1,811
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	23,145	(55)	23,090
ECEP in Excess of Revenue Bond Debt Service	4	0	4
Sales Tax in Excess of LGAC Bond Debt Service	3,651	0	3,651
Sales Tax in Excess of Revenue Bond Debt Service	2,372	0	2,372
Real Estate Taxes in Excess of CW/CA Debt Service	961	0	961
All Other	1,352	2	1,354
Total Receipts	<u>74,465</u>	<u>(85)</u>	<u>74,380</u>
Disbursements:			
Local Assistance	55,585	470	56,055
State Operations:			
Personal Service	9,199	3	9,202
Non-Personal Service	2,619	10	2,629
General State Charges	9,689	0	9,689
Transfers to Other Funds:			
Debt Service	553	0	553
Capital Projects	3,138	(5)	3,133
State Share of Mental Hygiene Medicaid	0	0	0
SUNY Operations	1,267	0	1,267
Other Purposes	1,590	(41)	1,549
Total Disbursements	<u>83,640</u>	<u>437</u>	<u>84,077</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	345	0	345
Total Use (Reservation) of Fund Balance	<u>345</u>	<u>0</u>	<u>345</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(8,830)</u>	<u>(522)</u>	<u>(9,352)</u>

**CASH RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2021	FY 2022	FY 2023	FY 2024
	First Quarter	Projected	Projected	Projected
Taxes:				
Withholdings	39,752	42,570	44,344	46,269
Estimated Payments	14,669	12,951	14,091	15,438
Final Payments	3,608	2,882	3,164	3,431
Other Payments	1,611	1,681	1,731	1,783
Gross Collections	59,640	60,084	63,330	66,921
State/City Offset	(1,274)	(1,399)	(1,524)	(1,649)
Refunds	(9,320)	(10,710)	(11,074)	(11,410)
Reported Tax Collections	49,046	47,975	50,732	53,862
STAR (Dedicated Deposits)	(2,073)	(1,979)	(1,858)	(1,750)
RBTF (Dedicated Transfers)	(24,523)	(23,988)	(25,366)	(26,931)
Personal Income Tax	22,450	22,008	23,508	25,181
Sales and Use Tax	11,700	13,554	14,192	14,604
Cigarette and Tobacco Taxes	296	305	295	285
Vapor Excise Tax	0	0	0	0
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	266	264	266	269
Opioid Excise Tax	34	34	34	34
Medical Cannabis Excise Tax	0	0	0	0
Adult Use Cannabis Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Consumption/Use Taxes	12,296	14,157	14,787	15,192
LGAC/STBF (Dedicated Transfers)	(5,850)	(6,777)	(7,096)	(7,302)
Consumption/Use Taxes	6,446	7,380	7,691	7,890
Corporation Franchise Tax	3,882	3,852	4,228	4,309
Corporation and Utilities Tax	470	463	477	481
Insurance Taxes	1,929	2,022	2,073	2,128
Bank Tax	225	0	0	0
Petroleum Business Tax	0	0	0	0
Business Taxes	6,506	6,337	6,778	6,918
Estate Tax	1,100	1,028	1,077	1,128
Real Estate Transfer Tax	949	1,004	1,061	1,114
Employer Compensation Expense Program	4	6	7	7
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	11	14	14	14
Other Taxes	2	2	2	3
Gross Other Taxes	2,066	2,054	2,161	2,266
Real Estate Transfer Tax (Dedicated)	(949)	(1,004)	(1,061)	(1,114)
RBTF (Dedicated Transfers)	(2)	(3)	(3)	(4)
Other Taxes	1,115	1,047	1,097	1,148
Payroll Tax	0	0	0	0
Total Taxes	36,517	36,772	39,074	41,137
Licenses, Fees, Etc.	378	478	528	578
Abandoned Property	450	450	450	450
Motor Vehicle Fees	331	246	238	238
ABC License Fee	60	68	65	64
Reimbursements	124	70	70	66
Investment Income	79	43	27	20
Extraordinary Settlements	450	0	0	0
Other Transactions	4,872	395	395	395
Miscellaneous Receipts	6,744	1,750	1,773	1,811
Federal Receipts	0	0	0	0
Total	43,261	38,522	40,847	42,948

CURRENT STATE RECEIPTS
GENERAL FUND
(millions of dollars)

	FY 2020 Results	FY 2021 First Quarter	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	43,118	39,752	(3,366)	-7.8%
Estimated Payments	17,025	14,669	(2,356)	-13.8%
Final Payments	3,454	3,608	154	4.5%
Other Payments	1,388	1,611	223	16.1%
Gross Collections	64,985	59,640	(5,345)	-8.2%
State/City Offset	(1,117)	(1,274)	(157)	-14.1%
Refunds	(10,208)	(9,320)	888	8.7%
Reported Tax Collections	53,660	49,046	(4,614)	-8.6%
STAR (Dedicated Deposits)	(2,184)	(2,073)	111	5.1%
RBTF (Dedicated Transfers)	(26,830)	(24,523)	2,307	8.6%
Personal Income Tax	24,646	22,450	(2,196)	-8.9%
Sales and Use Tax	14,883	11,700	(3,183)	-21.4%
Cigarette and Tobacco Taxes	313	296	(17)	-5.4%
Vapor Excise Tax	0	0	0	0.0%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	259	266	7	2.7%
Opioid Excise Tax	19	34	15	78.9%
Medical Cannabis Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	15,474	12,296	(3,178)	-20.5%
LGAC/STBF (Dedicated Transfers)	(7,436)	(5,850)	1,586	21.3%
Consumption/Use Taxes	8,038	6,446	(1,592)	-19.8%
Corporation Franchise Tax	3,791	3,882	91	2.4%
Corporation and Utilities Tax	518	470	(48)	-9.3%
Insurance Taxes	2,053	1,929	(124)	-6.0%
Bank Tax	8	225	217	2712.5%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	6,370	6,506	136	2.1%
Estate Tax	1,070	1,100	30	2.8%
Real Estate Transfer Tax	1,124	949	(175)	-15.6%
Employer Compensation Expense Program	2	4	2	100.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	14	11	(3)	-21.4%
Other Taxes	2	2	0	0.0%
Gross Other Taxes	2,212	2,066	(146)	-6.6%
Real Estate Transfer Tax (Dedicated)	(1,124)	(949)	175	15.6%
RBTF (Dedicated Transfers)	(1)	(2)	(1)	-100.0%
Other Taxes	1,087	1,115	28	2.6%
Payroll Tax	0	0	0	0.0%
Total Taxes	40,141	36,517	(3,624)	-9.0%
Licenses, Fees, Etc.	697	378	(319)	-45.8%
Abandoned Property	450	450	0	0.0%
Motor Vehicle Fees	342	331	(11)	-3.2%
ABC License Fee	73	60	(13)	-17.8%
Reimbursements	214	124	(90)	-42.1%
Investment Income	174	79	(95)	-54.6%
Extraordinary Settlements	787	450	(337)	-42.8%
Other Transactions	422	4,872	4,450	1054.5%
Miscellaneous Receipts	3,159	6,744	3,585	113.5%
Federal Receipts	0	0	0	0.0%
Total	43,300	43,261	(39)	-0.1%

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>7,206</u>	<u>5,091</u>	<u>65</u>	<u>12,362</u>
Receipts:				
Taxes	40,141	6,059	35,272	81,472
Miscellaneous Receipts	3,159	19,064	477	22,700
Federal Receipts	<u>0</u>	<u>(13)</u>	<u>74</u>	<u>61</u>
Total Receipts	<u>43,300</u>	<u>25,110</u>	<u>35,823</u>	<u>104,233</u>
Disbursements:				
Local Assistance	51,863	16,790	0	68,653
State Operations:				
Personal Service	8,940	5,150	0	14,090
Non-Personal Service	3,114	2,928	36	6,078
General State Charges	7,454	969	0	8,423
Debt Service	0	0	4,916	4,916
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>71,371</u>	<u>25,837</u>	<u>4,952</u>	<u>102,160</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	35,907	2,269	3,742	41,918
Transfers to Other Funds	(6,098)	(1,233)	(34,615)	(41,946)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>29,809</u>	<u>1,036</u>	<u>(30,873)</u>	<u>(28)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,738</u>	<u>309</u>	<u>(2)</u>	<u>2,045</u>
Closing Fund Balance	<u>8,944</u>	<u>5,400</u>	<u>63</u>	<u>14,407</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>8,944</u>	<u>5,400</u>	<u>63</u>	<u>14,407</u>
Receipts:				
Taxes	36,517	5,518	31,205	73,240
Miscellaneous Receipts	6,744	15,517	381	22,642
Federal Receipts	<u>0</u>	<u>(23)</u>	<u>74</u>	<u>51</u>
Total Receipts	<u>43,261</u>	<u>21,012</u>	<u>31,660</u>	<u>95,933</u>
Disbursements:				
Local Assistance	46,285	15,094	0	61,379
State Operations:				
Personal Service	8,739	5,010	0	13,749
Non-Personal Service	2,094	2,407	44	4,545
General State Charges	7,070	1,045	0	8,115
Debt Service	0	0	10,364	10,364
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>64,188</u>	<u>23,556</u>	<u>10,408</u>	<u>98,152</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	25,572	2,793	3,182	31,547
Transfers to Other Funds	(6,502)	(784)	(24,440)	(31,726)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>19,070</u>	<u>2,009</u>	<u>(21,258)</u>	<u>(179)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,857)</u>	<u>(535)</u>	<u>(6)</u>	<u>(2,398)</u>
Closing Fund Balance	<u>7,087</u>	<u>4,865</u>	<u>57</u>	<u>12,009</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2022
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	36,772	5,572	31,653	73,997
Miscellaneous Receipts	1,750	15,753	385	17,888
Federal Receipts	0	(20)	72	52
Total Receipts	<u>38,522</u>	<u>21,305</u>	<u>32,110</u>	<u>91,937</u>
Disbursements:				
Local Assistance	49,659	15,429	0	65,088
State Operations:				
Personal Service	8,999	4,840	0	13,839
Non-Personal Service	2,552	2,451	43	5,046
General State Charges	9,013	1,139	0	10,152
Debt Service	0	0	6,980	6,980
Capital Projects	0	0	0	0
Total Disbursements	<u>70,223</u>	<u>23,859</u>	<u>7,023</u>	<u>101,105</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	28,713	2,626	3,135	34,474
Transfers to Other Funds	(6,877)	(165)	(28,217)	(35,259)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>21,836</u>	<u>2,461</u>	<u>(25,082)</u>	<u>(785)</u>
Use (Reservation) of Fund Balance:				
Undesignated Fund Balance	548	0	0	548
Extraordinary Monetary Settlements	959	0	0	959
Total Use (Reservation) of Fund Balance	<u>1,507</u>	<u>0</u>	<u>0</u>	<u>1,507</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(8,358)</u>	<u>(93)</u>	<u>5</u>	<u>(8,446)</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2023
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	39,074	5,558	33,407	78,039
Miscellaneous Receipts	1,773	15,205	384	17,362
Federal Receipts	0	(18)	69	51
Total Receipts	<u>40,847</u>	<u>20,745</u>	<u>33,860</u>	<u>95,452</u>
Disbursements:				
Local Assistance	53,022	15,086	0	68,108
State Operations:				
Personal Service	9,062	4,828	0	13,890
Non-Personal Service	2,504	2,402	43	4,949
General State Charges	9,559	1,168	0	10,727
Debt Service	0	0	7,399	7,399
Capital Projects	0	0	0	0
Total Disbursements	<u>74,147</u>	<u>23,484</u>	<u>7,442</u>	<u>105,073</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	29,829	2,701	3,130	35,660
Transfers to Other Funds	(7,125)	(87)	(29,544)	(36,756)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>22,704</u>	<u>2,614</u>	<u>(26,414)</u>	<u>(1,096)</u>
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	747	0	0	747
Total Use (Reservation) of Fund Balance	<u>747</u>	<u>0</u>	<u>0</u>	<u>747</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,849)</u>	<u>(125)</u>	<u>4</u>	<u>(9,970)</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2024
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	41,137	5,504	35,232	81,873
Miscellaneous Receipts	1,811	14,841	384	17,036
Federal Receipts	0	(17)	66	49
Total Receipts	42,948	20,328	35,682	98,958
Disbursements:				
Local Assistance	56,055	14,911	0	70,966
State Operations:				
Personal Service	9,202	4,774	0	13,976
Non-Personal Service	2,629	2,331	43	5,003
General State Charges	9,689	1,153	0	10,842
Debt Service	0	0	7,591	7,591
Capital Projects	0	0	0	0
Total Disbursements	77,575	23,169	7,634	108,378
Other Financing Sources (Uses):				
Transfers from Other Funds	31,432	2,813	3,175	37,420
Transfers to Other Funds	(6,502)	(26)	(31,202)	(37,730)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	24,930	2,787	(28,027)	(310)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	345	0	0	345
Total Use (Reservation) of Fund Balance	345	0	0	345
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	(9,352)	(54)	21	(9,385)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)

	FY 2020 Results	FY 2021 First Quarter	Annual \$ Change	Annual % Change
Opening Fund Balance	12,362	14,407	2,045	16.5%
Receipts:				
Taxes	81,472	73,240	(8,232)	-10.1%
Miscellaneous Receipts	22,700	22,642	(58)	-0.3%
Federal Receipts	61	51	(10)	-16.4%
Total Receipts	104,233	95,933	(8,300)	-8.0%
Disbursements:				
Local Assistance	68,653	61,379	(7,274)	-10.6%
State Operations:				
Personal Service	14,090	13,749	(341)	-2.4%
Non-Personal Service	6,078	4,545	(1,533)	-25.2%
General State Charges	8,423	8,115	(308)	-3.7%
Debt Service	4,916	10,364	5,448	110.8%
Capital Projects	0	0	0	0.0%
Total Disbursements	102,160	98,152	(4,008)	-3.9%
Other Financing Sources (Uses):				
Transfers from Other Funds	41,918	31,547	(10,371)	-24.7%
Transfers to Other Funds	(41,946)	(31,726)	10,220	24.4%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	(28)	(179)	(151)	-539.3%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,045	(2,398)	(4,443)	-217.3%
Closing Fund Balance	14,407	12,009	(2,398)	-16.6%

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	7,206	3,842	(1,138)	65	9,975
Receipts:					
Taxes	40,141	6,059	1,417	35,272	82,889
Miscellaneous Receipts	3,159	19,279	6,551	477	29,466
Federal Receipts	0	62,897	2,109	74	65,080
Total Receipts	<u>43,300</u>	<u>88,235</u>	<u>10,077</u>	<u>35,823</u>	<u>177,435</u>
Disbursements:					
Local Assistance	51,863	73,243	5,013	0	130,119
State Operations:					
Personal Service	8,940	5,787	0	0	14,727
Non-Personal Service	3,114	4,327	0	36	7,477
General State Charges	7,454	1,303	0	0	8,757
Debt Service	0	0	0	4,916	4,916
Capital Projects	0	0	6,986	0	6,986
Total Disbursements	<u>71,371</u>	<u>84,660</u>	<u>11,999</u>	<u>4,952</u>	<u>172,982</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	35,907	2,269	3,547	3,742	45,465
Transfers to Other Funds	(6,098)	(3,375)	(1,522)	(34,615)	(45,610)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>29,809</u>	<u>(1,106)</u>	<u>2,025</u>	<u>(30,873)</u>	<u>(145)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,738</u>	<u>2,469</u>	<u>103</u>	<u>(2)</u>	<u>4,308</u>
Closing Fund Balance	<u>8,944</u>	<u>6,311</u>	<u>(1,035)</u>	<u>63</u>	<u>14,283</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	8,944	6,311	(1,035)	63	14,283
Receipts:					
Taxes	36,517	5,518	1,221	31,205	74,461
Miscellaneous Receipts	6,744	15,750	8,191	381	31,066
Federal Receipts	0	74,841	2,182	74	77,097
Total Receipts	43,261	96,109	11,594	31,660	182,624
Disbursements:					
Local Assistance	46,285	79,753	5,168	0	131,206
State Operations:					
Personal Service	8,739	6,030	0	0	14,769
Non-Personal Service	2,094	8,300	0	44	10,438
General State Charges	7,070	1,585	0	0	8,655
Debt Service	0	144	0	10,364	10,508
Capital Projects	0	0	9,508	0	9,508
Total Disbursements	64,188	95,812	14,676	10,408	185,084
Other Financing Sources (Uses):					
Transfers from Other Funds	25,572	2,793	3,787	3,182	35,334
Transfers to Other Funds	(6,502)	(2,840)	(1,510)	(24,440)	(35,292)
Bond and Note Proceeds	0	0	850	0	850
Net Other Financing Sources (Uses)	19,070	(47)	3,127	(21,258)	892
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,857)	250	45	(6)	(1,568)
Closing Fund Balance	7,087	6,561	(990)	57	12,715

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	36,772	5,572	1,345	31,653	75,342
Miscellaneous Receipts	1,750	15,980	7,306	385	25,421
Federal Receipts	0	67,909	2,213	72	70,194
Total Receipts	<u>38,522</u>	<u>89,461</u>	<u>10,864</u>	<u>32,110</u>	<u>170,957</u>
Disbursements:					
Local Assistance	49,659	78,030	4,775	0	132,464
State Operations:					
Personal Service	8,999	5,515	0	0	14,514
Non-Personal Service	2,552	3,920	0	43	6,515
General State Charges	9,013	1,513	0	0	10,526
Debt Service	0	0	0	6,980	6,980
Capital Projects	0	0	9,429	0	9,429
Total Disbursements	<u>70,223</u>	<u>88,978</u>	<u>14,204</u>	<u>7,023</u>	<u>180,428</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	28,713	2,626	4,123	3,135	38,597
Transfers to Other Funds	(6,877)	(2,150)	(1,402)	(28,217)	(38,646)
Bond and Note Proceeds	0	0	488	0	488
Net Other Financing Sources (Uses)	<u>21,836</u>	<u>476</u>	<u>3,209</u>	<u>(25,082)</u>	<u>439</u>
Use (Reservation) of Fund Balance:					
Undesignated Fund Balance	548	0	0	0	548
Extraordinary Monetary Settlements	959	0	0	0	959
Total Use (Reservation) of Fund Balance	<u>1,507</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,507</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(8,358)</u>	<u>959</u>	<u>(131)</u>	<u>5</u>	<u>(7,525)</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	39,074	5,558	1,347	33,407	79,386
Miscellaneous Receipts	1,773	15,432	6,496	384	24,085
Federal Receipts	0	69,361	2,214	69	71,644
Total Receipts	<u>40,847</u>	<u>90,351</u>	<u>10,057</u>	<u>33,860</u>	<u>175,115</u>
Disbursements:					
Local Assistance	53,022	78,954	4,481	0	136,457
State Operations:					
Personal Service	9,062	5,506	0	0	14,568
Non-Personal Service	2,504	3,871	0	43	6,418
General State Charges	9,559	1,544	0	0	11,103
Debt Service	0	0	0	7,399	7,399
Capital Projects	0	0	8,949	0	8,949
Total Disbursements	<u>74,147</u>	<u>89,875</u>	<u>13,430</u>	<u>7,442</u>	<u>184,894</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	29,829	2,701	4,304	3,130	39,964
Transfers to Other Funds	(7,125)	(1,961)	(1,528)	(29,544)	(40,158)
Bond and Note Proceeds	0	0	513	0	513
Net Other Financing Sources (Uses)	<u>22,704</u>	<u>740</u>	<u>3,289</u>	<u>(26,414)</u>	<u>319</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	747	0	0	0	747
Total Use (Reservation) of Fund Balance	<u>747</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>747</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,849)</u>	<u>1,216</u>	<u>(84)</u>	<u>4</u>	<u>(8,713)</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2024
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	41,137	5,504	1,343	35,232	83,216
Miscellaneous Receipts	1,811	15,068	6,385	384	23,648
Federal Receipts	0	71,519	2,186	66	73,771
Total Receipts	<u>42,948</u>	<u>92,091</u>	<u>9,914</u>	<u>35,682</u>	<u>180,635</u>
Disbursements:					
Local Assistance	56,055	80,636	3,817	0	140,508
State Operations:					
Personal Service	9,202	5,454	0	0	14,656
Non-Personal Service	2,629	3,809	0	43	6,481
General State Charges	9,689	1,529	0	0	11,218
Debt Service	0	0	0	7,591	7,591
Capital Projects	0	0	8,450	0	8,450
Total Disbursements	<u>77,575</u>	<u>91,428</u>	<u>12,267</u>	<u>7,634</u>	<u>188,904</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	31,432	2,813	3,509	3,175	40,929
Transfers to Other Funds	(6,502)	(1,881)	(1,538)	(31,202)	(41,123)
Bond and Note Proceeds	0	0	413	0	413
Net Other Financing Sources (Uses)	<u>24,930</u>	<u>932</u>	<u>2,384</u>	<u>(28,027)</u>	<u>219</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	345	0	0	0	345
Total Use (Reservation) of Fund Balance	<u>345</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>345</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,352)</u>	<u>1,595</u>	<u>31</u>	<u>21</u>	<u>(7,705)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)**

	FY 2020 Results	FY 2021 First Quarter	Annual \$ Change	Annual % Change
Opening Fund Balance	9,975	14,283	4,308	43.2%
Receipts:				
Taxes	82,889	74,461	(8,428)	-10.2%
Miscellaneous Receipts	29,466	31,066	1,600	5.4%
Federal Receipts	65,080	77,097	12,017	18.5%
Total Receipts	177,435	182,624	5,189	2.9%
Disbursements:				
Local Assistance	130,119	131,206	1,087	0.8%
State Operations:				
Personal Service	14,727	14,769	42	0.3%
Non-Personal Service	7,477	10,438	2,961	39.6%
General State Charges	8,757	8,655	(102)	-1.2%
Debt Service	4,916	10,508	5,592	113.8%
Capital Projects	6,986	9,508	2,522	36.1%
Total Disbursements	172,982	185,084	12,102	7.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	45,465	35,334	(10,131)	-22.3%
Transfers to Other Funds	(45,610)	(35,292)	10,318	22.6%
Bond and Note Proceeds	0	850	850	0.0%
Net Other Financing Sources (Uses)	(145)	892	1,037	715.2%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	4,308	(1,568)	(5,876)	-136.4%
Closing Fund Balance	14,283	12,715	(1,568)	-11.0%

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	43,118	0	0	0	43,118
Estimated Payments	17,025	0	0	0	17,025
Final Payments	3,454	0	0	0	3,454
Other Payments	1,388	0	0	0	1,388
Gross Collections	64,985	0	0	0	64,985
State/City Offset	(1,117)	0	0	0	(1,117)
Refunds	(10,208)	0	0	0	(10,208)
Reported Tax Collections	53,660	0	0	0	53,660
STAR (Dedicated Deposits)	(2,184)	2,184	0	0	0
RBTF (Dedicated Transfers)	(26,830)	0	0	26,830	0
Personal Income Tax	24,646	2,184	0	26,830	53,660
Sales and Use Tax	14,883	1,049	0	0	15,932
Cigarette and Tobacco Taxes	313	722	0	0	1,035
Vapor Excise Tax	0	10	0	0	10
Motor Fuel Tax	0	108	404	0	512
Alcoholic Beverage Taxes	259	0	0	0	259
Opioid Excise Tax	19	0	0	0	19
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	1	141	0	142
Auto Rental Tax	0	20	86	0	106
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	15,474	1,916	631	0	18,021
LGAC/STBF (Dedicated Transfers)	(7,436)	0	0	7,436	0
Consumption/Use Taxes	8,038	1,916	631	7,436	18,021
Corporation Franchise Tax	3,791	1,033	0	0	4,824
Corporation and Utilities Tax	518	172	15	0	705
Insurance Taxes	2,053	253	0	0	2,306
Bank Tax	8	(8)	0	0	0
Petroleum Business Tax	0	509	652	0	1,161
Business Taxes	6,370	1,959	667	0	8,996
Estate Tax	1,070	0	0	0	1,070
Real Estate Transfer Tax	1,124	0	0	0	1,124
Employer Compensation Expense Program	2	0	0	0	2
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,212	0	0	0	2,212
Real Estate Transfer Tax (Dedicated)	(1,124)	0	119	1,005	0
RBTF (Dedicated Transfers)	(1)	0	0	1	0
Other Taxes	1,087	0	119	1,006	2,212
Payroll Tax	0	0	0	0	0
Total Taxes	40,141	6,059	1,417	35,272	82,889
Licenses, Fees, Etc.	697	0	0	0	697
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	342	208	806	0	1,356
ABC License Fee	73	0	0	0	73
Reimbursements	214	0	0	0	214
Investment Income	174	0	0	0	174
Extraordinary Settlements	787	0	0	0	787
Other Transactions	422	19,071	5,745	477	25,715
Miscellaneous Receipts	3,159	19,279	6,551	477	29,466
Federal Receipts	0	62,897	2,109	74	65,080
Total	43,300	88,235	10,077	35,823	177,435

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	39,752	0	0	0	39,752
Estimated Payments	14,669	0	0	0	14,669
Final Payments	3,608	0	0	0	3,608
Other Payments	1,611	0	0	0	1,611
Gross Collections	59,640	0	0	0	59,640
State/City Offset	(1,274)	0	0	0	(1,274)
Refunds	(9,320)	0	0	0	(9,320)
Reported Tax Collections	49,046	0	0	0	49,046
STAR (Dedicated Deposits)	(2,073)	2,073	0	0	0
RBTF (Dedicated Transfers)	(24,523)	0	0	24,523	0
Personal Income Tax	22,450	2,073	0	24,523	49,046
Sales and Use Tax	11,700	782	0	0	12,482
Cigarette and Tobacco Taxes	296	675	0	0	971
Vapor Excise Tax	0	14	0	0	14
Motor Fuel Tax	0	94	353	0	447
Alcoholic Beverage Taxes	266	0	0	0	266
Opioid Excise Tax	34	0	0	0	34
Medical Cannabis Excise Tax	0	7	0	0	7
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	131	0	131
Auto Rental Tax	0	11	41	0	52
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	12,296	1,583	525	0	14,404
LGAC/STBF (Dedicated Transfers)	(5,850)	0	0	5,850	0
Consumption/Use Taxes	6,446	1,583	525	5,850	14,404
Corporation Franchise Tax	3,882	986	0	0	4,868
Corporation and Utilities Tax	470	156	14	0	640
Insurance Taxes	1,929	236	0	0	2,165
Bank Tax	225	45	0	0	270
Petroleum Business Tax	0	439	563	0	1,002
Business Taxes	6,506	1,862	577	0	8,945
Estate Tax	1,100	0	0	0	1,100
Real Estate Transfer Tax	949	0	0	0	949
Employer Compensation Expense Program	4	0	0	0	4
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	11	0	0	0	11
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,066	0	0	0	2,066
Real Estate Transfer Tax (Dedicated)	(949)	0	119	830	0
RBTF (Dedicated Transfers)	(2)	0	0	2	0
Other Taxes	1,115	0	119	832	2,066
Payroll Tax	0	0	0	0	0
Total Taxes	36,517	5,518	1,221	31,205	74,461
Licenses, Fees, Etc.	378	0	0	0	378
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	331	220	806	0	1,357
ABC License Fee	60	0	0	0	60
Reimbursements	124	0	0	0	124
Investment Income	79	0	0	0	79
Extraordinary Settlements	450	0	0	0	450
Other Transactions	4,872	15,530	7,385	381	28,168
Miscellaneous Receipts	6,744	15,750	8,191	381	31,066
Federal Receipts	0	74,841	2,182	74	77,097
Total	43,261	96,109	11,594	31,660	182,624

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,570	0	0	0	42,570
Estimated Payments	12,951	0	0	0	12,951
Final Payments	2,882	0	0	0	2,882
Other Payments	1,681	0	0	0	1,681
Gross Collections	60,084	0	0	0	60,084
State/City Offset	(1,399)	0	0	0	(1,399)
Refunds	(10,710)	0	0	0	(10,710)
Reported Tax Collections	47,975	0	0	0	47,975
STAR (Dedicated Deposits)	(1,979)	1,979	0	0	0
RBTF (Dedicated Transfers)	(23,988)	0	0	23,988	0
Personal Income Tax	22,008	1,979	0	23,988	47,975
Sales and Use Tax	13,554	902	0	0	14,456
Cigarette and Tobacco Taxes	305	641	0	0	946
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	107	402	0	509
Alcoholic Beverage Taxes	264	0	0	0	264
Opioid Excise Tax	34	0	0	0	34
Medical Cannabis Excise Tax	0	7	0	0	7
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	1	138	0	139
Auto Rental Tax	0	17	61	0	78
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	14,157	1,681	601	0	16,439
LGAC/STBF (Dedicated Transfers)	(6,777)	0	0	6,777	0
Consumption/Use Taxes	7,380	1,681	601	6,777	16,439
Corporation Franchise Tax	3,852	1,031	0	0	4,883
Corporation and Utilities Tax	463	159	15	0	637
Insurance Taxes	2,022	247	0	0	2,269
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	475	610	0	1,085
Business Taxes	6,337	1,912	625	0	8,874
Estate Tax	1,028	0	0	0	1,028
Real Estate Transfer Tax	1,004	0	0	0	1,004
Employer Compensation Expense Program	6	0	0	0	6
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,054	0	0	0	2,054
Real Estate Transfer Tax (Dedicated)	(1,004)	0	119	885	0
RBTF (Dedicated Transfers)	(3)	0	0	3	0
Other Taxes	1,047	0	119	888	2,054
Payroll Tax	0	0	0	0	0
Total Taxes	36,772	5,572	1,345	31,653	75,342
Licenses, Fees, Etc.	478	0	0	0	478
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	246	219	795	0	1,260
ABC License Fee	68	0	0	0	68
Reimbursements	70	0	0	0	70
Investment Income	43	0	0	0	43
Extraordinary Settlements	0	0	0	0	0
Other Transactions	395	15,761	6,511	385	23,052
Miscellaneous Receipts	1,750	15,980	7,306	385	25,421
Federal Receipts	0	67,909	2,213	72	70,194
Total	38,522	89,461	10,864	32,110	170,957

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	44,344	0	0	0	44,344
Estimated Payments	14,091	0	0	0	14,091
Final Payments	3,164	0	0	0	3,164
Other Payments	1,731	0	0	0	1,731
Gross Collections	63,330	0	0	0	63,330
State/City Offset	(1,524)	0	0	0	(1,524)
Refunds	(11,074)	0	0	0	(11,074)
Reported Tax Collections	50,732	0	0	0	50,732
STAR (Dedicated Deposits)	(1,858)	1,858	0	0	0
RBTF (Dedicated Transfers)	(25,366)	0	0	25,366	0
Personal Income Tax	23,508	1,858	0	25,366	50,732
Sales and Use Tax	14,192	947	0	0	15,139
Cigarette and Tobacco Taxes	295	609	0	0	904
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	107	400	0	507
Alcoholic Beverage Taxes	266	0	0	0	266
Opioid Excise Tax	34	0	0	0	34
Medical Cannabis Excise Tax	0	7	0	0	7
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	138	0	138
Auto Rental Tax	0	20	69	0	89
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	14,787	1,696	607	0	17,090
LGAC/STBF (Dedicated Transfers)	(7,096)	0	0	7,096	0
Consumption/Use Taxes	7,691	1,696	607	7,096	17,090
Corporation Franchise Tax	4,228	1,117	0	0	5,345
Corporation and Utilities Tax	477	162	15	0	654
Insurance Taxes	2,073	254	0	0	2,327
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	471	606	0	1,077
Business Taxes	6,778	2,004	621	0	9,403
Estate Tax	1,077	0	0	0	1,077
Real Estate Transfer Tax	1,061	0	0	0	1,061
Employer Compensation Expense Program	7	0	0	0	7
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,161	0	0	0	2,161
Real Estate Transfer Tax (Dedicated)	(1,061)	0	119	942	0
RBTF (Dedicated Transfers)	(3)	0	0	3	0
Other Taxes	1,097	0	119	945	2,161
Payroll Tax	0	0	0	0	0
Total Taxes	39,074	5,558	1,347	33,407	79,386
Licenses, Fees, Etc.	528	0	0	0	528
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	238	220	798	0	1,256
ABC License Fee	65	0	0	0	65
Reimbursements	70	0	0	0	70
Investment Income	27	0	0	0	27
Extraordinary Settlements	0	0	0	0	0
Other Transactions	395	15,212	5,698	384	21,689
Miscellaneous Receipts	1,773	15,432	6,496	384	24,085
Federal Receipts	0	69,361	2,214	69	71,644
Total	40,847	90,351	10,057	33,860	175,115

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2024
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	46,269	0	0	0	46,269
Estimated Payments	15,438	0	0	0	15,438
Final Payments	3,431	0	0	0	3,431
Other Payments	1,783	0	0	0	1,783
Gross Collections	66,921	0	0	0	66,921
State/City Offset	(1,649)	0	0	0	(1,649)
Refunds	(11,410)	0	0	0	(11,410)
Reported Tax Collections	53,862	0	0	0	53,862
STAR (Dedicated Deposits)	(1,750)	1,750	0	0	0
RBTF (Dedicated Transfers)	(26,931)	0	0	26,931	0
Personal Income Tax	25,181	1,750	0	26,931	53,862
Sales and Use Tax	14,604	977	0	0	15,581
Cigarette and Tobacco Taxes	285	579	0	0	864
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	106	396	0	502
Alcoholic Beverage Taxes	269	0	0	0	269
Opioid Excise Tax	34	0	0	0	34
Medical Cannabis Excise Tax	0	7	0	0	7
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	140	0	140
Auto Rental Tax	0	21	72	0	93
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	15,192	1,696	608	0	17,496
LGAC/STBF (Dedicated Transfers)	(7,302)	0	0	7,302	0
Consumption/Use Taxes	7,890	1,696	608	7,302	17,496
Corporation Franchise Tax	4,309	1,167	0	0	5,476
Corporation and Utilities Tax	481	163	15	0	659
Insurance Taxes	2,128	261	0	0	2,389
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	467	601	0	1,068
Business Taxes	6,918	2,058	616	0	9,592
Estate Tax	1,128	0	0	0	1,128
Real Estate Transfer Tax	1,114	0	0	0	1,114
Employer Compensation Expense Program	7	0	0	0	7
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,266	0	0	0	2,266
Real Estate Transfer Tax (Dedicated)	(1,114)	0	119	995	0
RBTF (Dedicated Transfers)	(4)	0	0	4	0
Other Taxes	1,148	0	119	999	2,266
Payroll Tax	0	0	0	0	0
Total Taxes	41,137	5,504	1,343	35,232	83,216
Licenses, Fees, Etc.	578	0	0	0	578
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	238	219	795	0	1,252
ABC License Fee	64	0	0	0	64
Reimbursements	66	0	0	0	66
Investment Income	20	0	0	0	20
Extraordinary Settlements	0	0	0	0	0
Other Transactions	395	14,849	5,590	384	21,218
Miscellaneous Receipts	1,811	15,068	6,385	384	23,648
Federal Receipts	0	71,519	2,186	66	73,771
Total	42,948	92,091	9,914	35,682	180,635

STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
(millions of dollars)

	FY 2020 Results	FY 2021 First Quarter	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	43,118	39,752	(3,366)	-7.8%
Estimated Payments	17,025	14,669	(2,356)	-13.8%
Final Payments	3,454	3,608	154	4.5%
Other Payments	1,388	1,611	223	16.1%
Gross Collections	64,985	59,640	(5,345)	-8.2%
State/City Offset	(1,117)	(1,274)	(157)	-14.1%
Refunds	(10,208)	(9,320)	888	8.7%
Reported Tax Collections	53,660	49,046	(4,614)	-8.6%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	53,660	49,046	(4,614)	-8.6%
Sales and Use Tax	15,932	12,482	(3,450)	-21.7%
Cigarette and Tobacco Taxes	1,035	971	(64)	-6.2%
Vapor Excise Tax	10	14	4	40.0%
Motor Fuel Tax	512	447	(65)	-12.7%
Alcoholic Beverage Taxes	259	266	7	2.7%
Opioid Excise Tax	19	34	15	78.9%
Medical Cannabis Excise Tax	6	7	1	16.7%
Adult Use Cannabis Tax	0	0	0	0.0%
Highway Use Tax	142	131	(11)	-7.7%
Auto Rental Tax	106	52	(54)	-50.9%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	18,021	14,404	(3,617)	-20.1%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	18,021	14,404	(3,617)	-20.1%
Corporation Franchise Tax	4,824	4,868	44	0.9%
Corporation and Utilities Tax	705	640	(65)	-9.2%
Insurance Taxes	2,306	2,165	(141)	-6.1%
Bank Tax	0	270	270	0.0%
Petroleum Business Tax	1,161	1,002	(159)	-13.7%
Business Taxes	8,996	8,945	(51)	-0.6%
Estate Tax	1,070	1,100	30	2.8%
Real Estate Transfer Tax	1,124	949	(175)	-15.6%
Employer Compensation Expense Program	2	4	2	100.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	14	11	(3)	-21.4%
Other Taxes	2	2	0	0.0%
Gross Other Taxes	2,212	2,066	(146)	-6.6%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Other Taxes	2,212	2,066	(146)	-6.6%
Payroll Tax	0	0	0	0.0%
Total Taxes	82,889	74,461	(8,428)	-10.2%
Licenses, Fees, Etc.	697	378	(319)	-45.8%
Abandoned Property	450	450	0	0.0%
Motor Vehicle Fees	1,356	1,357	1	0.1%
ABC License Fee	73	60	(13)	-17.8%
Reimbursements	214	124	(90)	-42.1%
Investment Income	174	79	(95)	-54.6%
Extraordinary Settlements	787	450	(337)	-42.8%
Other Transactions	25,715	28,168	2,453	9.5%
Miscellaneous Receipts	29,466	31,066	1,600	5.4%
Federal Receipts	65,080	77,097	12,017	18.5%
Total	177,435	182,624	5,189	2.9%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	5,091	(1,249)	3,842
Receipts:			
Taxes	6,059	0	6,059
Miscellaneous Receipts	19,064	215	19,279
Federal Receipts	(13)	62,910	62,897
Total Receipts	25,110	63,125	88,235
Disbursements:			
Local Assistance	16,790	56,453	73,243
State Operations:			
Personal Service	5,150	637	5,787
Non-Personal Service	2,928	1,399	4,327
General State Charges	969	334	1,303
Capital Projects	0	0	0
Total Disbursements	25,837	58,823	84,660
Other Financing Sources (Uses):			
Transfers from Other Funds	2,269	0	2,269
Transfers to Other Funds	(1,233)	(2,142)	(3,375)
Net Other Financing Sources (Uses)	1,036	(2,142)	(1,106)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	309	2,160	2,469
Closing Fund Balance	5,400	911	6,311

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	5,400	911	6,311
Receipts:			
Taxes	5,518	0	5,518
Miscellaneous Receipts	15,517	233	15,750
Federal Receipts	(23)	74,864	74,841
Total Receipts	21,012	75,097	96,109
Disbursements:			
Local Assistance	15,094	64,659	79,753
State Operations:			
Personal Service	5,010	1,020	6,030
Non-Personal Service	2,407	5,893	8,300
General State Charges	1,045	540	1,585
Debt Service	0	144	144
Capital Projects	0	0	0
Total Disbursements	23,556	72,256	95,812
Other Financing Sources (Uses):			
Transfers from Other Funds	2,793	0	2,793
Transfers to Other Funds	(784)	(2,056)	(2,840)
Net Other Financing Sources (Uses)	2,009	(2,056)	(47)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(535)	785	250
Closing Fund Balance	4,865	1,696	6,561

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,865</u>	<u>1,696</u>	<u>6,561</u>
Receipts:			
Taxes	5,572	0	5,572
Miscellaneous Receipts	15,753	227	15,980
Federal Receipts	(20)	67,929	67,909
Total Receipts	<u>21,305</u>	<u>68,156</u>	<u>89,461</u>
Disbursements:			
Local Assistance	15,429	62,601	78,030
State Operations:			
Personal Service	4,840	675	5,515
Non-Personal Service	2,451	1,469	3,920
General State Charges	1,139	374	1,513
Capital Projects	0	0	0
Total Disbursements	<u>23,859</u>	<u>65,119</u>	<u>88,978</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,626	0	2,626
Transfers to Other Funds	(165)	(1,985)	(2,150)
Net Other Financing Sources (Uses)	<u>2,461</u>	<u>(1,985)</u>	<u>476</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(93)</u>	<u>1,052</u>	<u>959</u>
Closing Fund Balance	<u>4,772</u>	<u>2,748</u>	<u>7,520</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,772</u>	<u>2,748</u>	<u>7,520</u>
Receipts:			
Taxes	5,558	0	5,558
Miscellaneous Receipts	15,205	227	15,432
Federal Receipts	(18)	69,379	69,361
Total Receipts	<u>20,745</u>	<u>69,606</u>	<u>90,351</u>
Disbursements:			
Local Assistance	15,086	63,868	78,954
State Operations:			
Personal Service	4,828	678	5,506
Non-Personal Service	2,402	1,469	3,871
General State Charges	1,168	376	1,544
Capital Projects	0	0	0
Total Disbursements	<u>23,484</u>	<u>66,391</u>	<u>89,875</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,701	0	2,701
Transfers to Other Funds	(87)	(1,874)	(1,961)
Net Other Financing Sources (Uses)	<u>2,614</u>	<u>(1,874)</u>	<u>740</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(125)</u>	<u>1,341</u>	<u>1,216</u>
Closing Fund Balance	<u>4,647</u>	<u>4,089</u>	<u>8,736</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2024
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,647</u>	<u>4,089</u>	<u>8,736</u>
Receipts:			
Taxes	5,504	0	5,504
Miscellaneous Receipts	14,841	227	15,068
Federal Receipts	(17)	71,536	71,519
Total Receipts	<u>20,328</u>	<u>71,763</u>	<u>92,091</u>
Disbursements:			
Local Assistance	14,911	65,725	80,636
State Operations:			
Personal Service	4,774	680	5,454
Non-Personal Service	2,331	1,478	3,809
General State Charges	1,153	376	1,529
Capital Projects	0	0	0
Total Disbursements	<u>23,169</u>	<u>68,259</u>	<u>91,428</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,813	0	2,813
Transfers to Other Funds	(26)	(1,855)	(1,881)
Net Other Financing Sources (Uses)	<u>2,787</u>	<u>(1,855)</u>	<u>932</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(54)</u>	<u>1,649</u>	<u>1,595</u>
Closing Fund Balance	<u><u>4,593</u></u>	<u><u>5,738</u></u>	<u><u>10,331</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
(millions of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	3,842	6,311	2,469	64.3%
Receipts:				
Taxes	6,059	5,518	(541)	-8.9%
Miscellaneous Receipts	19,279	15,750	(3,529)	-18.3%
Federal Receipts	62,897	74,841	11,944	19.0%
Total Receipts	88,235	96,109	7,874	8.9%
Disbursements:				
Local Assistance	73,243	79,753	6,510	8.9%
State Operations:				
Personal Service	5,787	6,030	243	4.2%
Non-Personal Service	4,327	8,300	3,973	91.8%
General State Charges	1,303	1,585	282	21.6%
Debt Service	0	144	144	0.0%
Capital Projects	0	0	0	0.0%
Total Disbursements	84,660	95,812	11,152	13.2%
Other Financing Sources (Uses):				
Transfers from Other Funds	2,269	2,793	524	23.1%
Transfers to Other Funds	(3,375)	(2,840)	535	15.9%
Net Other Financing Sources (Uses)	(1,106)	(47)	1,059	95.8%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,469	250	(2,219)	-89.9%
Closing Fund Balance	6,311	6,561	250	4.0%

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2021	FY 2022	FY 2023	FY 2024
	First Quarter	Projected	Projected	Projected
Personal Income Tax	2,073	1,979	1,858	1,750
Consumption/Use Taxes	1,583	1,681	1,696	1,696
Sales and Use Tax	782	902	947	977
Cigarette and Tobacco Taxes	675	641	609	579
Vapor Excise Tax	14	6	6	6
Motor Fuel Tax	94	107	107	106
Highway Use Tax	0	1	0	0
Medical Cannabis Excise Tax	7	7	7	7
Adult Use Cannabis Tax	0	0	0	0
Auto Rental Tax	11	17	20	21
Taxicab Surcharge	0	0	0	0
Business Taxes	1,862	1,912	2,004	2,058
Corporation Franchise Tax	986	1,031	1,117	1,167
Corporation and Utilities Tax	156	159	162	163
Insurance Taxes	236	247	254	261
Bank Tax	45	0	0	0
Petroleum Business Tax	439	475	471	467
Payroll Tax	0	0	0	0
Total Taxes	5,518	5,572	5,558	5,504
Miscellaneous Receipts	15,750	15,980	15,432	15,068
HCRA	5,491	5,582	5,674	5,768
State University Income	5,087	4,949	5,071	5,209
Lottery	2,549	3,042	3,130	3,239
Medicaid	936	962	989	1,016
Industry Assessments	710	704	704	704
Motor Vehicle Fees	220	219	220	219
All Other	757	522	(356)	(1,087)
Federal Receipts	74,841	67,909	69,361	71,519
Total	96,109	89,461	90,351	92,091

CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)

	FY 2020	FY 2021	Annual	Annual
	Results	First Quarter	\$ Change	% Change
Personal Income Tax	2,184	2,073	(111)	-5.1%
Consumption/Use Taxes	1,916	1,583	(333)	-17.4%
Sales and Use Tax	1,049	782	(267)	-25.5%
Cigarette and Tobacco Taxes	722	675	(47)	-6.5%
Vapor Excise Tax	10	14	4	40.0%
Motor Fuel Tax	108	94	(14)	-13.0%
Highway Use Tax	1	0	(1)	-100.0%
Medical Cannabis Excise Tax	6	7	1	16.7%
Adult Use Cannabis Tax	0	0	0	0.0%
Auto Rental Tax	20	11	(9)	-45.0%
Taxicab Surcharge	0	0	0	0.0%
Business Taxes	1,959	1,862	(97)	-5.0%
Corporation Franchise Tax	1,033	986	(47)	-4.5%
Corporation and Utilities Tax	172	156	(16)	-9.3%
Insurance Taxes	253	236	(17)	-6.7%
Bank Tax	(8)	45	53	662.5%
Petroleum Business Tax	509	439	(70)	-13.8%
Payroll Tax	0	0	0	0.0%
Total Taxes	6,059	5,518	(541)	-8.9%
Miscellaneous Receipts	19,279	15,750	(3,529)	-18.3%
HCRA	5,529	5,491	(38)	-0.7%
State University Income	4,763	5,087	324	6.8%
Lottery	3,483	2,549	(934)	-26.8%
Medicaid	931	936	5	0.5%
Industry Assessments	766	710	(56)	-7.3%
Motor Vehicle Fees	208	220	12	5.8%
All Other	3,599	757	(2,842)	-79.0%
Federal Receipts	62,897	74,841	11,944	19.0%
Total	88,235	96,109	7,874	8.9%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(633)	(505)	(1,138)
Receipts:			
Taxes	1,417	0	1,417
Miscellaneous Receipts	6,550	1	6,551
Federal Receipts	5	2,104	2,109
Total Receipts	7,972	2,105	10,077
Disbursements:			
Local Assistance	4,218	795	5,013
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,916	1,070	6,986
Total Disbursements	10,134	1,865	11,999
Other Financing Sources (Uses):			
Transfers from Other Funds	3,846	(299)	3,547
Transfers to Other Funds	(1,522)	0	(1,522)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	2,324	(299)	2,025
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	162	(59)	103
Closing Fund Balance	(471)	(564)	(1,035)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(471)	(564)	(1,035)
Receipts:			
Taxes	1,221	0	1,221
Miscellaneous Receipts	8,191	0	8,191
Federal Receipts	5	2,177	2,182
Total Receipts	9,417	2,177	11,594
Disbursements:			
Local Assistance	4,462	706	5,168
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	8,446	1,062	9,508
Total Disbursements	12,908	1,768	14,676
Other Financing Sources (Uses):			
Transfers from Other Funds	4,189	(402)	3,787
Transfers to Other Funds	(1,510)	0	(1,510)
Bond and Note Proceeds	850	0	850
Net Other Financing Sources (Uses)	3,529	(402)	3,127
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	38	7	45
Closing Fund Balance	(433)	(557)	(990)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(433)	(557)	(990)
Receipts:			
Taxes	1,345	0	1,345
Miscellaneous Receipts	7,306	0	7,306
Federal Receipts	5	2,208	2,213
Total Receipts	8,656	2,208	10,864
Disbursements:			
Local Assistance	4,069	706	4,775
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	8,304	1,125	9,429
Total Disbursements	12,373	1,831	14,204
Other Financing Sources (Uses):			
Transfers from Other Funds	4,499	(376)	4,123
Transfers to Other Funds	(1,402)	0	(1,402)
Bond and Note Proceeds	488	0	488
Net Other Financing Sources (Uses)	3,585	(376)	3,209
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(132)	1	(131)
Closing Fund Balance	(565)	(556)	(1,121)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(565)</u>	<u>(556)</u>	<u>(1,121)</u>
Receipts:			
Taxes	1,347	0	1,347
Miscellaneous Receipts	6,496	0	6,496
Federal Receipts	5	2,209	2,214
Total Receipts	<u>7,848</u>	<u>2,209</u>	<u>10,057</u>
Disbursements:			
Local Assistance	3,775	706	4,481
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,824	1,125	8,949
Total Disbursements	<u>11,599</u>	<u>1,831</u>	<u>13,430</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	4,665	(361)	4,304
Transfers to Other Funds	(1,528)	0	(1,528)
Bond and Note Proceeds	513	0	513
Net Other Financing Sources (Uses)	<u>3,650</u>	<u>(361)</u>	<u>3,289</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(101)</u>	<u>17</u>	<u>(84)</u>
Closing Fund Balance	<u>(666)</u>	<u>(539)</u>	<u>(1,205)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2024
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(666)	(539)	(1,205)
Receipts:			
Taxes	1,343	0	1,343
Miscellaneous Receipts	6,385	0	6,385
Federal Receipts	5	2,181	2,186
Total Receipts	7,733	2,181	9,914
Disbursements:			
Local Assistance	3,111	706	3,817
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,361	1,089	8,450
Total Disbursements	10,472	1,795	12,267
Other Financing Sources (Uses):			
Transfers from Other Funds	3,873	(364)	3,509
Transfers to Other Funds	(1,538)	0	(1,538)
Bond and Note Proceeds	413	0	413
Net Other Financing Sources (Uses)	2,748	(364)	2,384
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	9	22	31
Closing Fund Balance	(657)	(517)	(1,174)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	(1,138)	(1,035)	103	9.1%
Receipts:				
Taxes	1,417	1,221	(196)	-13.8%
Miscellaneous Receipts	6,551	8,191	1,640	25.0%
Federal Receipts	2,109	2,182	73	3.5%
Total Receipts	10,077	11,594	1,517	15.1%
Disbursements:				
Local Assistance	5,013	5,168	155	3.1%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	6,986	9,508	2,522	36.1%
Total Disbursements	11,999	14,676	2,677	22.3%
Other Financing Sources (Uses):				
Transfers From Other Funds	3,547	3,787	240	6.8%
Transfers to Other Funds	(1,522)	(1,510)	12	0.8%
Bond and Note Proceeds	0	850	850	0.0%
Net Other Financing Sources (Uses)	2,025	3,127	1,102	54.4%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	103	45	(58)	-56.3%
Closing Fund Balance	(1,035)	(990)	45	4.3%

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2021 First Quarter	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Consumption/Use Taxes	525	601	607	608
Motor Fuel Tax	353	402	400	396
Highway Use Tax	131	138	138	140
Auto Rental Tax	41	61	69	72
Business Taxes	577	625	621	616
Corporation and Utilities Tax	14	15	15	15
Petroleum Business Tax	563	610	606	601
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,221	1,345	1,347	1,343
Miscellaneous Receipts	8,191	7,306	6,496	6,385
Authority Bond Proceeds	7,096	6,239	5,405	5,301
State Park Fees	127	127	127	127
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	806	795	798	795
All Other	85	68	89	85
Federal Receipts	2,182	2,213	2,214	2,186
Total	11,594	10,864	10,057	9,914

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2020 Results	FY 2021 First Quarter	Annual \$ Change	Annual % Change
Consumption/Use Taxes	631	525	(106)	-16.8%
Motor Fuel Tax	404	353	(51)	-12.6%
Highway Use Tax	141	131	(10)	-7.1%
Auto Rental Tax	86	41	(45)	-52.3%
Business Taxes	667	577	(90)	-13.5%
Corporation and Utilities Tax	15	14	(1)	-6.7%
Petroleum Business Tax	652	563	(89)	-13.7%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,417	1,221	(196)	-13.8%
Miscellaneous Receipts	6,551	8,191	1,640	25.0%
Authority Bond Proceeds	5,430	7,096	1,666	30.7%
State Park Fees	110	127	17	15.5%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	806	806	0	0.0%
All Other	128	85	(43)	-33.6%
Federal Receipts	2,109	2,182	73	3.5%
Total	10,077	11,594	1,517	15.1%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>First Quarter</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	8	4	3	3	3
Functional Total	<u>8</u>	<u>4</u>	<u>3</u>	<u>3</u>	<u>3</u>
MENTAL HEALTH					
Mental Health, Office of	147	0	0	0	0
People with Developmental Disabilities, Office for	19	0	0	0	0
Addiction Services and Supports, Office of	3	0	0	0	0
Functional Total	<u>169</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
EDUCATION					
Education School Aid	10	15	15	15	7
Functional Total	<u>10</u>	<u>15</u>	<u>15</u>	<u>15</u>	<u>7</u>
HIGHER EDUCATION					
City University of New York	297	340	413	433	435
State University of New York	0	0	0	0	0
Functional Total	<u>297</u>	<u>340</u>	<u>413</u>	<u>433</u>	<u>435</u>
ALL OTHER					
Judiciary	1	0	0	0	0
Functional Total	<u>1</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u><u>485</u></u>	<u><u>359</u></u>	<u><u>431</u></u>	<u><u>451</u></u>	<u><u>445</u></u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2021 First Quarter	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Income Tax	24,523	23,988	25,366	26,931
Consumption/Use Taxes	5,850	6,777	7,096	7,302
Sales and Use Tax	5,850	6,777	7,096	7,302
Other Taxes	832	888	945	999
Real Estate Transfer Tax	830	885	942	995
Employer Compensation Expense Program	2	3	3	4
Total Taxes	31,205	31,653	33,407	35,232
Miscellaneous Receipts	381	385	384	384
Mental Hygiene Patient Receipts	233	238	238	238
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	4	3	2	2
Federal Receipts	74	72	69	66
Total	31,660	32,110	33,860	35,682

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2020 Results	FY 2021 First Quarter	Annual \$ Change	Annual % Change
Personal Income Tax	26,830	24,523	(2,307)	-8.6%
Consumption/Use Taxes	7,436	5,850	(1,586)	-21.3%
Sales and Use Tax	7,436	5,850	(1,586)	-21.3%
Other Taxes	1,006	832	(174)	-17.3%
Real Estate Transfer Tax	1,005	830	(175)	-17.4%
Employer Compensation Expense Program	1	2	1	100.0%
Total Taxes	35,272	31,205	(4,067)	-11.5%
Miscellaneous Receipts	477	381	(96)	-20.1%
Mental Hygiene Patient Receipts	320	233	(87)	-27.2%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	152	144	(8)	-5.3%
All Other	5	4	(1)	-20.0%
Federal Receipts	74	74	0	0.0%
Total	35,823	31,660	(4,163)	-11.6%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	<u>7,206</u>	<u>5,091</u>	<u>(633)</u>	<u>65</u>	<u>11,729</u>
Receipts:					
Taxes	40,141	6,059	1,417	35,272	82,889
Miscellaneous Receipts	3,159	19,064	6,550	477	29,250
Federal Receipts	0	(13)	5	74	66
Total Receipts	<u>43,300</u>	<u>25,110</u>	<u>7,972</u>	<u>35,823</u>	<u>112,205</u>
Disbursements:					
Local Assistance	51,863	16,790	4,218	0	72,871
State Operations:					
Personal Service	8,940	5,150	0	0	14,090
Non-Personal Service	3,114	2,928	0	36	6,078
General State Charges	7,454	969	0	0	8,423
Debt Service	0	0	0	4,916	4,916
Capital Projects	0	0	5,916	0	5,916
Total Disbursements	<u>71,371</u>	<u>25,837</u>	<u>10,134</u>	<u>4,952</u>	<u>112,294</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	35,907	2,269	3,846	3,742	45,764
Transfers to Other Funds	(6,098)	(1,233)	(1,522)	(34,615)	(43,468)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>29,809</u>	<u>1,036</u>	<u>2,324</u>	<u>(30,873)</u>	<u>2,296</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,738</u>	<u>309</u>	<u>162</u>	<u>(2)</u>	<u>2,207</u>
Closing Fund Balance	<u>8,944</u>	<u>5,400</u>	<u>(471)</u>	<u>63</u>	<u>13,936</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2021
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Opening Fund Balance	8,944	5,400	(471)	63	13,936
Receipts:					
Taxes	36,517	5,518	1,221	31,205	74,461
Miscellaneous Receipts	6,744	15,517	8,191	381	30,833
Federal Receipts	0	(23)	5	74	56
Total Receipts	43,261	21,012	9,417	31,660	105,350
Disbursements:					
Local Assistance	46,285	15,094	4,462	0	65,841
State Operations:					
Personal Service	8,739	5,010	0	0	13,749
Non-Personal Service	2,094	2,407	0	44	4,545
General State Charges	7,070	1,045	0	0	8,115
Debt Service	0	0	0	10,364	10,364
Capital Projects	0	0	8,446	0	8,446
Total Disbursements	64,188	23,556	12,908	10,408	111,060
Other Financing Sources (Uses):					
Transfers from Other Funds	25,572	2,793	4,189	3,182	35,736
Transfers to Other Funds	(6,502)	(784)	(1,510)	(24,440)	(33,236)
Bond and Note Proceeds	0	0	850	0	850
Net Other Financing Sources (Uses)	19,070	2,009	3,529	(21,258)	3,350
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,857)	(535)	38	(6)	(2,360)
Closing Fund Balance	7,087	4,865	(433)	57	11,576

CASH FINANCIAL PLAN
STATE FUNDS
FY 2022
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	36,772	5,572	1,345	31,653	75,342
Miscellaneous Receipts	1,750	15,753	7,306	385	25,194
Federal Receipts	0	(20)	5	72	57
Total Receipts	<u>38,522</u>	<u>21,305</u>	<u>8,656</u>	<u>32,110</u>	<u>100,593</u>
Disbursements:					
Local Assistance	49,659	15,429	4,069	0	69,157
State Operations:					
Personal Service	8,999	4,840	0	0	13,839
Non-Personal Service	2,552	2,451	0	43	5,046
General State Charges	9,013	1,139	0	0	10,152
Debt Service	0	0	0	6,980	6,980
Capital Projects	0	0	8,304	0	8,304
Total Disbursements	<u>70,223</u>	<u>23,859</u>	<u>12,373</u>	<u>7,023</u>	<u>113,478</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	28,713	2,626	4,499	3,135	38,973
Transfers to Other Funds	(6,877)	(165)	(1,402)	(28,217)	(36,661)
Bond and Note Proceeds	0	0	488	0	488
Net Other Financing Sources (Uses)	<u>21,836</u>	<u>2,461</u>	<u>3,585</u>	<u>(25,082)</u>	<u>2,800</u>
Use (Reservation) of Fund Balance:					
Undesignated Fund Balance	548				
Extraordinary Monetary Settlements	959				
Total Use (Reservation) of Fund Balance	<u>1,507</u>				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements					<u>(8,358)</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2023
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	39,074	5,558	1,347	33,407	79,386
Miscellaneous Receipts	1,773	15,205	6,496	384	23,858
Federal Receipts	0	(18)	5	69	56
Total Receipts	40,847	20,745	7,848	33,860	103,300
Disbursements:					
Local Assistance	53,022	15,086	3,775	0	71,883
State Operations:					
Personal Service	9,062	4,828	0	0	13,890
Non-Personal Service	2,504	2,402	0	43	4,949
General State Charges	9,559	1,168	0	0	10,727
Debt Service	0	0	0	7,399	7,399
Capital Projects	0	0	7,824	0	7,824
Total Disbursements	74,147	23,484	11,599	7,442	116,672
Other Financing Sources (Uses):					
Transfers from Other Funds	29,829	2,701	4,665	3,130	40,325
Transfers to Other Funds	(7,125)	(87)	(1,528)	(29,544)	(38,284)
Bond and Note Proceeds	0	0	513	0	513
Net Other Financing Sources (Uses)	22,704	2,614	3,650	(26,414)	2,554
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	747				
Total Use (Reservation) of Fund Balance	747				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	(9,849)				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2024
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	41,137	5,504	1,343	35,232	83,216
Miscellaneous Receipts	1,811	14,841	6,385	384	23,421
Federal Receipts	0	(17)	5	66	54
Total Receipts	42,948	20,328	7,733	35,682	106,691
Disbursements:					
Local Assistance	56,055	14,911	3,111	0	74,077
State Operations:					
Personal Service	9,202	4,774	0	0	13,976
Non-Personal Service	2,629	2,331	0	43	5,003
General State Charges	9,689	1,153	0	0	10,842
Debt Service	0	0	0	7,591	7,591
Capital Projects	0	0	7,361	0	7,361
Total Disbursements	77,575	23,169	10,472	7,634	118,850
Other Financing Sources (Uses):					
Transfers from Other Funds	31,432	2,813	3,873	3,175	41,293
Transfers to Other Funds	(6,502)	(26)	(1,538)	(31,202)	(39,268)
Bond and Note Proceeds	0	0	413	0	413
Net Other Financing Sources (Uses)	24,930	2,787	2,748	(28,027)	2,438
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	345				
Total Use (Reservation) of Fund Balance	345				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	(9,352)				

CASH FINANCIAL PLAN
STATE FUNDS
(millions of dollars)

	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>First Quarter</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	11,729	13,936	2,207	18.8%
Receipts:				
Taxes	82,889	74,461	(8,428)	-10.2%
Miscellaneous Receipts	29,250	30,833	1,583	5.4%
Federal Receipts	66	56	(10)	-15.2%
Total Receipts	112,205	105,350	(6,855)	-6.1%
Disbursements:				
Local Assistance	72,871	65,841	(7,030)	-9.6%
State Operations:				
Personal Service	14,090	13,749	(341)	-2.4%
Non-Personal Service	6,078	4,545	(1,533)	-25.2%
General State Charges	8,423	8,115	(308)	-3.7%
Debt Service	4,916	10,364	5,448	110.8%
Capital Projects	5,916	8,446	2,530	42.8%
Total Disbursements	112,294	111,060	(1,234)	-1.1%
Other Financing Sources (Uses):				
Transfers from Other Funds	45,764	35,736	(10,028)	-21.9%
Transfers to Other Funds	(43,468)	(33,236)	10,232	23.5%
Bond and Note Proceeds	0	850	850	0.0%
Net Other Financing Sources (Uses)	2,296	3,350	1,054	45.9%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,207	(2,360)	(4,567)	-206.9%
Closing Fund Balance	13,936	11,576	(2,360)	-16.9%

**CASHFLOW
GENERAL FUND
FY 2020**
(dollars in millions)

	2019	April	May	June	July	August	September	October	November	December	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results
OPENING BALANCE	7,206	11,969	5,222	6,593	6,614	6,886	9,050	8,815	6,571	8,180	10,818	10,963	7,206	
RECEIPTS:														
Personal Income Tax	4,608	1,242	2,605	1,665	1,454	2,417	1,289	1,231	2,106	2,342	1,848	1,839	24,646	
Consumption/Use Taxes	585	598	796	637	813	630	627	635	790	711	558	658	8,038	
Business Taxes	543	(181)	1,443	1,600	(20)	1,284	73	57	1,351	124	(102)	1,938	6,370	
Other Taxes	81	58	65	126	44	56	164	89	145	95	116	48	1,087	
Total Taxes	5,817	1,717	4,609	2,588	2,108	4,570	2,153	2,012	4,392	3,272	2,420	4,483	40,141	
Abandoned Property	1	0	0	0	5	30	35	215	0	0	24	140	450	
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	6	73	
Investment Income	22	17	20	13	14	13	14	13	11	10	13	14	174	
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	78	44	62	697	
Motor Vehicle Fees	33	35	12	35	24	24	34	10	41	28	18	48	342	
Reimbursements	7	29	33	(69)	70	40	41	20	41	(84)	95	22	214	
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	0	(1)	
Other Transactions	19	8	38	20	(1)	98	33	25	68	39	7	68	422	
Total Miscellaneous Receipts	742	279	158	87	168	279	246	331	227	77	206	359	3,159	
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	
PIT in Excess of Revenue Bond Debt Service	4,607	1,126	2,606	1,658	1,476	2,683	1,289	960	2,147	2,950	1,049	3,311	25,862	
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	
Tax in Excess of LGAC	261	105	542	290	277	367	303	292	370	318	(19)	311	3,417	
Sales Tax Bond Fund	191	198	294	212	213	367	205	282	284	255	182	159	2,762	
Real Estate Taxes in Excess of CW/CA Debt Service	70	86	85	114	74	94	55	83	70	78	68	74	951	
All Other	49	111	118	249	119	71	83	68	155	519	363	1,010	2,915	
Total Transfers from Other Funds	5,178	1,626	3,645	2,523	2,159	3,582	1,935	1,605	3,026	4,120	1,643	4,865	35,907	
TOTAL RECEIPTS	11,737	3,622	8,412	5,198	4,435	8,431	4,334	3,948	7,645	7,469	4,269	9,707	79,207	
DISBURSEMENTS:														
School Aid	775	3,896	1,722	366	599	1,497	864	1,661	1,969	711	668	8793	23,521	
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	2,363	
All Other Education	97	57	130	183	44	706	181	53	136	54	138	528	2,307	
Medicaid - DOH	3,302	1,717	1,426	1,270	1,088	1,282	1,623	1,941	1,248	1,637	944	(1,407)	16,071	
Public Health	24	23	100	41	30	34	32	43	31	21	39	31	449	
Mental Hygiene	124	63	267	181	75	199	176	56	299	94	280	1,609	3,423	
Children and Families	19	21	30	29	93	79	364	186	68	108	141	52	1,190	
Temporary & Disability Assistance	67	24	150	106	95	105	65	245	65	67	64	68	1,162	
Transportation	0	24	14	0	24	0	0	24	11	0	13	0	110	
Unrestricted Aid	0	11	388	0	7	39	10	0	193	1	1	64	714	
All Other	24	(67)	144	32	65	34	31	75	38	30	55	92	553	
Total Local Assistance	4,470	5,834	4,708	3,320	2,148	4,116	3,431	4,309	4,203	2,765	2,400	10,159	51,863	
Personal Service	689	1,072	679	697	853	676	805	685	684	794	664	642	8,940	
Non-Personal Service	159	249	219	201	211	189	257	147	179	234	230	839	3,114	
Total State Operations	848	1,321	898	898	1,064	865	1,062	832	863	1,028	894	1,481	12,054	
General State Charges	728	2,349	365	385	423	477	573	410	409	447	415	473	7,454	
Debt Service	135	11	(7)	132	(17)	(28)	130	(20)	5	213	(29)	211	736	
Capital Projects	499	406	610	252	473	703	(712)	492	472	309	360	(736)	3,128	
SUNY Operations	219	218	327	181	3	59	0	97	10	18	31	18	1,179	
Other Purposes	75	230	140	9	69	75	85	72	74	53	53	120	1,055	
Total Transfers to Other Funds	928	865	1,070	574	528	809	(497)	641	561	591	415	(387)	6,098	
TOTAL DISBURSEMENTS	6,974	10,369	7,041	5,177	4,163	6,267	4,569	6,192	6,036	4,831	4,124	11,726	77,469	
Excess/(Deficiency) of Receipts over Disbursements	4,763	(6,747)	1,371	21	272	2,164	(235)	(2,244)	1,609	2,638	145	(2,019)	1,738	
CLOSING BALANCE	11,969	5,222	6,593	6,614	6,886	9,050	8,815	6,571	8,180	10,818	10,963	8,944	8,944	

CASHFLOW
STATE OPERATING FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,362	18,718	12,243	14,050	14,506	14,735	14,634	14,770	12,595	14,023	19,009	20,009	0	12,362
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,899	3,696	3,748	0	53,660
Consumption/Use Taxes	1,320	1,295	1,709	1,381	1,366	1,381	1,362	1,368	1,718	1,718	1,501	1,407	0	17,391
Business Taxes	747	(139)	1,436	245	43	1,559	147	132	1,658	198	(10)	2,313	0	8,329
Other Taxes	164	144	152	245	123	141	237	177	219	178	189	123	0	2,092
Total Taxes	11,447	3,784	8,507	5,202	4,440	8,289	4,324	4,145	7,884	10,776	5,083	7,591	0	81,472
Abandoned Property	1	0	0	0	5	30	35	215	0	0	24	140	0	450
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	6	0	73
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
Investment Income	22	17	20	13	14	13	14	13	11	10	13	14	0	174
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	78	44	62	0	697
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	80	74	92	86	44	0	931
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	46	33	65	0	550
Reimbursements	7	29	33	(69)	41	40	10	20	41	(84)	95	22	0	214
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	0	787
Other Transactions	332	303	525	387	235	484	376	278	511	801	366	451	0	5,049
Total Miscellaneous Receipts	2,342	1,726	1,706	1,709	1,632	2,169	1,879	1,590	1,728	2,313	2,081	1,825	0	22,700
Federal Receipts	0	0	(1)	1	54	0	1	0	0	(26)	35	(3)	0	61
TOTAL RECEIPTS	13,789	5,510	10,212	6,912	6,126	10,458	6,204	5,735	9,612	13,063	7,199	9,413	0	104,233
DISBURSEMENTS:														
School Aid	775	3,896	2,071	366	599	4,005	1,010	1,807	2,114	858	814	9,052	0	27,367
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	0	2,363
All Other Education	97	58	131	183	46	710	182	53	136	54	139	529	0	2,318
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	3,302	2,600	1,792	1,853	1,775	1,890	2,094	2,350	1,682	2,221	1,438	(1,242)	0	21,755
Public Health	84	56	204	84	195	88	88	84	131	125	94	437	0	1,670
Mental Hygiene	124	63	268	181	75	200	176	56	300	94	280	1,609	0	3,426
Children and Families	20	22	30	29	94	78	364	187	68	108	142	52	0	1,194
Temporary & Disability Assistance	67	65	150	106	95	105	65	245	65	67	64	68	0	1,162
Transportation	68	427	279	274	389	327	280	499	739	63	103	40	0	3,488
Unrestricted Aid	0	11	388	0	7	39	10	193	0	1	1	64	0	714
All Other	70	(30)	163	68	100	77	63	122	84	25	154	116	0	1,012
Total Local Assistance	4,645	7,192	5,813	4,256	3,403	7,660	4,417	5,431	5,695	5,765	3,286	11,090	0	68,653
Personal Service	1,091	1,652	1,073	1,147	1,205	1,064	1,364	1,084	1,092	1,193	1,068	1,057	0	14,090
Non-Personal Service	404	500	418	438	473	406	516	430	400	541	505	1,047	0	6,078
Total State Operations	1,495	2,152	1,491	1,585	1,678	1,470	1,880	1,514	1,492	1,734	1,573	2,104	0	20,168
General State Charges	792	2,436	421	464	518	549	639	540	514	529	480	541	0	8,423
Debt Service	72	122	230	45	74	434	75	75	412	44	720	2,640	0	4,916
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	7,004	11,902	7,955	6,350	5,673	10,113	6,984	7,560	8,113	8,072	6,059	16,375	0	102,160
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	5,705	2,418	4,298	3,020	2,505	4,022	2,373	1,885	3,514	4,657	1,985	6,046	(510)	41,918
Transfers to other funds	(6,134)	(2,501)	(4,748)	(3,126)	(2,729)	(4,468)	(1,457)	(2,235)	(3,585)	(4,662)	(2,125)	(4,686)	510	(41,946)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(429)	(83)	(450)	(106)	(224)	(446)	(916)	(350)	(71)	(5)	(140)	1,360	0	(28)
Excess/(Deficiency) of Receipts over Disbursements	6,356	(6,475)	1,807	456	229	(101)	136	(2,175)	1,428	4,986	1,000	(5,602)	0	2,045
CLOSING BALANCE	18,718	12,243	14,050	14,506	14,735	14,634	14,770	12,595	14,023	19,009	20,009	14,407	0	14,407

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2020
(dollars in millions)

	2019 April Results	2019 May Results	2019 June Results	2019 July Results	2019 August Results	2019 September Results	2019 October Results	2019 November Results	2019 December Results	2020 January Results	2020 February Results	2020 March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	9,975	18,268	10,925	13,728	12,775	13,740	14,310	14,340	12,091	14,900	19,627	20,491		9,975
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,899	3,696	3,748	0	53,660
Consumption/Use Taxes	1,374	1,341	1,774	1,427	1,414	1,835	1,406	1,414	1,784	1,547	1,245	1,464	0	18,023
Business Taxes	807	(84)	1,496	298	1,619	207	207	185	1,712	253	35	2,364	0	8,995
Other Taxes	164	144	164	257	135	152	249	189	231	190	201	135	0	2,211
Total Taxes	11,561	3,885	8,644	5,313	4,560	8,439	4,440	4,254	8,016	10,889	5,177	7,711	0	82,889
Abandoned Property	1	0	0	0	5	30	35	215	0	0	24	140	0	450
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	6	0	73
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
Investment Income	22	17	20	13	14	13	14	13	11	10	13	14	0	174
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	78	44	62	0	697
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483
Medical	92	76	82	73	77	73	75	80	74	92	86	44	0	931
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	46	33	65	0	550
Reimbursements	7	29	33	(69)	41	40	10	20	41	(84)	95	22	0	214
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	0	787
Other Transactions	650	447	791	647	436	1,078	2,091	426	929	1,432	490	2,398	0	11,815
Total Miscellaneous Receipts	2,660	1,870	1,972	1,969	2,763	3,594	3,594	1,738	2,146	2,944	2,205	3,772	0	29,466
Federal Receipts	6,242	4,959	5,803	3,275	6,083	5,663	5,710	5,394	6,606	5,728	5,138	4,479	0	65,080
TOTAL RECEIPTS	20,463	10,714	16,419	10,557	12,476	16,865	13,744	11,386	16,768	19,561	12,520	15,962	0	177,435
DISBURSEMENTS:														
School Aid	1,065	4,398	2,300	617	762	4,123	1,161	2,015	2,423	1,076	985	9,259	0	30,184
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	0	2,363
All Other Education	154	150	174	229	127	821	252	90	178	155	228	641	0	3,199
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medical - DOH	6,760	6,758	5,309	5,172	5,919	5,371	5,984	6,284	5,264	6,465	5,224	1,665	0	66,175
Public Health	252	219	578	291	340	422	282	193	464	382	280	795	0	4,498
Mental Hygiene	138	71	282	198	97	216	191	75	321	109	292	1,635	0	3,625
Children and Families	68	56	68	63	194	342	547	356	72	246	162	175	0	2,349
Temporary & Disability Assistance	154	134	377	378	156	338	927	575	161	322	305	893	0	4,720
Transportation	306	479	358	338	439	860	370	541	1,071	124	190	196	0	5,272
Unrestricted Aid	0	11	388	0	7	39	10	193	0	1	1	64	0	714
All Other	286	336	566	243	226	301	389	486	428	706	448	421	0	4,836
Total Local Assistance	9,221	12,636	10,737	8,641	8,295	12,974	10,198	10,643	10,758	11,735	8,172	16,109	0	130,119
Personal Service	1,140	1,718	1,128	1,191	1,253	1,115	1,440	1,131	1,145	1,242	1,119	1,105	0	14,727
Non-Personal Service	474	576	515	520	578	592	649	513	525	683	656	1,196	0	7,477
Total State Operations	1,614	2,294	1,643	1,711	1,831	1,707	2,089	1,644	1,670	1,925	1,775	2,301	0	22,204
General State Charges	814	2,460	454	500	542	574	665	566	540	566	509	567	0	8,757
Debt Service	72	122	230	45	74	434	48	75	412	44	720	2,640	0	4,916
Capital Projects	434	529	536	600	750	582	711	705	577	552	477	533	0	6,986
TOTAL DISBURSEMENTS	12,155	18,041	13,600	11,497	11,492	16,271	13,711	13,633	13,957	14,822	11,653	22,150	0	172,982
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,215	2,831	4,931	3,280	2,991	4,774	1,665	2,379	4,023	4,980	2,364	5,542	(510)	45,465
Transfers to other funds	(6,230)	(2,847)	(4,947)	(3,293)	(3,010)	(4,798)	(1,668)	(2,381)	(4,025)	(4,992)	(2,367)	(5,562)	510	(45,610)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(15)	(16)	(16)	(13)	(19)	(24)	(3)	(2)	(2)	(12)	(3)	(20)	0	(145)
Excess/(Deficiency) of Receipts over Disbursements	8,293	(7,327)	2,819	(936)	984	644	30	(2,247)	2,811	4,736	864	(6,208)	0	4,308
CLOSING BALANCE	18,268	10,925	13,728	12,775	13,740	14,310	14,340	12,091	14,900	19,627	20,491	14,283	0	14,283

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2020**
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,842	7,002	6,269	7,986	6,880	7,461	6,241	5,867	5,600	6,862	7,175	7,586		3,842
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	3	39	2,107	0	35	0	2,184
Consumption/Use Taxes	197	146	170	164	155	181	157	149	186	155	128	128	0	1,916
Business Taxes	204	42	293	85	63	275	74	75	307	74	92	375	0	1,959
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	249	218	456	231	227	532	2,336	220	538	0	6,059
HGRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
State University Income	336	258	340	340	262	262	415	441	255	554	715	235	0	4,763
Lottery	298	349	266	316	241	692	315	251	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	80	74	92	86	44	0	931
Motor Vehicle Fees	20	21	16	15	19	8	18	19	22	18	15	17	0	208
Other Transactions	284	310	461	292	215	382	325	247	405	736	346	362	0	4,365
Total Miscellaneous Receipts	1,571	1,462	1,522	1,547	1,443	1,886	1,615	1,253	1,463	2,210	1,862	1,445	0	19,279
Federal Receipts	6,204	4,816	5,671	3,118	5,874	5,484	5,368	5,210	6,447	5,383	4,983	4,339	0	62,897
TOTAL RECEIPTS	8,176	6,466	7,656	4,914	7,535	7,826	7,214	6,690	8,442	9,929	7,065	6,322	0	88,235
DISBURSEMENTS:														
School Aid	282	499	569	244	155	2,610	288	341	433	346	315	460	0	6,542
Higher Education	0	0	0	0	79	89	66	0	0	0	0	0	0	0
All Other Education	56	93	43	44	0	0	0	3	38	100	89	111	0	843
STAR	0	0	0	0	0	0	0	0	0	2,107	0	36	0	2,184
Medicaid - DOH	3,458	5,041	3,883	3,902	4,831	4,089	4,361	4,343	4,016	4,828	4,280	3,072	0	50,104
Public Health	200	156	460	181	294	371	181	126	393	282	213	695	0	3,552
Mental Hygiene	10	7	12	11	20	13	9	9	17	6	6	15	0	135
Children and Families	49	35	38	34	101	263	183	170	4	138	21	123	0	1,159
Temporary & Disability Assistance	87	69	211	272	61	217	862	330	96	239	241	811	0	3,496
Transportation	72	408	268	277	369	329	282	478	732	71	96	40	0	3,422
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	172	90	9	111	81	112	183	238	165	231	237	177	0	1,806
Total Local Assistance	4,386	6,398	5,493	5,076	5,991	8,093	6,415	6,073	5,932	8,348	5,498	5,540	0	73,243
Personal Service	451	646	449	494	400	439	635	446	461	448	455	463	0	5,787
Non-Personal Service	314	325	294	310	360	402	391	364	344	449	424	350	0	4,327
Total State Operations	765	971	743	804	760	841	1,026	810	805	897	879	813	0	10,114
General State Charges	86	111	89	115	119	97	92	156	131	119	94	94	0	1,303
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,237	7,480	6,325	5,995	6,870	9,031	7,533	7,039	6,868	9,364	6,471	6,447	0	84,660
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	309	585	567	214	160	169	120	186	111	84	123	151	(510)	2,269
Transfers to Other Funds	(88)	(304)	(181)	(239)	(244)	(184)	(175)	(104)	(423)	(336)	(306)	(1,301)	510	(3,375)
NET OTHER FINANCING SOURCES/(USES)	221	281	386	(25)	(84)	(15)	(55)	82	(312)	(252)	(183)	(1,150)	0	(1,106)
Excess/(Deficiency) of Receipts over Disbursements	3,160	(733)	1,717	(1,106)	581	(1,220)	(374)	(267)	1,262	313	411	(1,275)	0	2,469
CLOSING BALANCE	7,002	6,269	7,986	6,880	7,461	6,241	5,867	5,600	6,862	7,175	7,586	6,311	0	6,311

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	5,091	6,434	6,338	7,095	7,277	7,105	5,277	5,351	5,062	4,858	5,609	6,037		5,091
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	3	39	2,107	0	35	0	2,184
Consumption/Use Taxes	197	146	170	164	155	181	157	149	186	155	128	128	0	1,916
Business Taxes	204	42	293	85	63	275	74	75	307	74	92	375	0	1,959
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	249	218	456	231	227	532	2,336	220	538	0	6,039
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Lottery	298	349	266	316	247	254	315	231	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	80	74	92	86	44	0	931
Motor Vehicle Fees	20	21	16	15	19	8	18	19	22	18	15	17	0	208
Other Transactions	270	259	449	277	193	370	312	228	391	724	327	350	0	4,150
Total Miscellaneous Receipts	1,557	1,411	1,510	1,532	1,421	1,874	1,602	1,234	1,449	2,198	1,843	1,433	0	19,064
Federal Receipts	0	0	(1)	0	19	0	0	0	0	(27)	0	(4)	0	(13)
TOTAL RECEIPTS	1,958	1,599	1,972	1,781	1,658	2,330	1,833	1,461	1,981	4,507	2,063	1,967	0	25,110
DISBURSEMENTS:														
School Aid	0	0	349	0	0	2,508	146	146	145	147	146	259	0	3,846
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	1	1	0	2	4	1	0	0	0	1	1	0	11
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	0	883	366	583	687	608	471	409	434	584	494	165	0	5,684
Public Health	60	33	104	43	165	54	56	41	100	104	55	406	0	1,221
Mental Hygiene	0	0	1	0	0	1	0	0	1	0	0	0	0	3
Children and Families	1	1	1	0	1	(1)	0	1	0	0	1	0	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	68	403	265	274	365	327	280	475	728	63	90	40	0	3,378
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	46	37	19	36	35	43	32	47	46	(5)	99	24	0	459
Total Local Assistance	175	1,358	1,105	936	1,255	3,544	986	1,122	1,492	3,000	886	931	0	16,790
Personal Service	402	580	394	450	352	388	559	399	408	399	404	415	0	5,150
Non-Personal Service	244	249	197	228	232	216	258	281	219	307	273	201	0	2,928
Total State Operations	646	829	591	678	607	604	817	680	627	706	677	616	0	8,078
General State Charges	64	87	56	79	95	72	66	130	105	82	65	68	0	969
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	885	2,274	1,752	1,693	1,957	4,220	1,869	1,932	2,224	3,788	1,628	1,615	0	25,837
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	308	585	567	215	160	169	120	186	111	84	123	151	(510)	2,269
Transfers to Other Funds	(38)	(6)	(30)	(121)	(33)	(107)	(10)	(4)	(72)	(52)	(130)	(1,140)	510	(1,233)
NET OTHER FINANCING SOURCES/(USES)	270	579	537	94	127	62	110	182	39	32	(7)	(989)	0	1,036
Excess/(Deficiency) of Receipts over Disbursements	1,343	(96)	757	182	(172)	(1,828)	74	(289)	(204)	751	428	(637)	0	309
CLOSING BALANCE	6,434	6,338	7,095	7,277	7,105	5,277	5,351	5,062	4,858	5,609	6,037	5,400	0	5,400

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	(1,249)	568	(69)	891	(397)	356	964	516	538	2,004	1,566	1,549	(1,249)
RECEIPTS:													
Miscellaneous Receipts	14	51	12	15	22	12	13	19	14	12	19	12	215
Federal Receipts	6,204	4,816	5,672	3,118	5,855	5,484	5,368	5,210	6,447	5,410	4,983	4,343	62,910
TOTAL RECEIPTS	6,218	4,867	5,684	3,133	5,877	5,496	5,381	5,229	6,461	5,422	5,002	4,355	63,125
DISBURSEMENTS:													
School Aid	282	499	220	244	155	102	142	195	288	199	169	201	2,696
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	56	92	42	44	77	85	65	35	38	100	88	110	832
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,458	4,158	3,517	3,319	4,144	3,481	3,890	3,934	3,582	4,244	3,786	2,907	44,420
Public Health	140	123	356	138	129	317	125	85	293	178	158	289	2,331
Mental Hygiene	10	7	11	11	20	12	9	9	16	6	6	15	132
Children and Families	48	34	38	34	100	264	183	169	4	138	20	123	1,155
Temporary & Disability Assistance	87	69	211	272	61	217	862	330	96	239	241	811	3,496
Transportation	4	5	3	3	4	2	2	3	4	8	6	0	44
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	126	53	(10)	75	46	69	151	191	119	236	138	153	1,347
Total Local Assistance	4,211	5,040	4,388	4,140	4,736	4,549	5,429	4,951	4,440	5,348	4,612	4,609	56,453
Personal Service	49	66	55	44	48	51	76	47	53	49	51	48	637
Non-Personal Service	70	76	97	82	105	186	133	83	125	142	151	149	1,399
Total State Operations	119	142	152	126	153	237	209	130	178	191	202	197	2,036
General State Charges	22	24	33	36	24	25	26	26	26	37	29	26	334
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,352	5,206	4,573	4,302	4,913	4,811	5,664	5,107	4,644	5,576	4,843	4,832	58,823
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	1	0	0	(1)	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(50)	(298)	(151)	(118)	(211)	(77)	(165)	(100)	(351)	(284)	(176)	(161)	(2,142)
NET OTHER FINANCING SOURCES/(USES)	(49)	(298)	(151)	(119)	(211)	(77)	(165)	(100)	(351)	(284)	(176)	(161)	(2,142)
Excess/(Deficiency) of Receipts over Disbursements	1,817	(637)	960	(1,288)	753	608	(448)	22	1,466	(438)	(17)	(638)	2,160
CLOSING BALANCE	568	(69)	891	(397)	356	964	516	538	2,004	1,566	1,549	911	911

CASHFLOW
DEBT SERVICE FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	65	315	683	362	615	744	307	604	962	985	2,582	3,009	65
RECEIPTS:													
Personal Income Tax	4,608	1,242	2,605	1,666	1,454	2,416	1,289	1,234	2,144	4,450	1,848	1,874	26,830
Consumption/Use Taxes	538	551	743	580	581	762	578	584	742	635	522	621	7,437
Other Taxes	83	86	87	119	79	85	73	88	74	83	73	75	1,005
Total Taxes	5,229	1,879	3,435	2,365	2,114	3,263	1,940	1,906	2,960	5,168	2,443	2,570	35,272
Miscellaneous Receipts	43	36	38	90	43	16	31	25	52	38	32	33	477
Federal Receipts	0	0	0	1	35	0	1	0	0	1	35	1	74
TOTAL RECEIPTS	5,272	1,915	3,473	2,456	2,192	3,279	1,972	1,931	3,012	5,207	2,510	2,604	35,823
DISBURSEMENTS:													
State Operations	1	2	2	9	7	1	1	2	2	0	2	7	36
Debt Service	72	122	230	45	74	434	48	75	412	44	720	2,640	4,916
TOTAL DISBURSEMENTS	73	124	232	54	81	435	49	77	414	44	722	2,647	4,952
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	219	207	86	282	186	271	318	94	377	453	219	1,030	3,742
Transfers to Other Funds	(5,168)	(1,630)	(3,648)	(2,431)	(2,168)	(3,552)	(1,944)	(1,590)	(2,952)	(4,019)	(1,580)	(3,953)	(34,615)
NET OTHER FINANCING SOURCES/(USES)	(4,949)	(1,423)	(3,562)	(2,149)	(1,982)	(3,281)	(1,626)	(1,496)	(2,575)	(3,566)	(1,361)	(2,903)	(30,873)
Excess/(Deficiency) of Receipts over Disbursements	250	368	(321)	253	129	(437)	297	358	23	1,597	427	(2,946)	(2)
CLOSING BALANCE	315	683	362	615	744	307	604	962	985	2,582	3,009	63	63

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	(1,138)	(1,018)	(1,249)	(1,213)	(1,334)	(1,351)	(1,288)	(946)	(1,042)	(1,127)	(948)	(1,067)	(1,138)
RECEIPTS:													
Consumption/Use Taxes	54	46	65	46	48	79	44	44	66	46	37	57	632
Business Taxes	60	55	60	53	60	60	60	53	54	55	45	51	666
Other Taxes	0	0	12	12	12	11	12	12	12	12	12	12	119
Total Taxes	114	101	137	111	120	150	116	109	132	113	94	120	1,417
Miscellaneous Receipts	304	93	254	245	179	582	1,702	129	404	619	105	1,935	6,551
Federal Receipts	38	143	132	156	174	179	341	184	159	344	120	139	2,109
TOTAL RECEIPTS	456	337	523	512	473	911	2,159	422	695	1,076	319	2,194	10,077
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	2	4	26	5	2	4	1	1	2	49
Public Health	28	40	18	69	16	17	69	24	40	79	28	69	497
Mental Hygiene	4	1	3	6	2	4	6	10	5	9	6	11	67
School Aid	8	3	9	7	8	16	9	13	21	19	2	6	121
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	0	16	0	14	62
Transportation	234	47	76	61	46	531	88	39	328	53	81	156	1,740
All Other Local	90	313	413	100	80	155	175	173	225	445	156	152	2,477
Total Local Assistance	365	404	536	245	156	765	352	261	623	622	274	410	5,013
Economic Development	3	3	22	3	28	1	2	35	1	12	43	(17)	136
Parks & the Environment	34	42	46	49	89	54	59	71	44	47	51	63	649
Transportation	229	279	281	309	333	323	380	362	301	254	203	194	3,448
Health & Social Welfare	4	5	6	5	6	9	5	5	7	8	27	11	98
Mental Hygiene	24	32	34	26	25	24	25	32	27	28	25	36	338
Public Protection	39	38	41	56	52	46	35	57	64	50	52	71	601
Education	57	78	47	85	145	72	134	80	59	113	65	89	1,024
All Other	44	52	59	67	72	53	71	63	74	40	11	86	692
Total Capital Projects	434	529	536	600	750	582	711	705	577	552	477	533	6,986
TOTAL DISBURSEMENTS	799	933	1,072	845	906	1,347	1,063	966	1,200	1,174	751	943	11,999
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	509	413	633	261	486	752	(708)	494	509	323	379	(504)	3,547
Transfers to Other Funds	(46)	(48)	(48)	(49)	(70)	(253)	(46)	(46)	(89)	(46)	(66)	(715)	(1,522)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	463	365	585	212	416	499	(754)	448	420	277	313	(1,219)	2,025
Excess/(Deficiency) of Receipts over Disbursements	120	(231)	36	(121)	(17)	63	342	(96)	(85)	179	(119)	32	103
CLOSING BALANCE	(1,018)	(1,249)	(1,213)	(1,334)	(1,351)	(1,288)	(946)	(1,042)	(1,127)	(948)	(1,067)	(1,035)	(1,035)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	(633)	(446)	(699)	(637)	(771)	(804)	(759)	(373)	(491)	(580)	(482)	(500)	(633)
RECEIPTS:													
Consumption/Use Taxes	54	46	65	46	48	79	44	44	66	46	37	57	632
Business Taxes	60	55	60	53	60	60	60	53	54	55	45	51	666
Other Taxes	0	0	12	12	12	11	12	12	12	12	12	12	119
Total Taxes	114	101	137	111	120	150	116	109	132	113	94	120	1,417
Miscellaneous Receipts	304	93	254	245	179	582	1,702	129	404	619	105	1,934	6,550
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	418	194	391	356	299	734	1,818	238	536	732	199	2,057	7,972
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	2	4	26	5	2	4	1	1	2	49
Public Health	28	40	18	69	16	16	31	24	40	79	28	65	454
Mental Hygiene	4	1	3	6	2	4	6	10	5	11	6	11	67
School Aid	8	3	9	7	8	16	9	13	21	19	2	6	121
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	0	16	0	14	62
Transportation	194	10	28	22	7	499	38	7	269	13	9	102	1,198
All Other Local	90	313	403	100	80	145	175	165	225	274	156	141	2,267
Total Local Assistance	325	367	478	206	117	722	264	221	564	411	202	341	4,218
Economic Development	3	3	22	3	28	1	2	35	1	12	43	(17)	136
Parks & the Environment	33	40	45	48	88	52	58	70	43	47	49	63	636
Transportation	169	199	186	209	217	211	264	245	209	206	160	157	2,432
Health & Social Welfare	3	4	5	5	6	9	4	5	7	7	27	12	94
Mental Hygiene	24	32	34	26	25	24	25	32	27	28	25	36	338
Public Protection	36	37	38	53	50	44	32	53	61	48	49	65	566
Education	57	78	47	85	145	72	134	80	59	113	65	89	1,024
All Other	44	52	59	67	72	53	71	63	74	40	11	84	690
Total Capital Projects	369	445	436	496	631	466	590	583	481	501	429	489	5,916
TOTAL DISBURSEMENTS	694	812	914	702	748	1,188	854	804	1,045	912	631	830	10,134
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	509	413	633	261	486	752	(532)	494	509	323	481	(483)	3,846
Transfers to Other Funds	(46)	(48)	(48)	(49)	(70)	(253)	(46)	(46)	(89)	(45)	(67)	(715)	(1,522)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	463	365	585	212	416	499	(578)	448	420	278	414	(1,198)	2,324
Excess/(Deficiency) of Receipts over Disbursements	187	(253)	62	(134)	(33)	45	386	(118)	(89)	98	(18)	29	162
CLOSING BALANCE	(446)	(699)	(637)	(771)	(804)	(759)	(373)	(491)	(580)	(482)	(500)	(471)	(471)

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2020
(dollars in millions)**

	2019	April	May	June	July	August	September	October	November	December	2020	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results
OPENING BALANCE	(505)	(572)	(576)	(550)	(576)	(563)	(547)	(529)	(573)	(551)	(547)	(466)	(567)	(505)	
RECEIPTS:															
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	38	143	156	132	156	174	177	341	184	159	344	120	120	136	2,104
TOTAL RECEIPTS	38	143	156	132	156	174	177	341	184	159	344	120	120	137	2,105
DISBURSEMENTS:															
Public Health	0	0	0	0	0	0	1	38	0	0	0	0	0	4	43
Transportation	40	37	39	48	39	39	32	50	32	59	40	72	54	54	542
All Other Local	0	0	0	10	0	0	10	0	8	0	171	0	0	11	210
Total Local Assistance	40	37	39	58	39	39	43	88	40	59	211	72	69	69	795
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	2	1	1	1	1	2	1	1	1	0	2	0	0	13
Transportation	60	80	100	95	100	116	112	116	117	92	48	43	37	37	1,016
Health & Social Welfare	1	1	0	1	0	0	0	1	0	0	1	0	(1)	(1)	4
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	3	1	3	3	3	2	2	3	4	3	2	3	6	6	35
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Capital Projects	65	84	104	100	104	119	116	121	122	96	51	48	44	44	1,070
TOTAL DISBURSEMENTS	105	121	143	158	143	158	159	209	162	155	262	120	120	113	1,865
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	0	0	0	0	0	0	0	(176)	0	0	0	(102)	(21)	(21)	(299)
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	(1)	1	0	0	0
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	0	0	0	(176)	0	0	(1)	(101)	(21)	(21)	(299)
Excess/(Deficiency) of Receipts over Disbursements	(67)	22	13	(26)	13	16	18	(44)	22	4	81	(101)	(101)	3	(59)
CLOSING BALANCE	(572)	(550)	(563)	(576)	(563)	(547)	(529)	(573)	(551)	(547)	(466)	(567)	(564)	(564)	

**CASHFLOW
STATE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,729	18,272	11,544	13,413	13,735	13,931	13,875	14,397	12,104	13,443	18,527	19,509	0	11,729
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,899	3,696	3,748	0	53,660
Consumption/Use Taxes	1,374	1,341	1,774	1,427	1,414	1,835	1,406	1,412	1,784	1,547	1,245	1,464	0	18,023
Business Taxes	807	(84)	1,496	298	1,03	1,619	207	185	1,712	253	35	2,364	0	8,995
Other Taxes	164	144	164	257	135	152	249	189	231	190	201	135	0	2,211
Total Taxes	11,561	3,885	8,644	5,313	4,560	8,439	4,440	4,254	8,016	10,889	5,177	7,711	0	82,889
Abandoned Property	1	0	0	0	5	30	35	215	0	0	24	6	0	450
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	6	0	73
HCPA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
Investment Income	22	17	20	13	14	13	14	13	11	10	13	14	0	174
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	78	44	62	0	697
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	74	86	92	86	44	0	931
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	46	33	65	0	550
Reimbursements	7	29	33	(69)	70	40	10	20	41	(84)	95	22	0	214
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	0	787
Other Transactions	636	396	779	632	414	1,066	2,078	407	915	1,420	471	2,385	0	11,599
Total Miscellaneous Receipts	2,646	1,819	1,960	1,954	1,811	2,751	3,581	1,719	2,132	2,932	2,186	3,759	0	29,250
Federal Receipts	0	0	(1)	1	54	2	1	0	0	(26)	35	0	0	66
TOTAL RECEIPTS	14,207	5,704	10,603	7,268	6,425	11,192	8,022	5,973	10,148	13,795	7,398	11,470	0	112,205
DISBURSEMENTS:														
School Aid	783	3,899	2,080	373	607	4,021	1,019	1,820	2,135	877	816	9,058	0	27,488
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	0	2,363
All Other Education	98	58	132	185	50	736	187	55	140	55	140	531	0	2,367
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	3,302	2,600	1,792	1,853	1,775	1,890	2,094	2,350	1,682	2,221	1,438	(1,242)	0	21,755
Public Health	112	96	222	153	211	104	119	108	171	204	122	502	0	2,124
Mental Hygiene	128	64	271	187	77	204	182	66	305	103	286	1,620	0	3,493
Children and Families	20	22	30	29	94	30	78	187	68	108	142	52	0	1,194
Temporary & Disability Assistance	67	65	166	106	95	121	65	245	65	83	64	142	0	1,224
Transportation	262	437	307	296	396	826	318	506	1,008	76	112	142	0	4,686
Unrestricted Aid	0	11	0	0	7	388	10	0	193	1	1	64	0	714
All Other	160	283	566	168	180	222	238	287	309	299	310	257	0	3,279
Total Local Assistance	4,970	7,559	6,291	4,462	3,520	8,382	4,681	5,652	6,259	6,176	3,488	11,431	0	72,871
Personal Service	1,091	1,652	1,073	1,147	1,205	1,064	1,364	1,084	1,092	1,193	1,068	1,057	0	14,090
Non-Personal Service	404	500	418	438	473	418	516	430	400	541	505	1,047	0	6,078
Total State Operations	1,495	2,152	1,491	1,585	1,678	1,470	1,880	1,514	1,492	1,734	1,573	2,104	0	20,168
General State Charges	792	2,436	421	464	518	549	639	540	514	529	480	541	0	8,423
Debt Service	72	122	230	45	74	434	48	75	412	44	720	2,640	0	4,916
Capital Projects	369	445	436	496	631	466	590	583	481	501	429	489	0	5,916
TOTAL DISBURSEMENTS	7,698	12,714	8,869	7,052	6,421	11,301	7,838	8,364	9,158	8,984	6,690	17,205	0	112,294
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,214	2,831	4,931	3,281	2,991	4,774	1,841	2,379	4,023	4,980	2,466	5,563	(510)	45,764
Transfers to other funds	(6,180)	(2,549)	(4,796)	(3,175)	(2,799)	(4,721)	(1,503)	(2,281)	(3,674)	(4,707)	(2,192)	(5,401)	510	(43,468)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES(USES)	34	282	135	106	192	53	338	98	349	273	274	162	0	2,296
Excess/(Deficiency) of Receipts over Disbursements	6,543	(6,728)	1,869	322	196	(56)	522	(2,293)	1,339	5,084	982	(5,573)	0	2,207
CLOSING BALANCE	18,272	11,544	13,413	13,735	13,931	13,875	14,397	12,104	13,443	18,527	19,509	13,936	0	13,936

**CASHFLOW
GENERAL FUND
FY 2021
(dollars in millions)**

	2020 April Results	2020 May Results	2020 June Results	2020 July Projected	2020 August Projected	2020 September Projected	2020 October Projected	2020 November Projected	2020 December Projected	2021 January Projected	2021 February Projected	2021 March Projected	Total
RECEIPTS:													
Personal Income Tax	1,033	1,100	2,184	5,122	1,283	2,460	1,173	1,097	2,060	1,657	1,504	1,777	22,450
Consumption/Use Taxes	459	414	621	594	479	598	493	500	593	614	491	590	6,446
Business Taxes	280	(125)	925	586	105	1,140	168	53	1,372	68	7	1,927	6,506
Other Taxes	74	52	148	152	94	94	96	96	95	71	72	71	1,115
Total Taxes	1,846	1,441	3,878	6,454	1,961	4,292	1,930	1,746	4,120	2,410	2,074	4,365	36,517
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	450
ABC License Fee	2	3	3	5	7	5	5	4	5	8	7	6	60
Investment Income	16	6	2	1	5	5	10	5	5	8	8	9	79
Licenses, Fees, etc.	24	5	21	54	45	15	35	55	40	20	35	29	378
Motor Vehicle Fees	(100)	(49)	127	109	27	36	36	9	45	30	19	53	331
Reimbursements	7	66	30	0	9	5	2	1	1	1	1	1	124
Extraordinary Settlements	80	220	0	150	0	0	0	0	0	0	0	0	450
Other Transactions	8	1,003	3,570	22	7	89	16	8	66	9	20	54	4,872
Total Miscellaneous Receipts	37	1,254	3,753	341	100	154	139	307	162	75	120	302	6,744
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,033	1,099	2,178	4,336	384	1,747	574	337	495	757	1,875	2,806	17,621
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	2	2
Tax in Excess of LGAC	197	87	384	266	216	274	219	226	272	275	149	276	2,841
Sales Tax Bond Fund	87	75	176	157	108	212	109	117	163	165	119	278	1,766
Real Estate Taxes in Excess of CW/CA Debt Service	44	48	38	49	64	64	77	83	83	83	82	66	781
All Other	75	194	84	128	140	221	145	170	146	182	326	750	2,561
Total Transfers from Other Funds	1,436	1,503	2,860	4,936	912	2,518	1,124	933	1,159	1,462	2,551	4,178	25,572
TOTAL RECEIPTS	3,319	4,198	10,491	11,731	2,973	6,964	3,193	2,986	5,441	3,947	4,745	8,845	68,833
DISBURSEMENTS:													
School Aid	724	4,024	1,774	53	911	1,686	1,022	1,567	2,104	489	744	8,956	24,054
Higher Education	5	1	1,333	39	271	181	181	33	172	25	286	1,011	3,519
All Other Education	18	5	18	484	293	150	105	66	391	37	163	561	2,291
Medicaid - DOH	288	1,292	2,408	1,137	1,406	1,561	1,153	1,655	1,240	1,422	969	344	14,875
Public Health	6	9	100	222	17	46	46	87	46	46	46	41	712
Mental Hygiene	57	37	143	242	78	557	124	50	639	134	313	468	2,842
Children and Families	16	2	11	328	103	296	138	103	296	102	132	302	1,830
Temporary & Disability Assistance	63	156	57	251	130	101	101	102	102	102	102	150	1,416
Transportation	0	0	0	26	24	0	0	24	5	0	19	12	110
Unrestricted Aid	0	0	323	1	4	44	13	6	192	5	5	146	739
Budget Balance Reduction	0	0	0	(400)	(400)	(1,000)	(500)	(300)	(1,300)	(300)	(800)	(3,400)	(8,000)
All Other	(38)	2	211	(6)	34	54	29	26	357	27	65	1,136	1,897
Total Local Assistance	1,139	5,528	6,378	2,777	2,871	3,657	2,412	3,418	4,244	2,090	2,044	9,727	46,285
Personal Service	894	691	565	743	703	844	695	676	938	675	1,156	1,156	9,264
Non-Personal Service	313	195	165	(506)	171	288	251	226	303	251	252	640	2,549
Budget Balance Reduction	0	0	0	0	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(294)	(980)
Total State Operations	1,207	886	730	237	776	1,034	848	804	1,143	828	838	1,502	10,833
General State Charges	460	331	2,512	336	331	413	474	330	361	464	535	523	7,070
Debt Service	32	(2)	4	51	(3)	(3)	76	0	(2)	185	(23)	(5)	310
Capital Projects	(800)	204	343	510	823	432	(50)	36	376	346	590	586	3,396
SUNY Operations	0	0	759	62	105	13	7	76	17	17	22	195	1,273
Other Purposes	143	23	96	46	96	140	167	103	109	71	66	348	1,523
Total Transfers to Other Funds	(625)	225	1,317	669	1,021	582	200	215	500	619	655	1,124	6,502
TOTAL DISBURSEMENTS	2,181	6,970	10,937	4,019	4,999	5,686	3,934	4,767	6,248	4,001	4,072	12,876	70,690
Excess/(Deficiency) of Receipts over Disbursements	1,138	(2,772)	(446)	7,712	(2,026)	1,278	(741)	(1,781)	(807)	(54)	673	(4,031)	(1,857)
CLOSING BALANCE	10,082	7,310	6,864	14,576	12,550	13,828	13,087	11,306	10,499	10,445	11,118	7,087	7,087
Exclude Budget Balance Reduction	0	0	(398)	0	(498)	(1,098)	(598)	(398)	(1,398)	(398)	(898)	(3,694)	(9,378)
Exclude Liquidity Financing	0	(1,000)	(3,500)	475	425	950	600	600	600	876	0	(144)	(118)
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	10,082	6,310	1,966	10,153	8,054	9,184	8,445	6,866	5,261	5,685	5,460	(2,409)	(2,409)

CASHFLOW
STATE OPERATING FUNDS
FY 2021
(dollars in millions)

	2020 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	14,407	16,171	13,542	14,605	22,631	21,241	21,270	21,431	20,310	19,688	23,245	23,748		14,407
RECEIPTS:														
Personal Income Tax	2,066	2,200	4,368	10,244	2,566	4,920	2,348	2,214	4,190	7,349	3,008	3,573	0	49,046
Consumption/Use Taxes	1,009	890	1,342	1,277	1,040	1,290	1,061	1,075	1,290	1,300	1,062	1,243	0	13,879
Business Taxes	378	(117)	1,145	741	1,67	1,401	177	125	1,704	147	65	2,356	0	8,368
Other Taxes	131	100	187	205	162	162	256	183	182	158	158	142	0	1,947
Total Taxes	3,584	3,073	7,042	12,467	3,955	7,773	3,842	3,597	7,366	8,954	4,293	7,314	0	73,240
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	0	450
ABC License Fee	2	3	3	5	7	4	5	4	5	8	7	6	0	60
HCRA	481	397	445	307	421	417	466	439	458	450	743	467	0	5,491
Investment Income	16	6	2	1	5	5	10	5	9	7	8	9	0	79
Licenses, Fees, etc.	24	5	21	54	45	15	35	55	40	20	35	29	0	378
Lottery	157	143	174	202	181	236	220	229	290	235	247	235	0	2,549
Medicaid	91	74	67	78	69	69	69	69	69	69	69	143	0	936
Motor Vehicle Fees	(80)	(38)	138	130	49	43	55	29	67	51	37	70	0	551
Reimbursements	7	66	30	0	9	5	2	1	1	1	1	1	0	124
State University Income	445	336	402	329	451	609	423	320	228	512	654	378	0	5,087
Extraordinary Settlements	80	220	0	0	0	0	0	0	0	0	0	0	0	450
Other Transactions	208	1,110	3,852	302	325	7	312	269	(46)	316	762	(630)	0	6,487
Total Miscellaneous Receipts	1,431	2,322	5,134	1,558	1,562	1,421	1,632	1,645	1,117	1,669	2,593	358	0	22,642
Federal Receipts	0	0	4	(5)	2	35	0	0	0	(24)	2	37	0	51
TOTAL RECEIPTS	5,015	5,395	12,180	14,020	5,499	9,229	5,474	5,242	8,483	10,599	6,888	7,909	0	95,933
DISBURSEMENTS:														
School Aid	724	4,059	2,071	53	911	3,640	1,102	1,647	2,184	569	824	9,123	0	26,907
Higher Education	5	1	1,333	39	271	162	181	33	172	25	286	1,011	0	3,519
All Other Education	18	5	18	485	296	152	107	66	393	37	163	564	0	2,304
STAR	0	0	0	0	0	0	1	0	0	2,018	0	9	0	2,073
Medicaid - DOH	817	1,767	2,439	1,961	1,867	1,990	1,582	2,084	1,669	1,851	1,821	828	0	20,676
Public Health	36	34	167	273	178	141	181	155	174	131	108	214	0	1,792
Mental Hygiene	57	37	143	243	78	558	124	50	640	134	313	471	0	2,848
Children and Families	16	2	11	328	103	296	138	103	296	103	132	306	0	1,834
Temporary & Disability Assistance	63	156	57	251	130	101	101	101	102	102	102	150	0	1,416
Transportation	62	42	19	728	464	281	286	554	803	63	110	380	0	3,792
Unrestricted Aid	0	0	323	1	4	44	13	6	182	5	5	146	0	739
Budget Balance Reduction	0	0	0	1	(400)	(1,000)	(500)	(300)	(1,300)	(300)	(800)	(3,400)	0	(8,000)
All Other	(12)	16	225	21	99	(196)	76	88	116	96	126	824	0	1,479
Total Local Assistance	1,785	6,119	6,806	4,383	4,001	6,169	3,392	4,597	5,476	4,834	3,190	10,626	0	61,379
Personal Service	1,495	1,085	956	1,115	1,108	1,238	1,203	1,103	1,306	1,085	1,106	1,474	0	14,274
Non-Personal Service	543	372	336	(308)	490	448	491	455	459	507	523	684	0	5,000
Budget Balance Reduction	0	0	0	0	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(294)	0	(980)
Total State Operations	2,038	1,457	1,292	807	1,500	1,588	1,596	1,460	1,667	1,494	1,531	1,864	0	18,294
General State Charges	512	371	2,583	388	406	502	559	460	493	535	603	703	0	8,115
Debt Service	36	24	29	11	344	860	40	33	1,230	11	880	6,866	0	10,364
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,372	7,971	10,710	5,589	6,251	9,119	5,587	6,550	8,866	6,874	6,204	20,059	0	98,152
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	1,939	1,675	3,795	5,234	1,313	2,980	1,619	1,323	1,436	1,932	2,933	5,854	(486)	31,547
Transfers to other funds	(818)	(1,728)	(4,202)	(5,639)	(1,951)	(3,061)	(1,345)	(1,136)	(1,675)	(2,100)	(3,114)	(5,443)	486	(31,776)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	1,121	(53)	(407)	(405)	(638)	(81)	(274)	(187)	(239)	(168)	(151)	(411)	0	(179)
Excess/(Deficiency) of Receipts over Disbursements	1,764	(2,629)	1,063	8,026	(1,390)	29	161	(1,121)	(622)	3,557	503	(11,739)	0	(2,398)
CLOSING BALANCE	16,171	13,542	14,605	22,631	21,241	21,270	21,431	20,310	19,688	23,245	23,748	12,009	0	12,009
Exclude Budget Balance Reduction	0	0	0	0	(498)	(1,098)	(598)	(398)	(1,398)	(398)	(898)	(3,694)	0	(8,980)
Exclude Liquidity Financing	0	(1,000)	(3,500)	0	0	0	0	0	1,000	0	0	3,382	0	(118)
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	16,171	12,542	10,105	18,131	16,243	15,174	14,737	13,218	12,198	15,357	14,962	2,911	0	2,911

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2021
(dollars in millions)**

	2020 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	14,283	20,543	17,649	20,624	26,358	25,934	26,382	26,407	24,369	24,157	26,666	25,966		14,283
RECEIPTS:														
Personal Income Tax	2,066	2,200	4,368	10,244	2,566	4,920	2,348	2,214	4,190	7,349	3,008	3,573	0	49,046
Consumption/Use Taxes	1,045	916	1,389	1,316	1,081	1,340	1,112	1,120	1,345	1,343	1,104	1,293	0	14,404
Business Taxes	416	(96)	1,193	787	212	1,446	318	179	1,760	204	113	2,413	0	8,945
Other Taxes	131	100	199	217	174	174	189	195	194	170	170	153	0	2,066
Total Taxes	3,658	3,120	7,149	12,564	4,033	7,880	3,967	3,708	7,489	9,066	4,395	7,432	0	74,461
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	0	450
ABC License Fee	2	3	3	5	7	5	5	4	5	8	7	6	0	60
HICRA	481	397	445	307	421	417	466	439	458	450	743	467	0	5,491
Investment Income	16	6	2	1	5	5	10	5	5	7	8	9	0	79
Licenses, Fees, etc.	24	5	21	54	45	15	35	55	40	20	35	29	0	378
Lottery	157	143	174	202	181	236	220	229	290	235	247	235	0	2,549
Medicaid	91	74	67	78	69	69	69	69	69	69	69	143	0	936
Motor Vehicle Fees	(80)	(38)	138	130	49	43	55	29	67	51	37	70	0	551
Reimbursements	7	66	30	0	9	5	2	1	1	1	1	1	0	124
State University Income	445	336	402	329	451	609	423	320	228	512	654	378	0	5,087
Extraordinary Settlements	80	220	0	150	0	0	0	0	0	0	0	0	0	450
Other Transactions	1,413	1,228	4,277	669	450	660	2,359	1,147	758	631	961	358	0	14,911
Total Miscellaneous Receipts	2,636	2,440	5,559	1,925	1,687	2,074	3,679	2,523	1,921	1,984	2,792	1,846	0	31,066
Federal Receipts	10,864	4,206	7,519	5,428	5,360	8,559	5,107	4,848	7,444	5,605	5,605	6,552	0	77,097
TOTAL RECEIPTS	17,158	9,766	20,227	19,917	11,080	18,513	12,753	11,079	16,854	16,655	12,792	15,830	0	182,624
DISBURSEMENTS:														
School Aid	1,063	4,116	2,539	249	1,124	4,085	1,465	2,010	2,673	904	1,159	9,523	0	30,910
Higher Education	5	1	1,333	39	271	162	181	33	172	25	286	1,011	0	3,519
All Other Education	74	16	106	524	392	266	221	130	457	101	235	760	0	3,282
STAR	0	0	0	0	0	0	0	10	35	2,018	0	9	0	2,073
Medicaid - DOH	5,883	5,481	6,348	5,735	6,216	7,437	5,402	5,707	5,975	5,321	6,382	6,052	0	72,955
Public Health	133	217	508	419	372	503	388	323	518	321	297	689	0	4,688
Mental Hygiene	63	49	164	269	105	578	445	68	666	160	356	542	0	3,165
Children and Families	70	6	19	330	209	402	244	209	402	209	238	414	0	2,752
Temporary & Disability Assistance	129	168	293	430	377	612	331	372	643	339	339	900	0	4,922
Transportation	90	91	76	838	535	480	358	626	1,176	135	181	897	0	5,483
Unrestricted Aid	0	0	0	0	4	44	13	6	192	5	5	146	0	739
Budget Balance Reduction	150	135	536	421	436	102	417	409	395	376	393	948	0	4,718
All Other	7,860	10,280	12,245	9,255	9,641	13,671	8,666	9,603	12,004	10,630	9,060	18,491	0	131,206
Total Local Assistance	1,570	1,136	1,117	1,222	1,220	1,359	1,316	1,157	1,090	1,131	1,158	1,549	0	15,294
Non-Personal Service	584	416	504	576	965	848	1,148	1,101	1,090	1,169	1,148	1,344	0	10,893
Budget Balance Reduction	0	0	0	0	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(294)	0	(980)
Total State Operations	2,154	1,552	1,621	1,798	2,087	2,109	2,366	2,160	2,351	2,202	2,208	2,599	0	25,207
General State Charges	535	395	2,622	474	483	566	631	489	520	561	632	747	0	8,655
Debt Service	36	24	29	11	344	860	40	33	1,242	11	880	6,998	0	10,508
Capital Projects	510	406	679	632	935	854	1,018	827	934	736	833	1,144	0	9,508
TOTAL DISBURSEMENTS	10,895	12,657	17,196	12,170	13,490	18,060	12,721	13,112	17,051	14,140	13,613	29,979	0	185,084
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	1,134	1,873	4,155	5,757	2,142	3,417	1,574	1,364	1,817	2,283	3,544	6,760	(486)	35,334
Transfers to other funds	(1,137)	(1,876)	(4,211)	(5,770)	(2,156)	(3,422)	(1,581)	(1,369)	(1,832)	(2,289)	(3,423)	(6,712)	486	(35,292)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	850	0	850
NET OTHER FINANCING SOURCES/(USES)	(3)	(3)	(56)	(13)	(14)	(5)	(7)	(5)	(15)	(6)	121	898	0	892
Excess/(Deficiency) of Receipts over Disbursements	6,260	(2,894)	2,975	7,734	(2,424)	448	25	(2,038)	(212)	2,509	(700)	(13,251)	0	(1,568)
CLOSING BALANCE	20,543	17,649	20,624	28,358	25,934	26,382	26,407	24,369	24,157	26,666	25,966	12,715	0	12,715
Exclude Budget Balance Reduction	0	0	0	0	(498)	(1,098)	(598)	(398)	(1,398)	(398)	(898)	(3,694)	0	(8,980)
Exclude Liquidity Financing	0	(1,000)	(3,500)	0	0	0	0	0	1,000	0	0	3,382	0	(118)
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	20,543	16,649	16,124	23,858	20,936	20,286	19,713	17,277	16,667	18,778	17,180	3,617	0	3,617

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2021
(dollars in millions)**

	2020 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	6,311	11,224	1,140	14,472	13,275	12,401	11,677	10,815	9,692	9,908	9,406	9,276		6,311
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	1	10	35	2,018	0	9	0	2,073
Consumption/Use Taxes	156	106	149	150	125	143	130	122	152	136	113	101	0	1,583
Business Taxes	98	8	220	155	62	261	88	72	332	79	58	429	0	1,862
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	254	114	369	305	187	404	219	204	519	2,233	171	539	0	5,518
HGRA	481	397	445	307	421	417	466	439	458	450	743	467	0	5,491
State University Income	445	336	402	329	451	609	423	320	228	512	654	378	0	5,087
Lottery	157	143	174	202	181	236	220	229	290	235	247	235	0	2,549
Medicaid	91	74	67	78	69	69	69	69	69	69	69	143	0	936
Motor Vehicle Fees	20	11	11	21	22	18	19	20	22	21	18	17	0	220
Other Transactions	167	148	248	251	297	(82)	271	241	(135)	282	723	(944)	0	1,467
Total Miscellaneous Receipts	1,361	1,109	1,347	1,188	1,441	1,267	1,468	1,318	932	1,569	2,454	296	0	15,750
Federal Receipts	10,778	4,104	7,351	5,216	5,183	8,334	4,894	4,665	7,209	5,412	5,410	6,285	0	74,841
TOTAL RECEIPTS	12,393	5,327	9,067	6,709	6,811	10,005	6,581	6,187	8,660	9,214	8,035	7,120	0	96,109
DISBURSEMENTS:														
School Aid	328	92	761	196	173	2,359	393	393	519	365	365	512	0	6,456
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	55	11	87	39	62	111	111	59	61	59	67	173	0	895
STAR	0	0	0	0	0	0	1	10	35	2,018	0	9	0	2,073
Medicaid - DOH	5,595	4,189	3,940	4,598	4,810	5,876	4,249	4,052	4,735	4,915	5,413	5,708	0	58,080
Public Health	101	150	383	163	308	398	263	188	399	203	173	584	0	3,313
Mental Hygiene	2	12	12	24	14	9	12	14	14	7	15	25	0	157
Children and Families	54	4	8	2	106	106	106	106	106	106	106	112	0	922
Temporary & Disability Assistance	66	12	236	169	237	511	220	271	531	237	216	738	0	3,444
Transportation	65	44	23	707	453	294	299	543	811	76	104	377	0	3,796
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	111	79	173	299	125	(160)	143	157	(150)	162	147	(469)	0	617
Total Local Assistance	6,377	4,593	5,623	6,197	6,288	9,504	5,797	5,790	7,061	8,148	6,606	7,769	0	79,753
Personal Service	676	445	552	479	517	515	621	481	421	456	474	393	0	6,030
Non-Personal Service	271	221	326	1,082	784	558	897	873	786	918	892	692	0	8,300
Total State Operations	947	666	878	1,561	1,301	1,073	1,518	1,354	1,207	1,374	1,366	1,085	0	14,330
General State Charges	75	64	110	138	152	153	157	159	159	97	97	224	0	1,585
Debt Service	0	0	0	0	0	0	0	0	12	0	0	132	0	144
TOTAL DISBURSEMENTS	7,399	5,323	6,611	7,896	7,741	10,730	7,472	7,303	8,439	9,619	8,069	9,210	0	95,812
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	223	42	896	133	236	198	267	223	162	132	132	635	(486)	2,793
Transfers to Other Funds	(304)	(130)	(20)	(143)	(180)	(197)	(238)	(230)	(167)	(229)	(228)	(1,260)	486	(2,840)
NET OTHER FINANCING SOURCES/(USES)	(81)	(88)	876	(10)	56	1	29	(7)	(5)	(97)	(96)	(625)	0	(47)
Excess/(Deficiency) of Receipts over Disbursements	4,913	(84)	3,332	(1,197)	(874)	(724)	(862)	(1,123)	216	(502)	(130)	(2,715)	0	250
CLOSING BALANCE	11,224	11,140	14,472	13,275	12,401	11,677	10,815	9,692	9,908	9,406	9,276	6,561	0	6,561

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2021
(dollars in millions)

	2020 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	5,400	5,696	5,710	7,246	6,612	6,534	5,205	5,322	5,092	4,794	5,153	5,900		5,400
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	1	10	35	2,018	0	9	0	2,073
Consumption/Use Taxes	156	106	149	150	125	143	130	122	152	152	113	101	0	1,583
Business Taxes	98	8	220	155	62	261	88	72	332	79	58	429	0	1,862
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	254	114	369	305	187	404	219	204	519	2,233	171	539	0	5,518
HCRA	481	397	445	307	421	417	466	439	458	450	743	467	0	5,491
State University Income	445	336	402	329	451	609	423	320	228	512	654	378	0	5,087
Lottery	157	143	174	202	181	236	220	229	290	235	247	235	0	2,549
Medicaid	91	74	67	78	69	69	69	69	69	69	69	143	0	936
Motor Vehicle Fees	20	11	11	21	22	18	19	20	21	21	18	17	0	220
Other Transactions	152	94	239	249	284	(93)	260	237	(138)	263	703	(1,016)	0	1,234
Total Miscellaneous Receipts	1,346	1,055	1,338	1,186	1,428	1,256	1,457	1,314	929	1,550	2,434	224	0	15,517
Federal Receipts	0	0	4	(4)	0	0	0	0	0	(24)	0	1	0	(23)
TOTAL RECEIPTS	1,600	1,169	1,711	1,487	1,615	1,660	1,676	1,518	1,448	3,759	2,605	764	0	21,012
DISBURSEMENTS:														
School Aid	0	35	297	0	0	1,954	80	80	80	80	80	167	0	2,853
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	1	3	2	2	0	2	0	0	3	0	13
STAR	0	0	0	0	0	0	1	10	35	2,018	0	9	0	2,073
Medicaid - DOH	529	475	31	824	461	429	429	429	429	429	852	484	0	5,801
Public Health	30	25	67	51	161	95	135	68	128	85	62	173	0	1,080
Mental Hygiene	0	0	0	1	0	1	0	0	1	0	0	3	0	6
Children and Families	0	0	0	0	0	0	0	0	0	0	0	4	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	62	42	19	702	440	281	286	530	798	63	91	368	0	3,682
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	26	14	14	27	65	(250)	47	62	(241)	69	61	(312)	0	(418)
Total Local Assistance	647	591	428	1,606	1,130	2,512	980	1,179	1,232	2,744	1,146	899	0	15,094
Personal Service	601	394	391	372	405	394	508	427	368	410	422	318	0	5,010
Non-Personal Service	230	177	158	198	309	158	240	227	155	256	267	32	0	2,407
Total State Operations	831	571	549	570	714	552	748	654	523	666	689	350	0	7,417
General State Charges	52	40	71	52	75	89	85	130	132	71	68	180	0	1,045
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,530	1,202	1,048	2,228	1,919	3,153	1,813	1,963	1,887	3,481	1,903	1,429	0	23,556
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	223	42	896	133	236	198	267	223	162	132	132	635	(486)	2,793
Transfers to Other Funds	3	5	(23)	(26)	(10)	(34)	(13)	(8)	(21)	(51)	(87)	(1,005)	486	(784)
NET OTHER FINANCING SOURCES/(USES)	226	47	873	107	226	164	254	215	141	81	45	(370)	0	2,009
Excess/(Deficiency) of Receipts over Disbursements	296	14	1,536	(634)	(78)	(1,329)	117	(230)	(298)	359	747	(1,035)	0	(535)
CLOSING BALANCE	5,696	5,710	7,246	6,612	6,534	5,205	5,322	5,092	4,794	5,153	5,900	4,865	0	4,865

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2021
(dollars in millions)

	2020 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	911	5,528	5,430	7,226	6,663	5,867	6,472	5,493	4,600	5,114	4,253	3,376	911
RECEIPTS:													
Miscellaneous Receipts	15	54	9	2	13	11	11	4	3	19	20	72	233
Federal Receipts	10,778	4,104	7,347	5,220	5,183	8,334	4,894	4,665	7,209	5,436	5,410	6,284	74,864
TOTAL RECEIPTS	10,793	4,158	7,356	5,222	5,196	8,345	4,905	4,669	7,212	5,455	5,430	6,356	75,097
DISBURSEMENTS:													
School Aid	328	57	464	196	173	405	313	313	439	285	285	345	3,603
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	55	11	87	38	59	109	109	59	59	59	67	170	882
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	5,066	3,714	3,909	3,774	4,349	5,447	3,820	3,623	4,306	4,486	4,561	5,224	52,279
Public Health	71	125	316	112	147	303	128	120	271	118	111	411	2,233
Mental Hygiene	2	12	12	23	14	8	12	11	13	7	15	22	151
Children and Families	54	4	8	2	106	106	106	106	106	106	106	108	918
Temporary & Disability Assistance	66	12	236	169	237	511	220	271	531	237	216	738	3,444
Transportation	3	2	4	5	13	13	13	13	13	13	13	9	114
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	85	65	159	272	60	90	96	95	91	93	86	(157)	1,035
Total Local Assistance	5,730	4,002	5,195	4,591	5,158	6,992	4,817	4,611	5,829	5,404	5,460	6,870	64,659
Personal Service	75	51	161	107	112	121	113	54	53	46	52	75	1,020
Non-Personal Service	41	44	168	884	475	400	657	646	631	662	625	660	5,893
Total State Operations	116	95	329	991	587	521	770	700	684	708	677	735	6,913
General State Charges	23	24	39	86	77	64	72	29	27	26	29	44	540
Debt Service	0	0	0	0	0	0	0	0	12	0	0	132	144
TOTAL DISBURSEMENTS	5,869	4,121	5,563	5,668	5,822	7,577	5,659	5,340	6,552	6,138	6,166	7,781	72,256
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(307)	(135)	3	(117)	(170)	(163)	(225)	(222)	(146)	(178)	(141)	(255)	(2,056)
NET OTHER FINANCING SOURCES/(USES)	(307)	(135)	3	(117)	(170)	(163)	(225)	(222)	(146)	(178)	(141)	(255)	(2,056)
Excess/(Deficiency) of Receipts over Disbursements	4,617	(98)	1,796	(563)	(796)	605	(979)	(893)	514	(861)	(877)	(1,680)	785
CLOSING BALANCE	5,528	5,430	7,226	6,663	5,867	6,472	5,493	4,600	5,114	4,253	3,376	1,696	1,696

CASHFLOW
DEBT SERVICE FUNDS
FY 2021
(dollars in millions)

	2020 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	63	393	522	495	1,443	2,157	2,237	3,022	3,912	4,395	7,647	6,730	63
RECEIPTS:													
Personal Income Tax	1,033	1,100	2,184	5,122	1,283	2,460	1,174	1,107	2,095	3,674	1,504	1,787	24,523
Consumption/Use Taxes	394	370	572	533	436	549	438	453	545	550	458	552	5,850
Other Taxes	57	48	39	53	68	68	81	87	87	87	86	71	832
Total Taxes	1,484	1,518	2,795	5,708	1,787	3,077	1,693	1,647	2,727	4,311	2,048	2,410	31,205
Miscellaneous Receipts	48	13	43	31	34	11	36	24	26	44	39	32	381
Federal Receipts	0	0	0	(1)	2	35	0	0	0	0	2	36	74
TOTAL RECEIPTS	1,532	1,531	2,838	5,738	1,823	3,123	1,729	1,671	2,753	4,355	2,089	2,478	31,660
DISBURSEMENTS:													
State Operations	0	0	13	0	10	2	0	2	1	0	4	12	44
Debt Service	36	24	29	11	344	860	40	33	1,230	11	880	6,866	10,364
TOTAL DISBURSEMENTS	36	24	42	11	354	862	40	35	1,231	11	884	6,878	10,408
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	280	130	39	165	165	264	228	167	115	338	250	1,041	3,182
Transfers to Other Funds	(1,446)	(1,508)	(2,862)	(4,944)	(920)	(2,445)	(1,132)	(913)	(1,154)	(1,430)	(2,372)	(3,314)	(24,440)
NET OTHER FINANCING SOURCES/(USES)	(1,166)	(1,378)	(2,823)	(4,779)	(755)	(2,181)	(904)	(746)	(1,039)	(1,092)	(2,122)	(2,273)	(21,258)
Excess/(Deficiency) of Receipts over Disbursements	330	129	(27)	948	714	80	785	890	483	3,252	(917)	(6,673)	(6)
CLOSING BALANCE	393	522	495	1,443	2,157	2,237	3,022	3,912	4,395	7,647	6,730	57	57
Exclude Liquidity Financing	0	0	0	(475)	(425)	(950)	(600)	(600)	400	(876)	0	3,526	0
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	393	522	495	968	1,732	1,287	2,422	3,312	4,795	6,771	6,730	3,583	57

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2021
(dollars in millions)**

	2020 April Results	2020 May Results	2020 June Results	2020 July Projected	2020 August Projected	2020 September Projected	2020 October Projected	2020 November Projected	2020 December Projected	2021 January Projected	2021 February Projected	2021 March Projected	2021 Total
OPENING BALANCE	(1,035)	(1,156)	(1,323)	(1,207)	(936)	(1,174)	(1,360)	(517)	(541)	(645)	(832)	(1,158)	(1,035)
RECEIPTS:													
Consumption/Use Taxes	36	26	47	39	41	50	51	45	55	43	42	50	525
Business Taxes	38	21	48	46	45	45	62	54	56	57	48	57	577
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	74	47	107	97	98	107	125	111	123	112	102	118	1,221
Miscellaneous Receipts	1,190	64	416	365	112	642	2,036	874	801	296	179	1,216	8,191
Federal Receipts	86	102	168	213	175	190	213	183	235	193	193	231	2,182
TOTAL RECEIPTS	1,350	213	691	675	385	939	2,374	1,168	1,159	601	474	1,565	11,594
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	1	37	5	5	5	5	5	5	26	96
Public Health	26	58	25	34	47	59	79	48	73	72	78	64	663
Mental Hygiene	4	0	9	3	13	12	9	7	13	19	28	49	166
School Aid	11	0	4	0	40	40	50	50	50	50	50	55	400
Temporary & Disability Assistance	0	0	0	0	0	0	10	10	10	10	10	12	62
Transportation	25	47	53	105	58	186	59	59	360	59	58	508	1,577
All Other Local	77	54	152	128	277	208	245	226	188	187	181	281	2,204
Total Local Assistance	144	159	244	281	482	510	457	395	699	392	410	995	5,168
Economic Development	2	2	13	4	90	113	226	163	131	106	126	173	1,149
Parks & the Environment	54	36	71	64	92	91	75	92	89	77	98	104	943
Transportation	247	232	364	360	332	419	390	374	479	351	366	520	4,434
Health & Social Welfare	5	4	6	2	6	12	8	7	11	10	19	68	158
Mental Hygiene	51	16	42	27	33	32	42	41	42	45	42	66	479
Public Protection	45	29	55	46	44	53	44	46	49	42	37	46	536
Education	53	59	42	61	165	89	168	86	97	91	78	87	1,076
All Other	53	28	86	68	173	45	65	18	36	14	67	80	733
Total Capital Projects	510	406	679	632	935	854	1,018	827	934	736	833	1,144	9,508
TOTAL DISBURSEMENTS	654	565	923	913	1,417	1,364	1,475	1,222	1,633	1,128	1,243	2,139	14,676
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(805)	198	360	523	829	437	(45)	41	381	351	611	906	3,787
Transfers to Other Funds	(12)	(13)	(12)	(14)	(35)	(198)	(11)	(11)	(11)	(11)	(168)	(1,014)	(1,510)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	850	850
NET OTHER FINANCING SOURCES/(USES)	(817)	185	348	509	794	239	(56)	30	370	340	443	742	3,127
Excess/(Deficiency) of Receipts over Disbursements	(121)	(167)	116	271	(238)	(186)	843	(24)	(104)	(187)	(326)	168	45
CLOSING BALANCE	(1,156)	(1,323)	(1,207)	(936)	(1,174)	(1,360)	(517)	(541)	(645)	(832)	(1,158)	(990)	(990)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2021
(dollars in millions)

	2020 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(471)	(598)	(754)	(629)	(400)	(637)	(661)	159	115	(39)	(312)	(587)	(471)
RECEIPTS:													
Consumption/Use Taxes	36	26	47	39	41	50	51	45	55	43	42	50	525
Business Taxes	38	21	48	46	45	45	62	54	56	57	48	57	577
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	74	47	107	97	98	107	125	111	123	112	102	118	1,221
Miscellaneous Receipts	1,190	64	416	365	112	642	2,036	874	801	296	179	1,216	8,191
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	1,264	111	523	462	210	751	2,161	985	924	408	281	1,337	9,417
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	1	37	5	5	5	5	5	5	26	96
Public Health	26	58	25	33	47	56	66	48	48	71	78	37	593
Mental Hygiene	4	0	9	3	13	12	9	7	13	19	28	49	166
School Aid	11	0	4	0	40	40	50	50	50	50	50	55	400
Temporary & Disability Assistance	0	0	0	10	10	0	10	0	10	0	10	12	62
Transportation	3	6	17	64	16	144	17	17	318	17	16	461	1,096
All Other Local	77	54	149	128	264	195	232	211	168	164	151	256	2,049
Total Local Assistance	122	118	205	239	427	452	389	338	612	326	338	896	4,462
Economic Development	2	2	13	4	90	113	226	163	131	106	126	173	1,149
Parks & the Environment	53	34	70	62	91	76	73	90	88	76	96	100	922
Transportation	193	165	230	236	217	304	275	274	389	316	331	513	3,443
Health & Social Welfare	4	3	6	2	6	10	7	7	8	9	18	67	147
Mental Hygiene	51	16	42	27	33	32	42	41	42	45	42	66	479
Public Protection	43	27	52	43	41	50	41	43	46	39	39	40	504
Education	53	59	42	61	165	89	168	86	97	91	78	87	1,076
All Other	53	28	86	68	172	44	64	17	35	13	71	75	726
Total Capital Projects	452	334	541	503	815	731	896	721	836	695	801	1,121	8,446
TOTAL DISBURSEMENTS	574	452	746	742	1,242	1,183	1,285	1,059	1,448	1,021	1,139	2,017	12,908
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(805)	198	(12)	523	(34)	607	(45)	41	381	351	751	998	4,189
Transfers to Other Funds	(12)	(13)	(12)	(14)	(199)	(11)	(11)	(11)	(11)	(11)	(168)	(1,014)	(1,510)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	850	850
NET OTHER FINANCING SOURCES/(USES)	(817)	185	348	509	795	408	(56)	30	370	340	583	834	3,529
Excess/(Deficiency) of Receipts over Disbursements	(127)	(156)	125	229	(237)	(24)	820	(44)	(154)	(273)	(275)	154	38
CLOSING BALANCE	(598)	(754)	(629)	(400)	(637)	(661)	(661)	115	(39)	(312)	(587)	(433)	(433)

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2021**
(dollars in millions)

	2020 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(564)	(558)	(569)	(578)	(536)	(537)	(699)	(676)	(656)	(606)	(520)	(571)	(564)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	86	102	168	213	175	188	213	183	235	193	193	228	2,177
TOTAL RECEIPTS	86	102	168	213	175	188	213	183	235	193	193	228	2,177
DISBURSEMENTS:													
Public Health	0	0	0	1	0	3	13	0	25	1	0	27	70
Transportation	22	41	36	41	42	42	42	42	42	42	42	47	481
All Other Local	0	0	3	0	13	13	13	15	20	23	30	25	155
Total Local Assistance	22	41	39	42	55	58	68	57	87	66	72	99	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	2	1	2	1	2	2	2	1	1	2	4	21
Transportation	54	67	134	124	115	115	115	100	90	35	35	7	991
Health & Social Welfare	1	1	0	0	0	2	1	0	3	1	1	1	11
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	3	3	3	3	3	3	3	3	(2)	6	32
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	0	0	0	1	1	1	1	1	1	(4)	5	7
Total Capital Projects	58	72	138	129	120	123	122	106	98	41	32	23	1,062
TOTAL DISBURSEMENTS	80	113	177	171	175	181	190	163	185	107	104	122	1,768
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	(170)	0	0	0	0	(140)	(92)	(402)
Transfers to Other Funds	0	0	0	0	(1)	1	0	0	0	0	0	0	0
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	(1)	(169)	0	0	0	0	(140)	(92)	(402)
Excess/(Deficiency) of Receipts over Disbursements	6	(11)	(9)	42	(1)	(162)	23	20	50	86	(51)	14	7
CLOSING BALANCE	(558)	(569)	(578)	(536)	(537)	(699)	(676)	(656)	(606)	(520)	(571)	(557)	(557)

**CASHFLOW
STATE FUNDS
FY 2021**
(dollars in millions)

	2020 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	13,936	15,573	12,788	13,976	22,231	20,604	20,609	21,590	20,425	19,649	22,933	23,161		13,936
RECEIPTS:														
Personal Income Tax	2,066	2,200	4,368	10,244	2,566	4,920	2,348	2,214	4,190	7,349	3,008	3,573	0	49,046
Consumption/Use Taxes	1,045	916	1,389	1,316	1,081	1,340	1,112	1,120	1,345	1,343	1,104	1,293	0	14,404
Business Taxes	416	(96)	1,193	787	212	1,446	318	179	1,760	204	113	2,413	0	8,945
Other Taxes	131	100	199	217	174	1,009	189	195	194	170	153	153	0	2,066
Total Taxes	3,658	3,120	7,149	12,564	4,033	7,880	3,967	3,708	7,489	9,066	4,395	7,432	0	74,461
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	0	450
ABC License Fee	2	3	3	5	7	5	5	4	5	8	7	6	0	60
HCR	481	397	445	307	421	417	466	439	458	450	743	467	0	5,491
Investment Income	16	6	2	5	5	10	5	5	5	7	8	9	0	79
Licenses, Fees, etc.	24	5	21	54	45	15	35	55	40	20	35	29	0	378
Lottery	157	143	174	202	181	236	220	229	290	235	247	235	0	2,549
Medicaid	91	74	67	78	69	69	69	69	69	69	69	143	0	936
Motor Vehicle Fees	(8)	(38)	138	130	49	43	55	29	67	51	37	70	0	551
Reimbursements	7	66	30	0	9	5	2	1	1	1	1	1	0	124
State University Income	445	336	402	329	451	609	423	320	228	512	654	378	0	5,087
Extraordinary Settlements	80	220	0	150	0	0	0	0	0	0	0	0	0	450
Other Transactions	1,398	1,174	4,268	667	437	649	2,348	1,143	755	612	941	286	0	14,678
Total Miscellaneous Receipts	2,621	2,386	5,550	1,923	1,674	2,063	3,668	2,519	1,918	1,965	2,772	1,774	0	30,833
Federal Receipts	0	0	4	(5)	2	37	0	0	0	(24)	2	40	0	56
TOTAL RECEIPTS	6,279	5,506	12,703	14,482	5,709	9,980	7,635	6,227	9,407	11,007	7,169	9,246	0	105,350
DISBURSEMENTS:														
School Aid	735	4,059	2,075	53	951	3,680	1,152	1,697	2,234	619	874	9,178	0	27,307
Higher Education	5	1	1,333	39	271	162	181	33	172	25	286	1,011	0	3,519
All Other Education	19	5	19	486	333	157	112	71	398	42	168	590	0	2,400
STAR	0	0	0	0	0	0	0	10	35	2,018	0	9	0	2,073
Medicaid - DOH	817	1,767	2,439	1,961	1,867	1,990	1,582	2,084	1,669	1,851	1,821	828	0	20,676
Public Health	62	92	192	306	225	197	247	203	222	202	186	251	0	2,385
Mental Hygiene	61	37	152	246	91	570	133	57	653	153	341	50	0	3,014
Children and Families	16	2	11	328	103	296	138	103	296	103	132	306	0	1,834
Temporary & Disability Assistance	63	156	57	261	140	101	111	101	112	102	112	162	0	1,478
Transportation	65	48	36	792	480	425	303	571	1,121	802	126	841	0	4,888
Unrestricted Aid	0	0	323	1	4	44	13	6	192	5	5	146	0	739
Budget Balance Reduction	0	0	0	(400)	(400)	(1,000)	(500)	(300)	(1,300)	(300)	(800)	(3,400)	0	(8,000)
All Other	65	70	374	149	363	(1)	308	299	284	260	277	1,080	0	3,528
Total Local Assistance	1,908	6,232	7,011	4,622	4,428	6,621	3,781	4,935	6,088	5,166	3,528	11,522	0	65,841
Personal Service	1,495	1,085	956	1,115	1,108	1,238	1,203	1,103	1,306	1,085	1,106	1,474	0	14,274
Non-Personal Service	543	372	336	(308)	490	448	491	455	459	507	523	684	0	5,000
Budget Balance Reduction	0	0	0	0	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(294)	0	(980)
Total State Operations	2,038	1,457	1,292	807	1,500	1,588	1,596	1,460	1,667	1,494	1,531	1,864	0	18,294
General State Charges	512	371	2,583	388	406	502	559	460	493	535	603	703	0	8,115
Debt Service	36	24	29	11	344	860	896	33	1,230	11	880	6,866	0	10,364
Capital Projects	452	334	541	503	815	731	896	721	836	695	801	1,121	0	8,446
TOTAL DISBURSEMENTS	4,946	8,423	11,456	6,331	7,493	10,302	6,872	7,609	10,314	7,895	7,343	22,076	0	111,060
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	1,134	1,873	4,155	5,757	2,142	3,587	1,574	1,364	1,817	2,283	3,684	6,852	(486)	35,736
Transfers to other funds	(830)	(1,741)	(4,214)	(5,653)	(1,985)	(3,260)	(1,356)	(1,147)	(1,686)	(2,111)	(3,282)	(6,457)	486	(33,236)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	850	0	850
NET OTHER FINANCING SOURCES/(USES)	304	132	(59)	104	157	327	218	217	131	172	402	1,245	0	3,350
Excess/(Deficiency) of Receipts over Disbursements	1,637	(2,785)	1,188	8,255	(1,627)	5	981	(1,165)	(776)	3,284	228	(11,585)	0	(2,360)
CLOSING BALANCE	15,573	12,788	13,976	22,231	20,604	20,609	21,590	20,425	19,649	22,933	23,161	11,576	0	11,576
Exclude Budget Balance Reduction	0	0	0	0	(498)	(1,098)	(598)	(398)	(1,398)	(398)	(898)	(3,694)	0	(8,980)
Exclude Liquidity Financing	0	(1,000)	(3,500)	0	0	0	0	0	1,000	0	0	3,382	0	(118)
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	15,573	11,788	9,476	17,731	15,606	14,513	14,896	13,332	12,159	15,045	14,375	2,478	0	2,478

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021
(millions of dollars)

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
Opening Fund Balance	<u>16</u>	<u>0</u>	<u>16</u>
Receipts:			
Taxes	689	0	689
Miscellaneous receipts	<u>5,502</u>	<u>(11)</u>	<u>5,491</u>
Total Receipts	<u>6,191</u>	<u>(11)</u>	<u>6,180</u>
Disbursements and Transfers:			
Medical Assistance Account	4,190	(41)	4,149
Hospital Indigent Care Fund	717	0	717
HCRA Program Account	284	7	291
Child Health Plus (CHP)	649	24	673
Elderly Pharmaceutical Insurance Coverage (EPIC)	116	0	116
Qualified Health Plan Administration	49	(1)	48
All Other	<u>202</u>	<u>0</u>	<u>202</u>
Total Disbursements and Transfers	<u>6,207</u>	<u>(11)</u>	<u>6,196</u>
Change in Fund Balance	<u>(16)</u>	<u>0</u>	<u>(16)</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021 THROUGH FY 2024
(millions of dollars)

	FY 2021	FY 2022	FY 2023	FY 2024
	First Quarter	Projected	Projected	Projected
Opening Fund Balance	16	0	0	0
Receipts:				
Taxes	689	647	615	585
Miscellaneous receipts	5,491	5,582	5,674	5,768
Total Receipts	6,180	6,229	6,289	6,353
Disbursements and Transfers:				
Medical Assistance Account	4,149	4,025	4,082	4,133
Hospital Indigent Care	717	717	717	717
HCRA Program Account	291	336	336	336
Child Health Plus	673	804	826	839
Elderly Pharmaceutical Insurance Coverage	116	114	114	114
Qualified Health Plan Administration	48	48	47	48
All Other	202	185	167	166
Total Disbursements and Transfers	6,196	6,229	6,289	6,353
Change in Fund Balance	(16)	0	0	0
Closing Fund Balance	0	0	0	0

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020 and FY 2021
(millions of dollars)

	FY 2020	FY 2021	Annual
	Results	First Quarter	Change
Opening Fund Balance	0	16	16
Receipts:			
Taxes	732	689	(43)
Miscellaneous receipts	5,529	5,491	(38)
Total Receipts	6,261	6,180	(81)
Disbursements and Transfers:			
Medical Assistance Account	3,836	4,149	313
Hospital Indigent Care	917	717	(200)
HCRA Program Account	363	291	(72)
Child Health Plus	747	673	(74)
Elderly Pharmaceutical Insurance Coverage	112	116	4
Qualified Health Plan Administration	41	48	7
All Other	229	202	(27)
Total Disbursements and Transfers	6,245	6,196	(49)
Change in Fund Balance	16	(16)	(32)
Closing Fund Balance	16	0	(16)

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020
(dollars in millions)**

	April Results	May Results	June Results	July Projected	August Results	September Results	October Results	November Results	December Results	January Projected	February Results	March Results	Total
Opening Fund Balance	0	543	283	300	420	137	80	152	223	212	230	216	0
Receipts:													
Taxes	63	59	57	75	64	59	66	58	69	55	45	62	732
Miscellaneous receipts	541	448	436	511	441	473	466	395	456	490	451	421	5,529
Total Receipts	604	507	493	586	505	532	532	453	525	545	496	483	6,261
Disbursements and Transfers:													
Medical Assistance Account	0	600	300	330	551	330	330	330	330	330	347	58	3,836
Hospital Indigent Care	0	123	66	89	59	199	65	0	104	87	62	63	917
HCRA Program Account	33	4	53	15	131	2	24	32	5	31	12	21	363
Child Health Plus	22	21	41	19	23	41	26	3	79	61	38	373	747
Elderly Pharmaceutical Insurance Coverage	5	9	10	10	10	10	10	7	13	10	6	10	112
Qualified Health Plan Administration	0	1	4	1	2	2	4	2	3	3	10	9	41
All Other	1	9	2	2	12	5	1	8	2	3	35	149	229
Total	61	767	476	466	788	589	460	382	536	527	510	683	6,245
Change in Fund Balance	543	(260)	17	120	(283)	(57)	72	71	(11)	18	(14)	(200)	16
Closing Fund Balance	543	283	300	420	137	80	152	223	212	230	216	16	16

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021
(dollars in millions)**

	April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening Fund Balance	16	96	80	491	103	2	10	33	87	115	163	84	16
Receipts:													
Taxes	69	51	72	69	58	56	59	52	64	51	41	47	689
Miscellaneous receipts	481	397	445	307	421	417	466	439	458	449	743	468	5,491
Total Receipts	550	448	517	376	479	473	525	491	522	500	784	515	6,180
Disbursements and Transfers:													
Medical Assistance Account	375	375	0	675	300	300	300	300	300	300	724	200	4,149
Hospital Indigent Care	63	63	31	31	110	60	60	60	60	60	60	59	717
HCRA Program Account	0	0	0	10	110	3	76	8	6	24	4	50	291
Child Health Plus	26	18	60	33	40	80	47	49	110	49	49	112	673
Elderly Pharmaceutical Insurance Coverage	4	7	10	10	11	11	11	12	10	11	8	11	116
Qualified Health Plan Administration	2	0	3	3	4	4	2	4	4	4	10	8	48
All Other	0	1	2	2	5	7	6	4	4	4	8	159	202
Total	470	464	106	764	580	465	502	437	494	452	863	599	6,196
Change in Fund Balance	80	(16)	411	(388)	(101)	8	23	54	28	48	(79)	(84)	(16)
Closing Fund Balance	96	80	491	103	2	10	33	87	115	163	84	0	0

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS
(millions of dollars)**

	FY 2020 Results			FY 2021 First Quarter			FY 2022 Projected			FY 2023 Projected			FY 2024 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	(303)	25	14	(298)	30	15	(279)	27	16	(265)	24	17	(251)	21	18
Receipts:															
Unemployment Taxes	0	2,285	0	0	59,950	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	589	81	1	671	99	1	597	99	1	605	100	1	607	100	1
Federal Receipts	0	17	0	0	50	0	0	50	0	0	50	0	0	50	0
Total Receipts	589	2,383	1	671	60,099	1	597	2,599	1	605	2,600	1	607	2,600	1
Disbursements:															
Local Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
State Operations:															
Personal Service	126	17	0	145	22	0	144	22	0	144	22	0	144	22	0
Non-Personal Service	490	57	0	573	76	0	495	76	0	500	77	0	502	77	0
Unemployment Benefits	0	2,303	0	0	60,000	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	56	2	0	77	6	0	77	6	0	77	6	0	77	6	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	672	2,379	0	795	60,104	0	716	2,604	0	721	2,605	0	723	2,605	0
Other Financing Sources (Uses):															
Transfers from Other Funds	110	1	0	155	3	0	141	3	0	138	3	0	138	3	0
Transfers to Other Funds	(22)	0	0	(12)	(1)	0	(8)	(1)	0	(8)	(1)	0	(8)	(1)	0
	88	1	0	143	2	0	133	2	0	130	2	0	130	2	0
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	5	5	1	19	(3)	1	14	(3)	1	14	(3)	1	14	(3)	1
Closing Fund Balance	(298)	30	15	(279)	27	16	(265)	24	17	(251)	21	18	(237)	18	19

Workforce Impact Summary

General Fund
2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	2,429	2,315	2,253
Corrections and Community Supervision, Department of	28,769	28,297	25,607
Education Department, State	328	305	279
Environmental Conservation, Department of	1,048	1,121	1,233
General Services, Office of	404	416	441
Health, Department of	1,568	1,572	1,933
Information Technology Services, Office of	3,541	3,377	3,418
Labor, Department of	0	0	1
Mental Health, Office of	13,293	13,348	12,988
Motor Vehicles, Department of	164	164	167
Parks, Recreation and Historic Preservation, Office of	1,303	1,209	1,238
People with Developmental Disabilities, Office for	18,640	18,589	18,187
State Police, Division of	5,367	5,387	5,330
Taxation and Finance, Department of	3,750	3,737	3,386
Temporary and Disability Assistance, Office of	1,011	990	1,014
Transportation, Department of	2,692	2,664	2,545
Subtotal - Major Agencies	84,307	83,491	80,020
Minor Agencies			
Addiction Services and Supports, Office of	714	713	722
Adirondack Park Agency	49	50	54
Aging, Office for the	12	12	18
Agriculture and Markets, Department of	387	400	391
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	238	245	245
Civil Service, Department of	207	223	185
Correction, Commission of	30	39	32
Criminal Justice Services, Division of	380	378	398
Economic Development, Department of	138	139	151
Elections, State Board of	69	68	77
Employee Relations, Office of	66	55	66
Executive Chamber	112	118	136
Gaming Commission, New York State	65	58	56
Housing and Community Renewal, Division of	35	30	54
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	147	154	124
Inspector General, Office of the	81	78	92
Judicial Conduct, Commission on	38	42	43
Justice Center for the Protection of People with Special Needs	413	419	415
Labor Management Committees	68	71	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	203	205	248
Military and Naval Affairs, Division of	96	93	104
Prevention of Domestic Violence, Office for	17	22	21
Public Employment Relations Board	30	32	33
Public Ethics, Joint Commission on	51	46	52
State, Department of	212	218	138
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	80	85	90
Welfare Inspector General, Office of	7	6	7
Subtotal - Minor Agencies	4,242	4,300	4,359
Subtotal - Subject to Direct Executive Control	88,549	87,791	84,379
University Systems			
State University of New York	3	3	3
Subtotal - University Systems	3	3	3
Independently Elected Agencies			
Audit and Control, Department of	1,326	1,384	1,336
Law, Department of	1,083	1,050	1,065
Subtotal - Independently Elected Agencies	2,409	2,434	2,401
Grand Total	90,961	90,228	86,783

Workforce Impact Summary

State Operating Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	2,486	2,360	2,297
Corrections and Community Supervision, Department of	28,773	28,301	25,611
Education Department, State	1,279	1,236	1,263
Environmental Conservation, Department of	2,128	2,185	2,322
Financial Services, Department of	1,342	1,329	1,391
General Services, Office of	460	475	454
Health, Department of	3,515	3,562	4,090
Information Technology Services, Office of	3,541	3,377	3,418
Labor, Department of	327	334	508
Mental Health, Office of	13,293	13,348	12,988
Motor Vehicles, Department of	661	662	665
Parks, Recreation and Historic Preservation, Office of	1,553	1,419	1,524
People with Developmental Disabilities, Office for	18,640	18,589	18,187
State Police, Division of	5,704	5,701	5,666
Taxation and Finance, Department of	3,806	3,787	4,085
Temporary and Disability Assistance, Office of	1,011	990	1,014
Transportation, Department of	2,729	2,704	2,591
Workers' Compensation Board	1,044	1,081	1,109
Subtotal - Major Agencies	92,292	91,440	89,183
Minor Agencies	6,521	6,693	7,164
Subtotal - Subject to Direct Executive Control	98,813	98,133	96,347
University Systems			
City University of New York	377	392	381
State University of New York	46,447	47,083	46,834
Subtotal - University Systems	46,824	47,475	47,215
Independently Elected Agencies			
Audit and Control, Department of	1,494	1,555	1,524
Law, Department of	1,551	1,513	1,533
Subtotal - Independently Elected Agencies	3,045	3,068	3,057
Grand Total	148,682	148,676	146,619

Workforce Impact Summary

State Operating Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Addiction Services and Supports, Office of	714	713	722
Adirondack Park Agency	49	50	54
Aging, Office for the	12	12	18
Agriculture and Markets, Department of	422	441	448
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	252	261	261
Civil Service, Department of	211	225	191
Correction, Commission of	30	39	32
Criminal Justice Services, Division of	385	380	403
Deferred Compensation Board	4	4	4
Economic Development, Department of	139	140	153
Elections, State Board of	69	68	77
Employee Relations, Office of	66	55	66
Executive Chamber	112	118	136
Financial Control Board, New York State	10	12	13
Gaming Commission, New York State	397	376	430
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	390	452	509
Housing and Community Renewal, Division of	508	549	655
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	147	154	124
Indigent Legal Services, Office of	31	30	38
Inspector General, Office of the	81	78	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	38	42	43
Justice Center for the Protection of People with Special Needs	425	432	429
Labor Management Committees	68	71	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	203	205	248
Military and Naval Affairs, Division of	96	93	106
Prevention of Domestic Violence, Office for	17	22	21
Public Employment Relations Board	30	32	33
Public Ethics, Joint Commission on	51	46	52
Public Service Department	489	490	503
State, Department of	479	511	560
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	80	85	90
Victim Services, Office of	47	46	54
Welfare Inspector General, Office of	7	6	7
Subtotal - Minor Agencies	6,521	6,693	7,164

Workforce Impact Summary

State Funds
2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	2,552	2,426	2,366
Corrections and Community Supervision, Department of	28,800	28,331	26,620
Education Department, State	1,279	1,300	1,263
Environmental Conservation, Department of	2,717	2,749	2,915
Financial Services, Department of	1,342	1,329	1,391
General Services, Office of	881	878	874
Health, Department of	3,639	3,698	4,222
Information Technology Services, Office of	3,566	3,423	3,448
Labor, Department of	327	334	508
Mental Health, Office of	13,846	13,921	13,671
Motor Vehicles, Department of	2,324	2,977	2,762
Parks, Recreation and Historic Preservation, Office of	1,988	1,924	2,030
People with Developmental Disabilities, Office for	19,024	18,973	18,572
State Police, Division of	5,784	5,785	5,741
Taxation and Finance, Department of	3,806	3,787	4,085
Temporary and Disability Assistance, Office of	1,017	997	1,022
Transportation, Department of	8,336	8,392	8,394
Workers' Compensation Board	1,044	1,081	1,109
Subtotal - Major Agencies	102,272	102,305	100,993
Minor Agencies	6,594	6,750	7,247
Subtotal - Subject to Direct Executive Control	108,866	109,055	108,240
University Systems			
City University of New York	377	392	381
State University Construction Fund	142	141	141
State University of New York	46,447	47,083	46,834
Subtotal - University Systems	46,966	47,616	47,356
Independently Elected Agencies			
Audit and Control, Department of	1,499	1,557	1,524
Law, Department of	1,555	1,516	1,540
Subtotal - Independently Elected Agencies	3,054	3,073	3,064
Grand Total	158,886	159,744	158,660

Workforce Impact Summary

State Funds
2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Addiction Services and Supports, Office of	728	724	737
Adirondack Park Agency	49	50	54
Aging, Office for the	12	12	18
Agriculture and Markets, Department of	435	441	462
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	252	261	261
Civil Service, Department of	211	225	191
Correction, Commission of	30	39	32
Criminal Justice Services, Division of	385	380	403
Deferred Compensation Board	4	4	4
Economic Development, Department of	139	140	153
Elections, State Board of	69	68	77
Employee Relations, Office of	66	55	66
Executive Chamber	112	118	136
Financial Control Board, New York State	10	12	13
Gaming Commission, New York State	397	376	430
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	390	452	509
Housing and Community Renewal, Division of	508	549	655
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	147	154	124
Indigent Legal Services, Office of	31	30	38
Inspector General, Office of the	81	78	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	38	42	43
Justice Center for the Protection of People with Special Needs	425	432	429
Labor Management Committees	68	71	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	203	205	248
Military and Naval Affairs, Division of	142	139	160
Prevention of Domestic Violence, Office for	17	22	21
Public Employment Relations Board	30	32	33
Public Ethics, Joint Commission on	51	46	52
Public Service Department	489	490	503
State, Department of	479	511	560
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	80	85	90
Victim Services, Office of	47	46	54
Welfare Inspector General, Office of	7	6	7
Subtotal - Minor Agencies	6,594	6,750	7,247

Workforce Impact Summary

All Funds

2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	3,008	2,889	2,856
Corrections and Community Supervision, Department of	29,117	28,651	27,556
Education Department, State	2,606	2,680	2,692
Environmental Conservation, Department of	2,996	3,017	3,162
Financial Services, Department of	1,342	1,329	1,391
General Services, Office of	1,803	1,844	1,978
Health, Department of	4,715	4,813	5,679
Information Technology Services, Office of	3,566	3,423	3,448
Labor, Department of	2,838	2,770	2,987
Mental Health, Office of	13,856	13,929	13,692
Motor Vehicles, Department of	2,363	3,025	2,815
Parks, Recreation and Historic Preservation, Office of	2,010	2,035	2,063
People with Developmental Disabilities, Office for	19,037	18,984	18,590
State Police, Division of	5,784	5,785	5,741
Taxation and Finance, Department of	3,806	3,787	4,085
Temporary and Disability Assistance, Office of	1,995	1,922	1,987
Transportation, Department of	8,442	8,487	8,520
Workers' Compensation Board	1,044	1,081	1,109
Subtotal - Major Agencies	110,328	110,451	110,351
Minor Agencies	7,639	7,742	8,499
Subtotal - Subject to Direct Executive Control	117,967	118,193	118,850
University Systems			
City University of New York	13,806	13,797	13,730
State University Construction Fund	142	141	141
State University of New York	46,448	47,085	46,836
Subtotal - University Systems	60,396	61,023	60,707
Independently Elected Agencies			
Audit and Control, Department of	2,610	2,698	2,663
Law, Department of	1,826	1,801	1,839
Subtotal - Independently Elected Agencies	4,436	4,499	4,502
Grand Total	182,799	183,715	184,059

Workforce Impact Summary

All Funds
2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Addiction Services and Supports, Office of	728	724	737
Adirondack Park Agency	49	50	54
Aging, Office for the	89	85	95
Agriculture and Markets, Department of	461	479	552
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	252	261	261
Civil Service, Department of	346	346	362
Correction, Commission of	30	39	32
Criminal Justice Services, Division of	413	405	435
Deferred Compensation Board	4	4	4
Economic Development, Department of	139	140	153
Elections, State Board of	74	76	85
Employee Relations, Office of	75	63	77
Executive Chamber	112	118	136
Financial Control Board, New York State	10	12	13
Gaming Commission, New York State	397	376	430
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	559	579	614
Housing and Community Renewal, Division of	584	622	776
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	159	154	164
Indigent Legal Services, Office of	31	30	38
Inspector General, Office of the	81	78	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	38	42	43
Justice Center for the Protection of People with Special Needs	425	432	429
Labor Management Committees	68	71	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	405	409	495
Military and Naval Affairs, Division of	382	386	405
Prevention of Domestic Violence, Office for	23	27	28
Public Employment Relations Board	30	32	33
Public Ethics, Joint Commission on	51	46	52
Public Service Department	489	490	528
State, Department of	491	524	577
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	87	93	98
Victim Services, Office of	88	88	102
Welfare Inspector General, Office of	7	6	7
Subtotal - Minor Agencies	7,639	7,742	8,499

Workforce Impact Summary

Special Revenue Funds - Other 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	57	45	44
Corrections and Community Supervision, Department of	4	4	4
Education Department, State	951	931	984
Environmental Conservation, Department of	1,080	1,064	1,089
Financial Services, Department of	1,342	1,329	1,391
General Services, Office of	56	59	13
Health, Department of	1,947	1,990	2,157
Labor, Department of	327	334	507
Motor Vehicles, Department of	497	498	498
Parks, Recreation and Historic Preservation, Office of	250	210	286
State Police, Division of	337	314	336
Taxation and Finance, Department of	56	50	699
Transportation, Department of	37	40	46
Workers' Compensation Board	1,044	1,081	1,109
Subtotal - Major Agencies	7,985	7,949	9,163
Minor Agencies			
Agriculture and Markets, Department of	35	41	57
Budget, Division of the	14	16	16
Civil Service, Department of	4	2	6
Criminal Justice Services, Division of	5	2	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	1	1	2
Financial Control Board, New York State	10	12	13
Gaming Commission, New York State	332	318	374
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	390	452	509
Housing and Community Renewal, Division of	473	519	601
Indigent Legal Services, Office of	31	30	38
Interest on Lawyer Account	9	9	9
Justice Center for the Protection of People with Special Needs	12	13	14
Military and Naval Affairs, Division of	0	0	2
Public Service Department	489	490	503
State, Department of	267	293	422
Victim Services, Office of	47	46	54
Subtotal - Minor Agencies	2,279	2,393	2,805
Subtotal - Subject to Direct Executive Control	10,264	10,342	11,968
University Systems			
City University of New York	377	392	381
State University of New York	46,444	47,080	46,831
Subtotal - University Systems	46,821	47,472	47,212
Independently Elected Agencies			
Audit and Control, Department of	168	171	188
Law, Department of	468	463	468
Subtotal - Independently Elected Agencies	636	634	656
Grand Total	57,721	58,448	59,836

Workforce Impact Summary

Special Revenue Funds - Federal 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	369	382	391
Corrections and Community Supervision, Department of	30	32	646
Education Department, State	1,185	1,238	1,285
Environmental Conservation, Department of	274	264	242
Health, Department of	1,037	1,074	1,411
Labor, Department of	2,492	2,423	2,463
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	39	48	53
Parks, Recreation and Historic Preservation, Office of	22	27	33
People with Developmental Disabilities, Office for	13	11	18
Temporary and Disability Assistance, Office of	978	925	965
Transportation, Department of	106	95	126
Subtotal - Major Agencies	6,545	6,519	7,644
Minor Agencies			
Aging, Office for the	77	73	77
Agriculture and Markets, Department of	0	0	35
Criminal Justice Services, Division of	28	25	32
Elections, State Board of	5	8	8
Homeland Security and Emergency Services, Division of	169	127	105
Housing and Community Renewal, Division of	76	73	121
Human Rights, Division of	12	0	40
Medicaid Inspector General, Office of the	202	204	247
Military and Naval Affairs, Division of	177	182	179
Public Service Department	0	0	25
State, Department of	12	13	17
Veterans' Services, Division of	7	8	8
Victim Services, Office of	41	42	48
Subtotal - Minor Agencies	806	755	942
Subtotal - Subject to Direct Executive Control	7,351	7,274	8,586
University Systems			
State University of New York	1	2	2
Subtotal - University Systems	1	2	2
Independently Elected Agencies			
Audit and Control, Department of	5	5	0
Law, Department of	220	204	249
Subtotal - Independently Elected Agencies	225	209	249
Grand Total	7,577	7,485	8,837

Workforce Impact Summary

Capital Projects Funds - Other 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	66	66	69
Corrections and Community Supervision, Department of	27	30	1,009
Education Department, State	0	64	0
Environmental Conservation, Department of	589	564	593
General Services, Office of	421	403	420
Health, Department of	124	136	132
Information Technology Services, Office of	25	46	30
Mental Health, Office of	553	573	683
Motor Vehicles, Department of	1,663	2,315	2,097
Parks, Recreation and Historic Preservation, Office of	435	505	506
People with Developmental Disabilities, Office for	384	384	385
State Police, Division of	80	84	75
Temporary and Disability Assistance, Office of	6	7	8
Transportation, Department of	5,607	5,688	5,803
Subtotal - Major Agencies	9,980	10,865	11,810
Minor Agencies			
Addiction Services and Supports, Office of	14	11	15
Agriculture and Markets, Department of	13	0	14
Military and Naval Affairs, Division of	46	46	54
Subtotal - Minor Agencies	73	57	83
Subtotal - Subject to Direct Executive Control	10,053	10,922	11,893
University Systems			
State University Construction Fund	142	141	141
Subtotal - University Systems	142	141	141
Independently Elected Agencies			
Audit and Control, Department of	5	2	0
Law, Department of	4	3	7
Subtotal - Independently Elected Agencies	9	5	7
Grand Total	10,204	11,068	12,041

Workforce Impact Summary

Capital Projects Funds - Federal 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Environmental Conservation, Department of	5	4	5
Health, Department of	39	41	46
Subtotal - Major Agencies	44	45	51
Minor Agencies			
Military and Naval Affairs, Division of	63	65	64
Subtotal - Minor Agencies	63	65	64
Subtotal - Subject to Direct Executive Control	107	110	115
Grand Total	107	110	115

Workforce Impact Summary

Enterprise Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Corrections and Community Supervision, Department of	6	4	10
General Services, Office of	9	10	62
Parks, Recreation and Historic Preservation, Office of	0	84	0
Subtotal - Major Agencies	15	98	72
Minor Agencies			
Agriculture and Markets, Department of	25	34	52
Military and Naval Affairs, Division of	0	0	2
Subtotal - Minor Agencies	25	34	54
Subtotal - Subject to Direct Executive Control	40	132	126
Grand Total	40	132	126

Workforce Impact Summary

Internal Service Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	87	81	99
Corrections and Community Supervision, Department of	281	284	280
Education Department, State	142	142	144
General Services, Office of	913	956	1,042
Labor, Department of	19	13	16
Mental Health, Office of	10	8	10
Subtotal - Major Agencies	1,452	1,484	1,591
Minor Agencies			
Civil Service, Department of	135	121	171
Employee Relations, Office of	9	8	11
Prevention of Domestic Violence, Office for	6	5	7
Subtotal - Minor Agencies	150	134	189
Subtotal - Subject to Direct Executive Control	1,602	1,618	1,780
Independently Elected Agencies			
Audit and Control, Department of	171	149	161
Law, Department of	51	81	50
Subtotal - Independently Elected Agencies	222	230	211
Grand Total	1,824	1,848	1,991

Workforce Impact Summary

Agency Trust Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
University Systems			
City University of New York	13,429	13,405	13,349
Subtotal - University Systems	13,429	13,405	13,349
Grand Total	13,429	13,405	13,349

Workforce Impact Summary

Pension Trust Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Independently Elected Agencies			
Audit and Control, Department of	935	987	978
Subtotal - Independently Elected Agencies	935	987	978
Grand Total	935	987	978

Workforce Impact Summary

Private Purpose Trust Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Agriculture and Markets, Department of	1	4	3
Subtotal - Minor Agencies	1	4	3
Subtotal - Subject to Direct Executive Control	1	4	3
Grand Total	1	4	3

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	65,377	70,748	56,968	58,748	58,548	58,548
Local Assistance	33,027	35,047	20,821	24,247	24,247	24,247
State Operations	32,350	35,701	36,147	34,501	34,301	34,301
Personal Service	27,105	28,153	30,816	30,039	30,039	30,039
Non-Personal Service	5,245	7,548	5,331	4,462	4,262	4,262
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
State Operations	11,546	10,611	11,560	11,244	11,244	11,244
Personal Service	8,166	8,088	8,316	8,316	8,316	8,316
Non-Personal Service	3,380	2,523	3,244	2,928	2,928	2,928
Economic Development, Department of	71,702	51,869	61,315	61,165	61,165	61,165
Local Assistance	55,760	36,019	45,974	45,824	45,824	45,824
State Operations	15,942	15,850	15,341	15,341	15,341	15,341
Personal Service	13,090	12,934	12,826	12,826	12,826	12,826
Non-Personal Service	2,852	2,916	2,515	2,515	2,515	2,515
Empire State Development Corporation	85,177	105,111	61,054	61,054	61,054	61,054
Local Assistance	85,177	105,111	61,054	61,054	61,054	61,054
Olympic Regional Development Authority	11,143	11,956	11,526	11,526	11,526	11,526
Local Assistance	2,360	2,268	0	0	0	0
State Operations	8,783	9,688	11,526	11,526	11,526	11,526
Personal Service	5,595	5,500	5,338	5,338	5,338	5,338
Non-Personal Service	3,188	4,188	6,188	6,188	6,188	6,188
Functional Total	244,945	250,295	202,423	203,737	203,537	203,537
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,018	4,835	4,819	4,685
State Operations	4,297	4,443	5,018	4,835	4,819	4,685
Personal Service	3,950	4,069	4,528	4,470	4,470	4,370
Non-Personal Service	347	374	490	365	349	315
Environmental Conservation, Department of	105,456	112,491	131,929	130,018	131,060	138,027
Local Assistance	2,431	2,202	1,478	1,247	978	978
State Operations	103,025	110,289	130,451	128,771	130,082	137,049
Personal Service	90,087	94,779	104,969	103,169	104,480	111,447
Non-Personal Service	12,938	15,510	25,482	25,602	25,602	25,602
Parks, Recreation and Historic Preservation, Office of	108,081	108,355	115,938	113,629	110,744	110,744
Local Assistance	2,188	1,399	100	100	100	100
State Operations	105,893	106,956	115,838	113,529	110,644	110,644
Personal Service	99,679	101,312	108,964	106,655	103,770	103,770
Non-Personal Service	6,214	5,644	6,874	6,874	6,874	6,874
Functional Total	217,834	225,289	252,885	248,482	246,623	253,456
TRANSPORTATION						
Motor Vehicles, Department of	10,677	10,562	12,586	12,830	12,830	12,830
Local Assistance	375	0	0	0	0	0
State Operations	10,302	10,562	12,586	12,830	12,830	12,830
Personal Service	8,318	8,478	8,868	9,046	9,046	9,046
Non-Personal Service	1,984	2,084	3,718	3,784	3,784	3,784
Transportation, Department of	595,676	446,884	443,113	441,889	441,889	441,889
Local Assistance	303,461	110,339	109,851	109,851	109,851	109,851
State Operations	292,215	336,545	333,262	332,038	332,038	332,038
Personal Service	153,653	158,175	165,171	159,048	159,048	159,048
Non-Personal Service	138,562	178,370	168,091	172,990	172,990	172,990
Functional Total	606,353	457,446	455,699	454,719	454,719	454,719
HEALTH						
Aging, Office for the	131,371	137,607	143,311	148,323	153,505	158,817
Local Assistance	130,141	135,561	141,306	146,361	151,543	156,855
State Operations	1,230	2,046	2,005	1,962	1,962	1,962
Personal Service	1,125	1,917	1,899	1,856	1,856	1,856
Non-Personal Service	105	129	106	106	106	106
Health, Department of	15,474,913	17,443,252	15,546,845	19,192,466	20,157,872	21,071,799
Medical Assistance	13,837,091	15,540,959	14,408,855	17,724,959	18,706,966	19,626,997
Local Assistance	13,837,091	15,540,959	14,408,855	17,724,959	18,706,966	19,626,997
Essential Plan	76,580	73,970	76,107	75,827	74,280	74,288
State Operations	76,580	73,970	76,107	75,827	74,280	74,288
Personal Service	3,233	3,326	4,138	4,453	4,476	4,413
Non-Personal Service	73,347	70,644	71,969	71,374	69,804	69,875
Medicaid Administration	764,404	737,623	718,932	731,128	716,474	710,362
Local Assistance	503,108	530,424	466,502	452,297	438,613	425,431
State Operations	261,296	207,199	252,430	278,831	277,861	284,931
Personal Service	37,949	34,960	41,451	47,216	50,316	52,848
Non-Personal Service	223,347	172,239	210,979	231,615	227,545	232,083

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Public Health	796,838	1,090,700	342,951	660,552	660,152	660,152
Local Assistance	662,604	448,560	712,063	524,822	524,422	524,422
State Operations	134,234	642,140	(369,112)	135,730	135,730	135,730
Personal Service	93,657	101,668	99,718	103,964	103,964	103,964
Non-Personal Service	40,577	540,472	(468,830)	31,766	31,766	31,766
Medicaid Inspector General, Office of the	18,116	17,983	18,679	18,072	18,072	18,072
State Operations	18,116	17,983	18,679	18,072	18,072	18,072
Personal Service	15,204	15,599	16,231	15,624	15,624	15,624
Non-Personal Service	2,912	2,384	2,448	2,448	2,448	2,448
Functional Total	15,624,400	17,598,842	15,708,835	19,358,861	20,329,449	21,248,688
SOCIAL WELFARE						
Children and Family Services, Office of	1,900,002	1,319,237	2,190,743	1,906,151	1,906,734	1,911,385
OCFS	1,827,888	1,282,022	2,119,885	1,831,129	1,831,712	1,836,363
Local Assistance	1,583,160	1,153,112	1,759,157	1,471,730	1,472,313	1,472,313
State Operations	244,728	128,910	360,728	359,399	359,399	364,050
Personal Service	172,601	83,069	262,335	259,291	259,291	261,926
Non-Personal Service	72,127	45,841	98,393	100,108	100,108	102,124
OCFS - Other	72,114	37,215	70,858	75,022	75,022	75,022
Local Assistance	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	13,881	37,753	7,888	51,678	51,678	51,678
Local Assistance	9,692	30,019	2,983	46,937	46,937	46,937
State Operations	4,189	7,734	4,905	4,741	4,741	4,741
Personal Service	3,049	3,228	4,492	4,328	4,328	4,328
Non-Personal Service	1,140	4,506	413	413	413	413
Human Rights, Division of	9,993	11,048	10,705	10,230	10,230	10,230
State Operations	9,993	11,048	10,705	10,230	10,230	10,230
Personal Service	8,934	9,543	10,121	9,752	9,752	9,752
Non-Personal Service	1,059	1,505	584	478	478	478
Labor, Department of	15,715	25,756	2,599	5,351	5,351	5,351
Local Assistance	15,373	25,480	2,250	5,000	5,000	5,000
State Operations	342	276	349	351	351	351
Personal Service	87	84	94	91	91	91
Non-Personal Service	255	192	255	260	260	260
National and Community Service	560	655	781	781	781	784
Local Assistance	270	349	432	432	432	432
State Operations	290	306	349	349	349	352
Personal Service	288	305	340	340	340	343
Non-Personal Service	2	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,262,856	1,304,567	1,546,589	1,480,253	1,593,053	1,629,853
Welfare Assistance	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
Local Assistance	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
All Other	224,918	239,242	224,877	262,941	375,741	412,541
Local Assistance	101,093	96,214	94,215	128,996	241,796	278,596
State Operations	123,825	143,028	130,662	133,945	133,945	133,945
Personal Service	68,003	64,862	76,017	78,130	78,130	78,130
Non-Personal Service	55,822	78,166	54,645	55,815	55,815	55,815
Functional Total	3,203,007	2,699,016	3,759,305	3,454,444	3,567,827	3,609,281
MENTAL HYGIENE						
Addiction Services and Supports, Office of	426,203	442,943	447,096	466,166	486,769	506,291
OASAS	352,360	369,664	378,221	396,616	416,849	435,704
Local Assistance	324,201	338,842	341,356	360,122	380,048	398,398
State Operations	28,159	30,822	36,865	36,494	36,801	37,306
Personal Service	22,378	23,279	27,997	27,347	27,628	27,903
Non-Personal Service	5,781	7,543	8,868	9,147	9,173	9,403
OASAS - Other	73,843	73,279	68,875	69,550	69,920	70,587
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	52,518	51,954	47,550	48,225	48,595	49,262
Personal Service	37,825	37,249	35,334	35,589	35,914	36,243
Non-Personal Service	14,693	14,705	12,216	12,636	12,681	13,019
Justice Center	40,349	41,577	42,290	41,564	42,105	42,675
Local Assistance	170	170	170	170	170	170
State Operations	40,179	41,407	42,120	41,394	41,935	42,505
Personal Service	31,149	33,333	33,228	32,285	32,598	32,932
Non-Personal Service	9,030	8,074	8,892	9,109	9,337	9,573
Mental Health, Office of	2,626,444	2,712,931	2,862,577	2,951,326	3,046,629	3,149,205
OMH	1,330,551	1,387,457	1,524,922	1,583,308	1,651,346	1,727,777
Local Assistance	1,002,556	1,032,781	1,165,586	1,214,799	1,274,018	1,344,691
State Operations	327,995	354,676	359,336	368,509	377,328	383,086
Personal Service	268,080	286,101	321,384	329,413	336,752	340,610
Non-Personal Service	59,915	68,575	37,952	39,096	40,576	42,476

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
OMH - Other	1,295,893	1,325,474	1,337,655	1,368,018	1,395,283	1,421,428
Local Assistance	278,961	288,507	309,517	313,994	322,295	327,322
State Operations	1,016,932	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
Personal Service	818,694	831,217	806,961	812,060	819,286	828,673
Non-Personal Service	198,238	205,750	221,177	241,964	253,702	265,433
People with Developmental Disabilities, Office for	1,851,002	3,098,646	2,343,072	3,077,363	3,633,843	4,210,821
OPWDD	389,699	408,280	352,843	358,324	369,186	403,408
Local Assistance	389,437	408,279	352,843	358,324	369,186	403,408
State Operations	262	1	0	0	0	0
Personal Service	262	0	0	0	0	0
Non-Personal Service	0	1	0	0	0	0
OPWDD - Other	1,461,303	2,690,366	1,990,229	2,719,039	3,264,657	3,807,413
Local Assistance	131,150	1,333,390	650,896	1,365,520	1,894,217	2,419,764
State Operations	1,330,153	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Personal Service	1,142,342	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Non-Personal Service	187,811	195,647	186,010	190,672	195,584	200,664
Functional Total	4,943,998	6,296,097	5,695,035	6,536,419	7,209,346	7,908,992
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
State Operations	2,541	3,149	2,773	2,735	2,735	2,735
Personal Service	2,276	2,652	2,531	2,488	2,488	2,488
Non-Personal Service	265	497	242	247	247	247
Corrections and Community Supervision, Department of	2,601,761	2,877,434	2,708,264	2,710,528	2,750,272	2,747,272
DOCCS	2,601,761	2,877,434	2,706,264	2,710,528	2,750,272	2,747,272
Local Assistance	3,435	6,336	4,836	4,836	4,836	4,836
State Operations	2,598,326	2,871,098	2,701,428	2,705,692	2,745,436	2,742,436
Personal Service	2,111,029	2,380,498	2,207,737	2,218,040	2,251,459	2,251,459
Non-Personal Service	487,297	490,600	493,691	487,652	493,977	490,977
DOCCS - Other	0	0	2,000	0	0	0
Local Assistance	0	0	2,000	0	0	0
Criminal Justice Services, Division of	194,937	180,758	163,020	162,750	163,438	164,138
Local Assistance	160,967	146,855	127,781	127,781	127,781	127,781
State Operations	33,970	33,903	35,239	34,969	35,657	36,357
Personal Service	28,029	27,666	28,591	28,150	28,664	29,227
Non-Personal Service	5,941	6,237	6,648	6,819	6,993	7,130
Homeland Security and Emergency Services, Division of	5,188	6,552	5,572	5,290	5,396	5,505
Local Assistance	4,188	5,552	4,572	4,290	4,376	4,464
State Operations	1,000	1,000	1,000	1,000	1,020	1,041
Personal Service	1,000	1,000	1,000	1,000	1,020	1,041
Indigent Legal Services, Office of	0	0	47,000	0	0	0
Local Assistance	0	0	47,000	0	0	0
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
State Operations	5,423	5,748	6,266	6,444	6,550	6,550
Personal Service	3,959	4,203	4,878	4,813	4,903	4,903
Non-Personal Service	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
State Operations	0	0	30	30	30	30
Non-Personal Service	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
State Operations	7	6	38	38	38	38
Non-Personal Service	7	6	38	38	38	38
Military and Naval Affairs, Division of	21,330	20,951	21,957	22,593	23,046	23,509
Local Assistance	885	885	820	886	904	923
State Operations	20,445	20,066	21,137	21,707	22,142	22,586
Personal Service	14,335	14,158	14,539	14,615	14,908	15,207
Non-Personal Service	6,110	5,908	6,598	7,092	7,234	7,379
State Police, Division of	640,201	696,253	418,557	741,805	760,083	760,083
State Operations	640,201	696,253	418,557	741,805	760,083	760,083
Personal Service	611,673	661,291	389,494	698,006	715,572	715,572
Non-Personal Service	28,528	34,962	29,063	43,799	44,511	44,511
Statewide Financial System	30,520	31,517	31,161	31,329	31,944	31,944
State Operations	30,520	31,517	31,161	31,329	31,944	31,944
Personal Service	11,294	11,650	12,537	12,333	12,568	12,568
Non-Personal Service	19,226	19,867	18,624	18,996	19,376	19,376
Victim Services, Office of	20	37	0	0	0	0
Local Assistance	20	37	0	0	0	0
Functional Total	3,501,928	3,822,405	3,404,638	3,683,542	3,743,532	3,741,804
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	984,334	950,474	814,459	838,598	843,003	843,241
Local Assistance	984,334	950,474	813,959	838,098	842,503	842,741
State Operations	0	0	500	500	500	500
Personal Service	0	0	500	500	500	500
State University of New York	488,672	482,093	460,244	461,968	460,968	460,968
Local Assistance	487,744	478,769	459,460	461,184	460,184	460,184
State Operations	928	3,324	784	784	784	784
Personal Service	0	52	42	42	42	42
Non-Personal Service	928	3,272	742	742	742	742
Functional Total	2,981,013	2,366,033	3,519,845	2,925,940	2,961,738	2,991,733
EDUCATION						
Arts, Council on the	43,514	48,264	45,251	45,155	45,155	45,155
Local Assistance	39,248	44,013	40,835	40,835	40,835	40,835
State Operations	4,266	4,251	4,416	4,320	4,320	4,320
Personal Service	2,636	2,681	2,594	2,498	2,498	2,498
Non-Personal Service	1,630	1,570	1,822	1,822	1,822	1,822
Education, Department of	25,270,888	25,884,919	26,403,542	26,767,812	27,780,506	28,681,389
School Aid	22,927,234	23,384,248	23,913,656	24,195,508	25,121,791	25,954,418
Local Assistance	22,927,234	23,384,248	23,913,656	24,195,508	25,121,791	25,954,418
School Aid – Other	152,867	137,708	140,000	140,000	140,000	140,000
Local Assistance	152,867	137,708	140,000	140,000	140,000	140,000
Special Education Categorical Programs	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
Local Assistance	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
All Other	900,179	1,032,290	992,665	1,004,238	1,023,264	1,022,940
Local Assistance	843,652	976,583	933,455	946,000	965,026	964,702
State Operations	56,527	55,707	59,210	58,238	58,238	58,238
Personal Service	30,847	32,211	30,306	29,334	29,334	29,334
Non-Personal Service	25,680	23,496	28,904	28,904	28,904	28,904
Functional Total	25,314,402	25,933,183	26,448,793	26,812,967	27,825,661	28,726,544
GENERAL GOVERNMENT						
Budget, Division of the	22,431	23,927	23,749	22,895	22,895	22,895
State Operations	22,431	23,927	23,749	22,895	22,895	22,895
Personal Service	20,534	22,089	23,070	22,216	22,216	22,216
Non-Personal Service	1,897	1,838	679	679	679	679
Civil Service, Department of	15,793	15,182	14,516	14,142	14,150	14,150
Local Assistance	567	78	300	300	300	300
State Operations	15,226	15,104	14,216	13,842	13,850	13,850
Personal Service	14,874	15,103	14,216	13,842	13,850	13,850
Non-Personal Service	352	1	0	0	0	0
Deferred Compensation Board	34	36	58	57	57	57
State Operations	34	36	58	57	57	57
Personal Service	34	35	33	32	32	32
Non-Personal Service	0	1	25	25	25	25
Elections, State Board of	8,348	9,991	10,583	10,054	9,428	9,428
Local Assistance	5	1,352	0	0	0	0
State Operations	8,343	8,639	10,583	10,054	9,428	9,428
Personal Service	5,773	6,039	6,571	6,478	6,316	6,316
Non-Personal Service	2,570	2,600	4,012	3,576	3,112	3,112
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445
State Operations	2,097	5,880	6,542	6,444	6,445	6,445
Personal Service	2,040	5,789	6,346	6,248	6,248	6,248
Non-Personal Service	57	91	196	196	197	197
Gaming Commission, New York State	4,541	4,522	6,527	6,362	6,362	6,362
State Operations	4,541	4,522	6,527	6,362	6,362	6,362
Personal Service	3,177	3,254	4,447	4,282	4,282	4,282
Non-Personal Service	1,364	1,268	2,080	2,080	2,080	2,080
General Services, Office of	135,673	101,989	81,918	83,514	85,066	86,566
State Operations	135,673	101,989	81,918	83,514	85,066	86,566
Personal Service	57,198	36,171	39,835	39,044	39,820	40,612
Non-Personal Service	78,475	65,818	42,083	44,470	45,246	45,954
Information Technology Services, Office of	544,541	540,195	545,892	552,448	563,606	563,606
State Operations	544,541	540,195	545,892	552,448	563,606	563,606
Personal Service	299,018	296,582	301,761	307,876	314,114	314,114
Non-Personal Service	245,523	243,613	244,131	244,572	249,492	249,492
Inspector General, Office of the	6,844	6,381	7,826	8,061	8,210	8,210
State Operations	6,844	6,381	7,826	8,061	8,210	8,210
Personal Service	5,909	5,423	6,970	6,857	6,994	6,994
Non-Personal Service	935	958	856	1,204	1,216	1,216
Labor Management Committees	35,520	36,952	37,325	38,072	38,833	39,610

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	29,699	30,566	32,325	33,072	33,833	34,610
Personal Service	8,618	8,420	5,698	5,487	5,487	5,487
Non-Personal Service	21,081	22,146	26,627	27,585	28,346	29,123
General State Charges	5,821	6,386	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,816	2,984	3,252	3,190	3,190	3,190
Local Assistance	1,260	1,288	1,385	1,412	1,412	1,412
State Operations	1,556	1,696	1,867	1,778	1,778	1,778
Personal Service	1,394	1,550	1,660	1,567	1,567	1,567
Non-Personal Service	162	146	207	211	211	211
Public Employment Relations Board	3,393	3,380	3,719	3,589	3,589	3,589
State Operations	3,393	3,380	3,719	3,589	3,589	3,589
Personal Service	3,175	3,214	3,468	3,338	3,338	3,338
Non-Personal Service	218	166	251	251	251	251
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State Operations	5,223	5,217	5,835	6,010	6,119	6,119
Personal Service	4,516	4,486	4,867	4,827	4,924	4,924
Non-Personal Service	707	731	968	1,183	1,195	1,195
State, Department of	23,622	25,155	13,769	13,407	13,407	13,407
Local Assistance	12,989	14,789	4,317	4,317	4,317	4,317
State Operations	10,633	10,366	9,452	9,090	9,090	9,090
Personal Service	10,301	10,012	9,216	8,854	8,854	8,854
Non-Personal Service	332	354	236	236	236	236
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
State Operations	2,820	2,871	3,150	3,040	3,040	3,040
Personal Service	2,513	2,640	2,936	2,870	2,870	2,870
Non-Personal Service	307	231	214	170	170	170
Taxation and Finance, Department of	304,503	255,432	279,942	270,349	270,349	270,349
Local Assistance	921	885	926	926	926	926
State Operations	303,582	254,547	279,016	269,423	269,423	269,423
Personal Service	262,755	214,530	236,276	227,987	227,987	227,987
Non-Personal Service	40,827	40,017	42,740	41,436	41,436	41,436
Veterans' Services, Division of	16,219	14,442	14,322	14,257	14,257	14,324
Local Assistance	10,499	8,235	7,840	7,840	7,840	7,840
State Operations	5,720	6,207	6,482	6,417	6,417	6,484
Personal Service	5,161	5,546	6,178	6,107	6,107	6,168
Non-Personal Service	559	661	304	310	310	316
Welfare Inspector General, Office of	629	640	731	753	768	768
State Operations	629	640	731	753	768	768
Personal Service	615	630	654	646	659	659
Non-Personal Service	14	10	77	107	109	109
Functional Total	1,135,047	1,055,176	1,059,656	1,056,644	1,069,771	1,072,115
ELECTED OFFICIALS						
Audit and Control, Department of	166,778	169,540	175,710	173,288	173,288	173,288
Local Assistance	32,025	32,025	32,025	32,025	32,025	32,025
State Operations	134,753	137,515	143,685	141,263	141,263	141,263
Personal Service	108,084	110,531	114,603	112,181	112,181	112,181
Non-Personal Service	26,669	26,984	29,082	29,082	29,082	29,082
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
State Operations	12,673	13,239	14,032	13,578	13,578	13,578
Personal Service	9,135	10,876	11,567	11,113	11,113	11,113
Non-Personal Service	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	2,824,285	2,859,520	2,868,084	2,976,998	2,976,997	2,943,093
Local Assistance	3,020	47,313	4,500	66,500	66,500	66,500
State Operations	2,063,276	2,022,751	2,117,400	2,062,600	2,062,600	2,062,600
Personal Service	1,669,267	1,646,896	1,737,200	1,682,400	1,682,400	1,682,400
Non-Personal Service	394,009	375,855	380,200	380,200	380,200	380,200
General State Charges	757,989	789,456	746,184	847,898	847,897	813,993
Law, Department of	107,960	110,067	115,129	111,883	111,883	111,883
State Operations	107,960	110,067	115,129	111,883	111,883	111,883
Personal Service	97,853	101,472	103,347	100,101	100,101	100,101
Non-Personal Service	10,107	8,595	11,782	11,782	11,782	11,782
Legislature	223,009	227,546	248,106	254,999	255,052	255,052
State Operations	223,009	227,546	248,106	254,999	255,052	255,052
Personal Service	175,304	177,365	192,583	198,366	198,419	198,419
Non-Personal Service	47,705	50,181	55,523	56,633	56,633	56,633
Lieutenant Governor, Office of the	530	518	634	614	614	614
State Operations	530	518	634	614	614	614
Personal Service	414	431	543	523	523	523
Non-Personal Service	116	87	91	91	91	91
Functional Total	3,335,235	3,380,430	3,421,695	3,531,360	3,531,412	3,497,508
LOCAL GOVERNMENT ASSISTANCE						

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
<i>Aid and Incentives for Municipalities</i>	721,979	662,056	671,166	704,192	704,192	704,192
Local Assistance	721,732	662,054	671,166	704,192	704,192	704,192
State Operations	247	2	0	0	0	0
Non-Personal Service	247	2	0	0	0	0
<i>County-Wide Shared Services Initiative</i>	0	11,166	35,000	60,000	60,000	60,000
Local Assistance	0	11,166	35,000	60,000	60,000	60,000
<i>Miscellaneous Financial Assistance</i>	12,001	11,998	3,750	3,750	3,750	3,750
Local Assistance	12,001	11,998	3,750	3,750	3,750	3,750
<i>Municipalities with VLT Facilities</i>	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
<i>Small Government Assistance</i>	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	763,082	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
<i>General State Charges</i>	6,373,457	6,655,088	6,313,980	8,156,155	8,701,270	8,865,924
General State Charges	6,373,457	6,655,088	6,313,980	8,156,155	8,701,270	8,865,924
<i>Miscellaneous</i>	(19,359)	(82,880)	(6,795,310)	(6,996,497)	(6,495,997)	(5,795,997)
Local Assistance	(67,147)	(131,464)	(6,657,679)	(6,734,279)	(6,133,779)	(5,633,779)
State Operations	46,414	45,809	(142,051)	(266,638)	(366,638)	(166,638)
Personal Service	68	73	(134,944)	(149,944)	(174,944)	(74,944)
Non-Personal Service	46,346	45,736	(7,107)	(116,694)	(191,694)	(91,694)
General State Charges	1,374	2,775	4,420	4,420	4,420	4,420
Functional Total	6,354,098	6,572,208	(481,330)	1,159,658	2,205,273	3,069,927
TOTAL GENERAL FUND SPENDING	68,225,342	71,370,742	64,186,498	70,223,818	74,145,933	77,575,349

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	65,377	70,748	56,968	58,748	58,548	58,548
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	71,702	51,869	61,315	61,165	61,165	61,165
Empire State Development Corporation	85,177	105,111	61,054	61,054	61,054	61,054
Olympic Regional Development Authority	11,143	11,956	11,526	11,526	11,526	11,526
Functional Total	244,945	250,295	202,423	203,737	203,537	203,537
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,018	4,835	4,819	4,685
Environmental Conservation, Department of	105,456	112,491	131,929	130,018	131,060	138,027
Parks, Recreation and Historic Preservation, Office of	108,081	108,355	115,938	113,629	110,744	110,744
Functional Total	217,834	225,289	252,885	248,482	246,623	253,456
TRANSPORTATION						
Motor Vehicles, Department of	10,677	10,562	12,586	12,830	12,830	12,830
Transportation, Department of	595,676	446,884	443,113	441,889	441,889	441,889
Functional Total	606,353	457,446	455,699	454,719	454,719	454,719
HEALTH						
Aging, Office for the	131,371	137,607	143,311	148,323	153,505	158,817
Health, Department of	15,474,913	17,443,252	15,546,845	19,192,466	20,157,872	21,071,799
Medical Assistance	13,837,091	15,540,959	14,408,855	17,724,959	18,706,966	19,626,997
Essential Plan	76,580	73,970	76,107	75,827	74,280	74,288
Medicaid Administration	764,404	737,623	718,932	731,128	716,474	710,362
Public Health	796,838	1,090,700	342,951	660,552	660,152	660,152
Medicaid Inspector General, Office of the	18,116	17,983	18,679	18,072	18,072	18,072
Functional Total	15,624,400	17,598,842	15,708,835	19,358,861	20,329,449	21,248,688
SOCIAL WELFARE						
Children and Family Services, Office of	1,900,002	1,319,237	2,190,743	1,906,151	1,906,734	1,911,385
OCFS	1,827,888	1,282,022	2,119,885	1,831,129	1,831,712	1,836,363
OCFS - Other	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	13,881	37,753	7,888	51,678	51,678	51,678
Human Rights, Division of	9,993	11,048	10,705	10,230	10,230	10,230
Labor, Department of	15,715	25,756	2,599	5,351	5,351	5,351
National and Community Service	560	655	781	781	781	784
Temporary and Disability Assistance, Office of	1,262,856	1,304,567	1,546,589	1,480,253	1,593,053	1,629,853
Welfare Assistance	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
All Other	224,918	239,242	224,877	262,941	375,741	412,541
Functional Total	3,203,007	2,699,016	3,759,305	3,454,444	3,567,827	3,609,281
MENTAL HYGIENE						
Addiction Services and Supports, Office of	426,203	442,943	447,096	466,166	486,769	506,291
OASAS	352,360	369,664	378,221	396,616	416,849	435,704
OASAS - Other	73,843	73,279	68,875	69,550	69,920	70,587
Justice Center	40,349	41,577	42,290	41,564	42,105	42,675
Mental Health, Office of	2,626,444	2,712,931	2,862,577	2,951,326	3,046,629	3,149,205
OMH	1,330,551	1,387,457	1,524,922	1,583,308	1,651,346	1,727,777
OMH - Other	1,295,893	1,325,474	1,337,655	1,368,018	1,395,283	1,421,428
People with Developmental Disabilities, Office for	1,851,002	3,098,646	2,343,072	3,077,363	3,633,843	4,210,821
OPWDD	389,699	408,280	352,843	358,324	369,186	403,408
OPWDD - Other	1,461,303	2,690,366	1,990,229	2,719,039	3,264,657	3,807,413
Functional Total	4,943,998	6,296,097	5,695,035	6,536,419	7,209,346	7,908,992
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,601,761	2,877,434	2,708,264	2,710,528	2,750,272	2,747,272
DOCCS	2,601,761	2,877,434	2,706,264	2,710,528	2,750,272	2,747,272
DOCCS - Other	0	0	2,000	0	0	0
Criminal Justice Services, Division of	194,937	180,758	163,020	162,750	163,438	164,138
Homeland Security and Emergency Services, Division of	5,188	6,552	5,572	5,290	5,396	5,505
Indigent Legal Services, Office of	0	0	47,000	0	0	0
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	21,330	20,951	21,957	22,593	23,046	23,509
State Police, Division of	640,201	696,253	418,557	741,805	760,083	760,083
Statewide Financial System	30,520	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	20	37	0	0	0	0
Functional Total	3,501,928	3,822,405	3,404,638	3,683,542	3,743,532	3,741,804
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	984,334	950,474	814,459	838,598	843,003	843,241
State University of New York	488,672	482,093	460,244	461,968	460,968	460,968
Functional Total	2,981,013	2,366,033	3,519,845	2,925,940	2,961,738	2,991,733

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
EDUCATION						
Arts, Council on the	43,514	48,264	45,251	45,155	45,155	45,155
Education, Department of	25,270,888	25,884,919	26,403,542	26,767,812	27,780,506	28,681,389
<i>School Aid</i>	22,927,234	23,384,248	23,913,656	24,195,508	25,121,791	25,954,418
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	900,179	1,032,290	992,665	1,004,238	1,023,264	1,022,940
Functional Total	25,314,402	25,933,183	26,448,793	26,812,967	27,825,661	28,726,544
GENERAL GOVERNMENT						
Budget, Division of the	22,431	23,927	23,749	22,895	22,895	22,895
Civil Service, Department of	15,793	15,182	14,516	14,142	14,150	14,150
Deferred Compensation Board	34	36	58	57	57	57
Elections, State Board of	8,348	9,991	10,583	10,054	9,428	9,428
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	4,541	4,522	6,527	6,362	6,362	6,362
General Services, Office of	135,673	101,989	81,918	83,514	85,066	86,566
Information Technology Services, Office of	544,541	540,195	545,892	552,448	563,606	563,606
Inspector General, Office of the	6,844	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	35,520	36,952	37,325	38,072	38,833	39,610
Prevention of Domestic Violence, Office for	2,816	2,984	3,252	3,190	3,190	3,190
Public Employment Relations Board	3,393	3,380	3,719	3,589	3,589	3,589
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State, Department of	23,622	25,155	13,769	13,407	13,407	13,407
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	304,503	255,432	279,942	270,349	270,349	270,349
Veterans' Services, Division of	16,219	14,442	14,322	14,257	14,257	14,324
Welfare Inspector General, Office of	629	640	731	753	768	768
Functional Total	1,135,047	1,055,176	1,059,656	1,056,644	1,069,771	1,072,115
ELECTED OFFICIALS						
Audit and Control, Department of	166,778	169,540	175,710	173,288	173,288	173,288
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	2,824,284	2,859,520	2,868,084	2,976,998	2,976,997	2,943,093
Law, Department of	107,960	110,067	115,129	111,883	111,883	111,883
Legislature	223,009	227,546	248,106	254,999	255,052	255,052
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	3,335,234	3,380,430	3,421,695	3,531,360	3,531,412	3,497,508
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	763,082	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,313,980	8,156,155	8,701,270	8,865,924
Miscellaneous	(19,359)	(82,880)	(6,795,310)	(6,996,497)	(6,495,997)	(5,795,997)
Functional Total	6,354,097	6,572,207	(481,330)	1,159,658	2,205,273	3,069,927
TOTAL GENERAL FUND SPENDING	68,225,340	71,370,741	64,186,498	70,223,818	74,145,933	77,575,349

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,027	35,047	20,821	24,247	24,247	24,247
Economic Development, Department of	55,760	36,019	45,974	45,824	45,824	45,824
Empire State Development Corporation	85,177	105,111	61,054	61,054	61,054	61,054
Olympic Regional Development Authority	2,360	2,268	0	0	0	0
Functional Total	176,324	178,445	127,849	131,125	131,125	131,125
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,431	2,202	1,478	1,247	978	978
Parks, Recreation and Historic Preservation, Office of	2,188	1,399	100	100	100	100
Functional Total	4,619	3,601	1,578	1,347	1,078	1,078
TRANSPORTATION						
Motor Vehicles, Department of	375	0	0	0	0	0
Transportation, Department of	303,461	110,339	109,851	109,851	109,851	109,851
Functional Total	303,836	110,339	109,851	109,851	109,851	109,851
HEALTH						
Aging, Office for the	130,141	135,561	141,306	146,361	151,543	156,855
Health, Department of	15,002,803	16,519,943	15,587,420	18,702,078	19,670,001	20,576,850
<i>Medical Assistance</i>	13,837,091	15,540,959	14,408,855	17,724,959	18,706,966	19,626,997
<i>Medicaid Administration</i>	503,108	530,424	466,502	452,297	438,613	425,431
<i>Public Health</i>	662,604	448,560	712,063	524,822	524,422	524,422
Functional Total	15,132,944	16,655,504	15,728,726	18,848,439	19,821,544	20,733,705
SOCIAL WELFARE						
Children and Family Services, Office of	1,655,274	1,190,327	1,830,015	1,546,752	1,547,335	1,547,335
<i>OCFS</i>	1,583,160	1,153,112	1,759,157	1,471,730	1,472,313	1,472,313
<i>OCFS - Other</i>	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	9,692	30,019	2,983	46,937	46,937	46,937
Labor, Department of	15,373	25,480	2,250	5,000	5,000	5,000
National and Community Service	270	349	432	432	432	432
Temporary and Disability Assistance, Office of	1,139,031	1,161,539	1,415,927	1,346,308	1,459,108	1,495,908
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	101,093	96,214	94,215	128,996	241,796	278,596
Functional Total	2,819,640	2,407,714	3,251,607	2,945,429	3,058,812	3,095,612
MENTAL HYGIENE						
Addiction Services and Supports, Office of	345,526	360,167	362,681	381,447	401,373	419,723
<i>OASAS</i>	324,201	338,842	341,356	360,122	380,048	398,398
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	170	170	170	170	170	170
Mental Health, Office of	1,281,517	1,321,288	1,475,103	1,528,793	1,596,313	1,672,013
<i>OMH</i>	1,002,556	1,032,781	1,165,586	1,214,799	1,274,018	1,344,691
<i>OMH - Other</i>	278,961	288,507	309,517	313,994	322,295	327,322
People with Developmental Disabilities, Office for	520,587	1,741,669	1,003,739	1,723,844	2,263,403	2,823,172
<i>OPWDD</i>	389,437	408,279	352,843	358,324	369,186	403,408
<i>OPWDD - Other</i>	131,150	1,333,390	650,896	1,365,520	1,894,217	2,419,764
Functional Total	2,147,800	3,423,294	2,841,693	3,634,254	4,261,259	4,915,078
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	160,967	146,855	127,781	127,781	127,781	127,781
Homeland Security and Emergency Services, Division of	4,188	5,552	4,572	4,290	4,376	4,464
Indigent Legal Services, Office of	0	0	47,000	0	0	0
Military and Naval Affairs, Division of	885	885	820	886	904	923
Victim Services, Office of	20	37	0	0	0	0
Functional Total	169,495	159,665	187,009	137,793	137,897	138,004
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	984,334	950,474	813,959	838,098	842,503	842,741
State University of New York	487,744	478,769	459,460	461,184	460,184	460,184
Functional Total	2,980,085	2,362,709	3,518,561	2,924,656	2,960,454	2,990,449
EDUCATION						
Arts, Council on the	39,248	44,013	40,835	40,835	40,835	40,835
Education, Department of	25,214,361	25,829,212	26,344,332	26,709,574	27,722,268	28,623,151
<i>School Aid</i>	22,927,234	23,384,248	23,913,656	24,195,508	25,121,791	25,954,418
<i>School Aid - Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	843,652	976,583	933,455	946,000	965,026	964,702
Functional Total	25,253,609	25,873,225	26,385,167	26,750,409	27,763,103	28,663,986
GENERAL GOVERNMENT						
Civil Service, Department of	567	78	300	300	300	300
Elections, State Board of	5	1,352	0	0	0	0
Prevention of Domestic Violence, Office for	1,260	1,288	1,385	1,412	1,412	1,412
State, Department of	12,989	14,789	4,317	4,317	4,317	4,317
Taxation and Finance, Department of	921	885	926	926	926	926

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Veterans' Services, Division of	10,499	8,235	7,840	7,840	7,840	7,840
Functional Total	<u>26,241</u>	<u>26,627</u>	<u>14,768</u>	<u>14,795</u>	<u>14,795</u>	<u>14,795</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,025	32,025	32,025	32,025
Judiciary	3,020	47,313	4,500	66,500	66,500	66,500
Functional Total	<u>35,045</u>	<u>79,338</u>	<u>36,525</u>	<u>98,525</u>	<u>98,525</u>	<u>98,525</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>762,835</u>	<u>714,320</u>	<u>739,019</u>	<u>797,045</u>	<u>797,045</u>	<u>797,045</u>
ALL OTHER CATEGORIES						
Miscellaneous	(67,147)	(131,464)	(6,657,679)	(6,734,279)	(6,133,779)	(5,633,779)
Functional Total	<u>(67,147)</u>	<u>(131,464)</u>	<u>(6,657,679)</u>	<u>(6,734,279)</u>	<u>(6,133,779)</u>	<u>(5,633,779)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u><u>49,745,326</u></u>	<u><u>51,863,317</u></u>	<u><u>46,284,674</u></u>	<u><u>49,659,389</u></u>	<u><u>53,021,709</u></u>	<u><u>56,055,474</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,350	35,701	36,147	34,501	34,301	34,301
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	15,942	15,850	15,341	15,341	15,341	15,341
Olympic Regional Development Authority	8,783	9,688	11,526	11,526	11,526	11,526
Functional Total	68,621	71,850	74,574	72,612	72,412	72,412
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,018	4,835	4,819	4,685
Environmental Conservation, Department of	103,025	110,289	130,451	128,771	130,082	137,049
Parks, Recreation and Historic Preservation, Office of	105,893	106,956	115,838	113,529	110,644	110,644
Functional Total	213,215	221,688	251,307	247,135	245,545	252,378
TRANSPORTATION						
Motor Vehicles, Department of	10,302	10,562	12,586	12,830	12,830	12,830
Transportation, Department of	292,215	336,545	333,262	332,038	332,038	332,038
Functional Total	302,517	347,107	345,848	344,868	344,868	344,868
HEALTH						
Aging, Office for the	1,230	2,046	2,005	1,962	1,962	1,962
Health, Department of	472,110	923,309	(40,575)	490,388	487,871	494,949
<i>Essential Plan</i>	76,580	73,970	76,107	75,827	74,280	74,288
<i>Medicaid Administration</i>	261,296	207,199	252,430	278,831	277,861	284,931
<i>Public Health</i>	134,234	642,140	(369,112)	135,730	135,730	135,730
Medicaid Inspector General, Office of the	18,116	17,983	18,679	18,072	18,072	18,072
Functional Total	491,456	943,338	(19,891)	510,422	507,905	514,983
SOCIAL WELFARE						
Children and Family Services, Office of	244,728	128,910	360,728	359,399	359,399	364,050
<i>OCFS</i>	244,728	128,910	360,728	359,399	359,399	364,050
Housing and Community Renewal, Division of	4,189	7,734	4,905	4,741	4,741	4,741
Human Rights, Division of	9,993	11,048	10,705	10,230	10,230	10,230
Labor, Department of	342	276	349	351	351	351
National and Community Service	290	306	349	349	349	352
Temporary and Disability Assistance, Office of	123,825	143,028	130,662	133,945	133,945	133,945
<i>All Other</i>	123,825	143,028	130,662	133,945	133,945	133,945
Functional Total	383,367	291,302	507,698	509,015	509,015	513,669
MENTAL HYGIENE						
Addiction Services and Supports, Office of	80,677	82,776	84,415	84,719	85,396	86,568
<i>OASAS</i>	28,159	30,822	36,865	36,494	36,801	37,306
<i>OASAS - Other</i>	52,518	51,954	47,550	48,225	48,595	49,262
Justice Center	40,179	41,407	42,120	41,394	41,935	42,505
Mental Health, Office of	1,344,927	1,391,643	1,387,474	1,422,533	1,450,316	1,477,192
<i>OMH</i>	327,995	354,676	359,336	368,509	377,328	383,086
<i>OMH - Other</i>	1,016,932	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
People with Developmental Disabilities, Office for	1,330,415	1,356,977	1,339,333	1,353,519	1,370,440	1,387,649
<i>OPWDD</i>	262	1	0	0	0	0
<i>OPWDD - Other</i>	1,330,153	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,796,198	2,872,803	2,853,342	2,902,165	2,948,087	2,993,914
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,598,326	2,871,098	2,701,428	2,705,692	2,745,436	2,742,436
<i>DOCCS</i>	2,598,326	2,871,098	2,701,428	2,705,692	2,745,436	2,742,436
Criminal Justice Services, Division of	33,970	33,903	35,239	34,969	35,657	36,357
Homeland Security and Emergency Services, Division of	1,000	1,000	1,000	1,000	1,020	1,041
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	20,445	20,066	21,137	21,707	22,142	22,586
State Police, Division of	640,201	696,253	418,557	741,805	760,083	760,083
Statewide Financial System	30,520	31,517	31,161	31,329	31,944	31,944
Functional Total	3,332,433	3,662,740	3,217,629	3,545,749	3,605,635	3,603,800
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	0	500	500	500	500
State University of New York	928	3,324	784	784	784	784
Functional Total	928	3,324	1,284	1,284	1,284	1,284
EDUCATION						
Arts, Council on the	4,266	4,251	4,416	4,320	4,320	4,320
Education, Department of	56,527	55,707	59,210	58,238	58,238	58,238
<i>All Other</i>	56,527	55,707	59,210	58,238	58,238	58,238
Functional Total	60,793	59,958	63,626	62,558	62,558	62,558
GENERAL GOVERNMENT						
Budget, Division of the	22,431	23,927	23,749	22,895	22,895	22,895
Civil Service, Department of	15,226	15,104	14,216	13,842	13,850	13,850
Deferred Compensation Board	34	36	58	57	57	57
Elections, State Board of	8,343	8,639	10,583	10,054	9,428	9,428
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Gaming Commission, New York State	4,541	4,522	6,527	6,362	6,362	6,362
General Services, Office of	135,673	101,989	81,918	83,514	85,066	86,566
Information Technology Services, Office of	544,541	540,195	545,892	552,448	563,606	563,606
Inspector General, Office of the	6,844	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	29,699	30,566	32,325	33,072	33,833	34,610
Prevention of Domestic Violence, Office for	1,556	1,696	1,867	1,778	1,778	1,778
Public Employment Relations Board	3,393	3,380	3,719	3,589	3,589	3,589
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State, Department of	10,633	10,366	9,452	9,090	9,090	9,090
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	303,582	254,547	279,016	269,423	269,423	269,423
Veterans' Services, Division of	5,720	6,207	6,482	6,417	6,417	6,484
Welfare Inspector General, Office of	629	640	731	753	768	768
Functional Total	1,102,985	1,022,163	1,039,888	1,036,849	1,049,976	1,052,320
ELECTED OFFICIALS						
Audit and Control, Department of	134,753	137,515	143,685	141,263	141,263	141,263
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	2,063,276	2,022,751	2,117,400	2,062,600	2,062,600	2,062,600
Law, Department of	107,960	110,067	115,129	111,883	111,883	111,883
Legislature	223,009	227,546	248,106	254,999	255,052	255,052
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	2,542,201	2,511,636	2,638,986	2,584,937	2,584,990	2,584,990
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	46,414	45,809	(142,051)	(266,638)	(366,638)	(166,638)
Functional Total	46,414	45,809	(142,051)	(266,638)	(366,638)	(166,638)
TOTAL STATE OPERATIONS SPENDING	11,341,375	12,053,720	10,832,240	11,550,956	11,565,637	11,830,538

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,105	28,153	30,816	30,039	30,039	30,039
Alcoholic Beverage Control, Division of	8,166	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	13,090	12,934	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	5,595	5,500	5,338	5,338	5,338	5,338
Functional Total	53,956	54,675	57,296	56,519	56,519	56,519
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,069	4,528	4,470	4,470	4,370
Environmental Conservation, Department of	90,087	94,779	104,969	103,169	104,480	111,447
Parks, Recreation and Historic Preservation, Office of	99,679	101,312	108,964	106,655	103,770	103,770
Functional Total	193,716	200,160	218,461	214,294	212,720	219,587
TRANSPORTATION						
Motor Vehicles, Department of	8,318	8,478	8,868	9,046	9,046	9,046
Transportation, Department of	153,653	158,175	165,171	159,048	159,048	159,048
Functional Total	161,971	166,653	174,039	168,094	168,094	168,094
HEALTH						
Aging, Office for the	1,125	1,917	1,899	1,856	1,856	1,856
Health, Department of	134,839	139,954	145,307	155,633	158,756	161,225
<i>Essential Plan</i>	3,233	3,326	4,138	4,453	4,476	4,413
<i>Medicaid Administration</i>	37,949	34,960	41,451	47,216	50,316	52,848
<i>Public Health</i>	93,657	101,668	99,718	103,964	103,964	103,964
Medicaid Inspector General, Office of the	15,204	15,599	16,231	15,624	15,624	15,624
Functional Total	151,168	157,470	163,437	173,113	176,236	178,705
SOCIAL WELFARE						
Children and Family Services, Office of	172,601	83,069	262,335	259,291	259,291	261,926
<i>OCFS</i>	172,601	83,069	262,335	259,291	259,291	261,926
Housing and Community Renewal, Division of	3,049	3,228	4,492	4,328	4,328	4,328
Human Rights, Division of	8,934	9,543	10,121	9,752	9,752	9,752
Labor, Department of	87	84	94	91	91	91
National and Community Service	288	305	340	340	340	343
Temporary and Disability Assistance, Office of	68,003	64,862	76,017	78,130	78,130	78,130
<i>All Other</i>	68,003	64,862	76,017	78,130	78,130	78,130
Functional Total	252,962	161,091	353,399	351,932	351,932	354,570
MENTAL HYGIENE						
Addiction Services and Supports, Office of	60,203	60,528	63,331	62,936	63,542	64,146
<i>OASAS</i>	22,378	23,279	27,997	27,347	27,628	27,903
<i>OASAS - Other</i>	37,825	37,249	35,334	35,589	35,914	36,243
Justice Center	31,149	33,333	33,228	32,285	32,598	32,932
Mental Health, Office of	1,086,774	1,117,318	1,128,345	1,141,473	1,156,038	1,169,283
<i>OMH</i>	268,080	286,101	321,384	329,413	336,752	340,610
<i>OMH - Other</i>	818,694	831,217	806,961	812,060	819,286	828,673
People with Developmental Disabilities, Office for	1,142,604	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD</i>	262	0	0	0	0	0
<i>OPWDD - Other</i>	1,142,342	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,320,730	2,372,508	2,378,227	2,399,541	2,427,034	2,453,346
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,652	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,111,029	2,380,498	2,207,737	2,218,040	2,251,459	2,251,459
<i>DOCCS</i>	2,111,029	2,380,498	2,207,737	2,218,040	2,251,459	2,251,459
Criminal Justice Services, Division of	28,029	27,666	28,591	28,150	28,664	29,227
Homeland Security and Emergency Services, Division of	1,000	1,000	1,000	1,000	1,020	1,041
Judicial Conduct, Commission on	3,959	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,335	14,158	14,539	14,615	14,908	15,207
State Police, Division of	611,673	661,291	389,494	698,006	715,572	715,572
Statewide Financial System	11,294	11,650	12,537	12,333	12,568	12,568
Functional Total	2,783,595	3,103,118	2,661,307	2,979,445	3,031,582	3,032,465
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	0	500	500	500	500
State University of New York	0	52	42	42	42	42
Functional Total	0	52	542	542	542	542
EDUCATION						
Arts, Council on the	2,636	2,681	2,594	2,498	2,498	2,498
Education, Department of	30,847	32,211	30,306	29,334	29,334	29,334
<i>All Other</i>	30,847	32,211	30,306	29,334	29,334	29,334
Functional Total	33,483	34,892	32,900	31,832	31,832	31,832
GENERAL GOVERNMENT						
Budget, Division of the	20,534	22,089	23,070	22,216	22,216	22,216
Civil Service, Department of	14,874	15,103	14,216	13,842	13,850	13,850
Deferred Compensation Board	34	35	33	32	32	32
Elections, State Board of	5,773	6,039	6,571	6,478	6,316	6,316
Employee Relations, Office of	2,040	5,789	6,346	6,248	6,248	6,248
Gaming Commission, New York State	3,177	3,254	4,447	4,282	4,282	4,282
General Services, Office of	57,198	36,171	39,835	39,044	39,820	40,612

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Information Technology Services, Office of	299,018	296,582	301,761	307,876	314,114	314,114
Inspector General, Office of the	5,909	5,423	6,970	6,857	6,994	6,994
Labor Management Committees	8,618	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,550	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,175	3,214	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,516	4,486	4,867	4,827	4,924	4,924
State, Department of	10,301	10,012	9,216	8,854	8,854	8,854
Tax Appeals, Division of	2,513	2,640	2,936	2,870	2,870	2,870
Taxation and Finance, Department of	262,755	214,530	236,276	227,987	227,987	227,987
Veterans' Services, Division of	5,161	5,546	6,178	6,107	6,107	6,168
Welfare Inspector General, Office of	615	630	654	646	659	659
Functional Total	707,605	641,513	674,202	668,558	675,665	676,518
ELECTED OFFICIALS						
Audit and Control, Department of	108,084	110,531	114,603	112,181	112,181	112,181
Executive Chamber	9,135	10,876	11,567	11,113	11,113	11,113
Judiciary	1,669,267	1,646,896	1,737,200	1,682,400	1,682,400	1,682,400
Law, Department of	97,853	101,472	103,347	100,101	100,101	100,101
Legislature	175,304	177,365	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	414	431	543	523	523	523
Functional Total	2,060,057	2,047,571	2,159,843	2,104,684	2,104,737	2,104,737
ALL OTHER CATEGORIES						
Miscellaneous	68	73	(134,944)	(149,944)	(174,944)	(74,944)
Functional Total	68	73	(134,944)	(149,944)	(174,944)	(74,944)
TOTAL PERSONAL SERVICE SPENDING	8,719,311	8,939,776	8,738,709	8,998,610	9,061,949	9,201,971

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,245	7,548	5,331	4,462	4,262	4,262
Alcoholic Beverage Control, Division of	3,380	2,523	3,244	2,928	2,928	2,928
Economic Development, Department of	2,852	2,916	2,515	2,515	2,515	2,515
Olympic Regional Development Authority	3,188	4,188	6,188	6,188	6,188	6,188
Functional Total	14,665	17,175	17,278	16,093	15,893	15,893
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	374	490	365	349	315
Environmental Conservation, Department of	12,938	15,510	25,482	25,602	25,602	25,602
Parks, Recreation and Historic Preservation, Office of	6,214	5,644	6,874	6,874	6,874	6,874
Functional Total	19,499	21,528	32,846	32,841	32,825	32,791
TRANSPORTATION						
Motor Vehicles, Department of	1,984	2,084	3,718	3,784	3,784	3,784
Transportation, Department of	138,562	178,370	168,091	172,990	172,990	172,990
Functional Total	140,546	180,454	171,809	176,774	176,774	176,774
HEALTH						
Aging, Office for the	105	129	106	106	106	106
Health, Department of	337,271	783,355	(185,882)	334,755	329,115	333,724
<i>Essential Plan</i>	73,347	70,644	71,969	71,374	69,804	69,875
<i>Medicaid Administration</i>	223,347	172,239	210,979	231,615	227,545	232,083
<i>Public Health</i>	40,577	540,472	(468,830)	31,766	31,766	31,766
Medicaid Inspector General, Office of the	2,912	2,384	2,448	2,448	2,448	2,448
Functional Total	340,288	785,868	(183,328)	337,309	331,669	336,278
SOCIAL WELFARE						
Children and Family Services, Office of	72,127	45,841	98,393	100,108	100,108	102,124
<i>OCFS</i>	72,127	45,841	98,393	100,108	100,108	102,124
Housing and Community Renewal, Division of	1,140	4,506	413	413	413	413
Human Rights, Division of	1,059	1,505	584	478	478	478
Labor, Department of	255	192	255	260	260	260
National and Community Service	2	1	9	9	9	9
Temporary and Disability Assistance, Office of	55,822	78,166	54,645	55,815	55,815	55,815
<i>All Other</i>	55,822	78,166	54,645	55,815	55,815	55,815
Functional Total	130,405	130,211	154,299	157,083	157,083	159,099
MENTAL HYGIENE						
Addiction Services and Supports, Office of	20,474	22,248	21,084	21,783	21,854	22,422
<i>OASAS</i>	5,781	7,543	8,868	9,147	9,173	9,403
<i>OASAS - Other</i>	14,693	14,705	12,216	12,636	12,681	13,019
Justice Center	9,030	8,074	8,892	9,109	9,337	9,573
Mental Health, Office of	258,153	274,325	259,129	281,060	294,278	307,909
<i>OMH</i>	59,915	68,575	37,952	39,096	40,576	42,476
<i>OMH - Other</i>	198,238	205,750	221,177	241,964	253,702	265,433
People with Developmental Disabilities, Office for	187,811	195,648	186,010	190,672	195,584	200,664
<i>OPWDD</i>	0	1	0	0	0	0
<i>OPWDD - Other</i>	187,811	195,647	186,010	190,672	195,584	200,664
Functional Total	475,468	500,295	475,115	502,624	521,053	540,568
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections, Commission of	265	497	242	247	247	247
Corrections and Community Supervision, Department of	487,297	490,600	493,691	487,652	493,977	490,977
<i>DOCCS</i>	487,297	490,600	493,691	487,652	493,977	490,977
Criminal Justice Services, Division of	5,941	6,237	6,648	6,819	6,993	7,130
Judicial Conduct, Commission on	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	6,110	5,908	6,598	7,092	7,234	7,379
State Police, Division of	28,528	34,962	29,063	43,799	44,511	44,511
Statewide Financial System	19,226	19,867	18,624	18,996	19,376	19,376
Functional Total	548,838	559,622	556,322	566,304	574,053	571,335
HIGHER EDUCATION						
State University of New York	928	3,272	742	742	742	742
Functional Total	928	3,272	742	742	742	742
EDUCATION						
Arts, Council on the	1,630	1,570	1,822	1,822	1,822	1,822
Education, Department of	25,680	23,496	28,904	28,904	28,904	28,904
<i>All Other</i>	25,680	23,496	28,904	28,904	28,904	28,904
Functional Total	27,310	25,066	30,726	30,726	30,726	30,726
GENERAL GOVERNMENT						
Budget, Division of the	1,897	1,838	679	679	679	679
Civil Service, Department of	352	1	0	0	0	0
Deferred Compensation Board	0	1	25	25	25	25
Elections, State Board of	2,570	2,600	4,012	3,576	3,112	3,112
Employee Relations, Office of	57	91	196	196	197	197
Gaming Commission, New York State	1,364	1,268	2,080	2,080	2,080	2,080
General Services, Office of	78,475	65,818	42,083	44,470	45,246	45,954

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Information Technology Services, Office of	245,523	243,613	244,131	244,572	249,492	249,492
Inspector General, Office of the	935	958	856	1,204	1,216	1,216
Labor Management Committees	21,081	22,146	26,627	27,585	28,346	29,123
Prevention of Domestic Violence, Office for	162	146	207	211	211	211
Public Employment Relations Board	218	166	251	251	251	251
Public Ethics, Joint Commission on	707	731	968	1,183	1,195	1,195
State, Department of	332	354	236	236	236	236
Tax Appeals, Division of	307	231	214	170	170	170
Taxation and Finance, Department of	40,827	40,017	42,740	41,436	41,436	41,436
Veterans' Services, Division of	559	661	304	310	310	316
Welfare Inspector General, Office of	14	10	77	107	109	109
Functional Total	395,380	380,650	365,686	368,291	374,311	375,802
ELECTED OFFICIALS						
Audit and Control, Department of	26,669	26,984	29,082	29,082	29,082	29,082
Executive Chamber	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	394,009	375,855	380,200	380,200	380,200	380,200
Law, Department of	10,107	8,595	11,782	11,782	11,782	11,782
Legislature	47,705	50,181	55,523	56,633	56,633	56,633
Lieutenant Governor, Office of the	116	87	91	91	91	91
Functional Total	482,144	464,065	479,143	480,253	480,253	480,253
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	46,346	45,736	(7,107)	(116,694)	(191,694)	(91,694)
Functional Total	46,346	45,736	(7,107)	(116,694)	(191,694)	(91,694)
TOTAL NON-PERSONAL SERVICE SPENDING	2,622,064	3,113,944	2,093,531	2,552,346	2,503,688	2,628,567

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
GENERAL GOVERNMENT						
Labor Management Committees	5,821	6,386	5,000	5,000	5,000	5,000
Functional Total	<u>5,821</u>	<u>6,386</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
ELECTED OFFICIALS						
Judiciary	757,988	789,456	746,184	847,898	847,897	813,993
Functional Total	<u>757,988</u>	<u>789,456</u>	<u>746,184</u>	<u>847,898</u>	<u>847,897</u>	<u>813,993</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,313,980	8,156,155	8,701,270	8,865,924
Miscellaneous	1,374	2,775	4,420	4,420	4,420	4,420
Functional Total	<u>6,374,830</u>	<u>6,657,862</u>	<u>6,318,400</u>	<u>8,160,575</u>	<u>8,705,690</u>	<u>8,870,344</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,138,639</u></u>	<u><u>7,453,704</u></u>	<u><u>7,069,584</u></u>	<u><u>9,013,473</u></u>	<u><u>9,558,587</u></u>	<u><u>9,689,337</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	73,582	76,530	64,944	82,858	82,693	82,693
Local Assistance	33,027	35,047	20,821	24,247	24,247	24,247
State Operations	37,473	40,172	41,963	56,350	56,150	56,150
Personal Service	30,255	30,677	34,150	33,539	33,539	33,539
Non-Personal Service/Indirect Costs	7,218	9,495	7,813	22,811	22,611	22,611
General State Charges	3,082	1,311	2,160	2,261	2,296	2,296
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
State Operations	11,546	10,611	11,560	11,244	11,244	11,244
Personal Service	8,166	8,088	8,316	8,316	8,316	8,316
Non-Personal Service/Indirect Costs	3,380	2,523	3,244	2,928	2,928	2,928
Economic Development, Department of	73,570	53,059	63,293	68,143	68,143	68,143
Local Assistance	55,760	36,019	45,974	50,824	50,824	50,824
State Operations	17,810	17,040	17,291	17,291	17,291	17,291
Personal Service	13,090	12,934	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	4,720	4,106	4,362	4,362	4,362	4,362
General State Charges	0	0	28	28	28	28
Empire State Development Corporation	85,177	105,126	61,054	61,054	61,054	61,054
Local Assistance	85,177	105,126	61,054	61,054	61,054	61,054
Financial Services, Department of	392,863	371,795	388,157	382,991	382,991	382,991
Local Assistance	64,179	55,146	61,581	59,896	59,896	59,896
State Operations	215,165	218,385	213,231	208,844	208,844	208,844
Personal Service	156,895	157,002	157,986	153,893	153,893	153,893
Non-Personal Service/Indirect Costs	58,270	61,383	55,245	54,951	54,951	54,951
General State Charges	113,519	98,264	113,345	114,251	114,251	114,251
Olympic Regional Development Authority	11,175	11,956	11,676	11,676	11,676	11,676
Local Assistance	2,360	2,268	0	0	0	0
State Operations	8,784	9,688	11,676	11,676	11,676	11,676
Personal Service	5,595	5,500	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	3,189	4,188	6,338	6,338	6,338	6,338
General State Charges	31	0	0	0	0	0
Public Service Department	75,433	79,617	84,783	82,239	81,099	81,099
Local Assistance	218	1,341	160	60	60	60
State Operations	52,432	51,122	53,426	51,406	49,740	49,740
Personal Service	42,628	42,684	46,025	44,550	44,577	44,577
Non-Personal Service/Indirect Costs	9,804	8,438	7,401	6,856	5,163	5,163
General State Charges	22,783	27,154	31,197	30,773	31,299	31,299
Functional Total	723,346	708,694	685,467	700,205	698,900	698,900
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,018	4,835	4,819	4,685
State Operations	4,297	4,443	5,018	4,835	4,819	4,685
Personal Service	3,950	4,069	4,528	4,470	4,470	4,370
Non-Personal Service/Indirect Costs	347	374	490	365	349	315
Environmental Conservation, Department of	275,632	266,117	291,887	282,963	277,628	268,582
Local Assistance	2,431	2,202	1,478	1,247	978	978
State Operations	210,767	216,331	240,253	234,243	228,836	228,421
Personal Service	174,576	179,044	200,670	193,895	188,440	188,440
Non-Personal Service/Indirect Costs	36,191	37,287	39,583	40,348	40,396	39,981
General State Charges	62,434	47,584	50,156	47,473	47,814	39,183
Parks, Recreation and Historic Preservation, Office of	186,543	175,540	180,451	177,015	174,130	174,130
Local Assistance	6,502	6,028	3,750	3,750	3,750	3,750
State Operations	176,384	164,815	174,694	171,324	168,439	168,439
Personal Service	133,979	126,957	140,668	137,298	134,413	134,413
Non-Personal Service/Indirect Costs	42,405	37,858	34,026	34,026	34,026	34,026
General State Charges	3,657	4,697	2,007	1,941	1,941	1,941
Functional Total	466,472	446,100	477,356	464,813	456,577	447,397
TRANSPORTATION						
Motor Vehicles, Department of	87,876	82,879	86,529	86,862	86,862	86,862
Local Assistance	375	0	0	0	0	0
State Operations	59,189	60,446	60,566	60,247	60,247	60,247
Personal Service	43,766	44,731	44,207	44,497	44,497	44,497
Non-Personal Service/Indirect Costs	15,423	15,715	16,359	15,750	15,750	15,750
General State Charges	28,312	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	4,240,127	3,835,340	4,136,193	4,149,171	4,351,141	4,540,984
Local Assistance	3,937,827	3,488,416	3,791,513	3,806,099	4,008,034	4,197,842
State Operations	300,619	345,088	342,268	340,949	340,949	340,949
Personal Service	156,307	161,055	168,622	162,396	162,396	162,396
Non-Personal Service/Indirect Costs	144,312	184,033	173,646	178,553	178,553	178,553
General State Charges	1,681	1,836	2,412	2,123	2,158	2,193
Functional Total	4,328,003	3,918,219	4,222,722	4,236,033	4,438,003	4,627,846

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
HEALTH						
Aging, Office for the	131,371	137,607	143,311	148,323	153,505	158,817
Local Assistance	130,141	135,561	141,306	146,361	151,543	156,855
State Operations	1,230	2,046	2,005	1,962	1,962	1,962
Personal Service	1,125	1,917	1,899	1,856	1,856	1,856
Non-Personal Service/Indirect Costs	105	129	106	106	106	106
Health, Department of	22,376,592	24,670,635	22,777,864	26,503,122	27,575,300	28,580,238
Medical Assistance	19,528,919	21,224,760	20,210,125	23,427,997	24,494,963	25,492,818
Local Assistance	19,528,919	21,224,760	20,210,125	23,427,997	24,494,963	25,492,818
Essential Plan	76,580	73,970	76,107	75,827	74,280	74,288
State Operations	76,580	73,970	76,107	75,827	74,280	74,288
Personal Service	3,233	3,326	4,138	4,453	4,476	4,413
Non-Personal Service/Indirect Costs	73,347	70,644	71,969	71,374	69,804	69,875
Medicaid Administration	764,404	738,152	720,473	732,669	718,015	711,903
Local Assistance	503,108	530,424	466,502	452,297	438,613	425,431
State Operations	261,296	207,526	253,390	279,791	278,821	285,891
Personal Service	37,949	35,276	42,361	48,126	51,226	53,758
Non-Personal Service/Indirect Costs	223,347	172,250	211,029	231,665	227,595	232,133
General State Charges	0	202	581	581	581	581
Public Health	2,006,689	2,633,753	1,771,159	2,266,629	2,288,042	2,301,229
Local Assistance	1,582,129	1,669,065	1,791,827	1,778,930	1,799,741	1,812,542
State Operations	389,638	928,668	(59,763)	446,095	446,631	446,914
Personal Service	225,732	240,016	237,696	244,105	244,197	244,344
Non-Personal Service/Indirect Costs	163,906	688,652	(297,459)	201,990	202,434	202,570
General State Charges	34,922	36,020	39,095	41,604	41,670	41,773
Medicaid Inspector General, Office of the	18,116	17,983	18,679	18,072	18,072	18,072
State Operations	18,116	17,983	18,679	18,072	18,072	18,072
Personal Service	15,204	15,599	16,231	15,624	15,624	15,624
Non-Personal Service/Indirect Costs	2,912	2,384	2,448	2,448	2,448	2,448
Functional Total	22,526,079	24,826,225	22,939,854	26,669,517	27,746,877	28,757,127
SOCIAL WELFARE						
Children and Family Services, Office of	1,922,296	1,342,973	2,215,602	1,931,301	1,931,884	1,936,883
OCFS	1,850,182	1,305,758	2,144,744	1,856,279	1,856,862	1,861,861
Local Assistance	1,587,065	1,156,746	1,762,739	1,475,312	1,475,895	1,475,895
State Operations	260,874	146,381	379,755	378,701	378,701	383,700
Personal Service	176,225	86,540	265,818	262,740	262,740	265,408
Non-Personal Service/Indirect Costs	84,649	59,841	113,937	115,961	115,961	118,292
General State Charges	2,243	2,631	2,250	2,266	2,266	2,266
OCFS - Other	72,114	37,215	70,858	75,022	75,022	75,022
Local Assistance	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	83,158	102,272	24,676	69,308	69,308	69,308
Local Assistance	10,178	30,427	3,835	47,789	47,789	47,789
State Operations	51,072	52,701	18,320	17,675	17,675	17,675
Personal Service	41,155	39,887	17,705	17,060	17,060	17,060
Non-Personal Service/Indirect Costs	9,917	12,814	615	615	615	615
General State Charges	21,908	19,144	2,521	3,844	3,844	3,844
Human Rights, Division of	9,993	11,048	10,705	10,230	10,230	10,230
State Operations	9,993	11,048	10,705	10,230	10,230	10,230
Personal Service	8,934	9,543	10,121	9,752	9,752	9,752
Non-Personal Service/Indirect Costs	1,059	1,505	584	478	478	478
Labor, Department of	91,114	93,925	72,143	76,435	76,435	76,435
Local Assistance	15,469	25,517	2,400	5,150	5,150	5,150
State Operations	49,769	47,559	49,256	48,280	48,280	48,280
Personal Service	33,501	32,931	34,864	33,620	33,620	33,620
Non-Personal Service/Indirect Costs	16,268	14,628	14,392	14,660	14,660	14,660
General State Charges	25,876	20,849	20,487	23,005	23,005	23,005
National and Community Service	560	655	781	781	781	784
Local Assistance	270	349	432	432	432	432
State Operations	290	306	349	349	349	352
Personal Service	288	305	340	340	340	343
Non-Personal Service/Indirect Costs	2	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,266,537	1,305,567	1,546,917	1,480,581	1,593,381	1,630,181
Welfare Assistance	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
Local Assistance	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
All Other	228,599	240,242	225,205	263,269	376,069	412,869
Local Assistance	101,093	96,214	94,215	128,996	241,796	278,596
State Operations	127,470	143,963	130,862	134,145	134,145	134,145
Personal Service	68,003	64,862	76,017	78,130	78,130	78,130
Non-Personal Service/Indirect Costs	59,467	79,101	54,845	56,015	56,015	56,015
General State Charges	36	65	128	128	128	128
Functional Total	3,373,658	2,856,440	3,870,824	3,568,636	3,682,019	3,723,821

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
MENTAL HYGIENE						
Addiction Services and Supports, Office of	435,819	452,774	458,367	477,452	498,236	517,954
OASAS	361,970	379,495	389,492	407,902	428,316	447,367
Local Assistance	327,526	342,230	346,156	364,922	384,848	403,198
State Operations	34,444	37,265	43,336	42,980	43,468	44,169
Personal Service	22,378	23,279	27,997	27,347	27,628	27,903
Non-Personal Service/Indirect Costs	12,066	13,986	15,339	15,633	15,840	16,266
OASAS - Other	73,849	73,279	68,875	69,550	69,920	70,587
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	52,524	51,954	47,550	48,225	48,595	49,262
Personal Service	37,825	37,249	35,334	35,589	35,914	36,243
Non-Personal Service/Indirect Costs	14,699	14,705	12,216	12,636	12,681	13,019
Justice Center	43,551	43,601	45,118	44,437	45,015	45,624
Local Assistance	679	649	649	649	649	649
State Operations	41,652	42,363	43,582	42,878	43,433	44,017
Personal Service	32,560	34,257	34,650	33,720	34,046	34,394
Non-Personal Service/Indirect Costs	9,092	8,106	8,932	9,158	9,387	9,623
General State Charges	1,220	589	887	910	933	958
Mental Health, Office of	2,581,803	2,715,731	2,869,097	2,957,846	3,053,149	3,155,725
OMH	1,323,262	1,390,257	1,531,442	1,589,828	1,657,866	1,734,297
Local Assistance	1,002,843	1,032,812	1,166,661	1,215,874	1,275,093	1,345,766
State Operations	331,100	357,445	364,781	373,954	382,773	388,531
Personal Service	268,077	286,101	321,487	329,516	336,855	340,713
Non-Personal Service/Indirect Costs	63,023	71,344	43,294	44,438	45,918	47,818
General State Charges	(10,681)	0	0	0	0	0
OMH - Other	1,258,541	1,325,474	1,337,655	1,368,018	1,395,283	1,421,428
Local Assistance	278,961	288,507	309,517	313,994	322,295	327,322
State Operations	1,013,556	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
Personal Service	818,643	831,217	806,961	812,060	819,286	828,673
Non-Personal Service/Indirect Costs	194,913	205,750	221,177	241,964	253,702	265,433
General State Charges	(33,976)	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
Debt Service	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,839,724	3,098,722	2,343,275	3,077,566	3,634,046	4,211,024
OPWDD	387,604	408,356	353,046	358,527	369,389	403,611
Local Assistance	387,306	408,279	352,843	358,324	369,186	403,408
State Operations	298	77	203	203	203	203
Personal Service	262	0	0	0	0	0
Non-Personal Service/Indirect Costs	36	77	203	203	203	203
OPWDD - Other	1,452,120	2,690,366	1,990,229	2,719,039	3,264,657	3,807,413
Local Assistance	131,150	1,333,390	650,896	1,365,520	1,894,217	2,419,764
State Operations	1,320,970	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Personal Service	1,142,322	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Non-Personal Service/Indirect Costs	178,648	195,647	186,010	190,672	195,584	200,664
Functional Total	4,900,897	6,310,828	5,715,857	6,557,301	7,230,446	7,907,733
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
State Operations	2,541	3,149	2,773	2,735	2,735	2,735
Personal Service	2,276	2,652	2,531	2,488	2,488	2,488
Non-Personal Service/Indirect Costs	265	497	242	247	247	247
Corrections and Community Supervision, Department of	2,602,994	2,878,884	2,711,216	2,713,480	2,753,249	2,750,249
DOCCS	2,602,994	2,878,884	2,709,216	2,713,480	2,753,249	2,750,249
Local Assistance	3,435	6,336	4,836	4,836	4,836	4,836
State Operations	2,599,368	2,872,407	2,704,274	2,708,538	2,748,307	2,745,307
Personal Service	2,111,244	2,380,718	2,207,956	2,218,259	2,251,682	2,251,682
Non-Personal Service/Indirect Costs	488,124	491,689	496,318	490,279	496,625	493,625
General State Charges	191	141	106	106	106	106
DOCCS - Other	0	0	2,000	0	0	0
Local Assistance	0	0	2,000	0	0	0
Criminal Justice Services, Division of	217,569	210,316	240,509	240,330	201,075	201,841
Local Assistance	181,941	174,934	202,171	202,171	162,171	162,171
State Operations	35,628	35,382	38,338	38,159	38,904	39,670
Personal Service	28,281	27,813	28,994	28,550	29,064	29,635
Non-Personal Service/Indirect Costs	7,347	7,569	9,344	9,609	9,840	10,035
Homeland Security and Emergency Services, Division of	86,408	75,316	98,148	144,342	147,268	148,216
Local Assistance	49,327	33,693	57,142	100,839	102,925	103,013
State Operations	36,318	40,957	40,171	42,598	43,438	44,298
Personal Service	20,611	28,927	30,314	32,215	32,774	33,433
Non-Personal Service/Indirect Costs	15,707	12,030	9,857	10,383	10,664	10,865
General State Charges	763	666	835	905	905	905
Indigent Legal Services, Office of	88,453	90,265	213,432	226,614	276,785	290,707

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	83,765	85,503	207,000	220,000	270,000	283,762
State Operations	2,837	3,117	4,276	4,380	4,468	4,543
Personal Service	2,430	2,620	3,440	3,524	3,595	3,667
Non-Personal Service/Indirect Costs	407	497	836	856	873	876
General State Charges	1,851	1,645	2,156	2,234	2,317	2,402
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
State Operations	5,423	5,748	6,266	6,444	6,550	6,550
Personal Service	3,959	4,203	4,878	4,813	4,903	4,903
Non-Personal Service/Indirect Costs	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
State Operations	0	0	30	30	30	30
Non-Personal Service/Indirect Costs	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
State Operations	7	6	38	38	38	38
Non-Personal Service/Indirect Costs	7	6	38	38	38	38
Military and Naval Affairs, Division of	24,968	26,112	25,698	26,420	26,878	27,415
Local Assistance	885	885	820	886	904	923
State Operations	23,869	25,227	24,869	25,524	25,964	26,482
Personal Service	14,432	14,158	14,700	14,776	15,073	15,376
Non-Personal Service/Indirect Costs	9,437	11,069	10,169	10,748	10,891	11,106
General State Charges	214	0	9	10	10	10
State Police, Division of	763,179	800,259	529,380	848,298	867,950	867,950
Local Assistance	0	15	0	0	0	0
State Operations	717,416	773,899	500,427	818,975	838,427	838,427
Personal Service	657,453	709,801	439,626	748,376	766,992	766,992
Non-Personal Service/Indirect Costs	59,963	64,098	60,801	70,599	71,435	71,435
General State Charges	45,763	26,345	28,953	29,325	29,523	29,523
Statewide Financial System	30,520	31,517	31,161	31,329	31,944	31,944
State Operations	30,520	31,517	31,161	31,329	31,944	31,944
Personal Service	11,294	11,650	12,537	12,333	12,568	12,568
Non-Personal Service/Indirect Costs	19,226	19,867	18,624	18,996	19,376	19,376
Victim Services, Office of	30,267	30,913	33,462	34,111	34,111	34,111
Local Assistance	24,865	25,496	27,744	28,398	28,398	28,398
State Operations	3,508	3,757	4,068	4,030	4,030	4,030
Personal Service	2,958	3,119	3,323	3,272	3,272	3,272
Non-Personal Service/Indirect Costs	550	638	745	758	758	758
General State Charges	1,894	1,660	1,650	1,683	1,683	1,683
Functional Total	3,852,329	4,152,485	3,892,113	4,274,171	4,348,613	4,361,786
HIGHER EDUCATION						
City University of New York	1,603,808	1,035,366	2,377,798	1,628,867	1,661,260	1,691,017
Local Assistance	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
State Operations	95,672	101,696	132,506	3,493	3,493	3,493
Personal Service	47,274	48,676	84,145	3,493	3,493	3,493
Non-Personal Service/Indirect Costs	48,398	53,020	48,361	0	0	0
General State Charges	129	204	150	0	0	0
Higher Education - Miscellaneous	540	609	441	441	441	441
State Operations	340	428	291	291	291	291
Personal Service	232	283	198	198	198	198
Non-Personal Service/Indirect Costs	108	145	93	93	93	93
General State Charges	200	181	150	150	150	150
Higher Education Services Corporation, New York State	1,033,360	986,171	861,253	885,392	889,797	890,035
Local Assistance	984,334	950,474	813,959	838,098	842,503	842,741
State Operations	39,219	29,082	38,274	38,274	38,274	38,274
Personal Service	12,337	10,530	12,916	12,916	12,916	12,916
Non-Personal Service/Indirect Costs	26,882	18,552	25,358	25,358	25,358	25,358
General State Charges	9,807	6,615	9,020	9,020	9,020	9,020
State University of New York	7,011,073	7,244,706	7,326,382	7,385,803	7,507,475	7,579,903
Local Assistance	487,744	478,769	459,460	461,184	460,184	460,184
State Operations	6,000,806	6,324,242	6,374,870	6,335,261	6,430,603	6,509,815
Personal Service	3,952,902	4,079,009	4,278,852	4,212,970	4,281,054	4,332,630
Non-Personal Service/Indirect Costs	2,047,904	2,245,233	2,096,018	2,122,291	2,149,549	2,177,185
General State Charges	522,523	441,695	492,052	589,358	616,688	609,904
Functional Total	9,648,781	9,266,852	10,565,874	9,900,503	10,058,973	10,161,396
EDUCATION						
Arts, Council on the	43,514	48,264	45,349	45,253	45,253	45,253
Local Assistance	39,248	44,013	40,933	40,933	40,933	40,933
State Operations	4,266	4,251	4,416	4,320	4,320	4,320
Personal Service	2,636	2,681	2,594	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,630	1,570	1,822	1,822	1,822	1,822
Education, Department of	31,150,849	32,048,137	31,476,986	32,009,271	32,999,538	33,917,349
School Aid	26,250,357	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
Local Assistance	26,250,356	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	1	0	0	0	0	0
Non-Personal Service/Indirect Costs	1	0	0	0	0	0
School Aid – Other	152,867	137,708	140,000	140,000	140,000	140,000
Local Assistance	152,867	137,708	140,000	140,000	140,000	140,000
STAR Property Tax Relief	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Local Assistance	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Special Education Categorical Programs	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
Local Assistance	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
All Other	1,033,905	1,166,025	1,140,193	1,148,640	1,168,311	1,167,987
Local Assistance	852,272	987,856	946,488	959,033	978,059	977,735
State Operations	138,419	140,930	152,247	149,026	148,983	148,983
Personal Service	88,514	90,098	94,081	90,726	90,726	90,726
Non-Personal Service/Indirect Costs	49,905	50,832	58,166	58,300	58,257	58,257
General State Charges	43,214	37,239	41,458	40,581	41,269	41,269
Functional Total	31,194,363	32,096,401	31,522,335	32,054,524	33,044,791	33,962,602
GENERAL GOVERNMENT						
Budget, Division of the	26,125	28,955	30,603	29,596	29,596	29,596
State Operations	25,218	27,999	28,886	27,939	27,939	27,939
Personal Service	21,921	23,615	25,511	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	3,297	4,384	3,375	3,372	3,372	3,372
General State Charges	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	16,128	15,490	15,148	15,190	15,211	15,211
Local Assistance	567	78	300	300	300	300
State Operations	15,422	15,294	14,604	14,649	14,666	14,666
Personal Service	15,062	15,287	14,592	14,207	14,215	14,215
Non-Personal Service/Indirect Costs	360	7	12	442	451	451
General State Charges	139	118	244	241	245	245
Deferred Compensation Board	776	733	896	900	904	904
State Operations	474	473	649	648	648	648
Personal Service	424	441	414	413	413	413
Non-Personal Service/Indirect Costs	50	32	235	235	235	235
General State Charges	302	260	247	252	256	256
Elections, State Board of	8,621	10,261	10,583	10,054	9,428	9,428
Local Assistance	5	1,352	0	0	0	0
State Operations	8,616	8,909	10,583	10,054	9,428	9,428
Personal Service	5,773	6,039	6,571	6,478	6,316	6,316
Non-Personal Service/Indirect Costs	2,843	2,870	4,012	3,576	3,112	3,112
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445
State Operations	2,097	5,880	6,542	6,444	6,445	6,445
Personal Service	2,040	5,789	6,346	6,248	6,248	6,248
Non-Personal Service/Indirect Costs	57	91	196	196	197	197
Gaming Commission, New York State	159,059	165,136	255,774	176,948	187,295	201,054
Local Assistance	69,013	97,809	169,324	92,915	103,262	117,021
State Operations	67,292	50,264	67,985	66,458	66,458	66,458
Personal Service	35,418	34,014	40,178	38,687	38,687	38,687
Non-Personal Service/Indirect Costs	31,874	16,250	27,807	27,771	27,771	27,771
General State Charges	22,754	17,063	18,465	17,575	17,575	17,575
General Services, Office of	142,000	117,857	86,647	88,249	89,876	91,376
State Operations	141,462	112,884	86,064	87,674	89,291	90,791
Personal Service	61,158	41,123	40,732	39,915	40,691	41,483
Non-Personal Service/Indirect Costs	80,304	71,761	45,332	47,759	48,600	49,308
General State Charges	538	4,973	583	575	585	585
Information Technology Services, Office of	544,541	540,195	545,892	552,448	563,606	563,606
State Operations	544,541	540,195	545,892	552,448	563,606	563,606
Personal Service	299,018	296,582	301,761	307,876	314,114	314,114
Non-Personal Service/Indirect Costs	245,523	243,613	244,131	244,572	249,492	249,492
Inspector General, Office of the	6,844	6,381	7,826	8,061	8,210	8,210
State Operations	6,844	6,381	7,826	8,061	8,210	8,210
Personal Service	5,909	5,423	6,970	6,857	6,994	6,994
Non-Personal Service/Indirect Costs	935	958	856	1,204	1,216	1,216
Labor Management Committees	35,520	37,107	37,631	38,378	39,139	39,916
State Operations	29,699	30,721	32,631	33,378	34,139	34,916
Personal Service	8,618	8,420	5,698	5,487	5,487	5,487
Non-Personal Service/Indirect Costs	21,081	22,301	26,933	27,891	28,652	29,429
General State Charges	5,821	6,386	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,816	2,984	3,257	3,195	3,195	3,195
Local Assistance	1,260	1,288	1,385	1,412	1,412	1,412
State Operations	1,556	1,696	1,872	1,783	1,783	1,783
Personal Service	1,394	1,550	1,660	1,567	1,567	1,567
Non-Personal Service/Indirect Costs	162	146	212	216	216	216
Public Employment Relations Board	3,430	3,401	3,764	3,634	3,634	3,634
State Operations	3,430	3,401	3,764	3,634	3,634	3,634
Personal Service	3,175	3,214	3,468	3,338	3,338	3,338

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Non-Personal Service/Indirect Costs	255	187	296	296	296	296
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State Operations	5,223	5,217	5,835	6,010	6,119	6,119
Personal Service	4,516	4,486	4,867	4,827	4,924	4,924
Non-Personal Service/Indirect Costs	707	731	968	1,183	1,195	1,195
State, Department of	71,381	66,893	62,723	61,889	61,906	61,906
Local Assistance	13,928	14,789	4,317	4,317	4,317	4,317
State Operations	42,005	42,464	43,618	42,506	42,506	42,506
Personal Service	28,629	28,759	30,346	29,258	29,258	29,258
Non-Personal Service/Indirect Costs	13,376	13,705	13,272	13,248	13,248	13,248
General State Charges	15,448	9,640	14,788	15,066	15,083	15,083
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
State Operations	2,820	2,871	3,150	3,040	3,040	3,040
Personal Service	2,513	2,640	2,936	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	307	231	214	170	170	170
Taxation and Finance, Department of	320,486	352,151	382,754	370,527	369,087	369,687
Local Assistance	2,435	3,302	3,491	3,491	3,491	3,491
State Operations	312,384	316,020	356,696	345,439	344,278	344,810
Personal Service	269,428	265,994	282,555	272,834	272,386	272,493
Non-Personal Service/Indirect Costs	42,956	50,026	74,141	72,605	71,892	72,317
General State Charges	5,667	32,829	22,567	21,597	21,318	21,386
Veterans' Services, Division of	16,219	14,442	14,322	14,257	14,257	14,324
Local Assistance	10,499	8,235	7,840	7,840	7,840	7,840
State Operations	5,720	6,207	6,482	6,417	6,417	6,484
Personal Service	5,161	5,546	6,178	6,107	6,107	6,168
Non-Personal Service/Indirect Costs	559	661	304	310	310	316
Welfare Inspector General, Office of	629	640	731	753	768	768
State Operations	629	640	731	753	768	768
Personal Service	615	630	654	646	659	659
Non-Personal Service/Indirect Costs	14	10	77	107	109	109
Workers' Compensation Board	198,486	193,375	205,865	196,439	196,439	196,439
State Operations	141,918	139,495	148,002	143,219	143,219	143,219
Personal Service	82,890	84,865	89,608	84,892	84,892	84,892
Non-Personal Service/Indirect Costs	59,028	54,630	58,394	58,327	58,327	58,327
General State Charges	56,568	53,880	57,863	53,220	53,220	53,220
Functional Total	1,563,201	1,569,969	1,679,943	1,586,012	1,608,155	1,624,858
ELECTED OFFICIALS						
Audit and Control, Department of	182,562	185,742	198,223	195,514	195,514	195,514
Local Assistance	32,025	32,025	32,025	32,025	32,025	32,025
State Operations	148,498	152,149	164,001	161,292	161,292	161,292
Personal Service	118,729	121,837	130,597	127,888	127,888	127,888
Non-Personal Service/Indirect Costs	29,769	30,312	33,404	33,404	33,404	33,404
General State Charges	2,039	1,568	2,197	2,197	2,197	2,197
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
State Operations	12,673	13,239	14,032	13,578	13,578	13,578
Personal Service	9,135	10,876	11,567	11,113	11,113	11,113
Non-Personal Service/Indirect Costs	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	3,057,041	3,123,386	3,132,284	3,238,998	3,239,297	3,205,393
Local Assistance	101,561	166,113	117,500	179,500	179,500	179,500
State Operations	2,168,278	2,136,879	2,234,400	2,177,400	2,177,700	2,177,700
Personal Service	1,731,580	1,713,277	1,806,000	1,749,000	1,749,300	1,749,300
Non-Personal Service/Indirect Costs	436,698	423,602	428,400	428,400	428,400	428,400
General State Charges	787,202	820,394	780,384	882,098	882,097	848,193
Law, Department of	213,712	201,835	212,235	206,834	206,834	206,834
State Operations	187,295	185,013	191,186	186,591	186,591	186,591
Personal Service	134,904	136,064	137,554	132,958	132,958	132,958
Non-Personal Service/Indirect Costs	52,391	48,949	53,632	53,633	53,633	53,633
General State Charges	26,417	16,822	21,049	20,243	20,243	20,243
Legislature	223,573	228,725	249,056	255,949	256,002	256,002
State Operations	223,573	228,725	249,056	255,949	256,002	256,002
Personal Service	175,304	177,365	192,583	198,366	198,419	198,419
Non-Personal Service/Indirect Costs	48,269	51,360	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	530	518	634	614	614	614
State Operations	530	518	634	614	614	614
Personal Service	414	431	543	523	523	523
Non-Personal Service/Indirect Costs	116	87	91	91	91	91
Functional Total	3,690,091	3,753,445	3,806,464	3,911,487	3,911,839	3,877,935
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
Local Assistance	721,732	662,054	671,166	704,192	704,192	704,192
State Operations	247	2	0	0	0	0
Non-Personal Service/Indirect Costs	247	2	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
County-Wide Shared Services Initiative	0	11,166	35,000	60,000	60,000	60,000
Local Assistance	0	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Local Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	<u>763,082</u>	<u>714,322</u>	<u>739,019</u>	<u>797,045</u>	<u>797,045</u>	<u>797,045</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,457	6,655,088	6,313,980	8,156,155	8,701,270	8,865,924
General State Charges	6,373,457	6,655,088	6,313,980	8,156,155	8,701,270	8,865,924
Long-Term Debt Service	6,736,264	4,952,363	10,408,057	7,023,463	7,441,922	7,656,850
State Operations	37,699	36,271	43,657	43,072	43,072	43,072
Non-Personal Service/Indirect Costs	37,699	36,271	43,657	43,072	43,072	43,072
Debt Service	6,698,565	4,916,092	10,364,400	6,980,391	7,398,850	7,613,778
Miscellaneous	(3,289)	(67,928)	(8,688,497)	(8,793,295)	(9,092,787)	(9,092,787)
Local Assistance	(56,188)	(120,953)	(7,741,481)	(7,776,279)	(7,825,779)	(7,825,779)
State Operations	49,930	48,975	(952,805)	(1,022,805)	(1,272,805)	(1,272,805)
Personal Service	2,132	2,088	(532,595)	(547,595)	(647,595)	(647,595)
Non-Personal Service/Indirect Costs	47,798	46,887	(420,210)	(475,210)	(625,210)	(625,210)
General State Charges	2,969	4,050	5,789	5,789	5,797	5,797
Functional Total	<u>13,106,432</u>	<u>11,539,523</u>	<u>8,033,540</u>	<u>6,386,323</u>	<u>7,050,405</u>	<u>7,429,987</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u>100,136,734</u>	<u>102,159,503</u>	<u>98,151,368</u>	<u>101,106,570</u>	<u>105,072,643</u>	<u>108,378,433</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	73,582	76,530	64,944	82,858	82,693	82,693
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	73,570	53,059	63,293	68,143	68,143	68,143
Empire State Development Corporation	85,177	105,126	61,054	61,054	61,054	61,054
Financial Services, Department of	392,863	371,795	388,157	382,991	382,991	382,991
Olympic Regional Development Authority	11,175	11,956	11,676	11,676	11,676	11,676
Public Service Department	75,433	79,617	84,783	82,239	81,099	81,099
Functional Total	723,346	708,694	685,467	700,205	698,900	698,900
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,018	4,835	4,819	4,685
Environmental Conservation, Department of	275,632	266,117	291,887	282,963	277,628	268,582
Parks, Recreation and Historic Preservation, Office of	186,543	175,540	180,451	177,015	174,130	174,130
Functional Total	466,472	446,100	477,356	464,813	456,577	447,397
TRANSPORTATION						
Motor Vehicles, Department of	87,876	82,879	86,529	86,862	86,862	86,862
Transportation, Department of	4,240,127	3,835,340	4,136,193	4,149,171	4,351,141	4,540,984
Functional Total	4,328,003	3,918,219	4,222,722	4,236,033	4,438,003	4,627,846
HEALTH						
Aging, Office for the	131,371	137,607	143,311	148,323	153,505	158,817
Health, Department of	22,376,592	24,670,635	22,777,864	26,503,122	27,575,300	28,580,238
<i>Medical Assistance</i>	19,528,919	21,224,760	20,210,125	23,427,997	24,494,963	25,492,818
<i>Essential Plan</i>	76,580	73,970	76,107	75,827	74,280	74,288
<i>Medicaid Administration</i>	764,404	738,152	720,473	732,669	718,015	711,903
<i>Public Health</i>	2,006,689	2,633,753	1,771,159	2,266,629	2,288,042	2,301,229
Medicaid Inspector General, Office of the	18,116	17,983	18,679	18,072	18,072	18,072
Functional Total	22,526,079	24,826,225	22,939,854	26,669,517	27,746,877	28,757,127
SOCIAL WELFARE						
Children and Family Services, Office of	1,922,296	1,342,973	2,215,602	1,931,301	1,931,884	1,936,883
<i>OCFS</i>	1,850,182	1,305,758	2,144,744	1,856,279	1,856,862	1,861,861
<i>OCFS - Other</i>	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	83,158	102,272	24,676	69,308	69,308	69,308
Human Rights, Division of	9,993	11,048	10,705	10,230	10,230	10,230
Labor, Department of	91,114	93,925	72,143	76,435	76,435	76,435
National and Community Service	560	655	781	781	781	784
Temporary and Disability Assistance, Office of	1,266,537	1,305,567	1,546,917	1,480,581	1,593,381	1,630,181
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	228,599	240,242	225,205	263,269	376,069	412,869
Functional Total	3,373,658	2,856,440	3,870,824	3,568,636	3,682,019	3,723,821
MENTAL HYGIENE						
Addiction Services and Supports, Office of	435,819	452,774	458,367	477,452	498,236	517,954
<i>OASAS</i>	361,970	379,495	389,492	407,902	428,316	447,367
<i>OASAS - Other</i>	73,849	73,279	68,875	69,550	69,920	70,587
Justice Center	43,551	43,601	45,118	44,437	45,015	45,624
Mental Health, Office of	2,581,803	2,715,731	2,869,097	2,957,846	3,053,149	3,155,725
<i>OMH</i>	1,323,262	1,390,257	1,531,442	1,589,828	1,657,866	1,734,297
<i>OMH - Other</i>	1,258,541	1,325,474	1,337,655	1,368,018	1,395,283	1,421,428
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,839,724	3,098,722	2,343,275	3,077,566	3,634,046	4,211,024
<i>OPWDD</i>	387,604	408,356	353,046	358,527	369,389	403,611
<i>OPWDD - Other</i>	1,452,120	2,690,366	1,990,229	2,719,039	3,264,657	3,807,413
Functional Total	4,900,897	6,310,828	5,715,857	6,557,301	7,230,446	7,907,733
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,602,994	2,878,884	2,711,216	2,713,480	2,753,249	2,750,249
<i>DOCCS</i>	2,602,994	2,878,884	2,709,216	2,713,480	2,753,249	2,750,249
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	217,569	210,316	240,509	240,330	201,075	201,841
Homeland Security and Emergency Services, Division of	86,408	75,316	98,148	144,342	147,268	148,216
Indigent Legal Services, Office of	88,453	90,265	213,432	226,614	276,785	290,707
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	24,968	26,112	25,698	26,420	26,878	27,415
State Police, Division of	763,179	800,259	529,380	848,298	867,950	867,950
Statewide Financial System	30,520	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	30,267	30,913	33,462	34,111	34,111	34,111
Functional Total	3,852,329	4,152,485	3,892,113	4,274,171	4,348,613	4,361,786
HIGHER EDUCATION						
City University of New York	1,603,808	1,035,366	2,377,798	1,628,867	1,661,260	1,691,017
Higher Education - Miscellaneous	540	609	441	441	441	441
Higher Education Services Corporation, New York State	1,033,360	986,171	861,253	885,392	889,797	890,035
State University of New York	7,011,074	7,244,705	7,326,382	7,385,803	7,507,475	7,579,903
Functional Total	9,648,782	9,266,851	10,565,874	9,900,503	10,058,973	10,161,396
EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Arts, Council on the	43,514	48,264	45,349	45,253	45,253	45,253
Education, Department of	31,150,849	32,048,137	31,476,986	32,009,271	32,999,538	33,917,349
<i>School Aid</i>	26,250,357	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	1,033,905	1,166,025	1,140,193	1,148,640	1,168,311	1,167,987
Functional Total	31,194,363	32,096,401	31,522,335	32,054,524	33,044,791	33,962,602
GENERAL GOVERNMENT						
Budget, Division of the	26,125	28,955	30,603	29,596	29,596	29,596
Civil Service, Department of	16,128	15,490	15,148	15,190	15,211	15,211
Deferred Compensation Board	776	733	896	900	904	904
Elections, State Board of	8,621	10,261	10,583	10,054	9,428	9,428
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	159,059	165,136	255,774	176,948	187,295	201,054
General Services, Office of	142,000	117,857	86,647	88,249	89,876	91,376
Information Technology Services, Office of	544,541	540,195	545,892	552,448	563,606	563,606
Inspector General, Office of the	6,844	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	35,520	37,107	37,631	38,378	39,139	39,916
Prevention of Domestic Violence, Office for	2,816	2,984	3,257	3,195	3,195	3,195
Public Employment Relations Board	3,430	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State, Department of	71,381	66,893	62,723	61,889	61,906	61,906
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	320,486	352,151	382,754	370,527	369,087	369,687
Veterans' Services, Division of	16,219	14,442	14,322	14,257	14,257	14,324
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	198,486	193,375	205,865	196,439	196,439	196,439
Functional Total	1,563,201	1,569,969	1,679,943	1,586,012	1,608,155	1,624,858
ELECTED OFFICIALS						
Audit and Control, Department of	182,562	185,742	198,223	195,514	195,514	195,514
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	3,057,043	3,123,384	3,132,284	3,238,998	3,239,297	3,205,393
Law, Department of	213,712	201,835	212,235	206,834	206,834	206,834
Legislature	223,573	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	3,690,093	3,753,443	3,806,464	3,911,487	3,911,839	3,877,935
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	763,082	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,313,980	8,156,155	8,701,270	8,865,924
Long-Term Debt Service	6,736,264	4,952,363	10,408,057	7,023,463	7,441,922	7,656,850
Miscellaneous	(3,289)	(67,928)	(8,688,497)	(8,793,295)	(9,092,787)	(9,092,787)
Functional Total	13,106,431	11,539,522	8,033,540	6,386,323	7,050,405	7,429,987
TOTAL STATE OPERATING FUNDS SPENDING	100,136,736	102,159,499	98,151,368	101,106,570	105,072,643	108,378,433

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,027	35,047	20,821	24,247	24,247	24,247
Economic Development, Department of	55,760	36,019	45,974	50,824	50,824	50,824
Empire State Development Corporation	85,177	105,126	61,054	61,054	61,054	61,054
Financial Services, Department of	64,179	55,146	61,581	59,896	59,896	59,896
Olympic Regional Development Authority	2,360	2,268	0	0	0	0
Public Service Department	218	1,341	160	60	60	60
Functional Total	240,721	234,947	189,590	196,081	196,081	196,081
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,431	2,202	1,478	1,247	978	978
Parks, Recreation and Historic Preservation, Office of	6,502	6,028	3,750	3,750	3,750	3,750
Functional Total	8,933	8,230	5,228	4,997	4,728	4,728
TRANSPORTATION						
Motor Vehicles, Department of	375	0	0	0	0	0
Transportation, Department of	3,937,827	3,488,416	3,791,513	3,806,099	4,008,034	4,197,842
Functional Total	3,938,202	3,488,416	3,791,513	3,806,099	4,008,034	4,197,842
HEALTH						
Aging, Office for the	130,141	135,561	141,306	146,361	151,543	156,855
Health, Department of	21,614,156	23,424,249	22,468,454	25,659,224	26,733,317	27,730,791
<i>Medical Assistance</i>	19,528,919	21,224,760	20,210,125	23,427,997	24,494,963	25,492,818
<i>Medicaid Administration</i>	503,108	530,424	466,502	452,297	438,613	425,431
<i>Public Health</i>	1,582,129	1,669,065	1,791,827	1,778,930	1,799,741	1,812,542
Functional Total	21,744,297	23,559,810	22,609,760	25,805,585	26,884,860	27,887,646
SOCIAL WELFARE						
Children and Family Services, Office of	1,659,179	1,193,961	1,833,597	1,550,334	1,550,917	1,550,917
<i>OCFS</i>	1,587,065	1,156,746	1,762,739	1,475,312	1,475,895	1,475,895
<i>OCFS - Other</i>	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	10,178	30,427	3,835	47,789	47,789	47,789
Labor, Department of	15,469	25,517	2,400	5,150	5,150	5,150
National and Community Service	270	349	432	432	432	432
Temporary and Disability Assistance, Office of	1,139,031	1,161,539	1,415,927	1,346,308	1,459,108	1,495,908
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	101,093	96,214	94,215	128,996	241,796	278,596
Functional Total	2,824,127	2,411,793	3,256,191	2,950,013	3,063,396	3,100,196
MENTAL HYGIENE						
Addiction Services and Supports, Office of	348,851	363,555	367,481	386,247	406,173	424,523
<i>OASAS</i>	327,526	342,230	346,156	364,922	384,848	403,198
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649	649
Mental Health, Office of	1,281,804	1,321,319	1,476,178	1,529,868	1,597,388	1,673,088
<i>OMH</i>	1,002,843	1,032,812	1,166,661	1,215,874	1,275,093	1,345,766
<i>OMH - Other</i>	278,961	288,507	309,517	313,994	322,295	327,322
People with Developmental Disabilities, Office for	518,456	1,741,669	1,003,739	1,723,844	2,263,403	2,823,172
<i>OPWDD</i>	387,306	408,279	352,843	358,324	369,186	403,408
<i>OPWDD - Other</i>	131,150	1,333,390	650,896	1,365,520	1,894,217	2,419,764
Functional Total	2,149,790	3,427,192	2,848,047	3,640,608	4,267,613	4,921,432
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	181,941	174,934	202,171	202,171	162,171	162,171
Homeland Security and Emergency Services, Division of	49,327	33,693	57,142	100,839	102,925	103,013
Indigent Legal Services, Office of	83,765	85,503	207,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	885	820	886	904	923
State Police, Division of	0	15	0	0	0	0
Victim Services, Office of	24,865	25,496	27,744	28,398	28,398	28,398
Functional Total	344,218	326,862	501,713	557,130	569,234	583,103
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	984,334	950,474	813,959	838,098	842,503	842,741
State University of New York	487,744	478,769	459,460	461,184	460,184	460,184
Functional Total	2,980,085	2,362,709	3,518,561	2,924,656	2,960,454	2,990,449
EDUCATION						
Arts, Council on the	39,248	44,013	40,933	40,933	40,933	40,933
Education, Department of	30,969,215	31,869,968	31,283,281	31,819,664	32,809,286	33,727,097
<i>School Aid</i>	26,250,356	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
<i>School Aid - Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	852,272	987,856	946,488	959,033	978,059	977,735
Functional Total	31,008,463	31,913,981	31,324,214	31,860,597	32,850,219	33,768,030
GENERAL GOVERNMENT						
Civil Service, Department of	567	78	300	300	300	300

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Elections, State Board of	5	1,352	0	0	0	0
Gaming Commission, New York State	69,013	97,809	169,324	92,915	103,262	117,021
Prevention of Domestic Violence, Office for	1,260	1,288	1,385	1,412	1,412	1,412
State, Department of	13,928	14,789	4,317	4,317	4,317	4,317
Taxation and Finance, Department of	2,435	3,302	3,491	3,491	3,491	3,491
Veterans' Services, Division of	10,499	8,235	7,840	7,840	7,840	7,840
Functional Total	<u>97,707</u>	<u>126,853</u>	<u>186,657</u>	<u>110,275</u>	<u>120,622</u>	<u>134,381</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,025	32,025	32,025	32,025
Judiciary	101,561	166,113	117,500	179,500	179,500	179,500
Functional Total	<u>133,586</u>	<u>198,138</u>	<u>149,525</u>	<u>211,525</u>	<u>211,525</u>	<u>211,525</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>762,835</u>	<u>714,320</u>	<u>739,019</u>	<u>797,045</u>	<u>797,045</u>	<u>797,045</u>
ALL OTHER CATEGORIES						
Miscellaneous	(56,188)	(120,953)	(7,741,481)	(7,776,279)	(7,825,779)	(7,825,779)
Functional Total	<u>(56,188)</u>	<u>(120,953)</u>	<u>(7,741,481)</u>	<u>(7,776,279)</u>	<u>(7,825,779)</u>	<u>(7,825,779)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>66,176,776</u>	<u>68,652,298</u>	<u>61,378,537</u>	<u>65,088,332</u>	<u>68,108,032</u>	<u>70,966,679</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,473	40,172	41,963	56,350	56,150	56,150
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	17,810	17,040	17,291	17,291	17,291	17,291
Financial Services, Department of	215,165	218,385	213,231	208,844	208,844	208,844
Olympic Regional Development Authority	8,784	9,688	11,676	11,676	11,676	11,676
Public Service Department	52,432	51,122	53,426	51,406	49,740	49,740
Functional Total	343,210	347,018	349,147	356,811	354,945	354,945
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,018	4,835	4,819	4,685
Environmental Conservation, Department of	210,767	216,331	240,253	234,243	228,836	228,421
Parks, Recreation and Historic Preservation, Office of	176,384	164,815	174,694	171,324	168,439	168,439
Functional Total	391,448	385,589	419,965	410,402	402,094	401,545
TRANSPORTATION						
Motor Vehicles, Department of	59,189	60,446	60,566	60,247	60,247	60,247
Transportation, Department of	300,619	345,088	342,268	340,949	340,949	340,949
Functional Total	359,808	405,534	402,834	401,196	401,196	401,196
HEALTH						
Aging, Office for the	1,230	2,046	2,005	1,962	1,962	1,962
Health, Department of	727,514	1,210,164	269,734	801,713	799,732	807,093
<i>Essential Plan</i>	76,580	73,970	76,107	75,827	74,280	74,288
<i>Medicaid Administration</i>	261,296	207,526	253,390	279,791	278,821	285,891
<i>Public Health</i>	389,638	928,668	(59,763)	446,095	446,631	446,914
Medicaid Inspector General, Office of the	18,116	17,983	18,679	18,072	18,072	18,072
Functional Total	746,860	1,230,193	290,418	821,747	819,766	827,127
SOCIAL WELFARE						
Children and Family Services, Office of	260,874	146,381	379,755	378,701	378,701	383,700
<i>OCFS</i>	260,874	146,381	379,755	378,701	378,701	383,700
Housing and Community Renewal, Division of	51,072	52,701	18,320	17,675	17,675	17,675
Human Rights, Division of	9,993	11,048	10,705	10,230	10,230	10,230
Labor, Department of	49,769	47,559	49,256	48,280	48,280	48,280
National and Community Service	290	306	349	349	349	352
Temporary and Disability Assistance, Office of	127,470	143,963	130,862	134,145	134,145	134,145
<i>All Other</i>	127,470	143,963	130,862	134,145	134,145	134,145
Functional Total	499,468	401,958	589,247	589,380	589,380	594,382
MENTAL HYGIENE						
Addiction Services and Supports, Office of	86,968	89,219	90,886	91,205	92,063	93,431
<i>OASAS</i>	34,444	37,265	43,336	42,980	43,468	44,169
<i>OASAS - Other</i>	52,524	51,954	47,550	48,225	48,595	49,262
Justice Center	41,652	42,363	43,582	42,878	43,433	44,017
Mental Health, Office of	1,344,656	1,394,412	1,392,919	1,427,978	1,455,761	1,482,637
<i>OMH</i>	331,100	357,445	364,781	373,954	382,773	388,531
<i>OMH - Other</i>	1,013,556	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
People with Developmental Disabilities, Office for	1,321,268	1,357,053	1,339,536	1,353,722	1,370,643	1,387,852
<i>OPWDD</i>	298	77	203	203	203	203
<i>OPWDD - Other</i>	1,320,970	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,794,544	2,883,047	2,866,923	2,915,783	2,961,900	3,007,937
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,599,368	2,872,407	2,704,274	2,708,538	2,748,307	2,745,307
<i>DOCCS</i>	2,599,368	2,872,407	2,704,274	2,708,538	2,748,307	2,745,307
Criminal Justice Services, Division of	35,628	35,382	38,338	38,159	38,904	39,670
Homeland Security and Emergency Services, Division of	36,318	40,957	40,171	42,598	43,438	44,298
Indigent Legal Services, Office of	2,837	3,117	4,276	4,380	4,468	4,543
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	23,869	25,227	24,869	25,524	25,964	26,482
State Police, Division of	717,416	773,899	500,427	818,975	838,427	838,427
Statewide Financial System	30,520	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	3,508	3,757	4,068	4,030	4,030	4,030
Functional Total	3,457,435	3,795,166	3,356,691	3,682,780	3,744,835	3,744,054
HIGHER EDUCATION						
City University of New York	95,672	101,696	132,506	3,493	3,493	3,493
Higher Education - Miscellaneous	340	428	291	291	291	291
Higher Education Services Corporation, New York State	39,219	29,082	38,274	38,274	38,274	38,274
State University of New York	6,000,806	6,324,240	6,374,870	6,335,261	6,430,603	6,509,815
Functional Total	6,136,037	6,455,446	6,545,941	6,377,319	6,472,661	6,551,873
EDUCATION						
Arts, Council on the	4,266	4,251	4,416	4,320	4,320	4,320
Education, Department of	138,420	140,930	152,247	149,026	148,983	148,983
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	138,419	140,930	152,247	149,026	148,983	148,983
Functional Total	142,686	145,181	156,663	153,346	153,303	153,303

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL GOVERNMENT						
Budget, Division of the	25,218	27,999	28,886	27,939	27,939	27,939
Civil Service, Department of	15,422	15,294	14,604	14,649	14,666	14,666
Deferred Compensation Board	474	473	649	648	648	648
Elections, State Board of	8,616	8,909	10,583	10,054	9,428	9,428
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	67,292	50,264	67,985	66,458	66,458	66,458
General Services, Office of	141,462	112,884	86,064	87,674	89,291	90,791
Information Technology Services, Office of	544,541	540,195	545,892	552,448	563,606	563,606
Inspector General, Office of the	6,844	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	29,699	30,721	32,631	33,378	34,139	34,916
Prevention of Domestic Violence, Office for	1,556	1,696	1,872	1,783	1,783	1,783
Public Employment Relations Board	3,430	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State, Department of	42,005	42,464	43,618	42,506	42,506	42,506
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	312,384	316,020	356,696	345,439	344,278	344,810
Veterans' Services, Division of	5,720	6,207	6,482	6,417	6,417	6,484
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	141,918	139,495	148,002	143,219	143,219	143,219
Functional Total	<u>1,357,350</u>	<u>1,317,011</u>	<u>1,371,812</u>	<u>1,360,554</u>	<u>1,372,594</u>	<u>1,375,470</u>
ELECTED OFFICIALS						
Audit and Control, Department of	148,498	152,149	164,001	161,292	161,292	161,292
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	2,168,280	2,136,878	2,234,400	2,177,400	2,177,700	2,177,700
Law, Department of	187,295	185,013	191,186	186,591	186,591	186,591
Legislature	223,573	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	<u>2,740,849</u>	<u>2,716,522</u>	<u>2,853,309</u>	<u>2,795,424</u>	<u>2,795,777</u>	<u>2,795,777</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	<u>247</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	43,657	43,072	43,072	43,072
Miscellaneous	49,930	48,975	(952,805)	(1,022,805)	(1,272,805)	(1,272,805)
Functional Total	<u>87,629</u>	<u>85,246</u>	<u>(909,148)</u>	<u>(979,733)</u>	<u>(1,229,733)</u>	<u>(1,229,733)</u>
TOTAL STATE OPERATIONS SPENDING	<u>19,057,571</u>	<u>20,167,913</u>	<u>18,293,802</u>	<u>18,885,009</u>	<u>18,838,718</u>	<u>18,977,876</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	30,255	30,677	34,150	33,539	33,539	33,539
Alcoholic Beverage Control, Division of	8,166	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	13,090	12,934	12,929	12,929	12,929	12,929
Financial Services, Department of	156,895	157,002	157,986	153,893	153,893	153,893
Olympic Regional Development Authority	5,595	5,500	5,338	5,338	5,338	5,338
Public Service Department	42,628	42,684	46,025	44,550	44,577	44,577
Functional Total	256,629	256,885	264,744	258,565	258,592	258,592
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,069	4,528	4,470	4,470	4,370
Environmental Conservation, Department of	174,576	179,044	200,670	193,895	188,440	188,440
Parks, Recreation and Historic Preservation, Office of	133,979	126,957	140,668	137,298	134,413	134,413
Functional Total	312,505	310,070	345,866	335,663	327,323	327,223
TRANSPORTATION						
Motor Vehicles, Department of	43,766	44,731	44,207	44,497	44,497	44,497
Transportation, Department of	156,307	161,055	168,622	162,396	162,396	162,396
Functional Total	200,073	205,786	212,829	206,893	206,893	206,893
HEALTH						
Aging, Office for the	1,125	1,917	1,899	1,856	1,856	1,856
Health, Department of	266,914	278,618	284,195	296,684	299,899	302,515
<i>Essential Plan</i>	3,233	3,326	4,138	4,453	4,476	4,413
<i>Medicaid Administration</i>	37,949	35,276	42,361	48,126	51,226	53,758
<i>Public Health</i>	225,732	240,016	237,696	244,105	244,197	244,344
Medicaid Inspector General, Office of the	15,204	15,599	16,231	15,624	15,624	15,624
Functional Total	283,243	296,134	302,325	314,164	317,379	319,995
SOCIAL WELFARE						
Children and Family Services, Office of	176,225	86,540	265,818	262,740	262,740	265,408
<i>OCFS</i>	176,225	86,540	265,818	262,740	262,740	265,408
Housing and Community Renewal, Division of	41,155	39,887	17,705	17,060	17,060	17,060
Human Rights, Division of	8,934	9,543	10,121	9,752	9,752	9,752
Labor, Department of	33,501	32,931	34,864	33,620	33,620	33,620
National and Community Service	288	305	340	340	340	343
Temporary and Disability Assistance, Office of	68,003	64,862	76,017	78,130	78,130	78,130
<i>All Other</i>	68,003	64,862	76,017	78,130	78,130	78,130
Functional Total	328,106	234,068	404,865	401,642	401,642	404,313
MENTAL HYGIENE						
Addiction Services and Supports, Office of	60,203	60,528	63,331	62,936	63,542	64,146
<i>OASAS</i>	22,378	23,279	27,997	27,347	27,628	27,903
<i>OASAS - Other</i>	37,825	37,249	35,334	35,589	35,914	36,243
Justice Center	32,560	34,257	34,650	33,720	34,046	34,394
Mental Health, Office of	1,086,720	1,117,318	1,128,448	1,141,576	1,156,141	1,169,386
<i>OMH</i>	268,077	286,101	321,487	329,516	336,855	340,713
<i>OMH - Other</i>	818,643	831,217	806,961	812,060	819,286	828,673
People with Developmental Disabilities, Office for	1,142,584	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD</i>	262	0	0	0	0	0
<i>OPWDD - Other</i>	1,142,322	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,322,067	2,373,432	2,379,752	2,401,079	2,428,585	2,454,911
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,652	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,111,244	2,380,718	2,207,956	2,218,259	2,251,682	2,251,682
<i>DOCCS</i>	2,111,244	2,380,718	2,207,956	2,218,259	2,251,682	2,251,682
Criminal Justice Services, Division of	28,281	27,813	28,994	28,550	29,064	29,635
Homeland Security and Emergency Services, Division of	20,611	28,927	30,314	32,215	32,774	33,433
Indigent Legal Services, Office of	2,430	2,620	3,440	3,524	3,595	3,667
Judicial Conduct, Commission on	3,959	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,432	14,158	14,700	14,776	15,073	15,376
State Police, Division of	657,453	709,801	439,626	748,376	766,992	766,992
Statewide Financial System	11,294	11,650	12,537	12,333	12,568	12,568
Victim Services, Office of	2,958	3,119	3,323	3,272	3,272	3,272
Functional Total	2,854,938	3,185,661	2,748,299	3,068,606	3,122,411	3,124,016
HIGHER EDUCATION						
City University of New York	47,274	48,676	84,145	3,493	3,493	3,493
Higher Education - Miscellaneous	232	283	198	198	198	198
Higher Education Services Corporation, New York State	12,337	10,530	12,916	12,916	12,916	12,916
State University of New York	3,952,903	4,079,009	4,278,852	4,212,970	4,281,054	4,332,630
Functional Total	4,012,746	4,138,498	4,376,111	4,229,577	4,297,661	4,349,237
EDUCATION						
Arts, Council on the	2,636	2,681	2,594	2,498	2,498	2,498
Education, Department of	88,514	90,098	94,081	90,726	90,726	90,726
<i>All Other</i>	88,514	90,098	94,081	90,726	90,726	90,726
Functional Total	91,150	92,779	96,675	93,224	93,224	93,224
GENERAL GOVERNMENT						
Budget, Division of the	21,921	23,615	25,511	24,567	24,567	24,567

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Civil Service, Department of	15,062	15,287	14,592	14,207	14,215	14,215
Deferred Compensation Board	424	441	414	413	413	413
Elections, State Board of	5,773	6,039	6,571	6,478	6,316	6,316
Employee Relations, Office of	2,040	5,789	6,346	6,248	6,248	6,248
Gaming Commission, New York State	35,418	34,014	40,178	38,687	38,687	38,687
General Services, Office of	61,158	41,123	40,732	39,915	40,691	41,483
Information Technology Services, Office of	299,018	296,582	301,761	307,876	314,114	314,114
Inspector General, Office of the	5,909	5,423	6,970	6,857	6,994	6,994
Labor Management Committees	8,618	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,550	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,175	3,214	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,516	4,486	4,867	4,827	4,924	4,924
State, Department of	28,629	28,759	30,346	29,258	29,258	29,258
Tax Appeals, Division of	2,513	2,640	2,936	2,870	2,870	2,870
Taxation and Finance, Department of	269,428	265,994	282,555	272,834	272,386	272,493
Veterans' Services, Division of	5,161	5,546	6,178	6,107	6,107	6,168
Welfare Inspector General, Office of	615	630	654	646	659	659
Workers' Compensation Board	82,890	84,865	89,608	84,892	84,892	84,892
Functional Total	853,662	834,417	871,045	857,074	863,733	864,693
ELECTED OFFICIALS						
Audit and Control, Department of	118,729	121,837	130,597	127,888	127,888	127,888
Executive Chamber	9,135	10,876	11,567	11,113	11,113	11,113
Judiciary	1,731,581	1,713,277	1,806,000	1,749,000	1,749,300	1,749,300
Law, Department of	134,904	136,064	137,554	132,958	132,958	132,958
Legislature	175,304	177,365	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	414	431	543	523	523	523
Functional Total	2,170,067	2,159,850	2,278,844	2,219,848	2,220,201	2,220,201
ALL OTHER CATEGORIES						
Miscellaneous	2,132	2,088	(532,595)	(547,595)	(647,595)	(647,595)
Functional Total	2,132	2,088	(532,595)	(547,595)	(647,595)	(647,595)
TOTAL PERSONAL SERVICE SPENDING	13,687,318	14,089,668	13,748,760	13,838,740	13,890,049	13,975,703

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	7,218	9,495	7,813	22,811	22,611	22,611
Alcoholic Beverage Control, Division of	3,380	2,523	3,244	2,928	2,928	2,928
Economic Development, Department of	4,720	4,106	4,362	4,362	4,362	4,362
Financial Services, Department of	58,270	61,383	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	3,189	4,188	6,338	6,338	6,338	6,338
Public Service Department	9,804	8,438	7,401	6,856	5,163	5,163
Functional Total	86,581	90,133	84,403	98,246	96,353	96,353
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	374	490	365	349	315
Environmental Conservation, Department of	36,191	37,287	39,583	40,348	40,396	39,981
Parks, Recreation and Historic Preservation, Office of	42,405	37,858	34,026	34,026	34,026	34,026
Functional Total	78,943	75,519	74,099	74,739	74,771	74,322
TRANSPORTATION						
Motor Vehicles, Department of	15,423	15,715	16,359	15,750	15,750	15,750
Transportation, Department of	144,312	184,033	173,646	178,553	178,553	178,553
Functional Total	159,735	199,748	190,005	194,303	194,303	194,303
HEALTH						
Aging, Office for the	105	129	106	106	106	106
Health, Department of	460,600	931,546	(14,461)	505,029	499,833	504,578
<i>Essential Plan</i>	73,347	70,644	71,969	71,374	69,804	69,875
<i>Medicaid Administration</i>	223,347	172,250	211,029	231,665	227,595	232,133
<i>Public Health</i>	163,906	688,652	(297,459)	201,990	202,434	202,570
Medicaid Inspector General, Office of the	2,912	2,384	2,448	2,448	2,448	2,448
Functional Total	463,617	934,059	(11,907)	507,583	502,387	507,132
SOCIAL WELFARE						
Children and Family Services, Office of	84,649	59,841	113,937	115,961	115,961	118,292
<i>OCFS</i>	84,649	59,841	113,937	115,961	115,961	118,292
Housing and Community Renewal, Division of	9,917	12,814	615	615	615	615
Human Rights, Division of	1,059	1,505	584	478	478	478
Labor, Department of	16,268	14,628	14,392	14,660	14,660	14,660
National and Community Service	2	1	9	9	9	9
Temporary and Disability Assistance, Office of	59,467	79,101	54,845	56,015	56,015	56,015
<i>All Other</i>	59,467	79,101	54,845	56,015	56,015	56,015
Functional Total	171,362	167,890	184,382	187,738	187,738	190,069
MENTAL HYGIENE						
Addiction Services and Supports, Office of	26,765	28,691	27,555	28,269	28,521	29,285
<i>OASAS</i>	12,066	13,986	15,339	15,633	15,840	16,266
<i>OASAS - Other</i>	14,699	14,705	12,216	12,636	12,681	13,019
Justice Center	9,092	8,106	8,932	9,158	9,387	9,623
Mental Health, Office of	257,936	277,094	264,471	286,402	299,620	313,251
<i>OMH</i>	63,023	71,344	43,294	44,438	45,918	47,818
<i>OMH - Other</i>	194,913	205,750	221,177	241,964	253,702	265,433
People with Developmental Disabilities, Office for	178,684	195,724	186,213	190,875	195,787	200,867
<i>OPWDD</i>	36	77	203	203	203	203
<i>OPWDD - Other</i>	178,648	195,647	186,010	190,672	195,584	200,664
Functional Total	472,477	509,615	487,171	514,704	533,315	553,026
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	497	242	247	247	247
Corrections and Community Supervision, Department of	488,124	491,689	496,318	490,279	496,625	493,625
<i>DOCCS</i>	488,124	491,689	496,318	490,279	496,625	493,625
Criminal Justice Services, Division of	7,347	7,569	9,344	9,609	9,840	10,035
Homeland Security and Emergency Services, Division of	15,707	12,030	9,857	10,383	10,664	10,865
Indigent Legal Services, Office of	407	497	836	856	873	876
Judicial Conduct, Commission on	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	9,437	11,069	10,169	10,748	10,891	11,106
State Police, Division of	59,963	64,098	60,801	70,599	71,435	71,435
Statewide Financial System	19,226	19,867	18,624	18,996	19,376	19,376
Victim Services, Office of	550	638	745	758	758	758
Functional Total	602,497	609,505	608,392	614,174	622,424	620,038
HIGHER EDUCATION						
City University of New York	48,398	53,020	48,361	0	0	0
Higher Education - Miscellaneous	108	145	93	93	93	93
Higher Education Services Corporation, New York State	26,882	18,552	25,358	25,358	25,358	25,358
State University of New York	2,047,903	2,245,231	2,096,018	2,122,291	2,149,549	2,177,185
Functional Total	2,123,291	2,316,948	2,169,830	2,147,742	2,175,000	2,202,636
EDUCATION						
Arts, Council on the	1,630	1,570	1,822	1,822	1,822	1,822
Education, Department of	49,906	50,832	58,166	58,300	58,257	58,257
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	49,905	50,832	58,166	58,300	58,257	58,257
Functional Total	51,536	52,402	59,988	60,122	60,079	60,079

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL GOVERNMENT						
Budget, Division of the	3,297	4,384	3,375	3,372	3,372	3,372
Civil Service, Department of	360	7	12	442	451	451
Deferred Compensation Board	50	32	235	235	235	235
Elections, State Board of	2,843	2,870	4,012	3,576	3,112	3,112
Employee Relations, Office of	57	91	196	196	197	197
Gaming Commission, New York State	31,874	16,250	27,807	27,771	27,771	27,771
General Services, Office of	80,304	71,761	45,332	47,759	48,600	49,308
Information Technology Services, Office of	245,523	243,613	244,131	244,572	249,492	249,492
Inspector General, Office of the	935	958	856	1,204	1,216	1,216
Labor Management Committees	21,081	22,301	26,933	27,891	28,652	29,429
Prevention of Domestic Violence, Office for	162	146	212	216	216	216
Public Employment Relations Board	255	187	296	296	296	296
Public Ethics, Joint Commission on	707	731	968	1,183	1,195	1,195
State, Department of	13,376	13,705	13,272	13,248	13,248	13,248
Tax Appeals, Division of	307	231	214	170	170	170
Taxation and Finance, Department of	42,956	50,026	74,141	72,605	71,892	72,317
Veterans' Services, Division of	559	661	304	310	310	316
Welfare Inspector General, Office of	14	10	77	107	109	109
Workers' Compensation Board	59,028	54,630	58,394	58,327	58,327	58,327
Functional Total	503,688	482,594	500,767	503,480	508,861	510,777
ELECTED OFFICIALS						
Audit and Control, Department of	29,769	30,312	33,404	33,404	33,404	33,404
Executive Chamber	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	436,699	423,601	428,400	428,400	428,400	428,400
Law, Department of	52,391	48,949	53,632	53,633	53,633	53,633
Legislature	48,269	51,360	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	116	87	91	91	91	91
Functional Total	570,782	556,672	574,465	575,576	575,576	575,576
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	43,657	43,072	43,072	43,072
Miscellaneous	47,798	46,887	(420,210)	(475,210)	(625,210)	(625,210)
Functional Total	85,497	83,158	(376,553)	(432,138)	(582,138)	(582,138)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,370,253	6,078,245	4,545,042	5,046,269	4,948,669	5,002,173

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,082	1,311	2,160	2,261	2,296	2,296
Economic Development, Department of	0	0	28	28	28	28
Financial Services, Department of	113,519	98,264	113,345	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	22,783	27,154	31,197	30,773	31,299	31,299
Functional Total	139,415	126,729	146,730	147,313	147,874	147,874
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,434	47,584	50,156	47,473	47,814	39,183
Parks, Recreation and Historic Preservation, Office of	3,657	4,697	2,007	1,941	1,941	1,941
Functional Total	66,091	52,281	52,163	49,414	49,755	41,124
TRANSPORTATION						
Motor Vehicles, Department of	28,312	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	1,681	1,836	2,412	2,123	2,158	2,193
Functional Total	29,993	24,269	28,375	28,738	28,773	28,808
HEALTH						
Health, Department of	34,922	36,222	39,676	42,185	42,251	42,354
<i>Medicaid Administration</i>	0	202	581	581	581	581
<i>Public Health</i>	34,922	36,020	39,095	41,604	41,670	41,773
Functional Total	34,922	36,222	39,676	42,185	42,251	42,354
SOCIAL WELFARE						
Children and Family Services, Office of	2,243	2,631	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,243	2,631	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	21,908	19,144	2,521	3,844	3,844	3,844
Labor, Department of	25,876	20,849	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	36	65	128	128	128	128
<i>All Other</i>	36	65	128	128	128	128
Functional Total	50,063	42,689	25,386	29,243	29,243	29,243
MENTAL HYGIENE						
Justice Center	1,220	589	887	910	933	958
Mental Health, Office of	(44,657)	0	0	0	0	0
<i>OMH</i>	(10,681)	0	0	0	0	0
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
Functional Total	(43,437)	589	887	910	933	958
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	191	141	106	106	106	106
<i>DOCCS</i>	191	141	106	106	106	106
Homeland Security and Emergency Services, Division of	763	666	835	905	905	905
Indigent Legal Services, Office of	1,851	1,645	2,156	2,234	2,317	2,402
Military and Naval Affairs, Division of	214	0	9	10	10	10
State Police, Division of	45,763	26,345	28,953	29,323	29,523	29,523
Victim Services, Office of	1,894	1,660	1,650	1,683	1,683	1,683
Functional Total	50,676	30,457	33,709	34,261	34,544	34,629
HIGHER EDUCATION						
City University of New York	129	204	150	0	0	0
Higher Education - Miscellaneous	200	181	150	150	150	150
Higher Education Services Corporation, New York State	9,807	6,615	9,020	9,020	9,020	9,020
State University of New York	522,524	441,696	492,052	589,358	616,688	609,904
Functional Total	532,660	448,696	501,372	598,528	625,858	619,074
EDUCATION						
Education, Department of	43,214	37,239	41,458	40,581	41,269	41,269
<i>All Other</i>	43,214	37,239	41,458	40,581	41,269	41,269
Functional Total	43,214	37,239	41,458	40,581	41,269	41,269
GENERAL GOVERNMENT						
Budget, Division of the	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	139	118	244	241	245	245
Deferred Compensation Board	302	260	247	252	256	256
Gaming Commission, New York State	22,754	17,063	18,465	17,575	17,575	17,575
General Services, Office of	538	4,973	583	575	585	585
Labor Management Committees	5,821	6,386	5,000	5,000	5,000	5,000
State, Department of	15,448	9,640	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	5,667	32,829	22,567	21,597	21,318	21,386
Workers' Compensation Board	56,568	53,880	57,863	53,220	53,220	53,220
Functional Total	108,144	126,105	121,474	115,183	114,939	115,007
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	1,568	2,197	2,197	2,197	2,197
Judiciary	787,202	820,393	780,384	882,098	882,097	848,193
Law, Department of	26,417	16,822	21,049	20,243	20,243	20,243
Functional Total	815,658	838,783	803,630	904,538	904,537	870,633
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,313,980	8,156,155	8,701,270	8,865,924

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Miscellaneous	2,969	4,050	5,789	5,789	5,797	5,797
Functional Total	<u>6,376,425</u>	<u>6,659,137</u>	<u>6,319,769</u>	<u>8,161,944</u>	<u>8,707,067</u>	<u>8,871,721</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>8,203,824</u>	<u>8,423,196</u>	<u>8,114,629</u>	<u>10,152,838</u>	<u>10,727,043</u>	<u>10,842,694</u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,753	11,426	20,165	10,330	5,915	5,765
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	12,821	5,800	16,752	9,400	8,400	7,700
Empire State Development Corporation	1,241,807	925,099	1,938,377	1,976,905	1,751,908	1,399,413
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	42,700	85,150	131,000	43,500	10,000	10,000
Power Authority, New York	1,346	10,315	30,500	9,500	500	500
Regional Economic Development Program	2,938	1,902	3,000	4,500	447	0
Strategic Investment Program	2,888	1,056	2,500	2,000	2,000	2,000
Functional Total	1,378,858	1,059,204	2,200,263	2,122,379	1,817,603	1,451,584
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	0	1,000	0	0
Environmental Conservation, Department of	882,540	920,939	1,187,467	1,269,153	1,368,905	1,448,917
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	176,743	181,554	188,739	174,189	173,739	173,739
Functional Total	1,059,283	1,104,493	1,384,206	1,462,342	1,559,644	1,636,656
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	485,856	0	0	0
Motor Vehicles, Department of	227,603	258,277	283,213	246,244	230,772	230,868
Transportation, Department of	4,471,927	4,386,172	5,241,293	5,761,328	5,445,569	5,476,948
Functional Total	5,439,044	5,188,935	6,010,362	6,007,572	5,676,341	5,707,816
HEALTH						
Health, Department of	349,813	572,787	790,399	850,166	861,035	706,191
<i>Public Health</i>	349,813	572,787	790,399	850,166	861,035	706,191
Functional Total	349,813	572,787	790,399	850,166	861,035	706,191
SOCIAL WELFARE						
Children and Family Services, Office of	55,800	22,476	25,804	23,660	23,673	23,673
<i>OCFS</i>	55,800	22,476	25,804	23,660	23,673	23,673
Housing and Community Renewal, Division of	271,995	350,949	749,877	674,023	714,023	464,023
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	48,216	62,920	63,707	102,282	81,282	57,657
<i>All Other</i>	48,216	62,920	63,707	102,282	81,282	57,657
Functional Total	431,790	455,986	857,388	814,965	833,978	559,651
MENTAL HYGIENE						
Addiction Services and Supports, Office of	53,646	29,734	114,541	100,300	88,451	81,504
<i>OASAS</i>	53,646	29,734	114,541	100,300	88,451	81,504
Mental Health, Office of	276,317	272,930	394,483	362,994	346,728	339,759
<i>OMH</i>	276,317	272,930	394,483	362,994	346,728	339,759
People with Developmental Disabilities, Office for	97,506	102,022	135,585	125,307	132,074	121,868
<i>OPWDD</i>	97,506	102,022	135,585	125,307	132,074	121,868
Functional Total	427,469	404,686	644,609	588,601	567,253	543,131
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	369,540	412,424	340,646	337,685	316,975	321,140
<i>DOCCS</i>	369,540	412,424	340,646	337,685	316,975	321,140
Criminal Justice Services, Division of	0	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	39,820	75,661	71,250	46,653	45,367	37,914
Military and Naval Affairs, Division of	75,582	125,394	97,719	97,102	96,982	62,557
State Police, Division of	47,327	49,194	55,853	54,688	47,538	50,968
Functional Total	532,269	662,673	590,468	536,128	506,862	472,579
HIGHER EDUCATION						
City University of New York	32,463	36,412	37,352	47,352	57,352	67,352
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	15,750	12,650	14,150	14,150
State University of New York	941,297	977,079	993,175	1,169,149	1,207,126	1,126,793
Functional Total	982,529	1,019,160	1,046,277	1,229,151	1,278,628	1,208,295
EDUCATION						
Education, Department of	150,246	181,132	541,340	518,145	476,565	302,715
<i>School Aid</i>	108,198	121,176	400,000	400,000	400,000	250,000
<i>All Other</i>	42,048	59,956	141,340	118,145	76,565	52,715
Functional Total	150,246	181,132	541,340	518,145	476,565	302,715
GENERAL GOVERNMENT						
Elections, State Board of	0	2,876	16,000	7,700	3,200	800
General Services, Office of	154,965	175,906	321,483	217,962	151,629	151,461
Information Technology Services, Office of	70,350	81,010	163,329	83,939	62,145	43,474
State, Department of	7,655	6,763	22,000	47,000	79,000	54,681
Workers' Compensation Board	0	4,907	22,440	33,764	6,755	0
Functional Total	232,970	271,462	545,252	390,365	302,729	250,416

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,298	3,430	2,806	5,365	4,727	0
Judiciary	10,373	21,295	25,700	12,283	0	0
Law, Department of	6,856	4,344	5,735	2,000	0	0
Functional Total	<u>19,527</u>	<u>29,069</u>	<u>34,241</u>	<u>19,648</u>	<u>4,727</u>	<u>0</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	3,456	6,500	6,500	0	0
Miscellaneous	238,165	256,286	(600,081)	(701,000)	(687,839)	(687,838)
Special Infrastructure Account	1,019,587	789,127	625,174	359,027	232,684	116,255
Functional Total	<u>1,261,173</u>	<u>1,048,869</u>	<u>31,593</u>	<u>(335,473)</u>	<u>(455,155)</u>	<u>(571,583)</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u>12,264,971</u>	<u>11,998,456</u>	<u>14,676,398</u>	<u>14,203,989</u>	<u>13,430,210</u>	<u>12,267,451</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	144,172	105,692	100,455	108,579	104,045	103,895
Local Assistance	33,045	35,410	20,821	24,247	24,247	24,247
State Operations	53,523	54,741	55,184	69,571	69,371	69,371
Personal Service	33,983	35,313	37,563	36,952	36,952	36,952
Non-Personal Service/Indirect Costs	19,540	19,428	17,621	32,619	32,419	32,419
General State Charges	5,851	4,115	4,285	4,431	4,512	4,512
Capital Projects	51,753	11,426	20,165	10,330	5,915	5,765
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
State Operations	11,546	10,611	11,560	11,244	11,244	11,244
Personal Service	8,166	8,088	8,316	8,316	8,316	8,316
Non-Personal Service/Indirect Costs	3,380	2,523	3,244	2,928	2,928	2,928
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Local Assistance	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	94,207	66,479	88,345	85,843	84,843	84,143
Local Assistance	75,941	48,390	70,781	63,879	63,879	62,779
State Operations	18,266	17,756	17,536	17,536	17,536	17,536
Personal Service	13,090	12,934	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	5,176	4,822	4,607	4,607	4,607	4,607
General State Charges	0	0	28	28	28	28
Capital Projects	0	333	0	4,400	3,400	3,800
Empire State Development Corporation	1,327,572	1,031,284	1,999,431	2,037,959	1,812,962	1,460,467
Local Assistance	1,241,923	1,008,141	1,086,817	1,348,338	1,103,617	1,090,144
Capital Projects	85,649	23,143	912,614	689,621	709,345	370,323
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Capital Projects	20,828	15,646	21,569	18,244	20,433	18,206
Financial Services, Department of	394,049	371,795	392,439	384,391	384,391	384,391
Local Assistance	64,179	55,146	61,581	59,896	59,896	59,896
State Operations	216,351	218,385	216,381	210,244	210,244	210,244
Personal Service	156,895	157,002	159,736	153,893	153,893	153,893
Non-Personal Service/Indirect Costs	59,456	61,383	56,645	56,351	56,351	56,351
General State Charges	113,519	98,264	114,477	114,251	114,251	114,251
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Capital Projects	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	53,875	97,106	142,676	55,176	21,676	21,676
Local Assistance	2,360	2,268	0	0	0	0
State Operations	8,784	9,688	11,676	11,676	11,676	11,676
Personal Service	5,595	5,500	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	3,189	4,188	6,338	6,338	6,338	6,338
General State Charges	31	0	0	0	0	0
Capital Projects	42,700	85,150	131,000	43,500	10,000	10,000
Power Authority, New York	1,346	10,315	30,500	9,500	500	500
Local Assistance	0	9,814	0	0	0	0
Capital Projects	1,346	501	30,500	9,500	500	500
Public Service Department	78,507	82,388	86,827	84,299	83,175	83,175
Local Assistance	218	1,500	160	60	60	60
State Operations	54,273	52,939	54,721	52,701	51,035	51,035
Personal Service	43,935	44,224	47,227	45,752	45,779	45,779
Non-Personal Service/Indirect Costs	10,338	8,715	7,494	6,949	5,256	5,256
General State Charges	24,016	27,949	31,946	31,538	32,080	32,080
Regional Economic Development Program	2,938	1,902	3,000	4,500	447	0
Local Assistance	2,938	1,902	0	0	0	0
Capital Projects	0	0	3,000	4,500	447	0
Strategic Investment Program	2,888	1,056	2,500	2,000	2,000	2,000
Local Assistance	2,888	1,056	2,500	0	0	0
Capital Projects	0	0	0	2,000	2,000	2,000
Functional Total	2,133,705	1,797,084	2,915,702	2,849,735	2,543,716	2,177,697
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,368	6,185	5,169	5,035
State Operations	4,297	4,443	5,368	5,185	5,169	5,035
Personal Service	3,950	4,069	4,528	4,470	4,470	4,370
Non-Personal Service/Indirect Costs	347	374	840	715	699	665
Capital Projects	0	0	0	1,000	0	0
Environmental Conservation, Department of	1,216,134	1,243,110	1,543,202	1,616,345	1,711,115	1,782,081
Local Assistance	475,941	457,645	443,244	461,586	465,983	465,983
State Operations	249,164	257,274	286,104	280,094	274,666	274,251
Personal Service	198,080	204,013	229,579	222,804	217,328	217,328
Non-Personal Service/Indirect Costs	51,084	53,261	56,525	57,290	57,338	56,923
General State Charges	81,999	62,695	68,153	65,851	66,566	57,935
Capital Projects	409,030	465,496	745,701	808,814	903,900	983,912
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Capital Projects	0	2,000	8,000	18,000	17,000	14,000

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Parks, Recreation and Historic Preservation, Office of	373,648	364,604	372,998	355,012	351,677	351,677
Local Assistance	11,546	9,367	5,020	5,020	5,020	5,020
State Operations	181,702	168,989	177,208	173,838	170,953	170,953
Personal Service	136,844	129,473	142,035	138,665	135,780	135,780
Non-Personal Service/Indirect Costs	44,858	39,516	35,173	35,173	35,173	35,173
General State Charges	3,657	4,697	2,031	1,965	1,965	1,965
Capital Projects	176,743	181,551	188,739	174,189	173,739	173,739
Functional Total	1,594,079	1,614,157	1,929,568	1,995,542	2,084,961	2,152,793
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	485,856	0	0	0
Local Assistance	739,514	544,486	485,856	0	0	0
Motor Vehicles, Department of	336,460	362,100	398,066	361,430	345,958	346,054
Local Assistance	16,526	14,070	18,000	18,000	18,000	18,000
State Operations	63,061	65,804	70,084	69,765	69,765	69,765
Personal Service	45,393	47,963	49,186	49,476	49,476	49,476
Non-Personal Service/Indirect Costs	17,668	17,841	20,898	20,289	20,289	20,289
General State Charges	29,270	23,949	26,769	27,421	27,421	27,421
Capital Projects	227,603	258,277	283,213	246,244	230,772	230,868
Transportation, Department of	8,775,801	8,287,877	9,522,368	9,982,974	9,869,265	10,090,569
Local Assistance	5,098,210	4,728,430	4,996,093	4,953,181	5,153,068	5,347,175
State Operations	320,694	362,661	366,605	364,792	364,792	364,792
Personal Service	164,949	169,270	179,509	172,880	172,880	172,880
Non-Personal Service/Indirect Costs	155,745	193,391	187,096	191,912	191,912	191,912
General State Charges	8,965	6,788	9,053	8,251	8,366	8,483
Capital Projects	3,347,932	3,189,998	4,150,617	4,656,750	4,343,039	4,370,119
Functional Total	9,851,775	9,194,463	10,406,290	10,344,404	10,215,223	10,436,623
HEALTH						
Aging, Office for the	237,763	254,394	252,564	257,433	262,615	267,927
Local Assistance	228,997	246,601	240,000	245,055	250,237	255,549
State Operations	8,722	7,730	12,564	12,378	12,378	12,378
Personal Service	7,193	7,057	8,097	7,924	7,924	7,924
Non-Personal Service/Indirect Costs	1,529	673	4,467	4,454	4,454	4,454
General State Charges	44	63	0	0	0	0
Health, Department of	69,715,870	72,735,168	80,730,915	81,164,531	83,879,206	86,595,676
Medical Assistance	58,623,402	61,310,204	67,370,833	69,164,868	71,775,020	74,616,426
Local Assistance	58,623,402	61,310,204	67,370,833	69,164,868	71,775,020	74,616,426
Essential Plan	4,083,867	3,908,166	4,820,502	4,915,957	4,974,876	4,983,298
Local Assistance	4,007,287	3,834,196	4,744,395	4,840,130	4,900,596	4,909,010
State Operations	76,580	73,970	76,107	75,827	74,280	74,288
Personal Service	3,233	3,326	4,138	4,453	4,476	4,413
Non-Personal Service/Indirect Costs	73,347	70,644	71,969	71,374	69,804	69,875
Medicaid Administration	1,851,372	1,572,734	1,517,391	1,569,745	1,558,217	1,547,305
Local Assistance	1,240,677	1,030,610	840,573	827,735	809,151	782,787
State Operations	607,179	538,264	671,999	733,614	739,943	755,055
Personal Service	70,586	68,849	76,311	88,224	94,204	99,070
Non-Personal Service/Indirect Costs	536,593	469,415	595,688	645,390	645,739	655,985
General State Charges	3,516	3,860	4,819	8,396	9,123	9,463
Public Health	5,157,229	5,944,064	7,022,189	5,513,961	5,571,093	5,448,647
Local Assistance	4,223,433	4,497,413	4,688,168	4,505,036	4,622,753	4,516,501
State Operations	777,299	1,305,301	2,122,438	733,663	731,990	732,673
Personal Service	279,332	289,441	309,920	300,712	300,818	300,968
Non-Personal Service/Indirect Costs	497,967	1,015,860	1,812,518	432,951	431,172	431,705
General State Charges	78,826	65,889	84,088	85,292	84,188	84,292
Capital Projects	77,671	75,461	127,495	189,970	132,162	115,181
Medicaid Inspector General, Office of the	46,912	45,787	48,113	46,892	46,892	46,892
State Operations	35,842	36,350	37,812	36,591	36,591	36,591
Personal Service	30,415	31,186	32,470	31,249	31,249	31,249
Non-Personal Service/Indirect Costs	5,427	5,164	5,342	5,342	5,342	5,342
General State Charges	11,070	9,437	10,301	10,301	10,301	10,301
Functional Total	70,000,545	73,035,349	81,031,592	81,468,856	84,188,713	86,910,495
SOCIAL WELFARE						
Children and Family Services, Office of	2,919,962	2,612,986	3,279,448	2,994,941	2,995,537	3,002,224
OCFS	2,847,848	2,575,771	3,208,590	2,919,919	2,920,515	2,927,202
Local Assistance	2,449,310	2,311,826	2,681,039	2,393,612	2,394,195	2,394,195
State Operations	326,193	227,047	479,716	480,636	480,636	487,323
Personal Service	202,543	113,208	296,269	293,800	293,800	296,779
Non-Personal Service/Indirect Costs	123,650	113,839	183,447	186,836	186,836	190,544
General State Charges	16,545	14,422	22,031	22,011	22,011	22,011
Capital Projects	55,800	22,476	25,804	23,660	23,673	23,673
OCFS - Other	72,114	37,215	70,858	75,022	75,022	75,022

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	422,398	525,868	838,272	808,847	848,847	598,847
Local Assistance	337,864	443,031	799,146	767,246	807,246	557,246
State Operations	58,622	60,366	29,600	29,256	29,256	29,256
Personal Service	46,746	45,387	25,830	25,348	25,348	25,348
Non-Personal Service/Indirect Costs	11,876	14,979	3,770	3,908	3,908	3,908
General State Charges	25,912	22,471	6,526	9,345	9,345	9,345
Capital Projects	0	0	3,000	3,000	3,000	3,000
Human Rights, Division of	14,990	15,432	15,372	14,990	14,990	14,990
State Operations	14,990	15,432	15,372	14,990	14,990	14,990
Personal Service	12,688	12,576	13,475	13,173	13,173	13,173
Non-Personal Service/Indirect Costs	2,302	2,856	1,897	1,817	1,817	1,817
Labor, Department of	585,806	592,123	570,315	602,207	602,207	602,207
Local Assistance	138,948	162,002	154,292	157,042	157,042	157,042
State Operations	287,357	303,165	299,343	304,943	304,943	304,943
Personal Service	210,868	208,166	206,414	208,606	208,606	208,606
Non-Personal Service/Indirect Costs	76,489	94,999	92,929	96,337	96,337	96,337
General State Charges	159,501	126,956	116,680	140,222	140,222	140,222
National and Community Service	10,334	11,971	16,986	17,305	17,305	17,632
Local Assistance	270	349	432	432	432	432
State Operations	10,064	11,622	16,312	16,631	16,631	16,956
Personal Service	621	679	730	738	738	745
Non-Personal Service/Indirect Costs	9,443	10,943	15,582	15,893	15,893	16,211
General State Charges	0	0	242	242	242	244
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Local Assistance	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Local Assistance	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	5,442,618	5,085,108	5,253,444	5,229,140	5,321,980	5,335,155
Welfare Assistance	4,125,669	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
Local Assistance	4,125,669	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
All Other	1,316,949	1,397,644	1,305,156	1,385,252	1,478,092	1,491,267
Local Assistance	960,306	1,032,451	973,322	1,047,478	1,139,278	1,152,453
State Operations	290,245	306,169	281,694	287,410	287,410	287,410
Personal Service	155,859	160,695	154,335	157,441	157,441	157,441
Non-Personal Service/Indirect Costs	134,386	145,474	127,359	129,969	129,969	129,969
General State Charges	65,432	58,211	48,540	49,564	50,604	50,604
Capital Projects	966	813	1,600	800	800	800
Functional Total	9,451,887	8,863,129	9,991,837	9,682,430	9,815,866	9,585,353
MENTAL HYGIENE						
Addiction Services and Supports, Office of	599,319	577,249	702,055	689,512	698,560	711,449
OASAS	525,470	503,970	633,180	619,962	628,640	640,862
Local Assistance	482,038	461,170	563,628	556,294	562,320	573,670
State Operations	39,013	41,201	53,793	50,250	50,851	51,670
Personal Service	24,921	26,214	35,062	32,397	32,729	33,055
Non-Personal Service/Indirect Costs	14,092	14,987	18,731	17,853	18,122	18,615
General State Charges	2,383	0	0	0	0	0
Capital Projects	2,036	1,599	15,759	13,418	15,469	15,522
OASAS - Other	73,849	73,279	68,875	69,550	69,920	70,587
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	52,524	51,954	47,550	48,225	48,595	49,262
Personal Service	37,825	37,249	35,334	35,589	35,914	36,243
Non-Personal Service/Indirect Costs	14,699	14,705	12,216	12,636	12,681	13,019
Developmental Disabilities Planning Council	3,948	4,636	4,200	4,200	4,200	4,200
State Operations	3,180	3,954	3,415	3,415	3,415	3,415
Personal Service	1,025	1,133	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,155	2,821	2,149	2,149	2,149	2,149
General State Charges	768	682	785	785	785	785
Justice Center	44,628	45,278	46,018	45,353	45,950	46,580
Local Assistance	679	649	649	649	649	649
State Operations	42,729	44,040	44,350	43,660	44,231	44,833
Personal Service	32,886	34,257	34,878	33,949	34,277	34,627
Non-Personal Service/Indirect Costs	9,843	9,783	9,472	9,711	9,954	10,206
General State Charges	1,220	589	1,019	1,044	1,070	1,098
Mental Health, Office of	2,915,984	3,032,581	3,297,442	3,354,702	3,433,739	3,529,346
OMH	1,657,443	1,707,107	1,959,787	1,986,684	2,038,456	2,107,918
Local Assistance	1,090,479	1,106,979	1,261,680	1,299,585	1,355,532	1,423,205
State Operations	332,772	359,817	366,149	375,322	384,141	389,899
Personal Service	269,052	287,113	322,300	330,329	337,668	341,526
Non-Personal Service/Indirect Costs	63,720	72,704	43,849	44,993	46,473	48,373
General State Charges	(10,072)	617	469	469	469	469
Capital Projects	244,264	239,694	331,489	311,308	298,314	294,345
OMH - Other	1,258,541	1,325,474	1,337,655	1,368,018	1,395,283	1,421,428

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	278,961	288,507	309,517	313,994	322,295	327,322
State Operations	1,013,556	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
Personal Service	818,643	831,217	806,961	812,060	819,286	828,673
Non-Personal Service/Indirect Costs	194,913	205,750	221,177	241,964	253,702	265,433
General State Charges	(33,976)	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
Debt Service	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,937,655	3,201,373	2,479,860	3,203,873	3,767,120	4,333,892
OPWDD	485,535	511,007	489,631	484,834	502,463	526,479
Local Assistance	388,326	413,701	356,722	362,203	373,065	407,287
State Operations	645	564	1,203	1,203	1,203	1,203
Personal Service	387	233	0	0	0	0
Non-Personal Service/Indirect Costs	258	331	1,203	1,203	1,203	1,203
General State Charges	78	142	0	0	0	0
Capital Projects	96,486	96,600	131,706	121,428	128,195	117,989
OPWDD - Other	1,452,120	2,690,366	1,990,229	2,719,039	3,264,657	3,807,413
Local Assistance	131,150	1,333,390	650,896	1,365,520	1,894,217	2,419,764
State Operations	1,320,970	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Personal Service	1,142,322	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Non-Personal Service/Indirect Costs	178,648	195,647	186,010	190,672	195,584	200,664
Functional Total	5,501,534	6,861,117	6,529,575	7,297,640	7,949,569	8,602,873
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
State Operations	2,541	3,149	2,773	2,735	2,735	2,735
Personal Service	2,276	2,652	2,531	2,488	2,488	2,488
Non-Personal Service/Indirect Costs	265	497	242	247	247	247
Corrections and Community Supervision, Department of	2,976,983	3,295,148	3,071,308	3,069,150	3,088,209	3,089,374
DOCCS	2,976,983	3,295,148	3,069,308	3,069,150	3,088,209	3,089,374
Local Assistance	3,435	6,336	4,836	4,836	4,836	4,836
State Operations	2,602,342	2,875,291	2,722,679	2,725,482	2,765,251	2,762,251
Personal Service	2,113,450	2,382,638	2,225,170	2,234,012	2,267,435	2,267,435
Non-Personal Service/Indirect Costs	488,892	492,653	497,509	491,470	497,816	494,816
General State Charges	1,666	1,097	1,147	1,147	1,147	1,147
Capital Projects	369,540	412,424	340,646	337,685	316,975	321,140
DOCCS - Other	0	0	2,000	0	0	0
Local Assistance	0	0	2,000	0	0	0
Criminal Justice Services, Division of	268,933	231,940	290,587	265,408	226,245	227,196
Local Assistance	222,515	187,905	217,971	217,971	177,971	177,971
State Operations	45,900	43,776	47,268	47,089	47,919	48,863
Personal Service	33,118	32,304	33,675	33,231	33,745	34,410
Non-Personal Service/Indirect Costs	12,782	11,472	13,593	13,858	14,174	14,453
General State Charges	518	259	348	348	355	362
Capital Projects	0	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	1,217,150	1,479,767	1,224,398	1,245,995	1,247,635	1,241,130
Local Assistance	1,127,676	1,395,172	1,119,142	1,138,839	1,145,925	1,147,427
State Operations	68,256	65,482	80,171	82,598	83,438	84,298
Personal Service	34,464	37,428	45,314	47,215	47,774	48,433
Non-Personal Service/Indirect Costs	33,792	28,054	34,857	35,383	35,664	35,865
General State Charges	9,246	4,605	7,835	7,905	7,905	7,905
Capital Projects	11,972	14,508	17,250	16,653	10,367	1,500
Indigent Legal Services, Office of	88,453	90,265	213,432	226,614	276,785	290,707
Local Assistance	83,765	85,503	207,000	220,000	270,000	283,762
State Operations	2,837	3,117	4,276	4,380	4,468	4,543
Personal Service	2,430	2,620	3,440	3,524	3,595	3,667
Non-Personal Service/Indirect Costs	407	497	836	856	873	876
General State Charges	1,851	1,645	2,156	2,234	2,317	2,402
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
State Operations	5,423	5,748	6,266	6,444	6,550	6,550
Personal Service	3,959	4,203	4,878	4,813	4,903	4,903
Non-Personal Service/Indirect Costs	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
State Operations	0	0	30	30	30	30
Non-Personal Service/Indirect Costs	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
State Operations	7	6	38	38	38	38
Non-Personal Service/Indirect Costs	7	6	38	38	38	38
Military and Naval Affairs, Division of	150,526	197,777	178,551	169,572	170,643	137,504
Local Assistance	885	885	820	886	904	923
State Operations	62,111	63,191	72,072	64,143	65,316	66,583
Personal Service	35,883	37,115	43,863	37,554	38,272	39,005
Non-Personal Service/Indirect Costs	26,228	26,076	28,209	26,589	27,044	27,578
General State Charges	11,948	8,307	7,940	7,441	7,441	7,441
Capital Projects	75,582	125,394	97,719	97,102	96,982	62,557

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Police, Division of	840,623	877,304	1,101,836	930,289	943,308	946,738
Local Assistance	14	15	0	0	0	0
State Operations	745,098	799,851	836,286	844,778	864,747	864,747
Personal Service	670,416	721,655	762,485	760,779	779,644	779,644
Non-Personal Service/Indirect Costs	74,682	78,196	73,801	83,999	85,103	85,103
General State Charges	48,184	28,244	209,697	30,823	31,023	31,023
Capital Projects	47,327	49,194	55,853	54,688	47,538	50,968
Statewide Financial System	30,520	31,517	32,082	31,329	31,944	31,944
State Operations	30,520	31,517	31,988	31,329	31,944	31,944
Personal Service	11,294	11,650	12,699	12,333	12,568	12,568
Non-Personal Service/Indirect Costs	19,226	19,867	19,289	18,996	19,376	19,376
General State Charges	0	0	94	0	0	0
Victim Services, Office of	79,725	114,705	131,062	131,711	131,711	129,739
Local Assistance	70,626	104,191	120,744	121,398	121,398	121,398
State Operations	7,205	8,427	8,668	8,630	8,630	6,658
Personal Service	5,139	6,764	6,323	6,272	6,272	5,015
Non-Personal Service/Indirect Costs	2,066	1,663	2,345	2,358	2,358	1,643
General State Charges	1,894	2,087	1,650	1,683	1,683	1,683
Functional Total	5,660,884	6,327,326	6,252,363	6,079,315	6,125,833	6,103,685
HIGHER EDUCATION						
City University of New York	1,638,091	1,073,405	2,422,784	1,683,853	1,726,246	1,766,003
Local Assistance	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
State Operations	97,492	103,323	140,140	11,127	11,127	11,127
Personal Service	47,274	48,676	84,145	3,493	3,493	3,493
Non-Personal Service/Indirect Costs	50,218	54,647	55,995	7,634	7,634	7,634
General State Charges	129	204	150	0	0	0
Capital Projects	32,463	36,412	37,352	47,352	57,352	67,352
Higher Education - Miscellaneous	540	609	441	441	441	441
State Operations	340	428	291	291	291	291
Personal Service	232	283	198	198	198	198
Non-Personal Service/Indirect Costs	108	145	93	93	93	93
General State Charges	200	181	150	150	150	150
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	15,750	12,650	14,150	14,150
Local Assistance	8,769	5,669	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	1,036,617	990,167	867,887	892,026	896,431	896,669
Local Assistance	984,334	950,544	813,959	838,098	842,503	842,741
State Operations	42,475	33,008	44,907	44,907	44,907	44,907
Personal Service	12,339	10,530	13,752	13,752	13,752	13,752
Non-Personal Service/Indirect Costs	30,136	22,478	31,155	31,155	31,155	31,155
General State Charges	9,808	6,615	9,021	9,021	9,021	9,021
State University of New York	8,303,886	8,570,332	8,670,525	8,903,639	9,063,288	9,055,383
Local Assistance	487,978	478,969	459,460	461,184	460,184	460,184
State Operations	6,352,032	6,672,693	6,725,787	6,683,897	6,779,239	6,858,451
Personal Service	3,962,540	4,088,768	4,289,439	4,221,276	4,289,360	4,340,936
Non-Personal Service/Indirect Costs	2,389,492	2,583,925	2,436,348	2,462,621	2,489,879	2,517,515
General State Charges	522,634	441,793	492,103	589,409	616,739	609,955
Capital Projects	941,242	976,877	993,175	1,169,149	1,207,126	1,126,793
Functional Total	10,987,903	10,640,182	11,977,387	11,492,609	11,700,556	11,732,646
EDUCATION						
Arts, Council on the	44,212	49,048	46,634	45,953	45,953	45,953
Local Assistance	39,946	44,797	42,068	41,533	41,533	41,533
State Operations	4,266	4,251	4,566	4,420	4,420	4,420
Personal Service	2,636	2,681	2,594	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,630	1,570	1,972	1,922	1,922	1,922
Education, Department of	35,369,328	35,975,909	36,717,577	36,744,023	37,332,866	38,076,827
School Aid	29,115,634	30,047,154	30,769,697	30,838,890	31,503,729	32,311,356
Local Assistance	29,115,633	30,047,154	30,769,697	30,838,890	31,503,729	32,311,356
State Operations	1	0	0	0	0	0
Non-Personal Service/Indirect Costs	1	0	0	0	0	0
School Aid - Other	152,867	137,708	140,000	140,000	140,000	140,000
Local Assistance	152,867	137,708	140,000	140,000	140,000	140,000
STAR Property Tax Relief	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Local Assistance	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Special Education Categorical Programs	2,298,888	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
Local Assistance	2,298,888	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
All Other	1,378,827	1,536,786	1,577,543	1,557,610	1,535,701	1,511,527
Local Assistance	962,535	1,128,363	1,124,529	1,125,740	1,107,778	1,088,442
State Operations	301,533	306,464	310,550	302,144	302,101	302,101
Personal Service	177,576	176,964	183,018	178,463	178,463	178,463
Non-Personal Service/Indirect Costs	123,957	129,500	127,532	123,681	123,638	123,638
General State Charges	108,613	90,647	97,458	96,581	97,269	97,269
Capital Projects	6,146	11,312	45,006	33,145	28,553	23,715

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Functional Total	35,413,540	36,024,957	36,764,211	36,789,976	37,378,819	38,122,780
GENERAL GOVERNMENT						
Budget, Division of the	26,125	28,955	30,603	29,596	29,596	29,596
State Operations	25,218	27,999	28,886	27,939	27,939	27,939
Personal Service	21,921	23,615	25,511	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	3,297	4,384	3,375	3,372	3,372	3,372
General State Charges	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	16,128	15,490	15,148	15,190	15,211	15,211
Local Assistance	567	78	300	300	300	300
State Operations	15,422	15,294	14,604	14,649	14,666	14,666
Personal Service	15,062	15,287	14,592	14,207	14,215	14,215
Non-Personal Service/Indirect Costs	360	7	12	442	451	451
General State Charges	139	118	244	241	245	245
Deferred Compensation Board	776	733	896	900	904	904
State Operations	474	473	649	648	648	648
Personal Service	424	441	414	413	413	413
Non-Personal Service/Indirect Costs	50	32	235	235	235	235
General State Charges	302	260	247	252	256	256
Elections, State Board of	11,162	22,321	34,735	23,206	15,104	12,704
Local Assistance	450	1,829	10,200	1,500	0	0
State Operations	10,442	17,541	18,329	15,100	11,498	11,498
Personal Service	6,025	6,636	7,221	7,128	6,966	6,966
Non-Personal Service/Indirect Costs	4,417	10,905	11,108	7,972	4,532	4,532
General State Charges	270	364	406	406	406	406
Capital Projects	0	2,587	5,800	6,200	3,200	800
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445
State Operations	2,097	5,880	6,542	6,444	6,445	6,445
Personal Service	2,040	5,789	6,346	6,248	6,248	6,248
Non-Personal Service/Indirect Costs	57	91	196	196	197	197
Gaming Commission, New York State	159,059	165,136	255,774	176,948	187,295	201,054
Local Assistance	69,013	97,809	169,324	92,915	103,262	117,021
State Operations	67,292	50,264	67,985	66,458	66,458	66,458
Personal Service	35,418	34,014	40,178	38,687	38,687	38,687
Non-Personal Service/Indirect Costs	31,874	16,250	27,807	27,771	27,771	27,771
General State Charges	22,754	17,063	18,465	17,575	17,575	17,575
General Services, Office of	307,115	305,353	416,412	314,493	249,948	251,280
Local Assistance	0	0	250	250	250	250
State Operations	151,612	124,474	94,096	95,706	97,484	98,984
Personal Service	61,158	41,123	40,732	39,915	40,691	41,483
Non-Personal Service/Indirect Costs	90,454	83,351	53,364	55,791	56,793	57,501
General State Charges	538	4,973	583	575	585	585
Capital Projects	154,965	175,906	321,483	217,962	151,629	151,461
Information Technology Services, Office of	615,182	622,486	786,457	636,387	625,751	607,080
State Operations	544,832	541,476	620,413	552,448	563,606	563,606
Personal Service	299,018	296,582	306,465	307,876	314,114	314,114
Non-Personal Service/Indirect Costs	245,814	244,894	313,948	244,572	249,492	249,492
General State Charges	0	0	2,715	0	0	0
Capital Projects	70,350	81,010	163,329	83,939	62,145	43,474
Inspector General, Office of the	6,844	6,381	9,364	8,061	8,210	8,210
State Operations	6,844	6,381	8,801	8,061	8,210	8,210
Personal Service	5,909	5,423	7,945	6,857	6,994	6,994
Non-Personal Service/Indirect Costs	935	958	856	1,204	1,216	1,216
General State Charges	0	0	563	0	0	0
Labor Management Committees	35,520	37,107	37,631	38,378	39,139	39,916
State Operations	29,699	30,721	32,631	33,378	34,139	34,916
Personal Service	8,618	8,420	5,698	5,487	5,487	5,487
Non-Personal Service/Indirect Costs	21,081	22,301	26,933	27,891	28,652	29,429
General State Charges	5,821	6,386	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,823	2,984	3,257	3,195	3,195	3,195
Local Assistance	1,267	1,288	1,385	1,412	1,412	1,412
State Operations	1,556	1,696	1,872	1,783	1,783	1,783
Personal Service	1,394	1,550	1,660	1,567	1,567	1,567
Non-Personal Service/Indirect Costs	162	146	212	216	216	216
Public Employment Relations Board	3,430	3,401	3,764	3,634	3,634	3,634
State Operations	3,430	3,401	3,764	3,634	3,634	3,634
Personal Service	3,175	3,214	3,468	3,338	3,338	3,338
Non-Personal Service/Indirect Costs	255	187	296	296	296	296
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State Operations	5,223	5,217	5,835	6,010	6,119	6,119
Personal Service	4,516	4,486	4,867	4,827	4,924	4,924
Non-Personal Service/Indirect Costs	707	731	968	1,183	1,195	1,195
State, Department of	146,276	133,787	153,295	177,462	209,479	185,160
Local Assistance	80,987	72,643	82,274	107,274	139,274	114,955
State Operations	46,216	47,053	51,422	50,310	50,310	50,310

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Service	31,280	31,618	34,104	33,016	33,016	33,016
Non-Personal Service/Indirect Costs	14,936	15,435	17,318	17,294	17,294	17,294
General State Charges	17,835	11,380	17,599	17,878	17,895	17,895
Capital Projects	1,238	2,711	2,000	2,000	2,000	2,000
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
State Operations	2,820	2,871	3,150	3,040	3,040	3,040
Personal Service	2,513	2,640	2,936	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	307	231	214	170	170	170
Taxation and Finance, Department of	320,578	352,299	397,538	371,747	370,307	370,907
Local Assistance	2,435	3,302	3,491	3,491	3,491	3,491
State Operations	312,476	316,168	366,269	346,659	345,498	346,030
Personal Service	269,428	265,994	290,908	272,834	272,386	272,493
Non-Personal Service/Indirect Costs	43,048	50,174	75,361	73,825	73,112	73,537
General State Charges	5,667	32,829	27,778	21,597	21,318	21,386
Veterans' Services, Division of	17,254	15,500	16,333	16,321	16,332	16,425
Local Assistance	10,499	8,235	7,840	7,840	7,840	7,840
State Operations	6,370	6,935	7,979	7,945	7,945	8,033
Personal Service	5,667	6,088	7,004	6,950	6,950	7,019
Non-Personal Service/Indirect Costs	703	847	975	995	995	1,014
General State Charges	385	330	514	536	547	552
Welfare Inspector General, Office of	629	640	731	753	768	768
State Operations	629	640	731	753	768	768
Personal Service	615	630	654	646	659	659
Non-Personal Service/Indirect Costs	14	10	77	107	109	109
Workers' Compensation Board	198,486	198,282	228,305	230,203	203,194	196,439
State Operations	141,918	139,495	148,002	143,219	143,219	143,219
Personal Service	82,890	84,865	89,608	84,892	84,892	84,892
Non-Personal Service/Indirect Costs	59,028	54,630	58,394	58,327	58,327	58,327
General State Charges	56,568	53,880	57,863	53,220	53,220	53,220
Capital Projects	0	4,907	22,440	33,764	6,755	0
Functional Total	1,877,527	1,924,823	2,405,770	2,061,968	1,993,671	1,958,087
ELECTED OFFICIALS						
Audit and Control, Department of	184,860	189,172	201,029	200,879	200,241	195,514
Local Assistance	32,025	32,025	32,025	32,025	32,025	32,025
State Operations	148,498	152,149	164,001	161,292	161,292	161,292
Personal Service	118,729	121,837	130,597	127,888	127,888	127,888
Non-Personal Service/Indirect Costs	29,769	30,312	33,404	33,404	33,404	33,404
General State Charges	2,039	1,568	2,197	2,197	2,197	2,197
Capital Projects	2,298	3,430	2,806	5,365	4,727	0
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
State Operations	12,673	13,239	14,032	13,578	13,578	13,578
Personal Service	9,135	10,876	11,567	11,113	11,113	11,113
Non-Personal Service/Indirect Costs	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	3,074,939	3,153,277	3,171,184	3,264,481	3,252,497	3,218,593
Local Assistance	101,561	166,113	117,500	179,500	179,500	179,500
State Operations	2,175,297	2,144,874	2,246,900	2,189,900	2,190,200	2,190,200
Personal Service	1,733,307	1,715,181	1,809,100	1,752,100	1,752,400	1,752,400
Non-Personal Service/Indirect Costs	441,990	429,693	437,800	437,800	437,800	437,800
General State Charges	787,708	820,996	781,084	882,798	882,797	848,893
Capital Projects	10,373	21,294	25,700	12,283	0	0
Law, Department of	264,200	244,393	263,149	251,746	249,746	249,746
State Operations	215,230	210,980	221,937	216,486	216,486	216,486
Personal Service	155,585	156,208	160,514	155,062	155,062	155,062
Non-Personal Service/Indirect Costs	59,645	54,772	61,423	61,424	61,424	61,424
General State Charges	42,114	29,069	35,477	33,260	33,260	33,260
Capital Projects	6,856	4,344	5,735	2,000	0	0
Legislature	223,573	228,725	249,056	255,949	256,002	256,002
State Operations	223,573	228,725	249,056	255,949	256,002	256,002
Personal Service	175,304	177,365	192,583	198,366	198,419	198,419
Non-Personal Service/Indirect Costs	48,269	51,360	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	530	518	634	614	614	614
State Operations	530	518	634	614	614	614
Personal Service	414	431	543	523	523	523
Non-Personal Service/Indirect Costs	116	87	91	91	91	91
Functional Total	3,760,775	3,829,324	3,899,084	3,987,247	3,972,678	3,934,047
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
Local Assistance	721,732	662,054	671,166	704,192	704,192	704,192
State Operations	247	2	0	0	0	0
Non-Personal Service/Indirect Costs	247	2	0	0	0	0
County-Wide Shared Services Initiative	0	11,166	35,000	60,000	60,000	60,000
Local Assistance	0	11,166	35,000	60,000	60,000	60,000

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Local Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	763,082	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	3,456	6,500	6,500	0	0
Local Assistance	3,340	3,363	6,500	6,500	0	0
Capital Projects	81	93	0	0	0	0
General State Charges	6,373,457	6,655,088	6,313,980	8,156,155	8,701,270	8,865,924
General State Charges	6,373,457	6,655,088	6,313,980	8,156,155	8,701,270	8,865,924
Long-Term Debt Service	6,736,264	4,952,363	10,570,369	7,023,463	7,441,922	7,656,850
State Operations	37,699	36,271	61,470	43,072	43,072	43,072
Non-Personal Service/Indirect Costs	37,699	36,271	61,470	43,072	43,072	43,072
Debt Service	6,698,565	4,916,092	10,508,899	6,980,391	7,398,850	7,613,778
Miscellaneous	(254,897)	(245,880)	(7,274,410)	(9,962,233)	(10,248,564)	(10,248,563)
Local Assistance	(343,899)	(363,606)	(8,579,161)	(8,655,310)	(8,790,461)	(8,765,460)
State Operations	49,916	49,007	1,529,301	(1,022,805)	(1,272,805)	(1,272,805)
Personal Service	2,132	2,088	(532,595)	(547,595)	(647,595)	(647,595)
Non-Personal Service/Indirect Costs	47,784	46,919	2,061,896	(475,210)	(625,210)	(625,210)
General State Charges	2,969	4,050	5,789	5,789	5,797	5,797
Capital Projects	36,117	64,669	(230,339)	(289,907)	(191,095)	(216,095)
Special Infrastructure Account	1,019,587	789,127	625,174	359,027	232,684	116,255
Local Assistance	595,694	461,206	210,000	150,000	120,000	55,690
Capital Projects	423,893	327,921	415,174	209,027	112,684	60,565
Functional Total	13,877,832	12,154,154	10,241,613	5,582,912	6,127,312	6,390,466
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	170,875,068	172,980,387	185,084,011	180,429,679	184,893,962	188,904,590

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	144,172	105,692	100,455	108,579	104,045	103,895
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	94,207	66,479	88,345	85,843	84,843	84,143
Empire State Development Corporation	1,327,572	1,031,284	1,999,431	2,037,959	1,812,962	1,460,467
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Financial Services, Department of	394,049	371,795	392,439	384,391	384,391	384,391
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	53,875	97,106	142,676	55,176	21,676	21,676
Power Authority, New York	1,346	10,315	30,500	9,500	500	500
Public Service Department	78,507	82,388	86,827	84,299	83,175	83,175
Regional Economic Development Program	2,938	1,902	3,000	4,500	447	0
Strategic Investment Program	2,888	1,056	2,500	2,000	2,000	2,000
Functional Total	2,133,705	1,797,084	2,915,702	2,849,735	2,543,716	2,177,697
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,368	6,185	5,169	5,035
Environmental Conservation, Department of	1,216,134	1,243,110	1,543,202	1,616,345	1,711,115	1,782,081
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	373,648	364,604	372,998	355,012	351,677	351,677
Functional Total	1,594,079	1,614,157	1,929,568	1,995,542	2,084,961	2,152,793
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	485,856	0	0	0
Motor Vehicles, Department of	336,460	362,100	398,066	361,430	345,958	346,054
Transportation, Department of	8,775,801	8,287,877	9,522,368	9,982,974	9,869,265	10,090,569
Functional Total	9,851,775	9,194,463	10,406,290	10,344,404	10,215,223	10,436,623
HEALTH						
Aging, Office for the	237,763	254,394	252,564	257,433	262,615	267,927
Health, Department of	69,715,870	72,735,168	80,730,915	81,164,531	83,879,206	86,595,676
<i>Medical Assistance</i>	58,623,402	61,310,204	67,370,833	69,164,868	71,775,020	74,616,426
<i>Essential Plan</i>	4,083,867	3,908,166	4,820,502	4,915,957	4,974,876	4,983,298
<i>Medicaid Administration</i>	1,851,372	1,572,734	1,517,391	1,569,745	1,558,217	1,547,305
<i>Public Health</i>	5,157,229	5,944,064	7,022,189	5,513,961	5,571,093	5,448,647
Medicaid Inspector General, Office of the	46,912	45,787	48,113	46,892	46,892	46,892
Functional Total	70,000,545	73,035,349	81,031,592	81,468,856	84,188,713	86,910,495
SOCIAL WELFARE						
Children and Family Services, Office of	2,919,962	2,612,986	3,279,448	2,994,941	2,995,537	3,002,224
<i>OCFS</i>	2,847,848	2,575,771	3,208,590	2,919,919	2,920,515	2,927,202
<i>OCFS - Other</i>	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	422,398	525,868	838,272	808,847	848,847	598,847
Human Rights, Division of	14,990	15,432	15,372	14,990	14,990	14,990
Labor, Department of	585,806	592,123	570,315	602,207	602,207	602,207
National and Community Service	10,334	11,971	16,986	17,305	17,305	17,632
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	5,442,618	5,085,108	5,253,444	5,229,140	5,321,980	5,335,155
<i>Welfare Assistance</i>	4,125,669	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
<i>All Other</i>	1,316,949	1,397,644	1,305,156	1,385,252	1,478,092	1,491,267
Functional Total	9,451,887	8,863,129	9,991,837	9,682,430	9,815,866	9,585,353
MENTAL HYGIENE						
Addiction Services and Supports, Office of	599,319	577,249	702,055	689,512	698,560	711,449
<i>OASAS</i>	525,470	503,970	633,180	619,962	628,640	640,862
<i>OASAS - Other</i>	73,849	73,279	68,875	69,550	69,920	70,587
Developmental Disabilities Planning Council	3,948	4,636	4,200	4,200	4,200	4,200
Justice Center	44,628	45,278	46,018	45,353	45,950	46,580
Mental Health, Office of	2,915,984	3,032,581	3,297,442	3,354,702	3,433,739	3,529,346
<i>OMH</i>	1,657,443	1,707,107	1,959,787	1,986,684	2,038,456	2,107,918
<i>OMH - Other</i>	1,258,541	1,325,474	1,337,655	1,368,018	1,395,283	1,421,428
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,937,655	3,201,373	2,479,860	3,203,873	3,767,120	4,333,892
<i>OPWDD</i>	485,535	511,007	489,631	484,834	502,463	526,479
<i>OPWDD - Other</i>	1,452,120	2,690,366	1,990,229	2,719,039	3,264,657	3,807,413
Functional Total	5,501,534	6,861,117	6,529,575	7,297,640	7,949,569	8,602,873
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,976,983	3,295,148	3,071,308	3,069,150	3,088,209	3,089,374
<i>DOCCS</i>	2,976,983	3,295,148	3,069,308	3,069,150	3,088,209	3,089,374
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	268,933	231,940	290,587	265,408	226,245	227,196
Homeland Security and Emergency Services, Division of	1,217,150	1,479,767	1,224,398	1,245,995	1,247,635	1,241,130
Indigent Legal Services, Office of	88,453	90,265	213,432	226,614	276,785	290,707
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	150,526	197,777	178,551	169,572	170,643	137,504
State Police, Division of	840,623	877,304	1,101,836	930,289	943,308	946,738
Statewide Financial System	30,520	31,517	32,082	31,329	31,944	31,944

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Victim Services, Office of	79,725	114,705	131,062	131,711	131,711	129,739
Functional Total	5,660,884	6,327,326	6,252,363	6,079,315	6,125,833	6,103,685
HIGHER EDUCATION						
City University of New York	1,638,091	1,073,405	2,422,784	1,683,853	1,726,246	1,766,003
Higher Education - Miscellaneous	540	609	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	1,036,617	990,167	867,887	892,026	896,431	896,669
State University of New York	8,303,889	8,570,335	8,670,525	8,903,639	9,063,288	9,055,383
Functional Total	10,987,906	10,640,185	11,977,387	11,492,609	11,700,556	11,732,646
EDUCATION						
Arts, Council on the	44,212	49,048	46,634	45,953	45,953	45,953
Education, Department of	35,369,328	35,975,909	36,717,577	36,744,023	37,332,866	38,076,827
<i>School Aid</i>	29,115,634	30,047,154	30,769,697	30,838,890	31,503,729	32,311,356
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	2,298,888	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
<i>All Other</i>	1,378,827	1,536,786	1,577,543	1,557,610	1,535,701	1,511,527
Functional Total	35,413,540	36,024,957	36,764,211	36,789,976	37,378,819	38,122,780
GENERAL GOVERNMENT						
Budget, Division of	26,125	28,955	30,603	29,596	29,596	29,596
Civil Service, Department of	16,128	15,490	15,148	15,190	15,211	15,211
Deferred Compensation Board	776	733	896	900	904	904
Elections, State Board of	11,162	22,321	34,735	23,206	15,104	12,704
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	159,059	165,136	255,774	176,948	187,295	201,054
General Services, Office of	307,115	305,353	416,412	314,493	249,948	251,280
Information Technology Services, Office of	615,182	622,486	786,457	636,387	625,751	607,080
Inspector General, Office of the	6,844	6,381	9,364	8,061	8,210	8,210
Labor Management Committees	35,520	37,107	37,631	38,378	39,139	39,916
Prevention of Domestic Violence, Office for	2,823	2,984	3,257	3,195	3,195	3,195
Public Employment Relations Board	3,430	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State, Department of	146,276	133,787	153,295	177,462	209,479	185,160
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	320,578	352,299	397,538	371,747	370,307	370,907
Veterans' Services, Division of	17,254	15,500	16,333	16,321	16,332	16,425
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	198,486	198,282	228,305	230,203	203,194	196,439
Functional Total	1,877,527	1,924,823	2,405,770	2,061,968	1,993,671	1,958,087
ELECTED OFFICIALS						
Audit and Control, Department of	184,860	189,172	201,029	200,879	200,241	195,514
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	3,074,939	3,153,277	3,171,184	3,264,481	3,252,497	3,218,593
Law, Department of	264,200	244,393	263,149	251,746	249,746	249,746
Legislature	223,573	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	3,760,775	3,829,324	3,899,084	3,987,247	3,972,678	3,934,047
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	763,082	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	3,456	6,500	6,500	0	0
General State Charges	6,373,456	6,655,087	6,313,980	8,156,155	8,701,270	8,865,924
Long-Term Debt Service	6,736,264	4,952,363	10,570,369	7,023,463	7,441,922	7,656,850
Miscellaneous	(254,897)	(245,880)	(7,274,410)	(9,962,233)	(10,248,564)	(10,248,563)
Special Infrastructure Account	1,019,587	789,127	625,174	359,027	232,684	116,255
Functional Total	13,877,831	12,154,153	10,241,613	5,582,912	6,127,312	6,390,466
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	170,875,070	172,980,389	185,084,011	180,429,679	184,893,962	188,904,590

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,045	35,410	20,821	24,247	24,247	24,247
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	75,941	48,390	70,781	63,879	63,879	62,779
Empire State Development Corporation	1,241,923	1,008,141	1,086,817	1,348,338	1,103,617	1,090,144
Financial Services, Department of	64,179	55,146	61,581	59,896	59,896	59,896
Olympic Regional Development Authority	2,360	2,268	0	0	0	0
Power Authority, New York	0	9,814	0	0	0	0
Public Service Department	218	1,500	160	60	60	60
Regional Economic Development Program	2,938	1,902	0	0	0	0
Strategic Investment Program	2,888	1,056	2,500	0	0	0
Functional Total	1,425,269	1,166,152	1,249,060	1,504,420	1,259,699	1,245,126
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	475,941	457,645	443,244	461,586	465,983	465,983
Parks, Recreation and Historic Preservation, Office of	11,546	9,367	5,020	5,020	5,020	5,020
Functional Total	487,487	467,012	448,264	466,606	471,003	471,003
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	485,856	0	0	0
Motor Vehicles, Department of	16,526	14,070	18,000	18,000	18,000	18,000
Transportation, Department of	5,098,210	4,728,430	4,996,093	4,953,181	5,153,068	5,347,175
Functional Total	5,854,250	5,286,986	5,499,949	4,971,181	5,171,068	5,365,175
HEALTH						
Aging, Office for the	228,997	246,601	240,000	245,055	250,237	255,549
Health, Department of	68,094,799	70,672,423	77,643,969	79,337,769	82,107,520	84,824,724
<i>Medical Assistance</i>	58,623,402	61,310,204	67,370,833	69,164,868	71,775,020	74,616,426
<i>Essential Plan</i>	4,007,287	3,834,196	4,744,395	4,840,130	4,900,596	4,909,010
<i>Medicaid Administration</i>	1,240,677	1,030,610	840,573	827,735	809,151	782,787
<i>Public Health</i>	4,223,433	4,497,413	4,688,168	4,505,036	4,622,753	4,516,501
Functional Total	68,323,796	70,919,024	77,883,969	79,582,824	82,357,757	85,080,273
SOCIAL WELFARE						
Children and Family Services, Office of	2,521,424	2,349,041	2,751,897	2,468,634	2,469,217	2,469,217
<i>OCFS</i>	2,449,310	2,311,826	2,681,039	2,393,612	2,394,195	2,394,195
<i>OCFS - Other</i>	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	337,864	443,031	799,146	767,246	807,246	557,246
Labor, Department of	138,948	162,002	154,292	157,042	157,042	157,042
National and Community Service	270	349	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	5,085,975	4,719,915	4,921,610	4,891,366	4,983,166	4,996,341
<i>Welfare Assistance</i>	4,125,669	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
<i>All Other</i>	960,306	1,032,451	973,322	1,047,478	1,139,278	1,152,453
Functional Total	8,140,260	7,693,979	8,645,377	8,299,720	8,432,103	8,194,576
MENTAL HYGIENE						
Addiction Services and Supports, Office of	503,363	482,495	584,953	577,619	583,645	594,995
<i>OASAS</i>	482,038	461,170	563,628	556,294	562,320	573,670
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649	649
Mental Health, Office of	1,369,440	1,395,486	1,571,197	1,613,579	1,677,827	1,750,527
<i>OMH</i>	1,090,479	1,106,979	1,261,680	1,299,585	1,355,532	1,423,205
<i>OMH - Other</i>	278,961	288,507	309,517	313,994	322,295	327,322
People with Developmental Disabilities, Office for	519,476	1,747,091	1,007,618	1,727,723	2,267,282	2,827,051
<i>OPWDD</i>	388,326	413,701	356,722	362,203	373,065	407,287
<i>OPWDD - Other</i>	131,150	1,333,390	650,896	1,365,520	1,894,217	2,419,764
Functional Total	2,392,958	3,625,721	3,164,417	3,919,570	4,529,403	5,173,222
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	222,515	187,905	217,971	217,971	177,971	177,971
Homeland Security and Emergency Services, Division of	1,127,676	1,395,172	1,119,142	1,138,839	1,145,925	1,147,427
Indigent Legal Services, Office of	83,765	85,503	207,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	885	820	886	904	923
State Police, Division of	14	15	0	0	0	0
Victim Services, Office of	70,626	104,191	120,744	121,398	121,398	121,398
Functional Total	1,508,916	1,780,007	1,672,513	1,703,930	1,721,034	1,736,317
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	984,334	950,544	813,959	838,098	842,503	842,741
State University of New York	487,979	478,969	459,460	461,184	460,184	460,184
Functional Total	2,989,089	2,368,648	3,534,311	2,937,306	2,974,604	3,004,599
EDUCATION						
Arts, Council on the	39,946	44,797	42,068	41,533	41,533	41,533
Education, Department of	34,953,035	35,567,486	36,264,563	36,312,153	36,904,943	37,653,742

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
<i>School Aid</i>	29,115,633	30,047,154	30,769,697	30,838,890	31,503,729	32,311,356
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	2,298,888	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
<i>All Other</i>	962,535	1,128,363	1,124,529	1,125,740	1,107,778	1,088,442
Functional Total	34,992,981	35,612,283	36,306,631	36,353,686	36,946,476	37,695,275
GENERAL GOVERNMENT						
Civil Service, Department of	567	78	300	300	300	300
Elections, State Board of	450	1,829	10,200	1,500	0	0
Gaming Commission, New York State	69,013	97,809	169,324	92,915	103,262	117,021
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	1,267	1,288	1,385	1,412	1,412	1,412
State, Department of	80,987	72,643	82,274	107,274	139,274	114,955
Taxation and Finance, Department of	2,435	3,302	3,491	3,491	3,491	3,491
Veterans' Services, Division of	10,499	8,235	7,840	7,840	7,840	7,840
Functional Total	165,218	185,184	275,064	214,982	255,829	245,269
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,025	32,025	32,025	32,025
Judiciary	101,561	166,113	117,500	179,500	179,500	179,500
Functional Total	133,586	198,138	149,525	211,525	211,525	211,525
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	762,835	714,320	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,340	3,363	6,500	6,500	0	0
Miscellaneous	(343,899)	(363,606)	(8,579,161)	(8,655,310)	(8,790,461)	(8,765,460)
Special Infrastructure Account	595,694	461,206	210,000	150,000	120,000	55,690
Functional Total	255,135	100,963	(8,362,661)	(8,498,810)	(8,670,461)	(8,709,770)
TOTAL LOCAL ASSISTANCE SPENDING	127,431,780	130,118,417	131,205,438	132,463,985	136,457,085	140,509,635

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	53,523	54,741	55,184	69,571	69,371	69,371
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	18,266	17,756	17,536	17,536	17,536	17,536
Financial Services, Department of	216,351	218,385	216,381	210,244	210,244	210,244
Olympic Regional Development Authority	8,784	9,688	11,676	11,676	11,676	11,676
Public Service Department	54,273	52,939	54,721	52,701	51,035	51,035
Functional Total	362,743	364,120	367,058	372,972	371,106	371,106
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,368	5,185	5,169	5,035
Environmental Conservation, Department of	249,164	257,274	286,104	280,094	274,666	274,251
Parks, Recreation and Historic Preservation, Office of	181,702	168,989	177,208	173,838	170,953	170,953
Functional Total	435,163	430,706	468,680	459,117	450,788	450,239
TRANSPORTATION						
Motor Vehicles, Department of	63,061	65,804	70,084	69,765	69,765	69,765
Transportation, Department of	320,694	362,661	366,605	364,792	364,792	364,792
Functional Total	383,755	428,465	436,689	434,557	434,557	434,557
HEALTH						
Aging, Office for the	8,722	7,730	12,564	12,378	12,378	12,378
Health, Department of	1,461,058	1,917,535	2,870,544	1,543,104	1,546,213	1,562,016
<i>Essential Plan</i>	76,580	73,970	76,107	75,827	74,280	74,288
<i>Medicaid Administration</i>	607,179	538,264	671,999	733,614	739,943	755,055
<i>Public Health</i>	777,299	1,305,301	2,122,438	733,663	731,990	732,673
Medicaid Inspector General, Office of the	35,842	36,350	37,812	36,591	36,591	36,591
Functional Total	1,505,622	1,961,615	2,920,920	1,592,073	1,595,182	1,610,985
SOCIAL WELFARE						
Children and Family Services, Office of	326,193	227,047	479,716	480,636	480,636	487,323
<i>OCFS</i>	326,193	227,047	479,716	480,636	480,636	487,323
Housing and Community Renewal, Division of	58,622	60,366	29,600	29,256	29,256	29,256
Human Rights, Division of	14,990	15,432	15,372	14,990	14,990	14,990
Labor, Department of	287,357	303,165	299,343	304,943	304,943	304,943
National and Community Service	10,064	11,622	16,312	16,631	16,631	16,956
Temporary and Disability Assistance, Office of	290,245	306,169	281,694	287,410	287,410	287,410
<i>All Other</i>	290,245	306,169	281,694	287,410	287,410	287,410
Functional Total	987,471	923,801	1,122,037	1,133,866	1,133,866	1,140,878
MENTAL HYGIENE						
Addiction Services and Supports, Office of	91,537	93,155	101,343	98,475	99,446	100,932
<i>OASAS</i>	39,013	41,201	53,793	50,250	50,851	51,670
<i>OASAS - Other</i>	52,524	51,954	47,550	48,225	48,595	49,262
Developmental Disabilities Planning Council	3,180	3,954	3,415	3,415	3,415	3,415
Justice Center	42,729	44,040	44,350	43,660	44,231	44,833
Mental Health, Office of	1,346,328	1,396,784	1,394,287	1,429,346	1,457,129	1,484,005
<i>OMH</i>	332,772	359,817	366,149	375,322	384,141	389,899
<i>OMH - Other</i>	1,013,556	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
People with Developmental Disabilities, Office for	1,321,615	1,357,540	1,340,536	1,354,722	1,371,643	1,388,852
<i>OPWDD</i>	645	564	1,203	1,203	1,203	1,203
<i>OPWDD - Other</i>	1,320,970	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,805,389	2,895,473	2,883,931	2,929,618	2,975,864	3,022,037
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,602,342	2,875,291	2,722,679	2,725,482	2,765,251	2,762,251
<i>DOCCS</i>	2,602,342	2,875,291	2,722,679	2,725,482	2,765,251	2,762,251
Criminal Justice Services, Division of	45,900	43,776	47,268	47,089	47,919	48,863
Homeland Security and Emergency Services, Division of	68,256	65,482	80,171	82,598	83,438	84,298
Indigent Legal Services, Office of	2,837	3,117	4,276	4,380	4,468	4,543
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	62,111	63,191	72,072	64,143	65,316	66,583
State Police, Division of	745,098	799,851	836,286	844,778	864,747	864,747
Statewide Financial System	30,520	31,517	31,988	31,329	31,944	31,944
Victim Services, Office of	7,205	8,427	8,668	8,630	8,630	6,658
Functional Total	3,572,240	3,899,555	3,812,515	3,817,676	3,881,066	3,879,240
HIGHER EDUCATION						
City University of New York	97,492	103,323	140,140	11,127	11,127	11,127
Higher Education - Miscellaneous	340	428	291	291	291	291
Higher Education Services Corporation, New York State	42,475	33,008	44,907	44,907	44,907	44,907
State University of New York	6,352,033	6,672,692	6,725,787	6,683,897	6,779,239	6,858,451
Functional Total	6,492,340	6,809,451	6,911,125	6,740,222	6,835,564	6,914,776

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
EDUCATION						
Arts, Council on the	4,266	4,251	4,566	4,420	4,420	4,420
Education, Department of	301,534	306,464	310,550	302,144	302,101	302,101
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	301,533	306,464	310,550	302,144	302,101	302,101
Functional Total	305,800	310,715	315,116	306,564	306,521	306,521
GENERAL GOVERNMENT						
Budget, Division of the	25,218	27,999	28,886	27,939	27,939	27,939
Civil Service, Department of	15,422	15,294	14,604	14,649	14,666	14,666
Deferred Compensation Board	474	473	649	648	648	648
Elections, State Board of	10,442	17,541	18,329	15,100	11,498	11,498
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	67,292	50,264	67,985	66,458	66,458	66,458
General Services, Office of	151,612	124,474	94,096	95,706	97,484	98,984
Information Technology Services, Office of	544,832	541,476	620,413	552,448	563,606	563,606
Inspector General, Office of the	6,844	6,381	8,801	8,061	8,210	8,210
Labor Management Committees	29,699	30,721	32,631	33,378	34,139	34,916
Prevention of Domestic Violence, Office for	1,556	1,696	1,872	1,783	1,783	1,783
Public Employment Relations Board	3,430	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State, Department of	46,216	47,053	51,422	50,310	50,310	50,310
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	312,476	316,168	366,269	346,659	345,498	346,030
Veterans' Services, Division of	6,370	6,935	7,979	7,945	7,945	8,033
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	141,918	139,495	148,002	143,219	143,219	143,219
Functional Total	1,374,570	1,343,979	1,481,960	1,384,184	1,393,409	1,396,306
ELECTED OFFICIALS						
Audit and Control, Department of	148,498	152,149	164,001	161,292	161,292	161,292
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	2,175,298	2,144,873	2,246,900	2,189,900	2,190,200	2,190,200
Law, Department of	215,230	210,980	221,937	216,486	216,486	216,486
Legislature	223,573	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	2,775,802	2,750,484	2,896,560	2,837,819	2,838,172	2,838,172
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	61,470	43,072	43,072	43,072
Miscellaneous	49,916	49,007	1,529,301	(1,022,805)	(1,272,805)	(1,272,805)
Functional Total	87,615	85,278	1,590,771	(979,733)	(1,229,733)	(1,229,733)
TOTAL STATE OPERATIONS SPENDING	21,088,757	22,203,644	25,207,362	21,028,935	20,986,362	21,135,084

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,983	35,313	37,563	36,952	36,952	36,952
Alcoholic Beverage Control, Division of	8,166	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	13,090	12,934	12,929	12,929	12,929	12,929
Financial Services, Department of	156,895	157,002	159,736	153,893	153,893	153,893
Olympic Regional Development Authority	5,595	5,500	5,338	5,338	5,338	5,338
Public Service Department	43,935	44,224	47,227	45,752	45,779	45,779
Functional Total	261,664	263,061	271,109	263,180	263,207	263,207
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,069	4,528	4,470	4,470	4,370
Environmental Conservation, Department of	198,080	204,013	229,579	222,804	217,328	217,328
Parks, Recreation and Historic Preservation, Office of	136,844	129,473	142,035	138,665	135,780	135,780
Functional Total	338,874	337,555	376,142	365,939	357,578	357,478
TRANSPORTATION						
Motor Vehicles, Department of	45,393	47,963	49,186	49,476	49,476	49,476
Transportation, Department of	164,949	169,270	179,509	172,880	172,880	172,880
Functional Total	210,342	217,233	228,695	222,356	222,356	222,356
HEALTH						
Aging, Office for the	7,193	7,057	8,097	7,924	7,924	7,924
Health, Department of	353,151	361,616	390,369	393,389	399,498	404,451
<i>Essential Plan</i>	3,233	3,326	4,138	4,453	4,476	4,413
<i>Medicaid Administration</i>	70,586	68,849	76,311	88,224	94,204	99,070
<i>Public Health</i>	279,332	289,441	309,920	300,712	300,818	300,968
Medicaid Inspector General, Office of the	30,415	31,186	32,470	31,249	31,249	31,249
Functional Total	390,759	399,859	430,936	432,562	438,671	443,624
SOCIAL WELFARE						
Children and Family Services, Office of	202,543	113,208	296,269	293,800	293,800	296,779
<i>OCFS</i>	202,543	113,208	296,269	293,800	293,800	296,779
Housing and Community Renewal, Division of	46,746	45,387	25,830	25,348	25,348	25,348
Human Rights, Division of	12,688	12,576	13,475	13,173	13,173	13,173
Labor, Department of	210,868	208,166	206,414	208,606	208,606	208,606
National and Community Service	621	679	730	738	738	745
Temporary and Disability Assistance, Office of	155,859	160,695	154,335	157,441	157,441	157,441
<i>All Other</i>	155,859	160,695	154,335	157,441	157,441	157,441
Functional Total	629,325	540,711	697,053	699,106	699,106	702,092
MENTAL HYGIENE						
Addiction Services and Supports, Office of	62,746	63,463	70,396	67,986	68,643	69,298
<i>OASAS</i>	24,921	26,214	35,062	32,397	32,729	33,055
<i>OASAS - Other</i>	37,825	37,249	35,334	35,589	35,914	36,243
Developmental Disabilities Planning Council	1,025	1,133	1,266	1,266	1,266	1,266
Justice Center	32,886	34,257	34,878	33,949	34,277	34,627
Mental Health, Office of	1,087,695	1,118,330	1,129,261	1,142,389	1,156,954	1,170,199
<i>OMH</i>	269,052	287,113	322,300	330,329	337,668	341,526
<i>OMH - Other</i>	818,643	831,217	806,961	812,060	819,286	828,673
People with Developmental Disabilities, Office for	1,142,709	1,161,562	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD</i>	387	233	0	0	0	0
<i>OPWDD - Other</i>	1,142,322	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,327,061	2,378,745	2,389,124	2,408,437	2,435,996	2,462,375
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,652	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,113,450	2,382,638	2,225,170	2,234,012	2,267,435	2,267,435
<i>DOCCS</i>	2,113,450	2,382,638	2,225,170	2,234,012	2,267,435	2,267,435
Criminal Justice Services, Division of	33,118	32,304	33,675	33,231	33,745	34,410
Homeland Security and Emergency Services, Division of	34,464	37,428	45,314	47,215	47,774	48,433
Indigent Legal Services, Office of	2,430	2,620	3,440	3,524	3,595	3,667
Judicial Conduct, Commission on	3,959	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	35,883	37,115	43,863	37,554	38,272	39,005
State Police, Division of	670,416	721,655	762,485	760,779	779,644	779,644
Statewide Financial System	11,294	11,650	12,699	12,333	12,568	12,568
Victim Services, Office of	5,139	6,764	6,323	6,272	6,272	5,015
Functional Total	2,912,429	3,239,029	3,140,378	3,142,221	3,196,696	3,197,568
HIGHER EDUCATION						
City University of New York	47,274	48,676	84,145	3,493	3,493	3,493
Higher Education - Miscellaneous	232	283	198	198	198	198
Higher Education Services Corporation, New York State	12,339	10,530	13,752	13,752	13,752	13,752
State University of New York	3,962,541	4,088,768	4,289,439	4,221,276	4,289,360	4,340,936
Functional Total	4,022,386	4,148,257	4,387,534	4,238,719	4,306,803	4,358,379
EDUCATION						
Arts, Council on the	2,636	2,681	2,594	2,498	2,498	2,498
Education, Department of	177,576	176,964	183,018	178,463	178,463	178,463
<i>All Other</i>	177,576	176,964	183,018	178,463	178,463	178,463
Functional Total	180,212	179,645	185,612	180,961	180,961	180,961
GENERAL GOVERNMENT						

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Budget, Division of the	21,921	23,615	25,511	24,567	24,567	24,567
Civil Service, Department of	15,062	15,287	14,592	14,207	14,215	14,215
Deferred Compensation Board	424	441	414	413	413	413
Elections, State Board of	6,025	6,636	7,221	7,128	6,966	6,966
Employee Relations, Office of	2,040	5,789	6,346	6,248	6,248	6,248
Gaming Commission, New York State	35,418	34,014	40,178	38,687	38,687	38,687
General Services, Office of	61,158	41,123	40,732	39,915	40,691	41,483
Information Technology Services, Office of	299,018	296,582	306,465	307,876	314,114	314,114
Inspector General, Office of the	5,909	5,423	7,945	6,857	6,994	6,994
Labor Management Committees	8,618	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,550	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,175	3,214	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,516	4,486	4,867	4,827	4,924	4,924
State, Department of	31,280	31,618	34,104	33,016	33,016	33,016
Tax Appeals, Division of	2,513	2,640	2,936	2,870	2,870	2,870
Taxation and Finance, Department of	269,428	265,994	290,908	272,834	272,386	272,493
Veterans' Services, Division of	5,667	6,088	7,004	6,950	6,950	7,019
Welfare Inspector General, Office of	615	630	654	646	659	659
Workers' Compensation Board	82,890	84,865	89,608	84,892	84,892	84,892
Functional Total	857,071	838,415	890,311	862,325	868,984	869,952
ELECTED OFFICIALS						
Audit and Control, Department of	118,729	121,837	130,597	127,888	127,888	127,888
Executive Chamber	9,135	10,876	11,567	11,113	11,113	11,113
Judiciary	1,733,308	1,715,180	1,809,100	1,752,100	1,752,400	1,752,400
Law, Department of	155,585	156,208	160,514	155,062	155,062	155,062
Legislature	175,304	177,365	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	414	431	543	523	523	523
Functional Total	2,192,475	2,181,897	2,304,904	2,245,052	2,245,405	2,245,405
ALL OTHER CATEGORIES						
Miscellaneous	2,132	2,088	(532,595)	(547,595)	(647,595)	(647,595)
Functional Total	2,132	2,088	(532,595)	(547,595)	(647,595)	(647,595)
TOTAL PERSONAL SERVICE SPENDING	14,324,730	14,726,495	14,769,203	14,513,263	14,568,168	14,655,802

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	19,540	19,428	17,621	32,619	32,419	32,419
Alcoholic Beverage Control, Division of	3,380	2,523	3,244	2,928	2,928	2,928
Economic Development, Department of	5,176	4,822	4,607	4,607	4,607	4,607
Financial Services, Department of	59,456	61,383	56,645	56,351	56,351	56,351
Olympic Regional Development Authority	3,189	4,188	6,338	6,338	6,338	6,338
Public Service Department	10,338	8,715	7,494	6,949	5,256	5,256
Functional Total	101,079	101,059	95,949	109,792	107,899	107,899
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	374	840	715	699	665
Environmental Conservation, Department of	51,084	53,261	56,525	57,290	57,338	56,923
Parks, Recreation and Historic Preservation, Office of	44,858	39,516	35,173	35,173	35,173	35,173
Functional Total	96,289	93,151	92,538	93,178	93,210	92,761
TRANSPORTATION						
Motor Vehicles, Department of	17,668	17,841	20,898	20,289	20,289	20,289
Transportation, Department of	155,745	193,391	187,096	191,912	191,912	191,912
Functional Total	173,413	211,232	207,994	212,201	212,201	212,201
HEALTH						
Aging, Office for the	1,529	673	4,467	4,454	4,454	4,454
Health, Department of	1,107,907	1,555,919	2,480,175	1,149,715	1,146,715	1,157,565
<i>Essential Plan</i>	73,347	70,644	71,969	71,374	69,804	69,875
<i>Medicaid Administration</i>	536,593	469,415	595,688	645,390	645,739	655,985
<i>Public Health</i>	497,967	1,015,860	1,812,518	432,951	431,172	431,705
Medicaid Inspector General, Office of the	5,427	5,164	5,342	5,342	5,342	5,342
Functional Total	1,114,863	1,561,756	2,489,984	1,159,511	1,156,511	1,167,361
SOCIAL WELFARE						
Children and Family Services, Office of	123,650	113,839	183,447	186,836	186,836	190,544
<i>OCFS</i>	123,650	113,839	183,447	186,836	186,836	190,544
Housing and Community Renewal, Division of	11,876	14,979	3,770	3,908	3,908	3,908
Human Rights, Division of	2,302	2,856	1,897	1,817	1,817	1,817
Labor, Department of	76,489	94,999	92,929	96,337	96,337	96,337
National and Community Service	9,443	10,943	15,582	15,893	15,893	16,211
Temporary and Disability Assistance, Office of	134,386	145,474	127,359	129,969	129,969	129,969
<i>All Other</i>	134,386	145,474	127,359	129,969	129,969	129,969
Functional Total	358,146	383,090	424,984	434,760	434,760	438,786
MENTAL HYGIENE						
Addiction Services and Supports, Office of	28,791	29,692	30,947	30,489	30,803	31,634
<i>OASAS</i>	14,092	14,987	18,731	17,853	18,122	18,615
<i>OASAS - Other</i>	14,699	14,705	12,216	12,636	12,681	13,019
Developmental Disabilities Planning Council	2,155	2,821	2,149	2,149	2,149	2,149
Justice Center	9,843	9,783	9,472	9,711	9,954	10,206
Mental Health, Office of	258,633	278,454	265,026	286,957	300,175	313,806
<i>OMH</i>	63,720	72,704	43,849	44,993	46,473	48,373
<i>OMH - Other</i>	194,913	205,750	221,177	241,964	253,702	265,433
People with Developmental Disabilities, Office for	178,906	195,978	187,213	191,875	196,787	201,867
<i>OPWDD</i>	258	331	1,203	1,203	1,203	1,203
<i>OPWDD - Other</i>	178,648	195,647	186,010	190,672	195,584	200,664
Functional Total	478,328	516,728	494,807	521,181	539,868	559,662
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	497	242	247	247	247
Corrections and Community Supervision, Department of	488,892	492,653	497,509	491,470	497,816	494,816
<i>DOCCS</i>	488,892	492,653	497,509	491,470	497,816	494,816
Criminal Justice Services, Division of	12,782	11,472	13,593	13,858	14,174	14,453
Homeland Security and Emergency Services, Division of	33,792	28,054	34,857	35,383	35,664	35,865
Indigent Legal Services, Office of	407	497	836	856	873	876
Judicial Conduct, Commission on	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	26,228	26,076	28,209	26,589	27,044	27,578
State Police, Division of	74,682	78,196	73,801	83,999	85,103	85,103
Statewide Financial System	19,226	19,867	19,289	18,996	19,376	19,376
Victim Services, Office of	2,066	1,663	2,345	2,358	2,358	1,643
Functional Total	659,811	660,526	672,137	675,455	684,370	681,672
HIGHER EDUCATION						
City University of New York	50,218	54,647	55,995	7,634	7,634	7,634
Higher Education - Miscellaneous	108	145	93	93	93	93
Higher Education Services Corporation, New York State	30,136	22,478	31,155	31,155	31,155	31,155
State University of New York	2,389,492	2,583,924	2,436,348	2,462,621	2,489,879	2,517,515
Functional Total	2,469,954	2,661,194	2,523,591	2,501,503	2,528,761	2,556,397

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
EDUCATION						
Arts, Council on the	1,630	1,570	1,972	1,922	1,922	1,922
Education, Department of	123,958	129,500	127,532	123,681	123,638	123,638
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	123,957	129,500	127,532	123,681	123,638	123,638
Functional Total	125,588	131,070	129,504	125,603	125,560	125,560
GENERAL GOVERNMENT						
Budget, Division of the	3,297	4,384	3,375	3,372	3,372	3,372
Civil Service, Department of	360	7	12	442	451	451
Deferred Compensation Board	50	32	235	235	235	235
Elections, State Board of	4,417	10,905	11,108	7,972	4,532	4,532
Employee Relations, Office of	57	91	196	196	197	197
Gaming Commission, New York State	31,874	16,250	27,807	27,771	27,771	27,771
General Services, Office of	90,454	83,351	53,364	55,791	56,793	57,501
Information Technology Services, Office of	245,814	244,894	313,948	244,572	249,492	249,492
Inspector General, Office of the	935	958	856	1,204	1,216	1,216
Labor Management Committees	21,081	22,301	26,933	27,891	28,652	29,429
Prevention of Domestic Violence, Office for	162	146	212	216	216	216
Public Employment Relations Board	255	187	296	296	296	296
Public Ethics, Joint Commission on	707	731	968	1,183	1,195	1,195
State, Department of	14,936	15,435	17,318	17,294	17,294	17,294
Tax Appeals, Division of	307	231	214	170	170	170
Taxation and Finance, Department of	43,048	50,174	75,361	73,825	73,112	73,537
Veterans' Services, Division of	703	847	975	995	995	1,014
Welfare Inspector General, Office of	14	10	77	107	109	109
Workers' Compensation Board	59,028	54,630	58,394	58,327	58,327	58,327
Functional Total	517,499	505,564	591,649	521,859	524,425	526,354
ELECTED OFFICIALS						
Audit and Control, Department of	29,769	30,312	33,404	33,404	33,404	33,404
Executive Chamber	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	441,990	429,693	437,800	437,800	437,800	437,800
Law, Department of	59,645	54,772	61,423	61,424	61,424	61,424
Legislature	48,269	51,360	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	116	87	91	91	91	91
Functional Total	583,327	568,587	591,656	592,767	592,767	592,767
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	61,470	43,072	43,072	43,072
Miscellaneous	47,784	46,919	2,061,896	(475,210)	(625,210)	(625,210)
Functional Total	85,483	83,190	2,123,366	(432,138)	(582,138)	(582,138)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	6,764,027	7,477,149	10,438,159	6,515,672	6,418,194	6,479,282

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,851	4,115	4,285	4,431	4,512	4,512
Economic Development, Department of	0	0	28	28	28	28
Financial Services, Department of	113,519	98,264	114,477	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	24,016	27,949	31,946	31,538	32,080	32,080
Functional Total	143,417	130,328	150,736	150,248	150,871	150,871
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	81,999	62,695	68,153	65,851	66,566	57,935
Parks, Recreation and Historic Preservation, Office of	3,657	4,697	2,031	1,965	1,965	1,965
Functional Total	85,656	67,392	70,184	67,816	68,531	59,900
TRANSPORTATION						
Motor Vehicles, Department of	29,270	23,949	26,769	27,421	27,421	27,421
Transportation, Department of	8,965	6,788	9,053	8,251	8,366	8,483
Functional Total	38,235	30,737	35,822	35,672	35,787	35,904
HEALTH						
Aging, Office for the	44	63	0	0	0	0
Health, Department of	82,342	69,749	88,907	93,688	93,311	93,755
<i>Medicaid Administration</i>	3,516	3,860	4,819	8,396	9,123	9,463
<i>Public Health</i>	78,826	65,889	84,088	85,292	84,188	84,292
Medicaid Inspector General, Office of the	11,070	9,437	10,301	10,301	10,301	10,301
Functional Total	93,456	79,249	99,208	103,989	103,612	104,056
SOCIAL WELFARE						
Children and Family Services, Office of	16,545	14,422	22,031	22,011	22,011	22,011
<i>OCFS</i>	16,545	14,422	22,031	22,011	22,011	22,011
Housing and Community Renewal, Division of	25,912	22,471	6,526	9,345	9,345	9,345
Labor, Department of	159,501	126,956	116,680	140,222	140,222	140,222
National and Community Service	0	0	242	242	242	244
Temporary and Disability Assistance, Office of	65,432	58,211	48,540	49,564	50,604	50,604
<i>All Other</i>	65,432	58,211	48,540	49,564	50,604	50,604
Functional Total	267,390	222,060	194,019	221,384	222,424	222,426
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,383	0	0	0	0	0
<i>OASAS</i>	2,383	0	0	0	0	0
Developmental Disabilities Planning Council	768	682	785	785	785	785
Justice Center	1,220	589	1,019	1,044	1,070	1,098
Mental Health, Office of	(44,048)	617	469	469	469	469
<i>OMH</i>	(10,072)	617	469	469	469	469
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
People with Developmental Disabilities, Office for	78	142	0	0	0	0
<i>OPWDD</i>	78	142	0	0	0	0
Functional Total	(39,599)	2,030	2,273	2,298	2,324	2,352
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	1,666	1,097	1,147	1,147	1,147	1,147
<i>DOCCS</i>	1,666	1,097	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	518	259	348	348	355	362
Homeland Security and Emergency Services, Division of	9,246	4,605	7,835	7,905	7,905	7,905
Indigent Legal Services, Office of	1,851	1,645	2,156	2,234	2,317	2,402
Military and Naval Affairs, Division of	11,948	8,307	7,940	7,441	7,441	7,441
State Police, Division of	48,184	28,244	209,697	30,823	31,023	31,023
Statewide Financial System	0	0	94	0	0	0
Victim Services, Office of	1,894	2,087	1,650	1,683	1,683	1,683
Functional Total	75,307	46,244	230,867	51,581	51,871	51,963
HIGHER EDUCATION						
City University of New York	129	204	150	0	0	0
Higher Education - Miscellaneous	200	181	150	150	150	150
Higher Education Services Corporation, New York State	9,808	6,615	9,021	9,021	9,021	9,021
State University of New York	522,635	441,795	492,103	589,409	616,739	609,955
Functional Total	532,772	448,795	501,424	598,580	625,910	619,126
EDUCATION						
Education, Department of	108,613	90,647	97,458	96,581	97,269	97,269
<i>All Other</i>	108,613	90,647	97,458	96,581	97,269	97,269
Functional Total	108,613	90,647	97,458	96,581	97,269	97,269
GENERAL GOVERNMENT						
Budget, Division of the	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	139	118	244	241	245	245
Deferred Compensation Board	302	260	247	252	256	256
Elections, State Board of	270	364	406	406	406	406
Gaming Commission, New York State	22,754	17,063	18,465	17,575	17,575	17,575
General Services, Office of	538	4,973	583	575	585	585
Information Technology Services, Office of	0	0	2,715	0	0	0
Inspector General, Office of the	0	0	563	0	0	0
Labor Management Committees	5,821	6,386	5,000	5,000	5,000	5,000

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
State, Department of	17,835	11,380	17,599	17,878	17,895	17,895
Taxation and Finance, Department of	5,667	32,829	27,778	21,597	21,318	21,386
Veterans' Services, Division of	385	330	514	536	547	552
Workers' Compensation Board	56,568	53,880	57,863	53,220	53,220	53,220
Functional Total	<u>111,186</u>	<u>128,539</u>	<u>133,694</u>	<u>118,937</u>	<u>118,704</u>	<u>118,777</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	1,568	2,197	2,197	2,197	2,197
Judiciary	787,707	820,996	781,084	882,798	882,797	848,893
Law, Department of	42,114	29,069	35,477	33,260	33,260	33,260
Functional Total	<u>831,860</u>	<u>851,633</u>	<u>818,758</u>	<u>918,255</u>	<u>918,254</u>	<u>884,350</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,313,980	8,156,155	8,701,270	8,865,924
Miscellaneous	2,969	4,050	5,789	5,789	5,797	5,797
Functional Total	<u>6,376,425</u>	<u>6,659,137</u>	<u>6,319,769</u>	<u>8,161,944</u>	<u>8,707,067</u>	<u>8,871,721</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>8,624,718</u></u>	<u><u>8,756,791</u></u>	<u><u>8,654,212</u></u>	<u><u>10,527,285</u></u>	<u><u>11,102,624</u></u>	<u><u>11,218,715</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,753	11,426	20,165	10,330	5,915	5,765
Economic Development, Department of	0	333	0	4,400	3,400	3,800
Empire State Development Corporation	85,649	23,143	912,614	689,621	709,345	370,323
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	42,700	85,150	131,000	43,500	10,000	10,000
Power Authority, New York	1,346	501	30,500	9,500	500	500
Regional Economic Development Program	0	0	3,000	4,500	447	0
Strategic Investment Program	0	0	0	2,000	2,000	2,000
Functional Total	202,276	136,484	1,148,848	822,095	762,040	410,594
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	0	1,000	0	0
Environmental Conservation, Department of	409,030	465,496	745,701	808,814	903,900	983,912
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	176,743	181,551	188,739	174,189	173,739	173,739
Functional Total	585,773	649,047	942,440	1,002,003	1,094,639	1,171,651
TRANSPORTATION						
Motor Vehicles, Department of	227,603	258,277	283,213	246,244	230,772	230,868
Transportation, Department of	3,347,932	3,189,998	4,150,617	4,656,750	4,343,039	4,370,119
Functional Total	3,575,535	3,448,275	4,433,830	4,902,994	4,573,811	4,600,987
HEALTH						
Health, Department of	77,671	75,461	127,495	189,970	132,162	115,181
<i>Public Health</i>	77,671	75,461	127,495	189,970	132,162	115,181
Functional Total	77,671	75,461	127,495	189,970	132,162	115,181
SOCIAL WELFARE						
Children and Family Services, Office of	55,800	22,476	25,804	23,660	23,673	23,673
<i>OCFS</i>	55,800	22,476	25,804	23,660	23,673	23,673
Housing and Community Renewal, Division of	0	0	3,000	3,000	3,000	3,000
Temporary and Disability Assistance, Office of	966	813	1,600	800	800	800
<i>All Other</i>	966	813	1,600	800	800	800
Functional Total	56,766	23,289	30,404	27,460	27,473	27,473
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,036	1,599	15,759	13,418	15,469	15,522
<i>OASAS</i>	2,036	1,599	15,759	13,418	15,469	15,522
Mental Health, Office of	244,264	239,694	331,489	311,308	298,314	294,345
<i>OMH</i>	244,264	239,694	331,489	311,308	298,314	294,345
People with Developmental Disabilities, Office for	96,486	96,600	131,706	121,428	128,195	117,989
<i>OPWDD</i>	96,486	96,600	131,706	121,428	128,195	117,989
Functional Total	342,786	337,893	478,954	446,154	441,978	427,856
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	369,540	412,424	340,646	337,685	316,975	321,140
<i>DOCCS</i>	369,540	412,424	340,646	337,685	316,975	321,140
Criminal Justice Services, Division of	0	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	11,972	14,508	17,250	16,653	10,367	1,500
Military and Naval Affairs, Division of	75,582	125,394	97,719	97,102	96,982	62,557
State Police, Division of	47,327	49,194	55,853	54,688	47,538	50,968
Functional Total	504,421	601,520	536,468	506,128	471,862	436,165
HIGHER EDUCATION						
City University of New York	32,463	36,412	37,352	47,352	57,352	67,352
State University of New York	941,242	976,879	993,175	1,169,149	1,207,126	1,126,793
Functional Total	973,705	1,013,291	1,030,527	1,216,501	1,264,478	1,194,145
EDUCATION						
Education, Department of	6,146	11,312	45,006	33,145	28,553	23,715
<i>All Other</i>	6,146	11,312	45,006	33,145	28,553	23,715
Functional Total	6,146	11,312	45,006	33,145	28,553	23,715
GENERAL GOVERNMENT						
Elections, State Board of	0	2,587	5,800	6,200	3,200	800
General Services, Office of	154,965	175,906	321,483	217,962	151,629	151,461
Information Technology Services, Office of	70,350	81,010	163,329	83,939	62,145	43,474
State, Department of	1,238	2,711	2,000	2,000	2,000	2,000
Workers' Compensation Board	0	4,907	22,440	33,764	6,755	0
Functional Total	226,553	267,121	515,052	343,865	225,729	197,735
ELECTED OFFICIALS						
Audit and Control, Department of	2,298	3,430	2,806	5,365	4,727	0
Judiciary	10,373	21,295	25,700	12,283	0	0
Law, Department of	6,856	4,344	5,735	2,000	0	0
Functional Total	19,527	29,069	34,241	19,648	4,727	0
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	81	93	0	0	0	0
Miscellaneous	36,117	64,669	(230,339)	(289,907)	(191,095)	(216,095)

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Special Infrastructure Account	423,893	327,921	415,174	209,027	112,684	60,565
Functional Total	460,091	392,683	184,835	(80,880)	(78,411)	(155,530)
TOTAL CAPITAL PROJECTS SPENDING	7,031,250	6,985,445	9,508,100	9,429,083	8,949,041	8,449,972

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	125,335	87,956	85,109	93,188	88,608	88,458
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	86,391	58,859	80,045	77,543	76,543	75,843
Empire State Development Corporation	1,326,984	1,030,225	1,999,431	2,037,959	1,812,962	1,460,467
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Financial Services, Department of	392,863	371,795	388,157	382,991	382,991	382,991
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	53,875	97,106	142,676	55,176	21,676	21,676
Power Authority, New York	1,346	10,315	30,500	9,500	500	500
Public Service Department	75,433	79,617	84,783	82,239	81,099	81,099
Regional Economic Development Program	2,938	1,902	3,000	4,500	447	0
Strategic Investment Program	2,888	1,056	2,500	2,000	2,000	2,000
Functional Total	2,102,204	1,767,898	2,885,730	2,822,584	2,516,503	2,150,484
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,018	5,835	4,819	4,685
Environmental Conservation, Department of	928,740	975,293	1,311,767	1,384,529	1,478,946	1,549,912
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	355,557	346,230	361,190	343,204	339,869	339,869
Functional Total	1,288,594	1,327,966	1,685,975	1,751,568	1,840,634	1,908,466
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	485,856	0	0	0
Motor Vehicles, Department of	315,479	341,156	369,742	333,106	317,634	317,730
Transportation, Department of	7,090,353	6,662,842	7,905,276	8,438,304	8,324,515	8,545,737
Functional Total	8,145,346	7,548,484	8,760,874	8,771,410	8,642,149	8,863,467
HEALTH						
Aging, Office for the	131,371	137,607	143,311	148,323	153,505	158,817
Health, Department of	22,675,217	25,196,190	23,490,687	27,275,428	28,358,475	29,208,569
<i>Medical Assistance</i>	19,528,919	21,224,760	20,210,125	23,427,997	24,494,963	25,492,818
<i>Essential Plan</i>	76,580	73,970	76,107	75,827	74,280	74,288
<i>Medicaid Administration</i>	764,404	738,152	720,473	732,669	718,015	711,903
<i>Public Health</i>	2,305,314	3,159,308	2,483,982	3,038,935	3,071,217	2,929,560
Medicaid Inspector General, Office of the	18,116	17,983	18,679	18,072	18,072	18,072
Functional Total	22,824,704	25,351,780	23,652,677	27,441,823	28,530,052	29,385,458
SOCIAL WELFARE						
Children and Family Services, Office of	1,978,096	1,365,449	2,241,406	1,954,961	1,955,557	1,960,556
<i>OCFS</i>	1,905,982	1,328,234	2,170,548	1,879,939	1,880,535	1,885,534
<i>OCFS - Other</i>	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	355,153	453,221	771,553	740,331	780,331	530,331
Human Rights, Division of	9,993	11,048	10,705	10,230	10,230	10,230
Labor, Department of	91,114	93,925	72,143	76,435	76,435	76,435
National and Community Service	560	655	781	781	781	784
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	1,314,753	1,368,487	1,610,624	1,582,863	1,674,663	1,687,838
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	276,815	303,162	288,912	365,551	457,351	470,526
Functional Total	3,805,448	3,312,426	4,725,212	4,380,601	4,512,997	4,280,472
MENTAL HYGIENE						
Addiction Services and Supports, Office of	489,465	482,508	572,908	577,752	586,687	599,458
<i>OASAS</i>	415,616	409,229	504,033	508,202	516,767	528,871
<i>OASAS - Other</i>	73,849	73,279	68,875	69,550	69,920	70,587
Justice Center	43,551	43,601	45,118	44,437	45,015	45,624
Mental Health, Office of	2,858,120	2,988,661	3,263,580	3,320,840	3,399,877	3,495,484
<i>OMH</i>	1,599,579	1,663,187	1,925,925	1,952,822	2,004,594	2,074,056
<i>OMH - Other</i>	1,258,541	1,325,474	1,337,655	1,368,018	1,395,283	1,421,428
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,937,230	3,200,744	2,478,860	3,202,873	3,766,120	4,332,892
<i>OPWDD</i>	485,110	510,378	488,631	483,834	501,463	525,479
<i>OPWDD - Other</i>	1,452,120	2,690,366	1,990,229	2,719,039	3,264,657	3,807,413
Functional Total	5,328,366	6,715,514	6,360,466	7,145,902	7,797,699	8,450,864
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,972,534	3,291,308	3,051,862	3,051,165	3,070,224	3,071,389
<i>DOCCS</i>	2,972,534	3,291,308	3,049,862	3,051,165	3,070,224	3,071,389
<i>DOCCS - Other</i>	0	0	2,000	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Criminal Justice Services, Division of	217,569	210,316	265,509	240,330	201,075	201,841
Homeland Security and Emergency Services, Division of	126,228	150,977	169,398	190,995	192,635	186,130
Indigent Legal Services, Office of	88,453	90,265	213,432	226,614	276,785	290,707
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	69,401	116,214	91,042	60,164	59,985	54,060
State Police, Division of	810,506	849,453	585,233	902,986	915,488	918,918
Statewide Financial System	30,520	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	30,267	30,913	33,462	34,111	34,111	34,111
Functional Total	4,353,449	4,779,866	4,450,206	4,746,941	4,791,600	4,798,453
HIGHER EDUCATION						
City University of New York	1,636,271	1,071,778	2,415,150	1,676,219	1,718,612	1,758,369
Higher Education - Miscellaneous	540	609	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	1,033,360	986,171	861,253	885,392	889,797	890,035
State University of New York	7,952,371	8,221,784	8,319,557	8,554,952	8,714,601	8,706,696
Functional Total	10,631,311	10,286,011	11,612,151	11,129,654	11,337,601	11,369,691
EDUCATION						
Arts, Council on the	43,514	48,264	45,349	45,253	45,253	45,253
Education, Department of	31,301,095	32,229,269	32,018,326	32,527,416	33,476,103	34,220,064
<i>School Aid</i>	26,358,555	27,351,218	27,166,456	27,713,108	28,737,791	29,545,418
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	1,075,953	1,225,981	1,281,533	1,266,785	1,244,876	1,220,702
Functional Total	31,344,609	32,277,533	32,063,675	32,572,669	33,521,356	34,265,317
GENERAL GOVERNMENT						
Budget, Division of the	26,125	28,955	30,603	29,596	29,596	29,596
Civil Service, Department of	16,128	15,490	15,148	15,190	15,211	15,211
Deferred Compensation Board	776	733	896	900	904	904
Elections, State Board of	8,621	13,137	26,583	17,754	12,628	10,228
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	159,059	165,136	255,774	176,948	187,295	201,054
General Services, Office of	296,965	293,763	408,130	306,211	241,505	242,837
Information Technology Services, Office of	614,891	621,205	701,840	597,144	587,304	576,551
Inspector General, Office of the	6,844	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	35,520	37,107	37,631	38,378	39,139	39,916
Prevention of Domestic Violence, Office for	2,816	2,984	3,257	3,195	3,195	3,195
Public Employment Relations Board	3,430	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State, Department of	79,036	73,656	84,723	108,889	140,906	116,587
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	320,486	352,151	382,754	370,527	369,087	369,687
Veterans' Services, Division of	16,219	14,442	14,322	14,257	14,257	14,324
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	198,486	198,282	228,305	230,203	203,194	196,439
Functional Total	1,796,171	1,841,431	2,217,814	1,937,134	1,872,437	1,844,745
ELECTED OFFICIALS						
Audit and Control, Department of	184,860	189,172	201,029	200,879	200,241	195,514
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	3,067,416	3,144,679	3,157,984	3,251,281	3,239,297	3,205,393
Law, Department of	220,568	206,179	217,970	208,834	206,834	206,834
Legislature	223,573	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	3,709,620	3,782,512	3,840,705	3,931,135	3,916,566	3,877,935
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	763,082	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	3,456	6,500	6,500	0	0
General State Charges	6,373,456	6,655,087	6,313,980	8,156,155	8,701,270	8,865,924
Long-Term Debt Service	6,736,264	4,952,363	10,408,057	7,023,463	7,441,922	7,656,850
Miscellaneous	232,500	187,727	(9,288,578)	(9,494,295)	(9,780,626)	(9,780,625)
Special Infrastructure Account	1,019,587	789,127	625,174	359,027	232,684	116,255
Functional Total	14,365,228	12,587,760	8,065,133	6,050,850	6,595,250	6,858,404
TOTAL STATE FUNDS SPENDING	110,458,132	112,293,503	111,059,637	113,479,316	116,671,889	118,850,801

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,027	35,047	20,821	24,247	24,247	24,247
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	68,581	41,486	62,726	55,824	55,824	54,724
Empire State Development Corporation	1,241,335	1,007,082	1,086,817	1,348,338	1,103,617	1,090,144
Financial Services, Department of	64,179	55,146	61,581	59,896	59,896	59,896
Olympic Regional Development Authority	2,360	2,268	0	0	0	0
Power Authority, New York	0	9,814	0	0	0	0
Public Service Department	218	1,341	160	60	60	60
Regional Economic Development Program	2,938	1,902	0	0	0	0
Strategic Investment Program	2,888	1,056	2,500	0	0	0
Functional Total	1,417,303	1,157,667	1,241,005	1,496,365	1,251,644	1,237,071
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	255,176	248,269	288,244	306,586	310,983	310,983
Parks, Recreation and Historic Preservation, Office of	6,502	6,031	3,750	3,750	3,750	3,750
Functional Total	261,678	254,300	291,994	310,336	314,733	314,733
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	485,856	0	0	0
Motor Vehicles, Department of	375	0	0	0	0	0
Transportation, Department of	4,612,113	4,142,100	4,401,208	4,429,696	4,629,583	4,823,690
Functional Total	5,352,002	4,686,586	4,887,064	4,429,696	4,629,583	4,823,690
HEALTH						
Aging, Office for the	130,141	135,561	141,306	146,361	151,543	156,855
Health, Department of	21,838,763	23,878,703	23,061,379	26,249,420	27,392,190	28,251,801
<i>Medical Assistance</i>	19,528,919	21,224,760	20,210,125	23,427,997	24,494,963	25,492,818
<i>Medicaid Administration</i>	503,108	530,424	466,502	452,297	438,613	425,431
<i>Public Health</i>	1,806,736	2,123,519	2,384,752	2,369,126	2,458,614	2,333,552
Functional Total	21,968,904	24,014,264	23,202,685	26,395,781	27,543,733	28,408,656
SOCIAL WELFARE						
Children and Family Services, Office of	1,659,179	1,193,961	1,833,597	1,550,334	1,550,917	1,550,917
<i>OCFS</i>	1,587,065	1,156,746	1,762,739	1,475,312	1,475,895	1,475,895
<i>OCFS - Other</i>	72,114	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	282,173	381,376	750,712	718,812	758,812	508,812
Labor, Department of	15,469	25,517	2,400	5,150	5,150	5,150
National and Community Service	270	349	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	1,186,281	1,223,646	1,478,034	1,447,790	1,539,590	1,552,765
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	148,343	158,321	156,322	230,478	322,278	335,453
Functional Total	3,199,151	2,844,490	4,083,175	3,737,518	3,869,901	3,632,374
MENTAL HYGIENE						
Addiction Services and Supports, Office of	400,461	391,690	466,263	473,129	479,155	490,505
<i>OASAS</i>	379,136	370,365	444,938	451,804	457,830	469,180
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649	649
Mental Health, Office of	1,313,857	1,354,555	1,539,172	1,581,554	1,645,802	1,718,502
<i>OMH</i>	1,034,896	1,066,048	1,229,655	1,267,560	1,323,507	1,391,180
<i>OMH - Other</i>	278,961	288,507	309,517	313,994	322,295	327,322
People with Developmental Disabilities, Office for	519,476	1,747,091	1,007,618	1,727,723	2,267,282	2,827,051
<i>OPWDD</i>	388,326	413,701	356,722	362,203	373,065	407,287
<i>OPWDD - Other</i>	131,150	1,333,390	650,896	1,365,520	1,894,217	2,419,764
Functional Total	2,234,473	3,493,985	3,013,702	3,783,055	4,392,888	5,036,707
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	181,941	174,934	202,171	202,171	162,171	162,171
Homeland Security and Emergency Services, Division of	77,175	94,846	111,142	130,839	137,925	139,427
Indigent Legal Services, Office of	83,765	85,503	207,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	885	820	886	904	923
State Police, Division of	0	15	0	0	0	0
Victim Services, Office of	24,865	25,496	27,744	28,398	28,398	28,398
Functional Total	372,066	388,015	555,713	587,130	604,234	619,517
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	984,334	950,474	813,959	838,098	842,503	842,741
State University of New York	487,799	478,969	459,460	461,184	460,184	460,184
Functional Total	2,988,909	2,368,578	3,534,311	2,937,306	2,974,604	3,004,599

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
EDUCATION						
Arts, Council on the	39,248	44,013	40,933	40,933	40,933	40,933
Education, Department of	<u>31,113,315</u>	<u>32,039,788</u>	<u>31,779,615</u>	<u>32,304,664</u>	<u>33,257,298</u>	<u>34,006,097</u>
<i>School Aid</i>	26,358,554	27,351,218	27,166,456	27,713,108	28,737,791	29,545,418
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	888,174	1,036,500	1,042,822	1,044,033	1,026,071	1,006,735
Functional Total	<u>31,152,563</u>	<u>32,083,801</u>	<u>31,820,548</u>	<u>32,345,597</u>	<u>33,298,231</u>	<u>34,047,030</u>
GENERAL GOVERNMENT						
Civil Service, Department of	567	78	300	300	300	300
Elections, State Board of	5	1,641	10,200	1,500	0	0
Gaming Commission, New York State	69,013	97,809	169,324	92,915	103,262	117,021
Prevention of Domestic Violence, Office for	1,260	1,288	1,385	1,412	1,412	1,412
State, Department of	20,345	18,841	24,317	49,317	81,317	56,998
Taxation and Finance, Department of	2,435	3,302	3,491	3,491	3,491	3,491
Veterans' Services, Division of	10,499	8,235	7,840	7,840	7,840	7,840
Functional Total	<u>104,124</u>	<u>131,194</u>	<u>216,857</u>	<u>156,775</u>	<u>197,622</u>	<u>187,062</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,025	32,025	32,025	32,025
Judiciary	101,561	166,113	117,500	179,500	179,500	179,500
Functional Total	<u>133,586</u>	<u>198,138</u>	<u>149,525</u>	<u>211,525</u>	<u>211,525</u>	<u>211,525</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>762,835</u>	<u>714,320</u>	<u>739,019</u>	<u>797,045</u>	<u>797,045</u>	<u>797,045</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,340	3,363	6,500	6,500	0	0
Miscellaneous	145,860	70,664	(8,111,223)	(8,187,372)	(8,322,523)	(8,297,522)
Special Infrastructure Account	595,694	461,206	210,000	150,000	120,000	55,690
Functional Total	<u>744,894</u>	<u>535,233</u>	<u>(7,894,723)</u>	<u>(8,030,872)</u>	<u>(8,202,523)</u>	<u>(8,241,832)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>70,692,488</u>	<u>72,870,571</u>	<u>65,840,875</u>	<u>69,157,257</u>	<u>71,883,220</u>	<u>74,078,177</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,473	40,172	41,963	56,350	56,150	56,150
Alcoholic Beverage Control, Division of	11,546	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	17,810	17,040	17,291	17,291	17,291	17,291
Financial Services, Department of	215,165	218,385	213,231	208,844	208,844	208,844
Olympic Regional Development Authority	8,784	9,688	11,676	11,676	11,676	11,676
Public Service Department	52,432	51,122	53,426	51,406	49,740	49,740
Functional Total	343,210	347,018	349,147	356,811	354,945	354,945
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,018	4,835	4,819	4,685
Environmental Conservation, Department of	210,767	216,331	240,253	234,243	228,836	228,421
Parks, Recreation and Historic Preservation, Office of	176,384	164,815	174,694	171,324	168,439	168,439
Functional Total	391,448	385,589	419,965	410,402	402,094	401,545
TRANSPORTATION						
Motor Vehicles, Department of	59,189	60,446	60,566	60,247	60,247	60,247
Transportation, Department of	300,619	345,088	342,268	340,949	340,949	340,949
Functional Total	359,808	405,534	402,834	401,196	401,196	401,196
HEALTH						
Aging, Office for the	1,230	2,046	2,005	1,962	1,962	1,962
Health, Department of	727,514	1,210,164	269,721	801,713	799,732	807,093
<i>Essential Plan</i>	76,580	73,970	76,107	75,827	74,280	74,288
<i>Medicaid Administration</i>	261,296	207,526	253,390	279,791	278,821	285,891
<i>Public Health</i>	389,638	928,668	(59,776)	446,095	446,631	446,914
Medicaid Inspector General, Office of the	18,116	17,983	18,679	18,072	18,072	18,072
Functional Total	746,860	1,230,193	290,405	821,747	819,766	827,127
SOCIAL WELFARE						
Children and Family Services, Office of	260,874	146,381	379,755	378,701	378,701	383,700
<i>OCFS</i>	260,874	146,381	379,755	378,701	378,701	383,700
Housing and Community Renewal, Division of	51,072	52,701	18,320	17,675	17,675	17,675
Human Rights, Division of	9,993	11,048	10,705	10,230	10,230	10,230
Labor, Department of	49,769	47,559	49,256	48,280	48,280	48,280
National and Community Service	290	306	349	349	349	352
Temporary and Disability Assistance, Office of	127,470	143,963	130,862	134,145	134,145	134,145
<i>All Other</i>	127,470	143,963	130,862	134,145	134,145	134,145
Functional Total	499,468	401,958	589,247	589,380	589,380	594,382
MENTAL HYGIENE						
Addiction Services and Supports, Office of	86,968	89,219	90,886	91,205	92,063	93,431
<i>OASAS</i>	34,444	37,265	43,336	42,980	43,468	44,169
<i>OASAS - Other</i>	52,524	51,954	47,550	48,225	48,595	49,262
Justice Center	41,652	42,363	43,582	42,878	43,433	44,017
Mental Health, Office of	1,344,656	1,394,412	1,392,919	1,427,978	1,455,761	1,482,637
<i>OMH</i>	331,100	357,445	364,781	373,954	382,773	388,531
<i>OMH - Other</i>	1,013,556	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
People with Developmental Disabilities, Office for	1,321,268	1,357,053	1,339,536	1,353,722	1,370,643	1,387,852
<i>OPWDD</i>	298	77	203	203	203	203
<i>OPWDD - Other</i>	1,320,970	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,794,544	2,883,047	2,866,923	2,915,783	2,961,900	3,007,937
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,599,368	2,872,407	2,704,274	2,708,538	2,748,307	2,745,307
<i>DOCCS</i>	2,599,368	2,872,407	2,704,274	2,708,538	2,748,307	2,745,307
Criminal Justice Services, Division of	35,628	35,382	38,338	38,159	38,904	39,670
Homeland Security and Emergency Services, Division of	36,318	40,957	40,171	42,598	43,438	44,298
Indigent Legal Services, Office of	2,837	3,117	4,276	4,380	4,468	4,543
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	23,869	25,227	24,869	25,524	25,964	26,482
State Police, Division of	717,416	773,899	500,427	818,975	838,427	838,427
Statewide Financial System	30,520	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	3,508	3,757	4,068	4,030	4,030	4,030
Functional Total	3,457,435	3,795,166	3,356,691	3,682,780	3,744,835	3,744,054
HIGHER EDUCATION						
City University of New York	95,672	101,696	132,506	3,493	3,493	3,493
Higher Education - Miscellaneous	340	428	291	291	291	291
Higher Education Services Corporation, New York State	39,219	29,082	38,274	38,274	38,274	38,274
State University of New York	6,000,806	6,324,240	6,374,870	6,335,261	6,430,603	6,509,815
Functional Total	6,136,037	6,455,446	6,545,941	6,377,319	6,472,661	6,551,873
EDUCATION						
Arts, Council on the	4,266	4,251	4,416	4,320	4,320	4,320
Education, Department of	138,420	140,930	152,247	149,026	148,983	148,983
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	138,419	140,930	152,247	149,026	148,983	148,983
Functional Total	142,686	145,181	156,663	153,346	153,303	153,303

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL GOVERNMENT						
Budget, Division of the	25,218	27,999	28,886	27,939	27,939	27,939
Civil Service, Department of	15,422	15,294	14,604	14,649	14,666	14,666
Deferred Compensation Board	474	473	649	648	648	648
Elections, State Board of	8,616	8,909	10,583	10,054	9,428	9,428
Employee Relations, Office of	2,097	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	67,292	50,264	67,985	66,458	66,458	66,458
General Services, Office of	141,462	112,884	86,064	87,674	89,291	90,791
Information Technology Services, Office of	544,541	540,195	545,892	552,448	563,606	563,606
Inspector General, Office of the	6,844	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	29,699	30,721	32,631	33,378	34,139	34,916
Prevention of Domestic Violence, Office for	1,556	1,696	1,872	1,783	1,783	1,783
Public Employment Relations Board	3,430	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,217	5,835	6,010	6,119	6,119
State, Department of	42,005	42,464	43,618	42,506	42,506	42,506
Tax Appeals, Division of	2,820	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	312,384	316,020	356,696	345,439	344,278	344,810
Veterans' Services, Division of	5,720	6,207	6,482	6,417	6,417	6,484
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	141,918	139,495	148,002	143,219	143,219	143,219
Functional Total	<u>1,357,350</u>	<u>1,317,011</u>	<u>1,371,812</u>	<u>1,360,554</u>	<u>1,372,594</u>	<u>1,375,470</u>
ELECTED OFFICIALS						
Audit and Control, Department of	148,498	152,149	164,001	161,292	161,292	161,292
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	2,168,280	2,136,878	2,234,400	2,177,400	2,177,700	2,177,700
Law, Department of	187,295	185,013	191,186	186,591	186,591	186,591
Legislature	223,573	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	<u>2,740,849</u>	<u>2,716,522</u>	<u>2,853,309</u>	<u>2,795,424</u>	<u>2,795,777</u>	<u>2,795,777</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	<u>247</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	43,657	43,072	43,072	43,072
Miscellaneous	49,930	48,975	(952,805)	(1,022,805)	(1,272,805)	(1,272,805)
Functional Total	<u>87,629</u>	<u>85,246</u>	<u>(909,148)</u>	<u>(979,733)</u>	<u>(1,229,733)</u>	<u>(1,229,733)</u>
TOTAL STATE OPERATIONS SPENDING	<u><u>19,057,571</u></u>	<u><u>20,167,913</u></u>	<u><u>18,293,789</u></u>	<u><u>18,885,009</u></u>	<u><u>18,838,718</u></u>	<u><u>18,977,876</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	30,255	30,677	34,150	33,539	33,539	33,539
Alcoholic Beverage Control, Division of	8,166	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	13,090	12,934	12,929	12,929	12,929	12,929
Financial Services, Department of	156,895	157,002	157,986	153,893	153,893	153,893
Olympic Regional Development Authority	5,595	5,500	5,338	5,338	5,338	5,338
Public Service Department	42,628	42,684	46,025	44,550	44,577	44,577
Functional Total	256,629	256,885	264,744	258,565	258,592	258,592
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,069	4,528	4,470	4,470	4,370
Environmental Conservation, Department of	174,576	179,044	200,670	193,895	188,440	188,440
Parks, Recreation and Historic Preservation, Office of	133,979	126,957	140,668	137,298	134,413	134,413
Functional Total	312,505	310,070	345,866	335,663	327,323	327,223
TRANSPORTATION						
Motor Vehicles, Department of	43,766	44,731	44,207	44,497	44,497	44,497
Transportation, Department of	156,307	161,055	168,622	162,396	162,396	162,396
Functional Total	200,073	205,786	212,829	206,893	206,893	206,893
HEALTH						
Aging, Office for the	1,125	1,917	1,899	1,856	1,856	1,856
Health, Department of	266,914	278,618	284,182	296,684	299,899	302,515
<i>Essential Plan</i>	3,233	3,326	4,138	4,453	4,476	4,413
<i>Medicaid Administration</i>	37,949	35,276	42,361	48,126	51,226	53,758
<i>Public Health</i>	225,732	240,016	237,683	244,105	244,197	244,344
Medicaid Inspector General, Office of the	15,204	15,599	16,231	15,624	15,624	15,624
Functional Total	283,243	296,134	302,312	314,164	317,379	319,995
SOCIAL WELFARE						
Children and Family Services, Office of	176,225	86,540	265,818	262,740	262,740	265,408
<i>OCFS</i>	176,225	86,540	265,818	262,740	262,740	265,408
Housing and Community Renewal, Division of	41,155	39,887	17,705	17,060	17,060	17,060
Human Rights, Division of	8,934	9,543	10,121	9,752	9,752	9,752
Labor, Department of	33,501	32,931	34,864	33,620	33,620	33,620
National and Community Service	288	305	340	340	340	343
Temporary and Disability Assistance, Office of	68,003	64,862	76,017	78,130	78,130	78,130
<i>All Other</i>	68,003	64,862	76,017	78,130	78,130	78,130
Functional Total	328,106	234,068	404,865	401,642	401,642	404,313
MENTAL HYGIENE						
Addiction Services and Supports, Office of	60,203	60,528	63,331	62,936	63,542	64,146
<i>OASAS</i>	22,378	23,279	27,997	27,347	27,628	27,903
<i>OASAS - Other</i>	37,825	37,249	35,334	35,589	35,914	36,243
Justice Center	32,560	34,257	34,650	33,720	34,046	34,394
Mental Health, Office of	1,086,720	1,117,318	1,128,448	1,141,576	1,156,141	1,169,386
<i>OMH</i>	268,077	286,101	321,487	329,516	336,855	340,713
<i>OMH - Other</i>	818,643	831,217	806,961	812,060	819,286	828,673
People with Developmental Disabilities, Office for	1,142,584	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD</i>	262	0	0	0	0	0
<i>OPWDD - Other</i>	1,142,322	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,322,067	2,373,432	2,379,752	2,401,079	2,428,585	2,454,911
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,652	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,111,244	2,380,718	2,207,956	2,218,259	2,251,682	2,251,682
<i>DOCCS</i>	2,111,244	2,380,718	2,207,956	2,218,259	2,251,682	2,251,682
Criminal Justice Services, Division of	28,281	27,813	28,994	28,550	29,064	29,635
Homeland Security and Emergency Services, Division of	20,611	28,927	30,314	32,215	32,774	33,433
Indigent Legal Services, Office of	2,430	2,620	3,440	3,524	3,595	3,667
Judicial Conduct, Commission on	3,959	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,432	14,158	14,700	14,776	15,073	15,376
State Police, Division of	657,453	709,801	439,626	748,376	766,992	766,992
Statewide Financial System	11,294	11,650	12,537	12,333	12,568	12,568
Victim Services, Office of	2,958	3,119	3,323	3,272	3,272	3,272
Functional Total	2,854,938	3,185,661	2,748,299	3,068,606	3,122,411	3,124,016
HIGHER EDUCATION						
City University of New York	47,274	48,676	84,145	3,493	3,493	3,493
Higher Education - Miscellaneous	232	283	198	198	198	198
Higher Education Services Corporation, New York State	12,337	10,530	12,916	12,916	12,916	12,916
State University of New York	3,952,903	4,079,009	4,278,852	4,212,970	4,281,054	4,332,630
Functional Total	4,012,746	4,138,498	4,376,111	4,229,577	4,297,661	4,349,237
EDUCATION						
Arts, Council on the	2,636	2,681	2,594	2,498	2,498	2,498
Education, Department of	88,514	90,098	94,081	90,726	90,726	90,726
<i>All Other</i>	88,514	90,098	94,081	90,726	90,726	90,726
Functional Total	91,150	92,779	96,675	93,224	93,224	93,224
GENERAL GOVERNMENT						
Budget, Division of the	21,921	23,615	25,511	24,567	24,567	24,567

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Civil Service, Department of	15,062	15,287	14,592	14,207	14,215	14,215
Deferred Compensation Board	424	441	414	413	413	413
Elections, State Board of	5,773	6,039	6,571	6,478	6,316	6,316
Employee Relations, Office of	2,040	5,789	6,346	6,248	6,248	6,248
Gaming Commission, New York State	35,418	34,014	40,178	38,687	38,687	38,687
General Services, Office of	61,158	41,123	40,732	39,915	40,691	41,483
Information Technology Services, Office of	299,018	296,582	301,761	307,876	314,114	314,114
Inspector General, Office of the	5,909	5,423	6,970	6,857	6,994	6,994
Labor Management Committees	8,618	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,550	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,175	3,214	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,516	4,486	4,867	4,827	4,924	4,924
State, Department of	28,629	28,759	30,346	29,258	29,258	29,258
Tax Appeals, Division of	2,513	2,640	2,936	2,870	2,870	2,870
Taxation and Finance, Department of	269,428	265,994	282,555	272,834	272,386	272,493
Veterans' Services, Division of	5,161	5,546	6,178	6,107	6,107	6,168
Welfare Inspector General, Office of	615	630	654	646	659	659
Workers' Compensation Board	82,890	84,865	89,608	84,892	84,892	84,892
Functional Total	853,662	834,417	871,045	857,074	863,733	864,693
ELECTED OFFICIALS						
Audit and Control, Department of	118,729	121,837	130,597	127,888	127,888	127,888
Executive Chamber	9,135	10,876	11,567	11,113	11,113	11,113
Judiciary	1,731,581	1,713,277	1,806,000	1,749,000	1,749,300	1,749,300
Law, Department of	134,904	136,064	137,554	132,958	132,958	132,958
Legislature	175,304	177,365	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	414	431	543	523	523	523
Functional Total	2,170,067	2,159,850	2,278,844	2,219,848	2,220,201	2,220,201
ALL OTHER CATEGORIES						
Miscellaneous	2,132	2,088	(532,595)	(547,595)	(647,595)	(647,595)
Functional Total	2,132	2,088	(532,595)	(547,595)	(647,595)	(647,595)
TOTAL PERSONAL SERVICE SPENDING	13,687,318	14,089,668	13,748,747	13,838,740	13,890,049	13,975,703

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	7,218	9,495	7,813	22,811	22,611	22,611
Alcoholic Beverage Control, Division of	3,380	2,523	3,244	2,928	2,928	2,928
Economic Development, Department of	4,720	4,106	4,362	4,362	4,362	4,362
Financial Services, Department of	58,270	61,383	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	3,189	4,188	6,338	6,338	6,338	6,338
Public Service Department	9,804	8,438	7,401	6,856	5,163	5,163
Functional Total	86,581	90,133	84,403	98,246	96,353	96,353
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	374	490	365	349	315
Environmental Conservation, Department of	36,191	37,287	39,583	40,348	40,396	39,981
Parks, Recreation and Historic Preservation, Office of	42,405	37,858	34,026	34,026	34,026	34,026
Functional Total	78,943	75,519	74,099	74,739	74,771	74,322
TRANSPORTATION						
Motor Vehicles, Department of	15,423	15,715	16,359	15,750	15,750	15,750
Transportation, Department of	144,312	184,033	173,646	178,553	178,553	178,553
Functional Total	159,735	199,748	190,005	194,303	194,303	194,303
HEALTH						
Aging, Office for the	105	129	106	106	106	106
Health, Department of	460,600	931,546	(14,461)	505,029	499,833	504,578
<i>Essential Plan</i>	73,347	70,644	71,969	71,374	69,804	69,875
<i>Medicaid Administration</i>	223,347	172,250	211,029	231,665	227,595	232,133
<i>Public Health</i>	163,906	688,652	(297,459)	201,990	202,434	202,570
Medicaid Inspector General, Office of the	2,912	2,384	2,448	2,448	2,448	2,448
Functional Total	463,617	934,059	(11,907)	507,583	502,387	507,132
SOCIAL WELFARE						
Children and Family Services, Office of	84,649	59,841	113,937	115,961	115,961	118,292
<i>OCFS</i>	84,649	59,841	113,937	115,961	115,961	118,292
Housing and Community Renewal, Division of	9,917	12,814	615	615	615	615
Human Rights, Division of	1,059	1,505	584	478	478	478
Labor, Department of	16,268	14,628	14,392	14,660	14,660	14,660
National and Community Service	2	1	9	9	9	9
Temporary and Disability Assistance, Office of	59,467	79,101	54,845	56,015	56,015	56,015
<i>All Other</i>	59,467	79,101	54,845	56,015	56,015	56,015
Functional Total	171,362	167,890	184,382	187,738	187,738	190,069
MENTAL HYGIENE						
Addiction Services and Supports, Office of	26,765	28,691	27,555	28,269	28,521	29,285
<i>OASAS</i>	12,066	13,986	15,339	15,633	15,840	16,266
<i>OASAS - Other</i>	14,699	14,705	12,216	12,636	12,681	13,019
Justice Center	9,092	8,106	8,932	9,158	9,387	9,623
Mental Health, Office of	257,936	277,094	264,471	286,402	299,620	313,251
<i>OMH</i>	63,023	71,344	43,294	44,438	45,918	47,818
<i>OMH - Other</i>	194,913	205,750	221,177	241,964	253,702	265,433
People with Developmental Disabilities, Office for	178,684	195,724	186,213	190,875	195,787	200,867
<i>OPWDD</i>	36	77	203	203	203	203
<i>OPWDD - Other</i>	178,648	195,647	186,010	190,672	195,584	200,664
Functional Total	472,477	509,615	487,171	514,704	533,315	553,026
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	497	242	247	247	247
Corrections and Community Supervision, Department of	488,124	491,689	496,318	490,279	496,625	493,625
<i>DOCCS</i>	488,124	491,689	496,318	490,279	496,625	493,625
Criminal Justice Services, Division of	7,347	7,569	9,344	9,609	9,840	10,035
Homeland Security and Emergency Services, Division of	15,707	12,030	9,857	10,383	10,664	10,865
Indigent Legal Services, Office of	407	497	836	856	873	876
Judicial Conduct, Commission on	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	9,437	11,069	10,169	10,748	10,891	11,106
State Police, Division of	59,963	64,098	60,801	70,599	71,435	71,435
Statewide Financial System	19,226	19,867	18,624	18,996	19,376	19,376
Victim Services, Office of	550	638	745	758	758	758
Functional Total	602,497	609,505	608,392	614,174	622,424	620,038
HIGHER EDUCATION						
City University of New York	48,398	53,020	48,361	0	0	0
Higher Education - Miscellaneous	108	145	93	93	93	93
Higher Education Services Corporation, New York State	26,882	18,552	25,358	25,358	25,358	25,358
State University of New York	2,047,903	2,245,231	2,096,018	2,122,291	2,149,549	2,177,185
Functional Total	2,123,291	2,316,948	2,169,830	2,147,742	2,175,000	2,202,636
EDUCATION						
Arts, Council on the	1,630	1,570	1,822	1,822	1,822	1,822
Education, Department of	49,906	50,832	58,166	58,300	58,257	58,257
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	49,905	50,832	58,166	58,300	58,257	58,257
Functional Total	51,536	52,402	59,988	60,122	60,079	60,079

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL GOVERNMENT						
Budget, Division of the	3,297	4,384	3,375	3,372	3,372	3,372
Civil Service, Department of	360	7	12	442	451	451
Deferred Compensation Board	50	32	235	235	235	235
Elections, State Board of	2,843	2,870	4,012	3,576	3,112	3,112
Employee Relations, Office of	57	91	196	196	197	197
Gaming Commission, New York State	31,874	16,250	27,807	27,771	27,771	27,771
General Services, Office of	80,304	71,761	45,332	47,759	48,600	49,308
Information Technology Services, Office of	245,523	243,613	244,131	244,572	249,492	249,492
Inspector General, Office of the	935	958	856	1,204	1,216	1,216
Labor Management Committees	21,081	22,301	26,933	27,891	28,652	29,429
Prevention of Domestic Violence, Office for	162	146	212	216	216	216
Public Employment Relations Board	255	187	296	296	296	296
Public Ethics, Joint Commission on	707	731	968	1,183	1,195	1,195
State, Department of	13,376	13,705	13,272	13,248	13,248	13,248
Tax Appeals, Division of	307	231	214	170	170	170
Taxation and Finance, Department of	42,956	50,026	74,141	72,605	71,892	72,317
Veterans' Services, Division of	559	661	304	310	310	316
Welfare Inspector General, Office of	14	10	77	107	109	109
Workers' Compensation Board	59,028	54,630	58,394	58,327	58,327	58,327
Functional Total	503,688	482,594	500,767	503,480	508,861	510,777
ELECTED OFFICIALS						
Audit and Control, Department of	29,769	30,312	33,404	33,404	33,404	33,404
Executive Chamber	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	436,699	423,601	428,400	428,400	428,400	428,400
Law, Department of	52,391	48,949	53,632	53,633	53,633	53,633
Legislature	48,269	51,360	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	116	87	91	91	91	91
Functional Total	570,782	556,672	574,465	575,576	575,576	575,576
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	43,657	43,072	43,072	43,072
Miscellaneous	47,798	46,887	(420,210)	(475,210)	(625,210)	(625,210)
Functional Total	85,497	83,158	(376,553)	(432,138)	(582,138)	(582,138)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,370,253	6,078,245	4,545,042	5,046,269	4,948,669	5,002,173

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,082	1,311	2,160	2,261	2,296	2,296
Economic Development, Department of	0	0	28	28	28	28
Financial Services, Department of	113,519	98,264	113,345	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	22,783	27,154	31,197	30,773	31,299	31,299
Functional Total	139,415	126,729	146,730	147,313	147,874	147,874
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,434	47,584	50,156	47,473	47,814	39,183
Parks, Recreation and Historic Preservation, Office of	3,657	4,697	2,007	1,941	1,941	1,941
Functional Total	66,091	52,281	52,163	49,414	49,755	41,124
TRANSPORTATION						
Motor Vehicles, Department of	28,312	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	1,681	1,836	2,412	2,123	2,158	2,193
Functional Total	29,993	24,269	28,375	28,738	28,773	28,808
HEALTH						
Health, Department of	34,922	36,222	39,668	42,185	42,251	42,354
<i>Medicaid Administration</i>	0	202	581	581	581	581
<i>Public Health</i>	34,922	36,020	39,087	41,604	41,670	41,773
Functional Total	34,922	36,222	39,668	42,185	42,251	42,354
SOCIAL WELFARE						
Children and Family Services, Office of	2,243	2,631	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,243	2,631	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	21,908	19,144	2,521	3,844	3,844	3,844
Labor, Department of	25,876	20,849	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	36	65	128	128	128	128
<i>All Other</i>	36	65	128	128	128	128
Functional Total	50,063	42,689	25,386	29,243	29,243	29,243
MENTAL HYGIENE						
Justice Center	1,220	589	887	910	933	958
Mental Health, Office of	(44,657)	0	0	0	0	0
<i>OMH</i>	(10,681)	0	0	0	0	0
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
Functional Total	(43,437)	589	887	910	933	958
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	191	141	106	106	106	106
<i>DOCCS</i>	191	141	106	106	106	106
Homeland Security and Emergency Services, Division of	763	666	835	905	905	905
Indigent Legal Services, Office of	1,851	1,645	2,156	2,234	2,317	2,402
Military and Naval Affairs, Division of	214	0	9	10	10	10
State Police, Division of	45,763	26,345	28,953	29,323	29,523	29,523
Victim Services, Office of	1,894	1,660	1,650	1,683	1,683	1,683
Functional Total	50,676	30,457	33,709	34,261	34,544	34,629
HIGHER EDUCATION						
City University of New York	129	204	150	0	0	0
Higher Education - Miscellaneous	200	181	150	150	150	150
Higher Education Services Corporation, New York State	9,807	6,615	9,020	9,020	9,020	9,020
State University of New York	522,524	441,696	492,052	589,358	616,688	609,904
Functional Total	532,660	448,696	501,372	598,528	625,858	619,074
EDUCATION						
Education, Department of	43,214	37,239	41,458	40,581	41,269	41,269
<i>All Other</i>	43,214	37,239	41,458	40,581	41,269	41,269
Functional Total	43,214	37,239	41,458	40,581	41,269	41,269
GENERAL GOVERNMENT						
Budget, Division of the	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	139	118	244	241	245	245
Deferred Compensation Board	302	260	247	252	256	256
Gaming Commission, New York State	22,754	17,063	18,465	17,575	17,575	17,575
General Services, Office of	538	4,973	583	575	585	585
Labor Management Committees	5,821	6,386	5,000	5,000	5,000	5,000
State, Department of	15,448	9,640	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	5,667	32,829	22,567	21,597	21,318	21,386
Workers' Compensation Board	56,568	53,880	57,863	53,220	53,220	53,220
Functional Total	108,144	126,105	121,474	115,183	114,939	115,007
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	1,568	2,197	2,197	2,197	2,197
Judiciary	787,202	820,393	780,384	882,098	882,097	848,193
Law, Department of	26,417	16,822	21,049	20,243	20,243	20,243
Functional Total	815,658	838,783	803,630	904,538	904,537	870,633
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,313,980	8,156,155	8,701,270	8,865,924

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Miscellaneous	2,969	4,050	5,789	5,789	5,797	5,797
Functional Total	<u>6,376,425</u>	<u>6,659,137</u>	<u>6,319,769</u>	<u>8,161,944</u>	<u>8,707,067</u>	<u>8,871,721</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>8,203,824</u>	<u>8,423,196</u>	<u>8,114,621</u>	<u>10,152,838</u>	<u>10,727,043</u>	<u>10,842,694</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,753	11,426	20,165	10,330	5,915	5,765
Economic Development, Department of	0	333	0	4,400	3,400	3,800
Empire State Development Corporation	85,649	23,143	912,614	689,621	709,345	370,323
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	42,700	85,150	131,000	43,500	10,000	10,000
Power Authority, New York	1,346	501	30,500	9,500	500	500
Regional Economic Development Program	0	0	3,000	4,500	447	0
Strategic Investment Program	0	0	0	2,000	2,000	2,000
Functional Total	202,276	136,484	1,148,848	822,095	762,040	410,594
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	0	1,000	0	0
Environmental Conservation, Department of	400,363	463,109	733,114	796,227	891,313	971,325
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	169,014	170,687	180,739	166,189	165,739	165,739
Functional Total	569,377	635,796	921,853	981,416	1,074,052	1,151,064
TRANSPORTATION						
Motor Vehicles, Department of	227,603	258,277	283,213	246,244	230,772	230,868
Transportation, Department of	2,175,940	2,173,818	3,159,388	3,665,536	3,351,825	3,378,905
Functional Total	2,403,543	2,432,095	3,442,601	3,911,780	3,582,597	3,609,773
HEALTH						
Health, Department of	74,018	71,101	119,919	182,110	124,302	107,321
<i>Public Health</i>	74,018	71,101	119,919	182,110	124,302	107,321
Functional Total	74,018	71,101	119,919	182,110	124,302	107,321
SOCIAL WELFARE						
Children and Family Services, Office of	55,800	22,476	25,804	23,660	23,673	23,673
<i>OCFS</i>	55,800	22,476	25,804	23,660	23,673	23,673
Temporary and Disability Assistance, Office of	966	813	1,600	800	800	800
<i>All Other</i>	966	813	1,600	800	800	800
Functional Total	56,766	23,289	27,404	24,460	24,473	24,473
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,036	1,599	15,759	13,418	15,469	15,522
<i>OASAS</i>	2,036	1,599	15,759	13,418	15,469	15,522
Mental Health, Office of	244,264	239,694	331,489	311,308	298,314	294,345
<i>OMH</i>	244,264	239,694	331,489	311,308	298,314	294,345
People with Developmental Disabilities, Office for	96,486	96,600	131,706	121,428	128,195	117,989
<i>OPWDD</i>	96,486	96,600	131,706	121,428	128,195	117,989
Functional Total	342,786	337,893	478,954	446,154	441,978	427,856
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	369,540	412,424	340,646	337,685	316,975	321,140
<i>DOCCS</i>	369,540	412,424	340,646	337,685	316,975	321,140
Criminal Justice Services, Division of	0	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	11,972	14,508	17,250	16,653	10,367	1,500
Military and Naval Affairs, Division of	44,433	90,102	65,344	33,744	33,107	26,645
State Police, Division of	47,327	49,194	55,853	54,688	47,538	50,968
Functional Total	473,272	566,228	504,093	442,770	407,987	400,253
HIGHER EDUCATION						
City University of New York	32,463	36,412	37,352	47,352	57,352	67,352
State University of New York	941,242	976,879	993,175	1,169,149	1,207,126	1,126,793
Functional Total	973,705	1,013,291	1,030,527	1,216,501	1,264,478	1,194,145
EDUCATION						
Education, Department of	6,146	11,312	45,006	33,145	28,553	23,715
<i>All Other</i>	6,146	11,312	45,006	33,145	28,553	23,715
Functional Total	6,146	11,312	45,006	33,145	28,553	23,715
GENERAL GOVERNMENT						
Elections, State Board of	0	2,587	5,800	6,200	3,200	800
General Services, Office of	154,965	175,906	321,483	217,962	151,629	151,461
Information Technology Services, Office of	70,350	81,010	155,948	44,696	23,698	12,945
State, Department of	1,238	2,711	2,000	2,000	2,000	2,000
Workers' Compensation Board	0	4,907	22,440	33,764	6,755	0
Functional Total	226,553	267,121	507,671	304,622	187,282	167,206
ELECTED OFFICIALS						
Audit and Control, Department of	2,298	3,430	2,806	5,365	4,727	0
Judiciary	10,373	21,295	25,700	12,283	0	0
Law, Department of	6,856	4,344	5,735	2,000	0	0
Functional Total	19,527	29,069	34,241	19,648	4,727	0

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	81	93	0	0	0	0
Miscellaneous	33,741	64,038	(230,339)	(289,907)	(191,095)	(216,095)
Special Infrastructure Account	423,893	327,921	415,174	209,027	112,684	60,565
Functional Total	<u>457,715</u>	<u>392,052</u>	<u>184,835</u>	<u>(80,880)</u>	<u>(78,411)</u>	<u>(155,530)</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>5,805,684</u>	<u>5,915,731</u>	<u>8,445,952</u>	<u>8,303,821</u>	<u>7,824,058</u>	<u>7,360,870</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	0	0	0	5,000	5,000	5,000
Empire State Development Corporation	0	15	0	0	0	0
Financial Services, Department of	64,179	55,146	61,581	59,896	59,896	59,896
Public Service Department	218	1,341	160	60	60	60
Functional Total	64,397	56,502	61,741	64,956	64,956	64,956
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	4,314	4,629	3,650	3,650	3,650	3,650
Functional Total	4,314	4,629	3,650	3,650	3,650	3,650
TRANSPORTATION						
Transportation, Department of	3,634,366	3,378,077	3,681,662	3,696,248	3,898,183	4,087,991
Functional Total	3,634,366	3,378,077	3,681,662	3,696,248	3,898,183	4,087,991
HEALTH						
Health, Department of	6,611,353	6,904,306	6,881,034	6,957,146	7,063,316	7,153,941
<i>Medical Assistance</i>	5,691,828	5,683,801	5,801,270	5,703,038	5,787,997	5,865,821
<i>Public Health</i>	919,525	1,220,505	1,079,764	1,254,108	1,275,319	1,288,120
Functional Total	6,611,353	6,904,306	6,881,034	6,957,146	7,063,316	7,153,941
SOCIAL WELFARE						
Children and Family Services, Office of	3,905	3,634	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,905	3,634	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	486	408	852	852	852	852
Labor, Department of	96	37	150	150	150	150
Functional Total	4,487	4,079	4,584	4,584	4,584	4,584
MENTAL HYGIENE						
Addiction Services and Supports, Office of	3,325	3,388	4,800	4,800	4,800	4,800
<i>OASAS</i>	3,325	3,388	4,800	4,800	4,800	4,800
Justice Center	509	479	479	479	479	479
Mental Health, Office of	287	31	1,075	1,075	1,075	1,075
<i>OMH</i>	287	31	1,075	1,075	1,075	1,075
People with Developmental Disabilities, Office for	(2,131)	0	0	0	0	0
<i>OPWDD</i>	(2,131)	0	0	0	0	0
Functional Total	1,990	3,898	6,354	6,354	6,354	6,354
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	20,974	28,079	74,390	74,390	34,390	34,390
Homeland Security and Emergency Services, Division of	45,139	28,141	52,570	96,549	98,549	98,549
Indigent Legal Services, Office of	83,765	85,503	160,000	220,000	270,000	283,762
State Police, Division of	0	15	0	0	0	0
Victim Services, Office of	24,845	25,459	27,744	28,398	28,398	28,398
Functional Total	174,723	167,197	314,704	419,337	431,337	445,099
EDUCATION						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	5,754,854	6,040,756	4,938,949	5,110,090	5,087,018	5,103,946
<i>School Aid</i>	3,323,122	3,845,794	2,852,800	3,117,600	3,216,000	3,341,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>All Other</i>	8,620	11,273	13,033	13,033	13,033	13,033
Functional Total	5,754,854	6,040,756	4,939,047	5,110,188	5,087,116	5,104,044
GENERAL GOVERNMENT						
Gaming Commission, New York State	69,013	97,809	169,324	92,915	103,262	117,021
State, Department of	939	0	0	0	0	0
Taxation and Finance, Department of	1,514	2,417	2,565	2,565	2,565	2,565
Functional Total	71,466	100,226	171,889	95,480	105,827	119,586
ELECTED OFFICIALS						
Judiciary	98,541	118,800	113,000	113,000	113,000	113,000
Functional Total	98,541	118,800	113,000	113,000	113,000	113,000
ALL OTHER CATEGORIES						
Miscellaneous	10,959	10,511	(1,083,802)	(1,042,000)	(1,692,000)	(2,192,000)
Functional Total	10,959	10,511	(1,083,802)	(1,042,000)	(1,692,000)	(2,192,000)
TOTAL LOCAL ASSISTANCE SPENDING	16,431,450	16,788,981	15,093,863	15,428,943	15,086,323	14,911,205

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,150	2,524	3,334	3,500	3,500	3,500
Economic Development, Department of	0	0	103	103	103	103
Financial Services, Department of	156,895	157,002	157,986	153,893	153,893	153,893
Public Service Department	42,628	42,684	46,025	44,550	44,577	44,577
Functional Total	202,673	202,210	207,448	202,046	202,073	202,073
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	84,489	84,265	95,701	90,726	83,960	76,993
Parks, Recreation and Historic Preservation, Office of	34,300	25,645	31,704	30,643	30,643	30,643
Functional Total	118,789	109,910	127,405	121,369	114,603	107,636
TRANSPORTATION						
Motor Vehicles, Department of	35,448	36,253	35,339	35,451	35,451	35,451
Transportation, Department of	2,654	2,880	3,451	3,348	3,348	3,348
Functional Total	38,102	39,133	38,790	38,799	38,799	38,799
HEALTH						
Health, Department of	132,075	138,664	138,888	141,051	141,143	141,290
<i>Medicaid Administration</i>	0	316	910	910	910	910
<i>Public Health</i>	132,075	138,348	137,978	140,141	140,233	140,380
Functional Total	132,075	138,664	138,888	141,051	141,143	141,290
SOCIAL WELFARE						
Children and Family Services, Office of	3,624	3,471	3,483	3,449	3,449	3,482
<i>OCFS</i>	3,624	3,471	3,483	3,449	3,449	3,482
Housing and Community Renewal, Division of	38,106	36,659	13,213	12,732	12,732	12,732
Labor, Department of	33,414	32,847	34,770	33,529	33,529	33,529
Functional Total	75,144	72,977	51,466	49,710	49,710	49,743
MENTAL HYGIENE						
Justice Center	1,411	924	1,422	1,435	1,448	1,462
Mental Health, Office of	(54)	0	103	103	103	103
<i>OMH</i>	(3)	0	103	103	103	103
<i>OMH - Other</i>	(51)	0	0	0	0	0
People with Developmental Disabilities, Office for	(20)	0	0	0	0	0
<i>OPWDD - Other</i>	(20)	0	0	0	0	0
Functional Total	1,337	924	1,525	1,538	1,551	1,565
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	215	220	219	219	223	223
<i>DOCCS</i>	215	220	219	219	223	223
Criminal Justice Services, Division of	252	147	403	400	400	408
Homeland Security and Emergency Services, Division of	19,611	27,927	29,314	31,215	31,754	32,392
Indigent Legal Services, Office of	2,430	2,620	3,440	3,524	3,595	3,667
Military and Naval Affairs, Division of	97	0	161	161	165	169
State Police, Division of	45,780	48,510	50,132	50,370	51,420	51,420
Victim Services, Office of	2,958	3,119	3,323	3,272	3,272	3,272
Functional Total	71,343	82,543	86,992	89,161	90,829	91,551
HIGHER EDUCATION						
City University of New York	47,274	48,676	84,145	3,493	3,493	3,493
Higher Education - Miscellaneous	232	283	198	198	198	198
Higher Education Services Corporation, New York State	12,337	10,530	12,416	12,416	12,416	12,416
State University of New York	3,952,902	4,078,957	4,278,810	4,212,928	4,281,012	4,332,588
Functional Total	4,012,745	4,138,446	4,375,569	4,229,035	4,297,119	4,348,695
EDUCATION						
Education, Department of	57,667	57,887	63,775	61,392	61,392	61,392
<i>All Other</i>	57,667	57,887	63,775	61,392	61,392	61,392
Functional Total	57,667	57,887	63,775	61,392	61,392	61,392
GENERAL GOVERNMENT						
Budget, Division of the	1,387	1,526	2,441	2,351	2,351	2,351
Civil Service, Department of	188	184	376	365	365	365
Deferred Compensation Board	390	406	381	381	381	381
Gaming Commission, New York State	32,241	30,760	35,731	34,405	34,405	34,405
General Services, Office of	3,960	4,952	897	871	871	871
State, Department of	18,328	18,747	21,130	20,404	20,404	20,404
Taxation and Finance, Department of	6,673	51,464	46,279	44,847	44,399	44,506
Workers' Compensation Board	82,890	84,865	89,608	84,892	84,892	84,892
Functional Total	146,057	192,904	196,843	188,516	188,068	188,175
ELECTED OFFICIALS						
Audit and Control, Department of	10,645	11,306	15,994	15,707	15,707	15,707
Judiciary	62,313	66,381	68,800	66,600	66,900	66,900
Law, Department of	37,051	34,592	34,207	32,857	32,857	32,857
Functional Total	110,009	112,279	119,001	115,164	115,464	115,464

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	2,064	2,015	(397,651)	(397,651)	(472,651)	(572,651)
Functional Total	<u>2,064</u>	<u>2,015</u>	<u>(397,651)</u>	<u>(397,651)</u>	<u>(472,651)</u>	<u>(572,651)</u>
TOTAL PERSONAL SERVICE SPENDING	<u>4,968,005</u>	<u>5,149,892</u>	<u>5,010,051</u>	<u>4,840,130</u>	<u>4,828,100</u>	<u>4,773,732</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,973	1,947	2,482	18,349	18,349	18,349
Economic Development, Department of	1,868	1,190	1,847	1,847	1,847	1,847
Financial Services, Department of	58,270	61,383	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	1	0	150	150	150	150
Public Service Department	9,804	8,438	7,401	6,856	5,163	5,163
Functional Total	71,916	72,958	67,125	82,153	80,460	80,460
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	23,253	21,777	14,101	14,746	14,794	14,379
Parks, Recreation and Historic Preservation, Office of	36,191	32,214	27,152	27,152	27,152	27,152
Functional Total	59,444	53,991	41,253	41,898	41,946	41,531
TRANSPORTATION						
Motor Vehicles, Department of	13,439	13,631	12,641	11,966	11,966	11,966
Transportation, Department of	5,750	5,663	5,555	5,563	5,563	5,563
Functional Total	19,189	19,294	18,196	17,529	17,529	17,529
HEALTH						
Health, Department of	123,329	148,191	171,421	170,274	170,718	170,854
<i>Medicaid Administration</i>	0	11	50	50	50	50
<i>Public Health</i>	123,329	148,180	171,371	170,224	170,668	170,804
Functional Total	123,329	148,191	171,421	170,274	170,718	170,854
SOCIAL WELFARE						
Children and Family Services, Office of	12,522	14,000	15,544	15,853	15,853	16,168
<i>OCFS</i>	12,522	14,000	15,544	15,853	15,853	16,168
Housing and Community Renewal, Division of	8,777	8,308	202	202	202	202
Labor, Department of	16,013	14,436	14,137	14,400	14,400	14,400
Temporary and Disability Assistance, Office of	3,645	935	200	200	200	200
<i>All Other</i>	3,645	935	200	200	200	200
Functional Total	40,957	37,679	30,083	30,655	30,655	30,970
MENTAL HYGIENE						
Addiction Services and Supports, Office of	6,291	6,443	6,471	6,486	6,667	6,863
<i>OASAS</i>	6,285	6,443	6,471	6,486	6,667	6,863
<i>OASAS - Other</i>	6	0	0	0	0	0
Justice Center	62	32	40	49	50	50
Mental Health, Office of	(217)	2,769	5,342	5,342	5,342	5,342
<i>OMH</i>	3,108	2,769	5,342	5,342	5,342	5,342
<i>OMH - Other</i>	(3,325)	0	0	0	0	0
People with Developmental Disabilities, Office for	(9,127)	76	203	203	203	203
<i>OPWDD</i>	36	76	203	203	203	203
<i>OPWDD - Other</i>	(9,163)	0	0	0	0	0
Functional Total	(2,991)	9,320	12,056	12,080	12,262	12,458
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	827	1,089	2,627	2,627	2,648	2,648
<i>DOCCS</i>	827	1,089	2,627	2,627	2,648	2,648
Criminal Justice Services, Division of	1,406	1,332	2,696	2,790	2,847	2,905
Homeland Security and Emergency Services, Division of	15,707	12,030	9,857	10,383	10,664	10,865
Indigent Legal Services, Office of	407	497	836	856	873	876
Military and Naval Affairs, Division of	3,327	5,161	3,571	3,656	3,657	3,727
State Police, Division of	31,435	29,136	31,738	26,800	26,924	26,924
Victim Services, Office of	550	638	745	758	758	758
Functional Total	53,659	49,883	52,070	47,870	48,371	48,703
HIGHER EDUCATION						
City University of New York	48,398	53,020	48,361	0	0	0
Higher Education - Miscellaneous	108	145	93	93	93	93
Higher Education Services Corporation, New York State	26,882	18,552	25,358	25,358	25,358	25,358
State University of New York	2,046,976	2,241,961	2,095,276	2,121,549	2,148,807	2,176,443
Functional Total	2,122,364	2,313,678	2,169,088	2,147,000	2,174,258	2,201,894
EDUCATION						
Education, Department of	24,226	27,336	29,262	29,396	29,353	29,353
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	24,225	27,336	29,262	29,396	29,353	29,353
Functional Total	24,226	27,336	29,262	29,396	29,353	29,353
GENERAL GOVERNMENT						
Budget, Division of the	1,400	2,546	2,696	2,693	2,693	2,693
Civil Service, Department of	8	6	12	442	451	451
Deferred Compensation Board	50	31	210	210	210	210
Elections, State Board of	273	270	0	0	0	0
Gaming Commission, New York State	30,510	14,982	25,727	25,691	25,691	25,691
General Services, Office of	1,829	5,943	3,249	3,289	3,354	3,354
Labor Management Committees	0	155	306	306	306	306
Prevention of Domestic Violence, Office for	0	0	5	5	5	5
Public Employment Relations Board	37	21	45	45	45	45
State, Department of	13,044	13,351	13,036	13,012	13,012	13,012
Taxation and Finance, Department of	2,129	10,009	31,401	31,169	30,456	30,881

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Workers' Compensation Board	59,028	54,630	58,394	58,327	58,327	58,327
Functional Total	<u>108,308</u>	<u>101,944</u>	<u>135,081</u>	<u>135,189</u>	<u>134,550</u>	<u>134,975</u>
ELECTED OFFICIALS						
Audit and Control, Department of	3,100	3,328	4,322	4,322	4,322	4,322
Judiciary	42,689	47,747	48,200	48,200	48,200	48,200
Law, Department of	42,284	40,354	41,850	41,851	41,851	41,851
Legislature	564	1,179	950	950	950	950
Functional Total	<u>88,637</u>	<u>92,608</u>	<u>95,322</u>	<u>95,323</u>	<u>95,323</u>	<u>95,323</u>
ALL OTHER CATEGORIES						
Miscellaneous	1,452	1,151	(413,103)	(358,516)	(433,516)	(533,516)
Functional Total	<u>1,452</u>	<u>1,151</u>	<u>(413,103)</u>	<u>(358,516)</u>	<u>(433,516)</u>	<u>(533,516)</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>2,710,490</u></u>	<u><u>2,928,033</u></u>	<u><u>2,407,854</u></u>	<u><u>2,450,851</u></u>	<u><u>2,401,909</u></u>	<u><u>2,330,534</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,082	1,311	2,160	2,261	2,296	2,296
Economic Development, Department of	0	0	28	28	28	28
Financial Services, Department of	113,519	98,264	113,345	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	22,783	27,154	31,197	30,773	31,299	31,299
Functional Total	139,415	126,729	146,730	147,313	147,874	147,874
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,434	47,584	50,156	47,473	47,814	39,183
Parks, Recreation and Historic Preservation, Office of	3,657	4,697	2,007	1,941	1,941	1,941
Functional Total	66,091	52,281	52,163	49,414	49,755	41,124
TRANSPORTATION						
Motor Vehicles, Department of	28,312	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	1,681	1,836	2,412	2,123	2,158	2,193
Functional Total	29,993	24,269	28,375	28,738	28,773	28,808
HEALTH						
Health, Department of	34,922	36,222	39,676	42,185	42,251	42,354
<i>Medicaid Administration</i>	0	202	581	581	581	581
<i>Public Health</i>	34,922	36,020	39,095	41,604	41,670	41,773
Functional Total	34,922	36,222	39,676	42,185	42,251	42,354
SOCIAL WELFARE						
Children and Family Services, Office of	2,243	2,631	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,243	2,631	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	21,908	19,144	2,521	3,844	3,844	3,844
Labor, Department of	25,876	20,849	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	36	65	128	128	128	128
<i>All Other</i>	36	65	128	128	128	128
Functional Total	50,063	42,689	25,386	29,243	29,243	29,243
MENTAL HYGIENE						
Justice Center	1,220	589	887	910	933	958
Mental Health, Office of	(44,657)	0	0	0	0	0
<i>OMH</i>	(10,681)	0	0	0	0	0
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
Functional Total	(43,437)	589	887	910	933	958
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	191	141	106	106	106	106
<i>DOCCS</i>	191	141	106	106	106	106
Homeland Security and Emergency Services, Division of	763	666	835	905	905	905
Indigent Legal Services, Office of	1,851	1,645	2,156	2,234	2,317	2,402
Military and Naval Affairs, Division of	214	0	9	10	10	10
State Police, Division of	45,763	26,345	28,953	29,323	29,523	29,523
Victim Services, Office of	1,894	1,660	1,650	1,683	1,683	1,683
Functional Total	50,676	30,457	33,709	34,261	34,544	34,629
HIGHER EDUCATION						
City University of New York	129	204	150	0	0	0
Higher Education - Miscellaneous	200	181	150	150	150	150
Higher Education Services Corporation, New York State	9,807	6,615	9,020	9,020	9,020	9,020
State University of New York	522,523	441,695	492,052	589,358	616,688	609,904
Functional Total	532,659	448,695	501,372	598,528	625,858	619,074
EDUCATION						
Education, Department of	43,214	37,239	41,458	40,581	41,269	41,269
<i>All Other</i>	43,214	37,239	41,458	40,581	41,269	41,269
Functional Total	43,214	37,239	41,458	40,581	41,269	41,269
GENERAL GOVERNMENT						
Budget, Division of the	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	139	118	244	241	245	245
Deferred Compensation Board	302	260	247	252	256	256
Gaming Commission, New York State	22,754	17,063	18,465	17,575	17,575	17,575
General Services, Office of	538	4,973	583	575	585	585
State, Department of	15,448	9,640	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	5,667	32,829	22,567	21,597	21,318	21,386
Workers' Compensation Board	56,568	53,880	57,863	53,220	53,220	53,220
Functional Total	102,323	119,719	116,474	110,183	109,939	110,007
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	1,568	2,197	2,197	2,197	2,197
Judiciary	29,213	30,938	34,200	34,200	34,200	34,200
Law, Department of	26,417	16,822	21,049	20,243	20,243	20,243
Functional Total	57,669	49,328	57,446	56,640	56,640	56,640

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	1,595	1,275	1,369	1,369	1,377	1,377
Functional Total	<u>1,595</u>	<u>1,275</u>	<u>1,369</u>	<u>1,369</u>	<u>1,377</u>	<u>1,377</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>1,065,183</u></u>	<u><u>969,492</u></u>	<u><u>1,045,045</u></u>	<u><u>1,139,365</u></u>	<u><u>1,168,456</u></u>	<u><u>1,153,357</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	18	363	0	0	0	0
Economic Development, Department of	7,360	6,904	8,055	8,055	8,055	8,055
Empire State Development Corporation	588	1,059	0	0	0	0
Public Service Department	0	159	0	0	0	0
Functional Total	7,966	8,485	8,055	8,055	8,055	8,055
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	5,044	3,336	1,270	1,270	1,270	1,270
Functional Total	5,044	3,336	1,270	1,270	1,270	1,270
TRANSPORTATION						
Motor Vehicles, Department of	16,151	14,070	18,000	18,000	18,000	18,000
Transportation, Department of	36,388	43,840	113,904	42,504	42,504	42,504
Functional Total	52,539	57,910	131,904	60,504	60,504	60,504
HEALTH						
Aging, Office for the	98,856	111,040	98,694	98,694	98,694	98,694
Health, Department of	46,208,501	46,750,848	54,512,590	53,018,349	54,645,330	56,502,923
<i>Medical Assistance</i>	39,094,483	40,085,444	47,160,708	45,736,871	47,280,057	49,123,608
<i>Essential Plan</i>	4,007,287	3,834,196	4,744,395	4,840,130	4,900,596	4,909,010
<i>Medicaid Administration</i>	737,569	500,186	374,071	375,438	370,538	357,356
<i>Public Health</i>	2,369,162	2,331,022	2,233,416	2,065,910	2,094,139	2,112,949
Functional Total	46,307,357	46,861,888	54,611,284	53,117,043	54,744,024	56,601,617
SOCIAL WELFARE						
Children and Family Services, Office of	862,245	1,155,080	918,300	918,300	918,300	918,300
<i>OCFS</i>	862,245	1,155,080	918,300	918,300	918,300	918,300
Housing and Community Renewal, Division of	55,691	61,655	48,434	48,434	48,434	48,434
Labor, Department of	123,479	136,485	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,899,694	3,496,269	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	3,087,731	2,622,139	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	811,963	874,130	817,000	817,000	817,000	817,000
Functional Total	4,941,109	4,849,489	4,562,202	4,562,202	4,562,202	4,562,202
MENTAL HYGIENE						
Addiction Services and Supports, Office of	102,902	90,805	118,690	104,490	104,490	104,490
<i>OASAS</i>	102,902	90,805	118,690	104,490	104,490	104,490
Mental Health, Office of	55,583	40,931	32,025	32,025	32,025	32,025
<i>OMH</i>	55,583	40,931	32,025	32,025	32,025	32,025
Functional Total	158,485	131,736	150,715	136,515	136,515	136,515
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	40,574	12,971	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,050,501	1,300,326	1,008,000	1,008,000	1,008,000	1,008,000
State Police, Division of	14	0	0	0	0	0
Victim Services, Office of	45,761	78,695	93,000	93,000	93,000	93,000
Functional Total	1,136,850	1,391,992	1,116,800	1,116,800	1,116,800	1,116,800
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	70	0	0	0	0
State University of New York	180	0	0	0	0	0
Functional Total	180	70	0	0	0	0
EDUCATION						
Arts, Council on the	698	784	1,135	600	600	600
Education, Department of	3,839,720	3,527,698	4,484,948	4,007,489	3,647,645	3,647,645
<i>School Aid</i>	2,757,079	2,695,936	3,603,241	3,125,782	2,765,938	2,765,938
<i>Special Education Categorical Programs</i>	1,008,280	739,899	800,000	800,000	800,000	800,000
<i>All Other</i>	74,361	91,863	81,707	81,707	81,707	81,707
Functional Total	3,840,418	3,528,482	4,486,083	4,008,089	3,648,245	3,648,245
GENERAL GOVERNMENT						
Elections, State Board of	445	188	0	0	0	0
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	7	0	0	0	0	0
State, Department of	60,642	53,802	57,957	57,957	57,957	57,957
Functional Total	61,094	53,990	58,207	58,207	58,207	58,207
ALL OTHER CATEGORIES						
Miscellaneous	(489,759)	(434,270)	(467,938)	(467,938)	(467,938)	(467,938)
Functional Total	(489,759)	(434,270)	(467,938)	(467,938)	(467,938)	(467,938)
TOTAL LOCAL ASSISTANCE SPENDING	56,021,283	56,453,108	64,658,582	62,600,747	63,867,884	65,725,477

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,728	4,636	3,413	3,413	3,413	3,413
Financial Services, Department of	0	0	1,750	0	0	0
Public Service Department	1,307	1,540	1,202	1,202	1,202	1,202
Functional Total	5,035	6,176	6,365	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	23,504	24,969	28,909	28,909	28,888	28,888
Parks, Recreation and Historic Preservation, Office of	2,865	2,516	1,367	1,367	1,367	1,367
Functional Total	26,369	27,485	30,276	30,276	30,255	30,255
TRANSPORTATION						
Motor Vehicles, Department of	1,627	3,232	4,979	4,979	4,979	4,979
Transportation, Department of	8,642	8,215	10,887	10,484	10,484	10,484
Functional Total	10,269	11,447	15,866	15,463	15,463	15,463
HEALTH						
Aging, Office for the	6,068	5,140	6,198	6,068	6,068	6,068
Health, Department of	86,237	82,998	106,187	96,705	99,599	101,936
<i>Medicaid Administration</i>	32,637	33,573	33,950	40,098	42,978	45,312
<i>Public Health</i>	53,600	49,425	72,237	56,607	56,621	56,624
Medicaid Inspector General, Office of the	15,211	15,587	16,239	15,625	15,625	15,625
Functional Total	107,516	103,725	128,624	118,398	121,292	123,629
SOCIAL WELFARE						
Children and Family Services, Office of	26,318	26,668	30,451	31,060	31,060	31,371
<i>OCFS</i>	26,318	26,668	30,451	31,060	31,060	31,371
Housing and Community Renewal, Division of	5,591	5,500	8,125	8,288	8,288	8,288
Human Rights, Division of	3,754	3,033	3,354	3,421	3,421	3,421
Labor, Department of	177,367	175,235	171,550	174,986	174,986	174,986
National and Community Service	333	374	390	398	398	402
Temporary and Disability Assistance, Office of	87,856	95,833	78,318	79,311	79,311	79,311
<i>All Other</i>	87,856	95,833	78,318	79,311	79,311	79,311
Functional Total	301,219	306,643	292,188	297,464	297,464	297,779
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,543	2,935	7,065	5,050	5,101	5,152
<i>OASAS</i>	2,543	2,935	7,065	5,050	5,101	5,152
Developmental Disabilities Planning Council	1,025	1,133	1,266	1,266	1,266	1,266
Justice Center	326	0	228	229	231	233
Mental Health, Office of	975	1,012	813	813	813	813
<i>OMH</i>	975	1,012	813	813	813	813
People with Developmental Disabilities, Office for	125	233	0	0	0	0
<i>OPWDD</i>	125	233	0	0	0	0
Functional Total	4,994	5,313	9,372	7,358	7,411	7,464
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	2,206	1,920	17,214	15,753	15,753	15,753
<i>DOCCS</i>	2,206	1,920	17,214	15,753	15,753	15,753
Criminal Justice Services, Division of	4,837	4,491	4,681	4,681	4,681	4,775
Homeland Security and Emergency Services, Division of	13,853	8,501	15,000	15,000	15,000	15,000
Military and Naval Affairs, Division of	21,451	22,957	29,163	22,778	23,199	23,629
State Police, Division of	12,963	11,854	322,859	12,403	12,652	12,652
Statewide Financial System	0	0	162	0	0	0
Victim Services, Office of	2,181	3,645	3,000	3,000	3,000	1,743
Functional Total	57,491	53,368	392,079	73,615	74,285	73,552
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	2	0	836	836	836	836
State University of New York	9,638	9,759	10,587	8,306	8,306	8,306
Functional Total	9,640	9,759	11,423	9,142	9,142	9,142
EDUCATION						
Education, Department of	89,062	86,866	88,937	87,737	87,737	87,737
<i>All Other</i>	89,062	86,866	88,937	87,737	87,737	87,737
Functional Total	89,062	86,866	88,937	87,737	87,737	87,737
GENERAL GOVERNMENT						
Elections, State Board of	252	597	650	650	650	650
Information Technology Services, Office of	0	0	4,704	0	0	0
Inspector General, Office of the	0	0	975	0	0	0
State, Department of	2,651	2,859	3,758	3,758	3,758	3,758
Taxation and Finance, Department of	0	0	8,353	0	0	0
Veterans' Services, Division of	506	542	826	843	843	851
Functional Total	3,409	3,998	19,266	5,251	5,251	5,259
ELECTED OFFICIALS						
Judiciary	1,727	1,904	3,100	3,100	3,100	3,100
Law, Department of	20,681	20,144	22,960	22,104	22,104	22,104
Functional Total	22,408	22,048	26,060	25,204	25,204	25,204
TOTAL PERSONAL SERVICE SPENDING	637,412	636,828	1,020,456	674,523	678,119	680,099

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	12,322	9,933	9,808	9,808	9,808	9,808
Economic Development, Department of	456	716	245	245	245	245
Financial Services, Department of	1,186	0	1,400	1,400	1,400	1,400
Public Service Department	534	277	93	93	93	93
Functional Total	14,498	10,926	11,546	11,546	11,546	11,546
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	350	350	350	350
Environmental Conservation, Department of	14,893	15,974	16,942	16,942	16,942	16,942
Parks, Recreation and Historic Preservation, Office of	2,453	1,658	1,147	1,147	1,147	1,147
Functional Total	17,346	17,632	18,439	18,439	18,439	18,439
TRANSPORTATION						
Motor Vehicles, Department of	2,245	2,126	4,539	4,539	4,539	4,539
Transportation, Department of	11,433	9,358	13,450	13,359	13,359	13,359
Functional Total	13,678	11,484	17,989	17,898	17,898	17,898
HEALTH						
Aging, Office for the	1,424	544	4,361	4,348	4,348	4,348
Health, Department of	647,307	624,373	2,494,636	644,686	646,882	652,987
<i>Medicaid Administration</i>	313,246	297,165	384,659	413,725	418,144	423,852
<i>Public Health</i>	334,061	327,208	2,109,977	230,961	228,738	229,135
Medicaid Inspector General, Office of the	2,515	2,780	2,894	2,894	2,894	2,894
Functional Total	651,246	627,697	2,501,891	651,928	654,124	660,229
SOCIAL WELFARE						
Children and Family Services, Office of	39,001	53,998	69,510	70,875	70,875	72,252
<i>OCFS</i>	39,001	53,998	69,510	70,875	70,875	72,252
Housing and Community Renewal, Division of	1,959	2,165	3,155	3,293	3,293	3,293
Human Rights, Division of	1,243	1,351	1,313	1,339	1,339	1,339
Labor, Department of	60,221	80,371	78,537	81,677	81,677	81,677
National and Community Service	9,441	10,942	15,573	15,884	15,884	16,202
Temporary and Disability Assistance, Office of	74,919	66,373	72,514	73,954	73,954	73,954
<i>All Other</i>	74,919	66,373	72,514	73,954	73,954	73,954
Functional Total	186,784	215,200	240,602	247,022	247,022	248,717
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,026	1,001	3,392	2,220	2,282	2,349
<i>OASAS</i>	2,026	1,001	3,392	2,220	2,282	2,349
Developmental Disabilities Planning Council	2,155	2,821	2,149	2,149	2,149	2,149
Justice Center	751	1,677	540	553	567	583
Mental Health, Office of	697	1,360	555	555	555	555
<i>OMH</i>	697	1,360	555	555	555	555
People with Developmental Disabilities, Office for	222	254	1,000	1,000	1,000	1,000
<i>OPWDD</i>	222	254	1,000	1,000	1,000	1,000
Functional Total	5,851	7,113	7,636	6,477	6,553	6,636
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	768	964	1,191	1,191	1,191	1,191
<i>DOCCS</i>	768	964	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	5,435	3,903	4,249	4,249	4,334	4,418
Homeland Security and Emergency Services, Division of	18,085	16,024	25,000	25,000	25,000	25,000
Military and Naval Affairs, Division of	16,791	15,007	18,040	15,841	16,153	16,472
State Police, Division of	14,719	14,098	13,000	13,400	13,668	13,668
Statewide Financial System	0	0	665	0	0	0
Victim Services, Office of	1,516	1,025	1,600	1,600	1,600	885
Functional Total	57,314	51,021	63,745	61,281	61,946	61,634
HIGHER EDUCATION						
City University of New York	1,820	1,627	7,634	7,634	7,634	7,634
Higher Education Services Corporation, New York State	3,254	3,926	5,797	5,797	5,797	5,797
State University of New York	341,588	338,692	340,330	340,330	340,330	340,330
Functional Total	346,662	344,245	353,761	353,761	353,761	353,761
EDUCATION						
Arts, Council on the	0	0	150	100	100	100
Education, Department of	74,052	78,668	69,366	65,381	65,381	65,381
<i>All Other</i>	74,052	78,668	69,366	65,381	65,381	65,381
Functional Total	74,052	78,668	69,516	65,481	65,481	65,481
GENERAL GOVERNMENT						
Elections, State Board of	1,574	8,035	7,096	4,396	1,420	1,420
General Services, Office of	10,150	11,590	8,032	8,032	8,193	8,193
Information Technology Services, Office of	291	1,281	69,817	0	0	0
State, Department of	1,560	1,730	4,046	4,046	4,046	4,046
Taxation and Finance, Department of	92	148	1,220	1,220	1,220	1,220
Veterans' Services, Division of	144	186	671	685	685	698
Functional Total	13,811	22,970	90,882	18,379	15,564	15,577
ELECTED OFFICIALS						
Judiciary	5,291	6,091	9,400	9,400	9,400	9,400

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Law, Department of	7,254	5,823	7,791	7,791	7,791	7,791
Functional Total	<u>12,545</u>	<u>11,914</u>	<u>17,191</u>	<u>17,191</u>	<u>17,191</u>	<u>17,191</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	0	0	17,813	0	0	0
Miscellaneous	(14)	32	2,482,106	0	0	0
Functional Total	<u>(14)</u>	<u>32</u>	<u>2,499,919</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>1,393,773</u></u>	<u><u>1,398,902</u></u>	<u><u>5,893,117</u></u>	<u><u>1,469,403</u></u>	<u><u>1,469,525</u></u>	<u><u>1,477,109</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,769	2,804	2,125	2,170	2,216	2,216
Financial Services, Department of	0	0	1,132	0	0	0
Public Service Department	1,233	795	749	765	781	781
Functional Total	4,002	3,599	4,006	2,935	2,997	2,997
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	19,565	15,111	17,997	18,378	18,752	18,752
Parks, Recreation and Historic Preservation, Office of	0	0	24	24	24	24
Functional Total	19,565	15,111	18,021	18,402	18,776	18,776
TRANSPORTATION						
Motor Vehicles, Department of	958	1,516	806	806	806	806
Transportation, Department of	7,284	4,952	6,641	6,128	6,208	6,290
Functional Total	8,242	6,468	7,447	6,934	7,014	7,096
HEALTH						
Aging, Office for the	44	63	0	0	0	0
Health, Department of	47,420	33,527	49,239	51,503	51,060	51,401
<i>Medicaid Administration</i>	3,516	3,658	4,238	7,815	8,542	8,882
<i>Public Health</i>	43,904	29,869	45,001	43,688	42,518	42,519
Medicaid Inspector General, Office of the	11,070	9,437	10,301	10,301	10,301	10,301
Functional Total	58,534	43,027	59,540	61,804	61,361	61,702
SOCIAL WELFARE						
Children and Family Services, Office of	14,302	11,791	19,781	19,745	19,745	19,745
<i>OCFS</i>	14,302	11,791	19,781	19,745	19,745	19,745
Housing and Community Renewal, Division of	4,004	3,327	4,005	5,501	5,501	5,501
Labor, Department of	133,625	106,107	96,193	117,217	117,217	117,217
National and Community Service	0	0	242	242	242	244
Temporary and Disability Assistance, Office of	65,396	58,146	48,412	49,436	50,476	50,476
<i>All Other</i>	65,396	58,146	48,412	49,436	50,476	50,476
Functional Total	217,327	179,371	168,633	192,141	193,181	193,183
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,383	0	0	0	0	0
<i>OASAS</i>	2,383	0	0	0	0	0
Developmental Disabilities Planning Council	768	682	785	785	785	785
Justice Center	0	0	132	134	137	140
Mental Health, Office of	609	617	469	469	469	469
<i>OMH</i>	609	617	469	469	469	469
People with Developmental Disabilities, Office for	78	142	0	0	0	0
<i>OPWDD</i>	78	142	0	0	0	0
Functional Total	3,838	1,441	1,386	1,388	1,391	1,394
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	1,475	956	1,041	1,041	1,041	1,041
<i>DOCCS</i>	1,475	956	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	518	259	348	348	355	362
Homeland Security and Emergency Services, Division of	8,483	3,939	7,000	7,000	7,000	7,000
Military and Naval Affairs, Division of	11,734	8,307	7,931	7,431	7,431	7,431
State Police, Division of	2,421	1,899	180,744	1,500	1,500	1,500
Statewide Financial System	0	0	94	0	0	0
Victim Services, Office of	0	427	0	0	0	0
Functional Total	24,631	15,787	197,158	17,320	17,327	17,334
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	1	0	1	1	1	1
State University of New York	111	99	51	51	51	51
Functional Total	112	99	52	52	52	52
EDUCATION						
Education, Department of	65,399	53,408	56,000	56,000	56,000	56,000
<i>All Other</i>	65,399	53,408	56,000	56,000	56,000	56,000
Functional Total	65,399	53,408	56,000	56,000	56,000	56,000
GENERAL GOVERNMENT						
Elections, State Board of	270	364	406	406	406	406
Information Technology Services, Office of	0	0	2,715	0	0	0
Inspector General, Office of the	0	0	563	0	0	0
State, Department of	2,387	1,740	2,811	2,812	2,812	2,812
Taxation and Finance, Department of	0	0	5,211	0	0	0
Veterans' Services, Division of	385	330	514	536	547	552
Functional Total	3,042	2,434	12,220	3,754	3,765	3,770
ELECTED OFFICIALS						
Judiciary	505	602	700	700	700	700
Law, Department of	15,697	12,247	14,428	13,017	13,017	13,017
Functional Total	16,202	12,849	15,128	13,717	13,717	13,717
TOTAL GENERAL STATE CHARGES SPENDING	420,894	333,594	539,591	374,447	375,581	376,021

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RBTF - Dedicated PIT in excess of Debt Service		25,862,436	17,621,259	20,505,640	21,589,083	23,090,254
ECEP in Excess of Revenue Bond Debt Service		0	1,650	2,950	3,250	3,650
STBF - Sales Tax Bond Fund		2,761,909	1,766,122	2,151,654	2,269,490	2,371,528
LGAC - Dedicated Sales Tax in excess of Debt Service		3,416,597	2,841,410	3,388,750	3,548,500	3,651,250
CWCA - Real Estate Transfer Tax in excess of Debt Service		951,073	780,852	841,082	904,669	961,401
Total All Other Transfers		2,914,756	2,561,151	1,821,769	1,513,845	1,353,676
339.21982	Administration Program	0	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	425	0	0	0	0
339.21977	Business and Licensing Services Account	3,785	60,058	58,328	58,328	58,328
339.21920	Certificate of Need Account	2,172	1,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	101,295	0	0	0	0
061.20810	Child Health Insurance Account	29	0	0	0	0
025.20401	Child Performer Protection Account	21	0	0	0	0
334.55055	Civil Service Administration Account	1,500	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	1,500	639	639	639	639
339.21962	Clinical Laboratory Reference Fee Account	624	289	289	289	289
S01.23702	Commercial Gaming Regulation	0	2	2	2	2
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	228	357	357	357	357
339.21945	Criminal Justice Improvement Account	22,108	11,886	11,886	11,886	11,886
072.30050	Dedicated Highway and Bridge Trust Fund	63,755	57,567	57,567	57,567	57,567
339.21923	Department of Labor Fee and Penalty Account	1,075	0	0	0	0
323.55010	Design and Construction Account	0	1,866	1,866	1,866	1,866
169.00000	Distressed Provider Assistance Account	0	250,000	150,000	0	0
339.22042	Division of Economic Development Marketing Account	131	131	131	131	131
486.26000	Division of Labor Federal Grants	2,006	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
061.20818	Elderly Pharmaceutical Insurance Coverage Premium Account	37	0	0	0	0
061.20809	Emergency Medical Services Training Account	257	131	131	131	131
301.21080	Environmental Conservation Magazine Account	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	193	131	131	131	131
301.21081	Environmental Regulatory Account	2,835	2,835	2,835	2,835	2,835
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	0	1,961	1,961	1,961	1,961
267.25200	Federal Education Fund	1,614	1,314	1,314	1,314	1,314
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,041	1,041	1,041	1,041
291.313DD	Federal Grants - Capital	134	0	0	0	0
265.25100	Federal Health and Human Services Fund	96,352	110,217	110,217	110,217	110,217
290.25300	Federal Operating Grants Fund	3,518	481	481	481	481
261.25000	Federal USDA/Food and Nutrition Services Fund	42,424	34,694	34,694	34,694	34,694
339.21950	Fingerprint Identification & Technology Account	15,612	20,543	20,543	20,543	20,543
339.21904	Fire Prevention and Code Enforcement Account	0	14,810	14,810	14,810	14,810
339.22075	Funeral Directing Program Account	25	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	22,495	25,200	25,200	25,200	25,200
061.20821	Health Care Delivery Administration Account	17	0	0	0	0
S06.24850	Health Care Transformation Account	710,332	453,296	137,999	68,000	0
396.55300	Health Insurance Internal Services Account	3,121	3,428	3,428	3,428	3,428
061.20819	Health Occupation Development Workplan Demo Account	70	0	0	0	0
S02.23755	Health Operation and Oversight Account	346	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	Higher Education Services Corporation - Insurance Premium Payments	11,438	15,827	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	0	201	201	201	201
301.21060	Indirect Charges Account	2,085	2,085	2,085	2,085	2,085
334.55071	Labor Contact Center	189	0	0	0	0
339.22096	Legal Services Assistance Fund	17,080	9,830	9,830	9,830	9,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	5	5	5	5	5
160.20902	Lottery Administration - New	5,521	4,274	4,274	4,274	4,274
339.22130	Low Income Housing Monitor	717	0	0	0	0
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
304.40100	Mental Health Services Fund	1,466,392	1,274,525	1,183,195	1,085,273	973,579
313.21402	Metropolitan Mass Transportation Operating Assistance Account	1,468	0	0	0	0
S02.23753	MMF - Law Enforcement	150	0	0	0	0
314.21452	Mobile Source Account	0	6,404	6,404	6,404	6,404
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	1,400	1,100	1,100	0	0
339.22062	New York City Assessment Account	22,867	0	0	0	0
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119
061.20823	New York State of Health	68	0	0	0	0
339.22141	NYC Veterans Home (St. Albans) Account	0	107	107	107	107
339.22177	Occupational Health Clinic Account	22	0	0	0	0
305.21252	Occupational Safety and Health Inspection Account	1,063	0	0	0	0
305.21251	Occupational Safety and Health Training and Education Account	1,205	0	0	0	0
323.5502Y	Office of General Services Building Administration Account - Internal Service	21	0	0	0	0
339.219YL	Office of General Services Building Administration Account - Special Revenue State	2,075	0	0	0	0
323.5502X	Office of General Services Executive Direction Account	1,791	105	105	105	105
323.5502Z	Office of General Services Standards and Purchase Account - Internal Service	37	0	0	0	0
339.219YN	Office of General Services Standards and Purchase Account - Special Revenue State	3,047	3,000	3,000	3,000	3,000
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
331.OGSPS	Parking Services	0	1,000	1,000	1,000	1,000

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	11	158	158	158	158
339.22088	Professional Medical Conduct Account	775	291	291	291	291
339.22123	Public Safety Communication Account	28,285	30,161	5,161	5,161	5,161
339.22011	Public Service Account	5,633	5,671	5,671	5,671	5,671
339.21998	Public Work Enforcement	(3,181)	0	0	0	0
339.21965	Radiological Health Protection	246	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	0	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	0	458	458	458	458
339.22156	Rent Revenue Other - New York City	0	115	115	115	115
339.21900	Reserve for Transaction Risks	0	(500,000)	(500,000)	(500,000)	(500,000)
339.22024	Revenue Arrearage Account	0	18,677	18,677	18,677	18,677
339.22028	State Central Register Account	3,547	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	112,420	112,420	112,420	112,420	112,420
345.22653	State University General IFR Account	11,720	32,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	42,367	46,105	67,023	64,639	64,797
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	924	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	5,320	5,320	5,320	5,320	5,320
339.22192	Tax Return Preparers Fee	260	0	0	0	0
339.22055	Traffic Adjudication Account	0	2,288	2,288	2,288	2,288
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.22067	Transportation Regulation Account	0	2,428	2,443	2,443	2,443
339.21933	Transportation Surplus Property Account	1,803	2,777	2,777	2,777	2,777
339.22169	Tribal State Compact Revenue Account	0	329,761	102,803	116,284	135,651
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	31,038	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	11,632	11,583	11,583	11,583	11,583
339.22103	Vital Records Management Account	2,702	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	0	666	666	666	666
365.23051	Vocational Rehabilitation Fund	0	32	32	32	32
339.21995	Workers' Compensation Account	12,299	16,352	16,352	16,352	16,352
		<u>35,906,771</u>	<u>25,572,444</u>	<u>28,711,845</u>	<u>29,828,837</u>	<u>31,431,759</u>

General Fund Transfers To Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Transfers to Debt Service Funds		735,820	310,026	488,046	501,370	553,104
Transfers to Capital Projects Funds		3,128,131	3,395,613	3,748,842	3,913,369	3,133,290
Transfers to SUNY University Operations		1,179,366	1,273,471	1,272,748	1,267,162	1,267,162
Total All Other Transfers		1,054,499	1,524,587	1,366,731	1,443,843	1,549,366
020.20143	Alzheimers Disease Assistance	247	270	270	270	270
334.55057	Banking Services	39,837	49,310	49,420	49,420	49,420
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	355	500	500	500	500
323.55022	Business Services Center	8,054	26,916	30,000	30,000	30,000
334.55069	Centralized Technology Services	11,460	11,460	11,460	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	159,300	159,300	159,300	159,300
501.23701	Commercial Gaming Revenue Account	0	44,000	0	0	0
397.55350	Correctional Industries	20,773	20,773	20,773	20,773	20,773
073.20853	Dedicated Mass Transportation Non MTA	5,365	5,274	5,274	5,274	5,274
225.23651	Department of Transportation (MTA Payroll Tax)	244,250	244,250	327,250	406,250	510,250
339.22247	Entertainment Diversity Job Training Development	0	621	1,500	1,500	1,500
339.22056	Federal Salary Sharing Account	1,963	2,783	2,866	2,898	2,891
319.40300	Health Income Fund	10,239	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	7,853	12,000	12,000	12,000	12,000
316.40250	Housing Debt Fund	1,538	100	1,000	1,000	1,000
390.23551	Indigent Legal Services	22,123	28,000	74,781	74,781	74,781
340.22501	Judiciary Funds	113,672	115,000	115,000	115,000	115,000
313.21402	Mass Transportation Operating Assistance	23,345	21,175	21,175	21,175	21,175
502.23755	Medical Cannabis Fund	4,626	6,913	6,913	6,913	6,913
339.22128	Medication Reimbursement Account	14	0	0	0	0
334.55059	Neighbor Work Project Account	1,000	1,000	1,000	1,000	1,000
225.23653	New York Central Business District Trust	112,500	150,000	151,500	153,015	154,545
368.23151	NYC County Clerk Operations Offset Fund	3,214	3,200	3,200	3,200	3,200
323.5502X	Office of General Services Executive Direction Account	9,631	9,628	3,435	0	0
323.5502Y	OGS Building Administration Account	9,500	9,500	9,500	9,500	9,500
020.20183	Prostate Cancer Research and Education	149	200	200	200	200
313.21401	Public Transportation Systems	16,477	16,259	16,259	16,259	16,259
073.20852	Railroad Account	9,371	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,034	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury Fund	8,500	8,500	8,500	8,500	8,500
325.50050	State Fair Receipts Fund	500	3,000	3,000	3,000	3,000
345.22656	State University of New York - Medicaid Reimbursement	305,697	243,000	243,000	243,000	243,000
339.22168	Tax Revenue Arrearage Account	500	1,500	1,500	1,500	1,500
073.20851	Transit Authorities Account	52,266	51,394	51,394	51,394	51,394
160.20904	Video Lottery Terminal - Education	0	244,000	0	0	0
020.20128	WB Hoyt Memorial Trust Fund	622	622	622	622	622
		6,097,816	6,503,697	6,876,367	7,125,744	6,502,922

CASH COMBINING STATEMENT
GENERAL FUND
FY 2021
(millions of dollars)

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Eliminations	Total
Opening Fund Balance	0	1,258	21	31	1,218	2,610	3,306	500	0	8,944
Receipts:										
Taxes	36,517	0	0	0	0	0	0	0	0	36,517
Miscellaneous Receipts	6,294	0	0	0	0	450	0	0	0	6,744
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	42,811	0	0	0	0	450	0	0	0	43,261
Disbursements:										
Local Assistance	46,285	0	0	0	0	0	0	0	0	46,285
State Operations	10,833	0	0	0	0	0	0	0	0	10,833
General State Charges	7,070	0	0	0	0	0	0	0	0	7,070
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	64,188	0	0	0	0	0	0	0	0	64,188
Other financing sources (uses):										
Transfers from Other Funds	66,061	0	0	0	0	0	(1,416)	0	(39,073)	25,572
Transfers to Other Funds	(44,684)	0	0	(16)	0	(875)	0	0	39,073	(6,502)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	21,377	0	0	(16)	0	(875)	(1,416)	0	0	19,070
Change in Fund Balance	0	0	0	(16)	0	(425)	(1,416)	0	0	(1,857)
Closing Fund Balance	0	1,258	21	15	1,218	2,185	1,890	500	0	7,087

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2021
(Thousands of dollars)

	MENTAL HEALTH DONATIONS (2,000-2,009)	COMBINED EXPENDABLE TRUST (20,100-20,999)	NEW YORK INTEREST ON LAWYER ACCOUNT (20,900-20,949)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20,350-20,399)	CHILD PROTECTION (20,400-20,449)	TUITION REIMBURSEMENT (20,450-20,499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20,500-20,549)	SCHOOL TAX RELIEF (20,550-20,599)	CHARTER SCHOOL STIMULUS (20,600-20,649)	HEALTH CARE REFORM ACT RESOURCES (20,650-20,699)	DEDICATED MASS TRANSPORTATION TRUST (20,700-20,749)
Opening Fund Balance	823	70,029	102,535	4	50	7,896	3,463	0	6,087	15,705	84,828
Receipts:											
Taxes	0	0	0	0	0	0	0	2,073,116	0	689,000	418,118
Miscellaneous Receipts	72	(146,469)	30,000	318	120	4,905	9,233	0	0	5,490,875	145,943
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	72	(146,469)	30,000	318	120	4,905	9,233	2,073,116	0	6,179,875	564,061
Disbursements:											
Local Assistance	0	8,101	20,000	0	0	0	5,056	2,073,116	4,837	5,912,884	622,519
State Operations	72	3,725	1,533	432	264	2,974	2,224	0	0	86,041	0
General State Charges	0	233	570	197	149	1,285	1,177	0	0	9,137	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	12,059	22,103	629	413	4,259	8,457	2,073,116	4,837	6,008,062	622,519
Other Financing Sources (Uses):											
Transfers from Other Funds	0	160,892	0	300	300	0	0	0	4,837	0	65,884
Transfers to Other Funds	0	0	0	(8)	0	(265)	(1,383)	0	0	(187,518)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	160,892	0	292	300	(265)	(1,383)	0	4,837	(187,518)	65,884
Change in Fund Balance	0	2,364	7,897	(19)	7	381	(607)	0	0	(15,705)	7,426
Closing Fund Balance	823	72,393	110,432	(15)	57	8,277	2,856	0	6,087	0	92,254

	STATE LOTTERY (2,000-2,099)	STUDENT LOAN (20,950-20,999)	MTA FINANCIAL ASSISTANCE (23,650-23,699)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25,000-25,099)	FEDERAL HEALTH AND HUMAN SERVICES (25,100-25,199)	FEDERAL EDUCATION (25,200-25,249)	MISCELLANEOUS OPERATING GRANTS (25,300-25,399)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (2,1000-2,1049)	ENCON SPECIAL REVENUE (21,050-21,149)	CONSERVATION (21,150-21,199)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21,200-21,249)
Opening Fund Balance	117,520	18,433	114,802	(57,810)	1,113,222	(15,228)	(252,869)	(3,308)	5,693	83,267	26,556
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	2,549,125	26,469	0	100,011	68,933	392	7,105	900	75,111	46,792	58,166
Federal Grants	0	(23,000)	0	2,179,680	61,348,933	4,074,425	6,768,831	0	0	0	0
Total Receipts	2,549,125	3,469	0	2,279,691	61,417,866	4,074,817	6,775,936	900	75,111	46,792	58,166
Disbursements:											
Local Assistance	2,764,000	0	394,250	2,167,288	57,605,703	3,432,588	1,301,122	0	0	0	0
State Operations	41,176	8,000	0	62,250	953,465	567,521	5,080,360	437	67,794	25,812	14,004
General State Charges	12,382	0	0	15,168	123,144	62,136	242,991	269	26,211	14,430	8,134
Debt Service	0	0	0	0	0	0	144,499	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	2,817,558	8,000	394,250	2,244,706	58,682,312	4,062,245	6,768,972	706	94,005	40,242	22,138
Other Financing Sources (Uses):											
Transfers from Other Funds	249,000	0	394,250	0	0	0	0	0	22,757	75	19,006
Transfers to Other Funds	(4,940)	0	0	(34,985)	(1,947,179)	(12,572)	(10,921)	0	(10,751)	(1,895)	(49,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	244,060	0	394,250	(34,985)	(1,947,179)	(12,572)	(10,921)	0	12,006	(1,820)	(30,300)
Change in Fund Balance	(24,373)	(4,531)	0	394,250	788,375	0	(3,957)	194	(6,888)	4,730	5,728
Closing Fund Balance	93,147	13,902	114,802	(57,810)	1,901,597	(15,228)	(256,826)	(3,114)	(1,195)	87,997	32,284

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2021
(Thousands of dollars)

	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	WINTER SPORTS EDUCATION TRUST (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)
Opening Fund Balance	1,630	11,432	543	414,636	(33,300)	71	12,234	264,799	467	0	0
Receipts:											
Taxes	0	0	0	2,331,570	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	9,000	28	17,500	32,849	0	1,719	3,444,024	115	75	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	48,496	9,000	28	2,349,070	32,849	0	1,719	3,444,024	115	75	0
Disbursements:											
Local Assistance	0	0	0	2,664,893	0	0	0	0	0	0	0
State Operations	35,571	12,600	93	4,162	22,712	0	950	0	59	75	0
General State Charges	13,505	300	0	2,412	13,290	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	49,076	12,900	93	2,671,467	36,002	0	950	0	59	75	0
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	37,434	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	(7)	(7,237)	(6,404)	0	0	(364,675)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(580)	(3,900)	(7)	30,197	(6,404)	0	769	(364,675)	56	0	0
Change in Fund Balance	1,050	7,532	471	122,436	(42,857)	71	13,003	2,441,48	523	0	0
Closing Fund Balance	982	1,377,696	8,523	52	1,488,855	10,138	170	20,810	382	24	10,618
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	917,050	150	0	5,087,021	6,494	1,208	123,625	160	0	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
Total Receipts	60	917,139	150	0	5,087,021	6,494	1,208	123,625	160	0	900
Disbursements:											
Local Assistance	98	178,147	113,000	0	0	0	0	4,237	0	0	852
State Operations	0	728,999	2,300	0	6,105,520	6,471	999	9,245	158	0	0
General State Charges	0	415,572	1,000	0	491,897	0	491	0	60	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	98	1,322,718	116,300	0	6,597,417	6,471	1,490	13,482	218	0	852
Other Financing Sources (Uses):											
Transfers from Other Funds	0	643,847	115,000	0	2,002,419	0	0	0	0	0	0
Transfers to Other Funds	0	(303,432)	0	0	(263,337)	(3,000)	0	(113,520)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(38)	340,415	115,000	0	1,739,082	(3,000)	0	(113,520)	0	0	0
Change in Fund Balance	944	1,312,532	7,373	52	1,717,541	7,161	(112)	17,433	324	24	10,666
Closing Fund Balance	982	1,377,696	8,523	52	1,488,855	10,138	170	20,810	382	24	10,618

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2021
(thousands of dollars)

	MYS DOT HIGHWAY SAFETY PROGRAM (2,300-23049)	VOCATIONAL REHABILITATION PROGRAM (23050-23099)	DRINKING WATER PROGRAM MANAGEMENT AND ADMINISTRATION (23100-23149)	NEW YORK CITY COUNTY CLERKS' OPERATIONS OFFSET (23150-23199)	JUDICIARY DATA PROCESSING OFFSET (23200-23249)	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)	US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC TRAINING (23500-23549)	INDIGENT LEGAL SERVICES (23550-23599)	UNEMPLOYMENT INSURANCE ADMINISTRATION (23900-23949)	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23950-23999)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (25950-25999)
Opening Fund Balance	(14,330)	59	(5,351)	(27,978)	53,467	204,315	299	451,789	126,974	31,952	(514)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	100	0	30,100	49,000	100,647	85	212,662	56,151	15,866	0
Federal Grants	0	0	0	0	0	0	0	0	318,199	0	7,780
Total Receipts	3,068	100	0	30,100	49,000	100,647	85	212,662	374,350	15,866	7,780
Disbursements:											
Local Assistance	0	20	0	0	0	0	0	170,888	9,339	0	7,780
State Operations	3,579	25	0	25,300	26,900	132,506	75	29,276	226,548	2,828	0
General State Charges	0	0	0	12,000	13,100	150	0	2,156	87,894	1,173	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,579	45	0	37,300	40,000	132,656	75	202,320	323,781	4,001	7,780
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	3,200	0	0	0	28,000	0	0	0
Transfers to Other Funds	0	(32)	(1,108)	0	0	0	0	0	(50,569)	(11,583)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(511)	(32)	(1,108)	3,200	9,000	(32,009)	10	28,000	(50,569)	(11,583)	0
Change in Fund Balance	(14,841)	82	(6,459)	(31,978)	62,467	172,306	309	490,131	126,974	32,234	(514)
Closing Fund Balance											
	(2,408)	15,999	11,935	2,856	19,590	315,297	95,645	0	6,312,056	0	6,312,056
Receipts:											
Taxes	0	0	6,500	400	0	0	0	0	5,518,704	0	5,518,704
Miscellaneous Receipts	0	78,341	0	2,013	5,000	137,999	0	0	15,749,507	0	15,749,507
Federal Grants	166,449	0	0	0	0	0	0	0	74,841,386	0	74,841,386
Total Receipts	166,449	78,341	6,500	2,413	5,000	137,999	0	0	96,109,597	0	96,109,597
Disbursements:											
Local Assistance	134,762	107,400	2,565	0	0	0	0	48,000	79,753,445	0	79,753,445
State Operations	23,429	3,611	4,451	4,244	708	0	0	0	14,331,478	0	14,331,478
General State Charges	8,258	1,404	1,285	784	292	0	0	0	1,584,636	0	1,584,636
Debt Service	0	0	0	0	0	0	0	0	144,499	0	144,499
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	166,449	112,415	8,301	5,028	1,000	0	0	48,000	95,814,058	0	95,814,058
Other Financing Sources (Uses):											
Transfers from Other Funds	0	44,000	6,913	0	0	0	0	0	3,798,114	(1,005,510)	2,792,604
Transfers to Other Funds	0	(2)	0	(45)	(5,000)	(453,296)	0	0	(3,844,970)	1,005,510	(2,839,460)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	43,998	6,913	(45)	(5,000)	(453,296)	0	0	(46,856)	0	(46,856)
Change in Fund Balance	(2,408)	9,924	5,112	(2,660)	(1,000)	(315,297)	0	(48,000)	248,683	0	248,683
Closing Fund Balance		25,923	17,047	196	48,590	0	95,645	(48,000)	6,560,739	0	6,560,739

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Ment Hyg Gifts	821	0	72	0	0	0	72	0	0	72	0	0	0	0	0	0	72	821
020.20100-Combined Exp Tr	(35)	0	(159,300)	0	0	159,300	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	2,194	0	350	0	0	0	350	224	48	48	7	0	148	0	0	0	427	2,117
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	54	0	805	0	0	0	805	0	805	805	0	0	0	0	0	0	805	54
020.20109-Helen Hayes Hsp	63	0	0	0	0	0	0	0	35	35	0	0	0	0	0	0	35	28
020.20110-Oxford Donation	342	0	166	0	0	0	166	0	50	50	0	0	0	0	0	0	50	458
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	13	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	112	0	5	0	0	5	5	0	8	8	0	0	0	0	0	0	8	109
020.20113-Donations-Balai	34	0	19	0	0	0	19	0	40	40	0	0	0	0	0	0	40	13
020.20114-Montrose Donati	208	0	12	0	0	0	12	0	13	13	0	0	0	0	0	0	13	207
020.20116-IBR Genetic Cou	25	0	108	0	0	0	108	0	108	108	0	0	0	0	0	0	108	25
020.20118-Tech Transfer	(1)	0	50	0	0	0	50	0	24	24	0	0	0	0	0	0	24	25
020.20120-Spec Events	3,120	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	3,258
020.20123-L.M. Josephthal	50	0	1	0	0	0	1	0	1	1	0	0	0	0	0	0	1	50
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,445	0	744	0	0	0	744	45	528	528	1	0	29	0	0	0	603	1,586
020.20127-DMNA Military	12	0	1	0	0	0	1	0	1	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	4,963	0	0	0	0	622	622	895	0	0	0	0	0	0	0	0	895	4,690
020.20129-NYSCB Gift & Beq	184	0	0	0	0	0	0	0	17	17	0	0	0	0	0	0	17	167
020.20130-St Transm Money	20,576	0	240	0	0	0	240	0	0	0	0	0	0	0	0	0	0	20,816
020.20142-Youth Grants &	286	0	0	0	0	0	0	0	44	44	0	0	17	0	0	0	487	(201)
020.20143-Alzheimers Dis	1,408	0	270	0	0	270	540	670	0	0	0	0	0	0	0	0	670	1,278
020.20144-Local Gov Comm	151	0	12	0	0	0	12	0	7	7	0	0	0	0	0	0	7	156
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	568	0	139	0	0	0	139	0	22	22	0	0	0	0	0	0	22	685
020.20150-Emergency Serv	17,253	0	5,688	0	0	0	5,688	3,101	132	93	4	0	30	0	0	0	3,360	19,581
020.20151-Batavia-Charlot	392	0	20	0	0	0	20	0	23	23	0	0	0	0	0	0	23	389
020.20152-Rome-Gifts And	95	0	20	0	0	0	20	0	19	19	0	0	0	0	0	0	19	96
020.20155-Br Can Res & Ed	6,154	0	540	0	0	500	1,040	1,620	0	0	0	0	0	0	0	0	1,620	5,574
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	93	0	5	0	0	5	5	0	5	5	0	0	0	0	0	0	5	93
020.20166-Erie Canal Muse	19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	19
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	2	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,512	0	400	0	0	0	400	0	177	177	0	0	0	0	0	0	177	1,735
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20178-Multiple Sclero	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,917	0	240	0	0	200	440	520	0	0	0	0	0	0	0	0	520	2,837
020.20185-Percy T Phillip	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20192-Missing Children	157	0	407	0	0	0	407	262	142	142	0	0	0	0	0	0	404	160
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	557	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	557
020.201B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	42	42	0	0	0	0	0	0	0	150
020.201GW-CCF Grts & Beqs	56	0	100	0	0	0	100	0	14	92	1	0	9	0	0	0	116	40
020.201HH-OMH Grant & Beq	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	1,130	0	1,500	0	0	0	1,500	1,000	67	67	0	0	0	0	0	0	1,067	1,563
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	373	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	673
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	20	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	20
020.20201-Veterans Rem Ce	1,546	0	75	0	0	0	75	75	0	0	0	0	0	0	0	0	0	1,621
020.20205-Mental Illness	256	0	58	0	0	0	58	75	0	0	0	0	0	0	0	0	75	239
020.20206-Women's Cancer	179	0	75	0	0	0	75	100	0	0	0	0	0	0	0	0	100	154
020.20209-Combined Gifts	2,275	0	222	0	0	0	222	0	225	225	0	0	0	0	0	0	225	2,272
023.20300-N.Y Int Lawyers	102,535	0	30,000	0	0	0	30,000	20,000	905	594	34	0	570	0	0	0	22,103	110,432
024.20350-NYS Archvs Pme	3	0	318	0	0	300	618	0	303	119	10	197	0	0	0	8	637	(16)
025.20401-Child Performer	53	0	420	0	0	300	420	0	248	9	7	0	149	0	0	0	413	60
050.20451-Tuition Reimb	4,923	0	705	0	0	0	705	0	200	200	0	0	101	0	0	23	324	5,304
050.20452-Voc. School Supe	2,975	0	4,200	0	0	0	4,200	0	1,823	888	63	0	1,184	0	0	242	4,200	2,975
052.20501-Loc Govt Record	3,461	0	9,233	0	0	0	9,233	5,056	1,812	350	62	0	1,177	0	0	1,363	9,640	2,854
053.20550-Sch Tax Relief	(1)	2,073,116	0	0	0	0	2,073,116	2,073,116	0	0	0	0	0	0	0	0	2,073,116	(1)
054.20601-Charter School	6,088	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	6,088
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	244	0	0	0	0	0	0	0	1,490	142	59	0	1,056	0	0	0	2,747	(2,503)

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
061.20802-Health Care Sv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20804-Medical Assst.	53	0	0	0	0	0	0	4,148,770	0	0	0	0	0	0	0	4,148,770	0	(4,148,717)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	748	0	0	0	0	0	0	282,999	0	8,209	0	0	0	0	0	291,208	0	(290,460)
061.20809-EMS Training	738	0	0	0	0	0	0	10,570	1,785	1,381	67	0	1,356	0	0	15,290	0	(14,552)
061.20810-Child Health In	2,362	0	0	0	0	0	0	656,572	907	12,672	116	0	807	0	0	0	0	(673,074)
061.20811-HCRA Undistrib	(3,449)	689,000	5,428,553	0	0	0	6,117,553	0	0	0	0	0	0	0	0	178,127	0	5,935,977
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	34	0	0	0	0	0	0	60	0	0	0	0	0	0	0	60	0	(2)
061.20814-Primary Care In	53	0	0	0	0	0	0	246	0	0	9	0	125	0	0	158	0	(485)
061.20815-Prev Coll Monit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	0	(99)
061.20817-Indigent Care	11,757	0	0	0	0	0	0	707,500	0	9,768	23	0	823	0	0	9,000	0	(704,743)
061.20818-EPIC Premium	2,264	0	62,322	0	0	0	62,322	104,413	1,140	0	0	0	0	0	0	0	0	(51,581)
061.20819-Health Occup De	98	0	0	0	0	0	0	0	(16)	0	0	0	(10)	0	0	0	0	124
061.20820-Water & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20821-Health Care Del	29	0	0	0	0	0	0	0	331	2	7	0	231	0	0	0	571	(542)
061.20822-Cig Task Force	101	0	0	0	0	0	0	2,494	206	38,468	66	0	1,533	0	0	4,299	0	(4,198)
061.20823-NYSOH	674	0	0	0	0	0	0	5,234	0	0	1,235	0	3,216	0	0	0	0	(47,479)
073.20851-Transit Authori	56,440	326,583	112,980	0	0	51,394	490,967	486,285	0	17,736	506	0	10,611	0	0	4,274	51,585	84,410
073.20852-Railroad Account	10,332	57,633	20,001	0	0	9,216	86,850	86,468	0	1,280	85	0	1,771	0	0	666	6,913	26,790
073.20853-DMTF	18,055	33,902	12,952	0	0	5,274	52,128	49,766	0	8,000	0	0	0	0	0	0	558,000	74
160.20901-Education - New	2,873	0	2,180,000	0	0	5,000	2,185,000	2,206,000	0	0	0	0	0	0	0	0	2,206,000	(18,127)
160.20902-Lottery Adm New	91,910	0	44,085	0	0	0	44,085	0	18,458	0	0	0	0	0	0	0	0	0
160.20903-VLT Administrat	21,663	0	12,040	0	0	0	12,040	0	3,111	0	0	0	0	0	0	0	0	0
160.20904-VLT - Education	1,074	0	313,000	0	0	244,000	557,000	558,000	0	0	0	0	0	0	0	0	0	0
221.20950-Comb Student Ln	18,436	0	26,469	(23,000)	0	0	3,469	0	0	0	0	0	0	0	0	0	0	13,905
225.23651-Mobility Tax Tr	1,442	0	0	0	0	244,250	244,250	244,250	0	0	0	0	0	0	0	0	244,250	1,442
225.23652-MTA Aid Trust	396	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	396
225.23653-NY Cen Bus Dis	112,967	0	0	0	0	150,000	150,000	150,000	0	0	0	0	0	0	0	0	150,000	112,967
300.21002-Encon Admin Acc	(3,310)	0	900	0	0	0	900	0	423	14	0	0	269	0	0	0	706	(3,116)
301.21051-EnCon Energy Ef	79	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	79
301.21052-EnCon-Seized As	81	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	101
301.21053-Wst Tire Mgr/R	37,636	0	19,200	0	0	0	19,200	0	12,967	400	415	0	8,342	0	0	0	22,124	34,712
301.21054-Oil & Gas Accou	27	0	108	0	0	0	108	0	0	118	0	0	0	0	0	0	118	17
301.21055-Marine/Coastal	206	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	219
301.21060-Indirect Charge	2,477	0	0	0	0	10,157	10,157	0	9,501	2,853	164	0	3,253	0	0	2,085	17,856	(5,222)
301.21061-Hazardous Sub B	1,492	0	350	0	0	0	350	0	218	38	8	0	111	0	0	0	375	1,467
301.21063-S-Area Landfill	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
301.21064-Utility Envir R	(1,671)	0	0	0	0	3,600	3,600	0	1,288	0	34	0	674	0	0	0	1,996	(67)
301.21065-Federal Grant I	534	0	40	0	9,000	9,040	9,040	8,767	168	0	0	0	0	0	0	1,041	9,976	(402)
301.21066-Low Level Radio	(4,266)	0	2,811	0	0	0	2,811	0	1,245	192	47	0	800	0	0	433	2,717	(4,172)
301.21067-Recreation Acco	9,850	0	8,200	0	0	0	8,200	2,579	862	0	98	0	1,093	0	0	455	5,087	12,963
301.21077-Public Safety R	1	0	30	0	0	0	30	0	0	38	0	0	0	0	0	0	38	(7)
301.21080-Encon Magazine	757	0	705	0	0	0	705	0	164	0	0	0	0	0	0	150	314	1,148
301.21081-Environmental R	(55,244)	0	28,600	0	0	0	28,600	0	14,126	2,436	447	0	7,345	0	0	6,187	30,541	(67,185)
301.21082-Natural Resourc	(14,065)	0	4,811	0	0	0	4,811	0	659	397	22	0	429	0	0	400	1,907	(11,161)
301.21083-UST-Trust Recov	606	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	618
301.21084-Mined Land Recl	4,126	0	4,210	0	0	0	4,210	0	2,254	117	75	0	1,459	0	0	0	3,905	4,431
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21097-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.21099-SEQR Review	23,080	0	6,000	0	0	0	6,000	0	4,428	533	135	0	2,705	0	0	0	7,801	21,279
302.21150-Conservation	10,322	0	43,222	0	0	75	43,297	0	21,712	2,108	656	0	13,705	0	0	1,820	40,001	13,618
302.21151-Marine Resource	4,842	0	1,480	0	0	0	1,480	0	1,115	0	34	0	669	0	0	0	1,818	4,504
302.21152-Migratory Bird	0	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	(35)
302.21153-Guides License	285	0	55	0	0	0	55	0	65	6	2	0	37	0	0	0	110	230
302.21154-Fish And Game T	67,773	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	75	0	69,698
302.21201-Oil Spill - DAC	3	0	470	0	0	705	1,175	0	667	58	23	0	427	0	0	0	1,175	3
302.21202-Oil Sp Relocam	3	0	0	0	0	301	301	0	190	0	6	0	133	0	0	0	337	(33)
303.21203-Oil Spill - DEC	(1)	0	0	0	0	18,000	18,000	0	11,724	951	377	0	7,574	0	0	0	20,626	(2,627)
303.21204-Oil Spill - DAC	26,552	0	43,986	0	0	0	43,986	0	0	0	0	0	0	0	0	35,606	35,606	34,942
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	0	0
305.21251-OSH Trng & Educ	926	0	26,357	0	0	0	26,357	0	11,556	7,345	338	0	7,172	0	0	0	26,411	872
305.21252-OSHA Inspection	701	0	22,139	0	0	0	22,139	0	12,674	3,288	370	0	6,333	0	0	0	22,665	175
306.21301-CSF Regis Fee	11,434	0	9,000	0	0	0	9,000	0	600	12,000	0	0	300	0	0	0	12,900	7,534
307.21351-Equipment Loan	545	0	28	0	0	0	28	0	0	93	0	0	0	0	0	7	100	473
313.21401-Pub Tran Systems	16,825	80,987	0	0	0	16,259	97,246	93,839	685	195	21	0	479	0	0	0	95,219	18,852

**CASH REVENUE STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)**

FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
313.21402-Metropolitan Ma	397,709	2,250,583	17,500	0	0	21,175	2,289,258	2,571,054	2,766	410	85	0	1,933	0	0	7,237	2,583,485	103,482
313.21403-Urban Mass Tran	112	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	112
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(31,065)	0	9,200	0	0	0	9,200	0	2,762	47	90	0	1,795	0	0	0	4,694	(26,559)
314.21452-Mobile Source	(2,244)	0	23,649	0	0	0	23,649	0	16,455	2,851	507	0	11,495	0	0	6,404	37,712	(16,307)
318.21501-Housing Reserve	70	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	70
321.21551-Legisl Comp R&D	12,167	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	12,934
321.21552-Demographics/Re	64	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	66
330.40350-S U Dorm Income	264,796	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	364,675	364,675	244,145
332.21651-Brummer Award	242	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	242
332.21652-William Vorce F	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
332.21653-Rocky Pocanico	(1)	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	57
332.21654-OPWOD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
338.21851-Aris Capital Re	982	0	60	0	0	0	60	98	0	100	0	0	1,000	0	0	0	1,160	944
340.22501-CFIA Undisrib	8,523	0	150	0	0	115,000	115,150	113,000	2,200	100	0	0	0	0	0	0	116,300	7,373
341.22552-DFY-NYC Summer	53	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	53
345.22652-L I Vets Home	28,597	0	48,231	0	0	0	48,231	0	32,286	18,906	0	0	15,523	0	0	37,028	51,192	25,636
345.22653-S U Genl IFR	940,016	0	649,738	0	0	31,487	681,225	0	198,899	389,445	0	0	0	0	0	0	640,895	980,346
345.22654-S U Inc Offset	(20,613)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(20,613)
345.22655-Gen Rev Offset	61,909	0	1,631,752	0	0	1,273,471	2,905,223	0	2,430,364	407,540	0	563	0	0	0	116,770	2,955,237	11,895
345.22656-S U Hosp Ops	233,394	0	2,602,069	0	0	662,461	3,264,530	0	1,378,066	1,104,407	0	471,071	0	0	0	109,539	3,063,083	434,841
345.22657-SUNY Stabilizat	81,159	0	0	0	0	35,000	35,000	0	400	600	0	0	0	0	0	0	1,000	115,159
345.22658-State Univ Hosp	9,218	0	52,631	0	0	0	52,631	0	52,409	3,463	0	0	4,740	0	0	0	55,872	5,977
345.22659-SUNY Tuition Re	155,177	0	102,600	0	0	0	102,600	0	61,241	27,494	0	0	0	0	0	0	93,475	164,302
346.22700-Chem Dep Svcs	10,136	0	6,494	0	0	0	6,494	0	0	6,471	0	0	0	0	0	3,000	9,471	7,159
349.22751-Lk George Park	173	0	1,208	0	0	0	1,208	0	727	250	22	0	491	0	0	0	1,490	(109)
354.22801-MVTIFA	3,660	0	4,800	0	0	0	4,800	4,237	141	4	0	0	0	0	1,100	5,482	2,978	
355.22851-Great Lakes Pro	17,150	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	112,420	121,520	14,455	
359.22901-Revenue Maximiz	378	0	160	0	0	0	160	0	85	70	3	0	60	0	0	0	218	320
359.22902-Local Maximizat	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22903-Rev Maxim Contr	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
360.22950-Housing Develop	(1,555)	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	(1,555)
362.23001-DOT Comm Veh Sa	(14,330)	0	3,068	0	0	0	3,068	0	3,084	495	0	0	0	0	0	0	3,579	(14,841)
365.23051-Vocatl Rehabil	60	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	83
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	0	0	(6,460)
368.23151-NYC County Cler	(27,977)	0	30,100	0	0	3,200	33,300	0	21,800	3,500	0	0	12,000	0	0	0	37,300	(31,977)
369.23201-Jud Data Proc O	53,466	0	49,000	0	0	0	49,000	0	26,900	0	0	0	13,100	0	0	0	40,000	62,466
377.23267-CUNY Stabilizn	5,168	0	5,108	0	0	0	5,108	0	38,593	0	0	0	0	0	0	0	5,168	5,168
377.2327X-CUNY Tuittn Reim	101,994	0	5,108	0	0	0	5,108	0	38,593	0	0	0	0	0	0	0	38,593	68,509
377.2327Y-CUNY Inc Reimb	97,152	0	95,539	0	0	0	95,539	0	45,552	48,361	0	0	150	0	0	0	94,063	96,628
385.23501-Lk Pleacid Train	299	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	309
390.23551-Indigent Legal	451,788	0	212,662	0	0	28,000	240,662	170,888	3,440	25,738	98	0	2,156	0	0	0	202,320	490,130
482.23601-UJ Sp Int & Pen	32,294	0	15,866	0	0	0	15,866	0	1,757	1,020	51	0	1,173	0	0	11,583	15,584	32,233
501.23701-Commercial Gami	32,294	0	70,000	0	0	44,000	114,000	104,100	0	0	66	0	1,404	0	0	2	104,100	42,194
501.23702-Comm Game Regul	(18,020)	0	5,041	0	0	0	5,041	0	2,322	1,223	0	0	0	0	0	0	5,017	(17,996)
501.23703-Prob Gambli Svcs	1,721	0	3,300	0	0	0	3,300	3,300	0	0	0	0	0	0	0	0	3,300	1,721
502.23750-Wed Wairth Colle	5,402	2,925	2,925	0	0	0	2,925	0	0	0	0	0	0	0	0	0	0	8,327
502.23752-MMF - County DI	680	2,925	2,925	0	0	0	2,925	2,565	0	0	0	0	0	0	0	0	2,565	1,040
502.23753-MMF - Law Enfor	450	325	0	0	0	0	325	0	0	0	0	0	0	0	0	0	0	775
502.23754-MMF - Addiction	600	325	0	0	0	0	325	0	0	0	0	0	0	0	0	0	0	925
502.23755-Health Operatio	4,802	0	0	0	0	6,913	6,913	0	1,904	2,492	55	0	1,285	0	0	0	5,736	5,979
503.23800-Inter Recip Pos	2,005	0	1,200	0	0	0	1,200	0	452	394	16	0	294	0	0	45	1,201	2,004
503.23801-Hwy Use Tax Adm	504	400	500	0	0	0	900	188	0	202	6	0	116	0	0	0	512	892
503.23802-Cure Childhood	42	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	0	52
503.23804-Lupus Research	62	0	60	0	0	0	60	0	0	0	0	0	0	0	0	0	0	122
503.23806-NYS Secure Choi	0	0	0	0	0	0	0	0	583	2,386	17	0	374	0	0	0	3,360	(3,360)
503.23807-Military Fam Re	95	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	95
503.23808-Gifts For Food	62	0	118	0	0	0	118	0	0	0	0	0	0	0	0	0	0	180
503.23809-NYS ALS Res&Edu	16	0	35	0	0	0	35	0	0	0	0	0	0	0	0	0	0	51
503.23811-School Bas Hlth	9	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	0	59
503.23814-Gift to the Art	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
503.23815-Sr Well Nutriti	44	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	84
504.24950-Fan Sports Educ	19,592	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	5,000	5,000	19,592
504.24951-Fan Sport Admin	(4)	0	0	0	0	0	0	0	480	214	14	0	292	0	0	0	1,000	(1,004)
506.24850-Hlth Care Trans	315,297	0	137,989	0	0	0	137,989	0	0	0	0	0	0	0	0	453,296	453,296	0
507.24900-Hlth Caritable	59,272	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	59,272
507.24901-Elem Sec Ed Cha	36,373	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36,373

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	7,662	0	500	0	0	0	500	60	0	0	0	0	0	0	0	0	8,102
339.21902-S P A R C S	6,469	0	6,600	0	0	0	6,600	0	1,013	3,648	33	0	703	0	0	4,214	3,458
339.21904-Fire Prev/Code	57,370	0	14,810	0	0	0	14,810	0	1,004	500	34	0	627	0	0	14,810	55,205
339.21905-NYS Twy Police	(10,934)	0	63,612	0	0	0	63,612	0	37,986	25	0	0	25,601	0	0	0	(10,934)
339.21906-DMV Seiz Assets	121	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	121
339.21907-Mental Hygiene	(2,134)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,134)
339.21909-M H Patient Inc	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
339.21911-Fin Cntrl Board	(1,337)	0	3,099	0	0	0	3,099	0	1,444	811	45	0	799	0	0	0	(1,337)
339.21912-Reg of Racing	(2,696)	0	12,647	0	0	0	12,647	0	7,071	3,877	178	0	1,931	0	0	458	(3,564)
339.21914-S U Constr Fund	113	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	113
339.21915-Quality Care	133	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	133
339.21916-Nurses Aide Reg	1,062	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,062
339.21917-Med Frd Seized	100	0	160	0	0	0	160	0	0	160	0	0	0	0	0	0	100
339.21918-Child Care & Pr	2,445	0	425	0	0	0	425	0	0	0	0	0	0	0	0	0	2,870
339.21919-Cyber Sec Upgr	934	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	934
339.21920-Cert of Need	8,332	0	2,959	0	0	0	2,959	0	1,442	1,516	53	0	1,123	0	0	7,769	(612)
339.21921-Lobbying Entorc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,291	0	131	0	0	0	131	0	66	4	2	0	28	0	0	2	1,320
339.21923-DOL Fee Penalty	12,548	0	20,383	0	0	0	20,383	0	6,409	1,239	187	0	4,167	0	0	300	20,629
339.21924-Educ Museum	296	0	892	0	0	0	892	0	293	334	10	0	190	0	0	62	299
339.21925-Ns Hnt Receiptshp	2,999	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	3,024
339.21926-3rd Party Hlth	478	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	478
339.21927-Boating Noise L	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21928-I Love NY Ves	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.21929-Summer Sch Arts	83	0	684	0	0	0	684	0	115	528	4	0	26	0	0	0	94
339.21930-I Lve NY W Boat	148	0	245	0	0	0	245	0	130	25	4	0	86	0	0	0	148
339.21932-Snowmobile	6,075	0	6,150	0	0	0	6,150	3,650	111	363	9	0	74	0	0	0	8,018
339.21933-Tr Surplus Prop	5,999	0	2,200	0	0	0	2,200	0	0	0	0	0	0	0	0	2,777	5,422
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Pitrnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(6)	0	0	0	0	260,721	260,721	0	125,145	135,421	0	0	155	0	0	0	(6)
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	4,524	0	6,000	0	0	0	6,000	3,000	730	687	30	0	453	0	0	1,350	4,274
339.21945-Crim Jus Improv	17,049	0	36,373	0	0	0	36,373	27,744	2,836	396	116	0	1,650	0	0	11,886	8,794
339.21948-Farm Prod Insp	485	0	1,390	0	0	0	1,390	0	672	123	23	0	437	0	0	0	620
339.21950-FgprintID&Tech	46,376	0	15,000	0	0	0	15,000	0	0	2,515	0	0	0	0	0	20,543	38,318
339.21953-NY Fire Academy	133	0	468	0	0	0	468	0	289	314	9	0	239	0	0	0	(250)
339.21958-Domestic Awaren	108	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	112
339.21959-Environmental L	4,621	0	4,112	0	0	0	4,112	0	1,708	567	54	0	1,171	0	0	131	5,102
339.21960-HESC Ins Prem P	64,106	0	69,881	0	0	0	69,881	0	12,416	24,936	422	0	9,020	0	0	15,827	71,366
339.21961-Train Mgmt Eval	(406)	0	1,400	0	0	0	1,400	0	1,634	97	53	0	1,061	0	0	8	(1,859)
339.21962-Clin Lab Refrcn	(9,340)	0	18,059	0	0	0	18,059	0	4,990	2,079	176	0	3,642	0	0	4,848	(7,016)
339.21964-Pub Emp Rel Brd	862	0	86	0	0	0	86	0	0	45	0	0	0	0	0	0	903
339.21965-Radio Hlth Prot	982	0	5,132	0	0	0	5,132	0	1,998	133	70	0	1,443	0	0	796	1,674
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	1,990	0	80	0	0	1,400	1,480	0	0	3,944	0	0	0	0	0	0	(474)
339.21968-Educuatn Library	172	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	177
339.21969-Teacher Certif	7,374	0	6,600	0	0	0	6,600	0	3,531	643	121	0	2,294	0	0	450	6,935
339.21970-Banking Deptmnt	40,850	0	106,082	0	0	0	106,082	0	52,053	12,609	1,598	0	36,415	0	0	0	44,257
339.21971-Cable TV Acct	5,387	0	3,130	0	0	0	3,130	0	1,413	109	49	0	918	0	0	0	6,028
339.21972-Econ Devel Asst	329	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	329
339.21973-Fin Svcs Seized	744	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	744
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	264	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	264
339.21977-Business and Li	112,309	0	86,443	0	0	0	86,443	0	17,785	10,007	549	0	12,403	0	0	60,058	97,950
339.21978-Indfr Cost Reco	1,727	0	0	0	0	0	0	0	9,476	4,362	0	0	6,156	0	0	2,757	(2,070)
339.21979-High School Equ	1,484	0	225	0	0	18,954	18,954	0	0	225	0	0	0	0	0	0	1,484
339.21980-ODTA Program	754	0	0	0	0	500	500	0	0	200	0	0	128	0	0	0	926
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	355	0	13	0	0	13,350	13,363	0	3,476	6,531	119	0	2,729	0	0	1,343	(480)
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21984-Fed Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	2	0	16,203	0	0	0	16,203	0	12,317	4,010	30	0	0	0	0	0	(152)
339.21986-Seized Assets	6	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	8
339.21987-Spinal Injury	7,257	0	95	0	0	8,500	8,595	8,500	0	0	0	0	0	0	0	0	7,352
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	12,175	0	0	0	0	12,000	12,000	0	1,612	9,341	52	0	1,047	0	0	0	12,123
339.21990-OCFF Crime Forf	3,429	0	1,372	0	0	1,372	1,372	0	0	1,372	0	0	0	0	0	0	3,429
339.21991-DMNA-Seiz Asset	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21992-Critical Infras	274	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	274
339.21993-Radon Detection	924	0	20	0	0	20	20	0	12	12	0	0	0	0	0	2	930
339.21994-Insurance Dept	198,075	0	291,248	0	0	291,248	291,248	61,090	105,933	37,301	3,437	0	76,930	0	0	0	204,632
339.21995-Workers' Compem	32,049	0	226,202	0	0	226,202	226,202	0	89,608	56,088	2,306	0	57,863	0	0	36,352	16,034
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enrf	14,232	0	3,982	0	0	3,982	3,982	0	2,126	221	62	0	1,493	0	0	0	14,312
339.21999-Asset Forfeitur	0	0	250	0	0	250	250	0	0	250	0	0	0	0	0	0	0
339.219AC-Non-Hyd Wage Wf	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219IG-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219XX-A&M-Aggregated	948	0	1,200	0	0	1,200	1,200	0	441	14	15	0	286	0	0	0	1,392
339.219YL-OGS Bldg Admin	12,772	0	10,000	0	0	10,000	10,000	0	897	1,869	29	0	583	0	0	10,772	10,859
339.219YN-OGS Std & Purch	9,401	0	5,660	0	0	5,660	5,660	0	0	0	0	0	0	0	0	3,000	8,683
339.219ZT-Just Ct Oper	(3,750)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,750)
339.219ZV-T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	157	0	2,500	0	0	2,500	2,500	2,000	189	100	6	0	123	0	0	52	187
339.22003-Bell Jar Collec	332	0	1,875	0	0	1,875	1,875	0	716	124	21	0	445	0	0	0	901
339.22004-Ind & Util Serv	3,514	0	2,547	0	0	2,547	2,547	0	1,814	150	48	0	1,116	0	0	0	2,933
339.22008-Courts Special	2,081	0	2,400	0	0	2,400	2,400	0	0	2,200	0	0	0	0	0	0	2,281
339.22009-Asbestos Trning	31	0	867	0	0	867	867	0	292	2	7	0	207	0	0	0	390
339.22010-IMP R P Tax-Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-VESID SS	48,905	0	87,082	0	0	87,082	87,082	0	45,442	5,964	1,626	0	30,845	0	0	9,271	42,839
339.22012-Atty Licensing	6,146	0	36,000	0	0	36,000	36,000	0	17,300	5,400	0	0	7,800	0	0	0	11,646
339.22014-DSS Prov Recovs	199	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	199
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	75	0	197	0	0	197	197	0	161	9	4	0	9	0	0	0	89
339.22018-Fire Safe Cigar	0	0	1	0	0	1	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	2,612	0	20	0	0	20	20	0	0	0	0	0	0	0	0	20	2,612
339.22022-College Savings	22,065	0	813	0	0	813	813	0	198	75	18	0	150	0	0	0	22,437
339.22023-Discover Queens	25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	25
339.22024-Reven Arrearage	100,432	0	25,000	0	0	25,000	25,000	0	1,684	2,500	47	0	1,025	0	0	20,503	99,673
339.22025-Comm Svce Assis	8,475	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,475
339.22026-Cell Phone Towe	4,612	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,612
339.22027-Spec Conserv Ac	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22028-State Central R	6,024	0	4,600	0	0	4,600	4,600	0	134	0	4	0	87	0	0	1,822	8,577
339.22029-Plant Industry	624	0	529	0	0	529	529	0	550	30	17	0	352	0	0	0	204
339.22032-Baavia School	(8,615)	0	9,600	0	0	9,600	10,500	0	5,578	628	202	0	3,624	0	0	522	(8,669)
339.22034-Investment Serv	2,255	0	4,038	0	0	4,038	4,038	0	2,150	673	66	0	1,285	0	0	0	2,119
339.22035-Diabetes Resear	48	0	6	0	0	6	6	0	0	0	0	0	0	0	0	0	54
339.22037-Keep Kids Drug	70	0	9	0	0	9	9	0	0	0	0	0	0	0	0	0	79
339.22038-OPWDD Day Servi	(2,730)	0	4,848	0	0	4,848	4,848	0	3,010	125	76	0	1,770	0	0	0	(2,863)
339.22039-OSDC Finan Over	651	0	20	0	0	20	20	0	0	0	0	0	0	0	0	0	671
339.22040-Senate Recyclab	15,811	0	15,326	0	0	15,326	15,326	0	7,745	2,223	213	0	5,145	0	0	0	15,811
339.22041-Medicaid Fraud	3,309	0	1,944	0	0	1,944	1,944	0	63	1,710	2	0	28	0	0	131	3,319
339.22042-DED Marketing A	152	0	38	0	0	38	38	0	30	3	0	0	0	0	0	10	147
339.22044-Tug Hill Admin	387	0	541	0	0	541	541	491	0	50	0	0	0	0	0	0	387
339.22045-Settlement Entf	(89,919)	0	13,388	0	0	13,388	13,388	0	8,635	765	240	0	5,363	0	0	329	(91,863)
339.22046-Regulation of I	777	0	300	0	0	300	300	0	0	306	0	0	0	0	0	0	777
339.22047-NYS FLEX Spend	5	0	105	0	0	105	105	0	0	105	0	0	0	0	0	0	5
339.22050-Crime Victims B	51,794	0	56,852	0	0	56,852	56,852	0	23,645	9,559	811	0	15,360	0	0	31,491	27,780
339.22051-Ofc of Professl	3,061	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,061
339.22052-Armory Rental A	(3,369)	0	9,600	0	0	9,600	10,620	0	4,181	652	152	0	2,716	0	0	436	(886)
339.22053-Rome School	(1,509)	0	44,500	0	0	44,500	44,500	0	18,869	8,605	639	0	14,459	0	0	2,288	(1,509)
339.22054-Seized Assets	(25,751)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(26,111)
339.22055-Traf Adjudicatn	(1)	0	0	0	0	0	0	419	1,422	0	40	0	887	0	0	0	(1)
339.22056-Fed Salary Shar		0	0	0	0	2,783	2,783	0	0	0	0	0	0	0	0	0	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22057-Cook/Chill Acco	1,767	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,767
339.22060-Credentia Srvs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	17,188	0	79,653	0	0	0	79,653	0	38,300	24,553	1,458	0	17,751	0	0	0	14,779
339.22063-Cultural Educat	(4,175)	0	29,865	0	0	0	29,865	0	12,076	8,095	423	0	7,845	0	0	2,393	(5,142)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	(235)	0	125	0	0	0	125	0	376	0	12	0	244	0	0	1,961	(2,703)
339.22067-Trans Regul Acc	10,329	0	91	0	0	0	91	0	9	67	1	0	171	0	0	2,428	7,901
339.22068-Cons Prot Acct	2,113	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,956
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	32	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	32
339.22075-Funeral	2,371	0	470	0	0	0	470	0	217	7	8	0	153	0	0	95	2,361
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	41	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	41
339.22078-Local Services	1,174	0	1,153	0	0	0	1,153	0	750	50	20	0	392	0	0	0	1,115
339.22080-Adult Shelter	24,833	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	27,433
339.22081-QAA Earned Rev	417	0	0	0	0	3,618	3,618	0	0	0	0	0	0	0	0	0	417
339.22082-Family Pres Svc	3,657	0	0	0	0	0	0	2,687	0	0	0	0	0	0	0	0	4,588
339.22083-Electronic Bene	47	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	47
339.22084-Federal-Seized	0	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(38)
339.22085-DHCR Mortgage S	(15,473)	0	3,833	0	0	0	3,833	0	4,727	4	0	0	0	0	0	0	(16,371)
339.22086-OMH-Research OH	80	0	2,848	0	0	0	2,848	103	0	2,817	0	0	0	0	0	0	8
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	990	10,622	6,191	335	0	7,477	0	0	3,960	770
339.22088-Prof Medic Cond	4,285	0	24,900	0	0	0	24,900	0	0	135	0	0	0	0	0	0	(390)
339.22089-Hwy Const & Ma	1,933	0	260	0	0	0	260	0	2,643	5	0	0	0	0	0	201	2,058
339.22090-Housing Indirec	663	0	0	0	0	5,739	5,739	0	0	0	0	0	0	0	0	21	3,553
339.22091-Adult Home Qual	3,609	0	193	0	0	0	193	0	0	0	0	0	0	0	0	0	3,781
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	245	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	245
339.22095-IG Szd Assets	104	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	104
339.22096-Leg Svcs Assist	42,896	0	25,100	0	0	0	25,100	19,265	0	0	0	0	0	0	0	9,830	38,901
339.22097-Loc Pub Hlth	8,741	0	3,405	0	0	0	3,405	0	171	2	10	0	137	0	0	59	11,767
339.22099-Voting Mach Exa	114	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	114
339.22100-DHCR HCA Applic	(6,008)	0	5,000	0	0	0	5,000	0	3,000	14	88	0	1,584	0	0	489	(6,183)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22103-Vital Records M	9,432	0	4,840	0	0	0	4,840	621	0	438	20	0	472	0	0	4,687	8,034
339.22104-CHCCDP Transfer	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,043	0	210	0	0	0	210	0	0	237	0	0	0	0	0	0	1,016
339.22109-Conference & Sp	145	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	145
339.22110-Assisted Living	2,051	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	2,051
339.22111-OCFS Program	389	0	0	0	0	0	0	0	0	658	0	0	0	0	0	0	(269)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD JT Clin O	39	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	39
339.22117-Litigation Sett	13,423	0	76,778	0	0	0	76,778	0	25,191	35,628	831	0	15,128	0	0	0	13,423
339.22118-Animal Populati	412	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	400
339.22119-Love Your Libra	176	0	6	0	0	0	6	0	15	0	0	0	0	0	0	0	182
339.22120-DISTINCTIVE PLA	0	0	0	0	0	0	0	0	0	0	1	0	9	0	0	0	(25)
339.22122-Local Wireless	123	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	123
339.22123-Pub Safe Commun	109,814	0	137,000	0	0	0	137,000	46,269	28,002	34,214	0	0	0	0	0	35,911	102,418
339.22124-Cuba Lake Mgmt	166	0	200	0	0	0	200	0	0	210	0	0	0	0	0	0	156
339.22126-St Justice Inst	73	0	0	0	0	0	0	1,000	0	0	0	0	0	0	0	0	73
339.22128-Med Reimb Acct	1,430	0	1,500	0	0	0	1,500	0	0	0	0	0	0	0	0	0	1,930
339.22130-Low Inc Housing	4,069	0	3,631	0	0	0	3,631	0	2,436	7	72	0	812	0	0	150	4,223
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.22133-Procure Op News	176	0	0	0	0	0	0	0	0	135	0	0	0	0	0	0	1
339.22134-OVS RESTITUTION	613	0	593	0	0	0	593	0	487	120	0	0	0	0	0	0	599
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22136-Food Prod Ctr	295	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	235
339.22137-Pet Dealer	5	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	37
339.22138-Auth Bldg Office	1,241	0	2,088	0	0	1,826	3,914	0	1,024	254	31	0	715	0	0	45	3,086
339.22139-Patient Safety	4,296	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,296
339.22140-Helen Hayes Hos	6,527	0	3,115	0	0	59,583	62,698	0	35,343	21,220	21	0	2,423	0	1,591	8,627	(3,246)
339.22141-NYC Veterans	343	0	350	0	0	30,837	31,187	0	18,399	8,735	12	0	7,157	0	473	575	1,518
339.22142-NYS Home-Vetera	230	0	120	0	0	23,669	23,789	0	15,422	6,313	9	0	182	0	159	646	2,613
339.22143-WNY Vets Home	1,075	0	55	0	0	12,708	12,763	0	8,738	4,196	5	0	94	0	264	645	(2)
339.22144-Montrose S V H	2,213	0	30	0	0	27,785	27,815	0	19,182	7,742	11	0	216	0	123,745	0	11,161
339.22145-DOH Hospital Ho	1,199	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	0	0	540
339.22146-HEAP Eamed Rev	(2)	0	0	0	0	0	0	1,000	0	0	0	0	0	0	0	0	540
339.22147-Quality of Care	10,774	0	1,387	0	0	0	1,387	1,000	0	0	0	0	0	0	0	0	11,161
339.22149-Motor Fuel Qual	951	0	2,800	0	0	2,800	2,800	0	1,186	1,214	41	0	770	0	0	0	6,029
339.22150-Weights Measure	65	0	325	0	0	0	325	0	230	101	8	0	149	0	0	0	353
339.22151-Defer Comp Adm	(118)	0	820	0	0	0	820	0	381	187	23	0	247	0	0	0	(136)
339.22152-Hazard Abatemen	965	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,015
339.22153-Education Stats	995	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	1,050
339.22154-Real Estate Fin	2,674	0	3,470	0	0	0	3,470	0	1,271	1,381	42	0	776	0	0	0	2,674
339.22156-NYC Rent Rev	13,748	0	0	0	0	0	0	0	0	0	0	0	0	0	7,115	0	6,633
339.22157-Medicaid Income	2,423	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
339.22158-Rent Revenue	273	0	550	0	0	0	550	0	407	0	12	0	125	0	0	0	279
339.22159-CSFP Salvage Ac	98	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	98
339.22161-ES Stem Cell Tr	2,691	0	0	0	0	30,555	30,555	0	485	25,882	14	0	326	0	0	510	6,029
339.22162-Systems & Tech	13,993	0	7,300	0	0	0	7,300	0	757	120	29	0	692	0	0	5,320	14,375
339.22163-Patron Services	15,681	0	52,416	0	0	0	52,416	0	31,179	26,592	0	0	1,659	0	0	3,992	4,675
339.22165-Trans Aviatn	1,384	0	4,410	0	0	0	4,410	0	0	4,709	0	0	0	0	0	0	1,085
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	353	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	353
339.22168-Tax Rev Arrear	2,673	0	0	0	0	1,500	1,500	0	0	1,500	0	0	0	0	0	0	2,673
339.22169-TSCR Account	163,245	0	514,940	0	0	0	514,940	154,024	0	0	0	0	0	0	0	329,761	194,400
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	964	0	39	0	0	2,087	2,126	0	0	3,300	0	0	0	0	0	0	(210)
339.22172-Undgrnd Sfty T	4,259	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	4,194
339.22173-Vol Fire Rec&Re	1,178	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	1,178
339.22174-HAVA Match	1,303	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,303
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,965	0	9,000	0	0	0	9,000	9,560	332	11	12	0	207	0	0	76	767
339.22178-Crim Back Check	401	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	401
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22182-OWIG Adm Reimb	205	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	205
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	742	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	782
339.22186-Yth Fac PerDiem	263	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	263
339.22187-Provider Assess	623	0	936,000	0	0	0	936,000	936,000	0	0	0	0	0	0	0	0	623
339.22188-Fed Indirect Re	83	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	83
339.22189-DOCS Asset Forf	248	0	35	0	0	0	35	0	0	0	0	0	0	0	0	0	248
339.22190-Conference&Sign	32	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	32
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	9,324	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9,324
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,702	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,702
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	733	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	733
339.22198-HEP	40	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(260)
339.22199-Airport Securit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22202-SBG Account	13	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	107	0	100	0	0	0	100	0	0	0	0	0	0	0	0	0	107
339.22206-Wholesale Mkt	6,887	0	0	0	0	0	0	40,000	0	700	0	0	0	0	0	0	6,187
339.22207-Tech Financing	47	0	40,000	0	0	0	40,000	40,000	0	0	0	0	0	0	0	0	47
339.22208-Offender Progra	32	0	200	0	0	0	200	0	0	200	0	0	0	0	0	0	30
339.22212-Lake George Inv	744	0	350	0	0	0	350	0	36	285	10	0	21	0	0	0	744
339.22213-BOE Enforcement	1,216	0	320	0	0	0	320	0	161	0	5	0	113	0	0	0	1,251
339.22215-Delivery Transf	1,907	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,907

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22217-Eq Sh DTF Just	103	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	103
339.22218-Eq Sh DTF Treas	110	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	110
339.22222-Eq Sh Law Treas	0	0	666	0	0	0	666	0	0	0	0	0	0	0	0	0	666
339.22231-Eq Sh DEC Just	176	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	176
339.22233-Eq Shar-DMN Jus	207	0	260	0	0	0	260	0	0	250	0	0	0	0	0	0	217
339.22235-Insitt Accred	448	0	570	0	0	0	570	0	301	56	6	0	171	0	0	47	437
339.22238-Eq Sh PRK Treas	6	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	6
339.22239-Opioid Steward	579	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	579
339.22240-NYS Med Indimty	(529)	0	1,541	0	0	0	1,541	0	910	0	50	0	581	0	0	0	(529)
339.22243-Securing Cities	52	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	52
339.22246-Behav Hlth Par	0	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	0
339.22247-Ent Div Job Tr	0	0	0	0	0	621	621	0	0	0	0	0	0	0	0	0	621

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2021
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30399)	STATE PARK INFRASTRUCTURE (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION (30500-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	0	(33,475)	148,838	14,059	(43,951)	15	72,684	164	668	3,328
Receipts:										
Taxes	0	1,101,913	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	3,991,962	1,408,535	0	500	127,300	0	107,650	0	0	0
Federal Grants	0	4,505	0	0	0	0	0	0	0	0
Total Receipts	3,991,962	2,514,953	0	500	127,300	0	226,750	0	0	0
Disbursements:										
Local Assistance	3,170,873	59,681	0	0	0	0	0	0	0	0
State Operations	(13)	0	0	0	0	0	0	0	0	0
General State Charges	(8)	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	4,209,115	1,999,253	67,250	500	147,300	0	260,525	0	0	0
Total Disbursements	7,379,967	2,058,934	67,250	500	147,300	0	260,525	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	3,394,903	1,028,489	81,000	0	0	0	28,000	0	0	0
Transfers to Other Funds	(6,875)	(1,484,699)	(6,000)	0	0	0	0	(25)	(340)	25
Bond & Note Proceeds	0	0	0	0	0	0	0	25	340	0
Net Other Financing Sources (Uses)	3,388,028	(456,210)	75,000	0	0	0	28,000	0	0	0
Change in Fund Balance	23	(191)	7,750	0	(20,000)	0	(5,775)	0	0	0
Closing Fund Balance	23	(33,666)	156,588	14,059	(63,951)	15	66,909	164	668	3,328

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (30640-30649)	REBUILD AND RENEW TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31330-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31650-31699)
Opening Fund Balance	1,419	17,329	4,255	5,551	2,778	1,428	(562,692)	1,079	(80,472)	538
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,177,372	0	0	0
Total Receipts	0	0	0	0	0	0	2,177,372	10	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,062,148	12	104,996	0
Total Disbursements	0	0	0	0	0	0	1,768,129	12	104,996	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	15,500	0
Transfers to Other Funds	(738)	(389,764)	(25)	(3,608)	(25)	(3,848)	(401,673)	0	(25,200)	0
Bond & Note Proceeds	615	389,764	25	4,807	25	4,848	0	0	0	0
Net Other Financing Sources (Uses)	(123)	0	0	1,199	0	1,000	(401,673)	0	(9,700)	0
Change in Fund Balance	(123)	0	0	1,199	0	1,000	7,570	(2)	(11,446)	0
Closing Fund Balance	1,296	17,329	4,255	6,750	2,778	2,428	(555,122)	1,077	(91,918)	538

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2021**
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31200-31249)	HOUSING ASSISTANCE PROGRAM (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32499)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299)	MENTAL HYGIENE FACILITIES IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399)
Opening Fund Balance	(21,235)	(12,942)	(216,259)	17,114	(11,969)	176,989	114,774	17	(355,825)	(315,985)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	16,462	0	672,255	1,000	0	66,250	22,622	0	434,218	231,941
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	16,462	0	672,255	1,000	0	66,250	22,622	0	434,218	231,941
Disbursements:										
Local Assistance	0	0	677,130	0	0	0	0	0	147,800	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	18,431	0	0	1,017	0	66,250	87,057	0	288,168	340,646
Total Disbursements	18,431	0	677,130	1,017	0	66,250	87,057	0	435,968	340,646
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	4,875	0	0	2,200	70,990	0	5,000	108,705
Transfers to Other Funds	0	0	0	0	0	(2,200)	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	4,875	0	0	0	70,990	0	5,000	108,705
Change in Fund Balance	(1,969)	0	0	(17)	0	0	6,555	0	3,250	0
Closing Fund Balance	(23,204)	(12,942)	(216,259)	17,097	(11,969)	176,989	121,329	17	(352,575)	(315,985)

	SMART SCHOOLS BOND FUND (30710-30749)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED INFRASTRUCTURE INVESTMENT (33050-33099)	MOTHER NATURE BOND FUND	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(49,662)	86,513	0	0	(1,034,927)	0	(1,034,927)
Receipts:								
Taxes	0	0	0	0	0	1,221,013	0	1,221,013
Miscellaneous Receipts	0	7,000	1,000,000	0	1	8,190,956	0	8,190,956
Federal Grants	0	0	0	0	0	2,181,877	0	2,181,877
Total Receipts	0	7,000	1,000,000	0	1	11,593,846	0	11,593,846
Disbursements:								
Local Assistance	0	0	406,854	0	0	5,168,319	0	5,168,319
State Operations	0	0	0	0	0	(13)	0	(13)
General State Charges	0	0	0	0	0	(8)	0	(8)
Debt Service	0	0	0	0	0	0	0	0
Capital Projects	0	7,000	848,432	0	0	9,508,100	0	9,508,100
Total Disbursements	0	7,000	1,255,286	0	0	14,676,398	0	14,676,398
Other Financing Sources (Uses):								
Transfers from Other Funds	0	0	312,967	0	0	5,052,629	(1,265,146)	3,787,483
Transfers to Other Funds	(400,000)	0	0	(50,000)	0	(2,775,045)	1,265,146	(1,509,899)
Bond & Note Proceeds	400,000	0	0	50,000	0	850,474	0	850,474
Net Other Financing Sources (Uses)	0	0	312,967	0	0	3,128,058	0	3,128,058
Change in Fund Balance	0	(49,662)	57,681	0	1	45,506	0	45,506
Closing Fund Balance	0	(49,662)	144,194	0	1	(989,421)	0	(989,421)

CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2021
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55000-Centrl Services	(10)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(10)
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(21,792)	0	70,626	0	0	0	70,626	0	30,603	15,731	943	0	21,379	0	0	1,866	70,522	(21,668)
323.55020-OGS Ent Confr	(55,519)	0	154,000	0	0	0	154,000	0	628	153,055	20	0	408	0	0	0	154,111	(55,630)
323.55022-Business Svc Ct	(26,917)	0	0	0	0	26,916	26,916	0	27,500	2,500	0	0	0	0	0	0	30,000	(30,001)
323.550XX-Misc Centrll Svc	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	269	0	115,000	0	0	9,628	124,628	0	4,652	109,792	150	0	3,022	0	0	4,248	121,864	3,033
323.5502Y-OGS Bkfg Admin	(4,552)	0	24,129	0	0	20,272	44,401	0	2,320	28,256	75	0	1,507	0	0	0	32,158	7,691
323.5502Z-OGS Stl & Purch	(3,475)	0	11,257	0	0	0	11,257	0	3,359	5,539	108	0	2,182	0	0	0	11,188	(3,406)
334.55050-Agencies Int Sv	(36)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(36)
334.55052-Archives R	(101)	0	1,729	0	0	0	1,729	0	955	114	28	0	543	0	0	0	1,640	(12)
334.55053-Fedl Single Aud	2,295	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,295
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	987	0	5,963	0	0	0	5,963	0	2,792	500	90	0	1,814	0	0	1,651	6,847	103
334.55056-EHS Occup Hlth	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.55057-Banking Service	(7)	0	500	0	0	49,310	49,810	0	0	49,810	0	0	0	0	0	0	49,810	(7)
334.55058-Cult Resources	(2,380)	0	7,329	0	0	0	7,329	0	1,484	4,082	44	0	926	0	0	284	6,820	(1,871)
334.55059-Neighbor Work P	(11,226)	0	8,200	0	0	1,000	9,200	0	0	9,200	0	0	0	0	0	0	9,200	(11,226)
334.55060-Auto/Print Chgb	541	0	18,878	0	0	0	18,878	0	8,743	4,345	0	0	5,452	0	0	0	18,540	879
334.55061-NYT Account	(2,227)	0	2,000	0	0	0	2,000	0	0	2,000	0	0	0	0	0	0	2,000	(2,227)
334.55062-State Data Ctr	(41,893)	0	48,200	0	0	0	48,200	0	0	48,200	0	0	0	0	0	0	48,200	(41,893)
334.55063-Human Svcs Tele	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
334.55065-OPWDD Copy Cent	831	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	831
334.55066-Intrusion Detec	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Cr	(51)	0	843	0	0	0	843	0	737	103	3	0	0	0	0	0	843	(51)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(67,477)	0	66,502	0	0	15,111	81,613	0	0	72,067	0	0	0	0	0	0	72,067	(57,931)
334.55070-Learning Mgmt S	1,575	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,575
334.55071-Labor Cont Ctr	(24)	0	2,021	0	0	0	2,021	0	1,136	164	27	0	654	0	0	0	1,981	16
334.55072-HS Cont Ctr	2,131	0	17,971	0	0	0	17,971	0	9,042	3,158	268	0	5,503	0	0	0	17,971	2,131
334.55074-Civil Recoverie	6,275	0	16,700	0	0	0	16,700	0	7,719	3,901	264	0	4,816	0	0	0	16,700	6,275
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550XZ-Misc Intl Serv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	(5)	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	25
347.55150-DFY Voc Educatn	83	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	108
394.55200-Joint Labor-Mgt	594	0	1,000	0	0	0	1,000	0	912	406	30	0	592	0	0	0	1,940	(346)
395.55251-Ex Dir Intl Aud	(8,783)	0	2,948	0	0	0	2,948	0	1,655	178	57	0	1,058	0	0	0	2,948	(8,783)
395.55252-CIO INFO TECH C	(28,767)	0	28,890	0	0	0	28,890	0	3,600	17,666	389	0	7,235	0	0	0	28,890	(28,767)
396.55300-Health Insuranc	(10,493)	0	14,121	0	0	12,000	26,121	0	9,150	1,700	296	0	5,944	0	0	3,428	20,518	(4,890)
396.55301-CS EBD Adm Reim	(3,770)	0	4,500	0	0	0	4,500	0	1,850	335	60	0	1,202	0	0	639	4,086	(3,356)
397.55350-Correctional In	(22,470)	0	44,000	0	0	20,773	64,773	0	25,855	34,831	535	0	12,011	0	0	357	73,589	(31,286)

CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	104	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	104
325.50050-State Fair Rece	1,586	0	17,840	0	0	3,000	20,840	0	5,474	12,150	143	0	2,711	0	0	0	20,478	1,948
326.50100-DOCS Commissary	3,756	0	44,262	0	0	0	44,262	0	0	44,180	0	0	0	0	0	0	44,180	3,838
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matris	182	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	206
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Ctr	(347)	0	1,497	0	0	0	1,497	0	638	444	21	0	414	0	0	0	1,517	(367)
331.50319-Altica Emp Mess	2,218	0	1,256	0	0	0	1,256	0	288	803	10	0	167	0	0	0	1,268	2,206
331.50322-Asset Preservat	119	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	110
331.50323-Farm Program	577	0	629	0	0	0	629	0	123	455	2	0	63	0	0	0	643	563
331.50327-Emp Plz Gift Sh	(193)	0	500	0	0	0	500	0	110	334	4	0	71	0	0	0	519	(212)
331.50331-Retail Sales	1,276	0	1,250	0	0	0	1,250	0	750	500	0	0	0	0	0	0	1,250	1,276
331.50332-Golf	2,792	0	17,000	0	0	0	17,000	0	10,000	7,000	0	0	0	0	0	0	17,000	2,792
331.503Z-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	684	691	18	0	66	0	0	0	1,459	(1,459)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	94	0	1,884	0	0	1,000	9,490	(1,398)
331.OGSSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	880	(6)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	3	0	65	0	0	0	173	(68)
351.50400-OMH Sheit Wrkshs	2,220	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,220
352.50450-MR Shel Wrkshop	1,922	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,822
353.50500-MH & MR Communi	4,809	0	2,200	0	0	0	2,200	0	383	1,172	10	0	238	0	0	0	1,803	5,206
353.50516-MR Community St	139	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	136
481.50650-U J Benefit Fnd	862,460	59,950,000	0	50,000	0	0	60,000,000	0	0	0	0	60,000,000	0	0	0	0	60,000,000	862,460
481.50651-Interest Assess	5,964	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,964
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

STATE DEBT OUTSTANDING
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected	FY 2025 Projected
GENERAL OBLIGATION BONDS	<u>2,131,500</u>	<u>2,814,681</u>	<u>3,111,325</u>	<u>3,425,447</u>	<u>3,630,915</u>	<u>3,767,439</u>
REVENUE BONDS						
Personal Income Tax	37,117,755	43,922,618	46,632,072	48,383,421	49,987,711	50,881,562
Sales Tax	11,542,330	10,716,360	11,730,102	12,524,503	13,361,059	14,020,073
Dedicated Highway	1,285,390	899,150	838,250	773,445	701,475	622,350
Mental Health Services	225,850	181,385	137,805	103,175	75,225	46,480
SUNY Dorms	47,525	5,495	5,495	-	-	-
Health Income	128,610	108,620	88,320	68,455	48,350	30,565
LGAC	<u>253,110</u>	<u>90,135</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Subtotal Revenue Bonds	<u>50,600,570</u>	<u>55,923,763</u>	<u>59,432,044</u>	<u>61,852,999</u>	<u>64,173,820</u>	<u>65,601,030</u>
SERVICE CONTRACT	<u>1,475,178</u>	<u>1,182,098</u>	<u>1,032,341</u>	<u>891,185</u>	<u>725,065</u>	<u>565,364</u>
TOTAL STATE-SUPPORTED ¹	<u>54,207,248</u>	<u>59,920,542</u>	<u>63,575,710</u>	<u>66,169,631</u>	<u>68,529,800</u>	<u>69,933,833</u>
BY PROGRAM AREA						
Economic Development & Housing	6,837,448	9,289,340	10,547,382	11,476,087	12,398,080	13,072,746
Education	17,707,615	18,224,560	18,667,149	18,858,658	18,946,759	18,809,216
Environment	2,927,873	3,490,966	3,923,945	4,347,111	4,744,999	5,081,094
Health & Mental Hygiene	4,278,535	4,750,185	5,208,615	5,638,090	5,969,697	6,272,922
State Facilities & Equipment	5,447,577	5,665,544	5,701,523	5,661,150	5,697,341	5,695,841
Transportation	16,373,610	18,179,202	19,408,171	20,148,055	20,769,274	21,002,014
LGAC ²	<u>634,590</u>	<u>320,745</u>	<u>118,925</u>	<u>40,480</u>	<u>3,650</u>	<u>-</u>
TOTAL STATE-SUPPORTED ¹	<u>54,207,248</u>	<u>59,920,542</u>	<u>63,575,710</u>	<u>66,169,631</u>	<u>68,529,800</u>	<u>69,933,833</u>

¹ Does not include liquidity financings expected to be repaid within FY 2021.

² Various LGAC series were refunded by Sales Tax Revenue Bonds, but continue to be included under the LGAC program area.

STATE DEBT OUTSTANDING
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
SUBTOTAL STATE-SUPPORTED ¹	<u>54,207,248</u>	<u>59,920,542</u>	<u>63,575,710</u>	<u>66,169,631</u>	<u>68,529,800</u>	<u>69,933,833</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	135,480	104,395	77,635	59,300	40,055	28,715
Moral Obligation						
Housing Finance Agency	0	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	104,165	67,985	30,000	0	0	0
SUBTOTAL OTHER STATE	<u>239,645</u>	<u>172,380</u>	<u>107,635</u>	<u>59,300</u>	<u>40,055</u>	<u>28,715</u>
GRAND TOTAL STATE-RELATED	<u>54,446,893</u>	<u>60,092,922</u>	<u>63,683,345</u>	<u>66,228,931</u>	<u>68,569,855</u>	<u>69,962,548</u>

¹ Does not include liquidity financings expected to be repaid within FY 2021.

STATE DEBT SERVICE
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
GENERAL OBLIGATION BONDS	483,120	241,125	230,471	212,647	194,772	214,695
REVENUE BONDS						
Personal Income Tax	2,368,000	4,240,625	5,076,358	5,452,383	5,595,998	5,617,014
Sales Tax	956,345	1,158,370	1,248,124	1,302,309	1,301,230	1,368,073
Dedicated Highway	448,181	106,687	107,572	111,637	115,215	114,597
Mental Health Services	7,096	9,775	8,188	0	0	(0)
Health Income	26,158	25,646	24,799	23,733	21,709	18,902
LGAC	300,785	82,406	-	-	-	-
Subtotal Revenue Bonds	<u>4,106,565</u>	<u>5,623,508</u>	<u>6,465,041</u>	<u>6,890,062</u>	<u>7,034,153</u>	<u>7,118,588</u>
SERVICE CONTRACT	<u>326,407</u>	<u>117,569</u>	<u>296,906</u>	<u>320,441</u>	<u>384,768</u>	<u>402,410</u>
LIQUIDITY FINANCING ¹						
Personal Income Tax Notes ^{2,3}	-	4,382,200	-	-	-	-
Subtotal Liquidity Financing	<u>-</u>	<u>4,382,200</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL STATE-SUPPORTED	<u>4,916,092</u>	<u>10,364,403</u>	<u>6,992,418</u>	<u>7,423,150</u>	<u>7,613,693</u>	<u>7,735,693</u>
BY PROGRAM AREA						
Economic Development & Housing	505,059	837,680	1,274,950	1,489,828	1,596,542	1,693,472
Education	1,163,637	1,475,647	1,953,113	2,113,408	2,235,266	2,321,778
Environment	468,580	388,602	436,432	325,343	312,217	275,050
Health & Mental Hygiene	363,242	459,636	558,250	524,805	620,230	568,575
State Facilities & Equipment	435,361	699,059	716,806	766,847	691,808	685,298
Transportation	1,679,428	1,872,023	1,938,472	2,123,045	2,120,288	2,187,814
LGAC ⁴	300,785	249,556	114,395	79,874	37,342	3,706
Liquidity Financing ¹	-	4,382,200	-	-	-	-
TOTAL STATE-SUPPORTED	<u>4,916,092</u>	<u>10,364,403</u>	<u>6,992,418</u>	<u>7,423,150</u>	<u>7,613,693</u>	<u>7,735,693</u>

¹ Interest on liquidity financings is expected to be reimbursed by Federal aid from the Coronavirus Relief Fund established by the CARES Act.

² FY 2021 short-term notes issued at a premium in order to generate \$4.5 billion of proceeds.

³ Personal Income Tax Notes were issued on a subordinate basis.

⁴ Various LGAC series were refunded by Sales Tax Revenue Bonds, but continue to be included under the LGAC program area.

STATE DEBT SERVICE
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
SUBTOTAL STATE-SUPPORTED ¹	<u>4,916,092</u>	<u>10,364,403</u>	<u>6,992,418</u>	<u>7,423,150</u>	<u>7,613,693</u>	<u>7,735,693</u>
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program ²	4,587	4,603	4,599	0	0	0
Moral Obligation						
Housing Finance Agency	161	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	41,204	41,263	41,265	31,470	0	0
SUBTOTAL OTHER STATE	<u>45,952</u>	<u>45,866</u>	<u>45,864</u>	<u>31,470</u>	<u>0</u>	<u>0</u>
GRAND TOTAL STATE-RELATED	<u>4,962,044</u>	<u>10,410,269</u>	<u>7,038,282</u>	<u>7,454,620</u>	<u>7,613,693</u>	<u>7,735,693</u>

¹ Includes liquidity financings expected to be repaid within FY 2021.

² Debt service in the Secured Hospital Program that is assumed to be paid by the State is captured in the State-supported category.

STATE DEBT ISSUANCES
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
GENERAL OBLIGATION BONDS	-	848,476	487,620	512,628	412,637	389,998
REVENUE BONDS						
Personal Income Tax	3,403,060	9,051,101	5,156,232	4,496,172	4,477,476	3,983,456
Sales Tax	<u>1,423,725</u>	<u>-</u>	<u>1,718,744</u>	<u>1,498,724</u>	<u>1,492,492</u>	<u>1,327,819</u>
Subtotal Revenue Bonds	<u>4,826,785</u>	<u>9,051,101</u>	<u>6,874,976</u>	<u>5,994,896</u>	<u>5,969,968</u>	<u>5,311,275</u>
LIQUIDITY FINANCING						
Personal Income Tax Notes ^{1,2}	<u>-</u>	<u>4,382,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Subtotal Liquidity Financing	<u>-</u>	<u>4,382,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL STATE-SUPPORTED	<u>4,826,785</u>	<u>14,281,577</u>	<u>7,362,596</u>	<u>6,507,524</u>	<u>6,382,605</u>	<u>5,701,273</u>
BY PROGRAM AREA						
Economic Development & Housing	749,335	3,094,558	1,845,704	1,631,349	1,600,033	1,429,233
Education	861,055	1,227,141	1,293,617	1,143,381	1,121,433	1,001,721
Environment	410,525	826,326	758,452	670,367	657,499	587,312
Health & Mental Hygiene	477,020	755,709	741,454	655,343	642,763	574,149
State Facilities & Equipment	305,315	693,218	419,082	370,411	363,300	324,519
Transportation	2,023,535	3,302,625	2,304,287	2,036,673	1,997,577	1,784,339
Liquidity Financing	<u>-</u>	<u>4,382,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
SUBTOTAL STATE-SUPPORTED	<u>4,826,785</u>	<u>14,281,577</u>	<u>7,362,596</u>	<u>6,507,524</u>	<u>6,382,605</u>	<u>5,701,273</u>

¹ Personal Income Tax Notes were issued on a subordinated basis.

² FY 2021 short-term notes issued at a premium in order to generate \$4.5 billion of proceeds.

STATE DEBT RETIREMENTS
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
GENERAL OBLIGATION BONDS	<u>179,915</u>	<u>165,295</u>	<u>190,976</u>	<u>198,506</u>	<u>207,169</u>	<u>253,474</u>
REVENUE BONDS						
Personal Income Tax	2,058,335	2,213,282	2,446,779	2,744,823	2,873,187	3,089,605
Sales Tax	291,395	825,970	705,002	704,323	655,936	668,805
Dedicated Highway	103,855	386,240	60,900	64,805	71,970	79,125
Mental Health Services	43,300	44,465	43,580	34,630	27,950	28,745
SUNY Dorms	21,935	19,095	-	-	-	-
Health Income	19,070	19,990	20,300	19,865	20,105	17,785
LGAC	<u>318,370</u>	<u>162,975</u>	<u>90,135</u>	<u>-</u>	<u>-</u>	<u>-</u>
Subtotal Revenue Bonds	<u>2,856,260</u>	<u>3,672,017</u>	<u>3,366,696</u>	<u>3,568,446</u>	<u>3,649,148</u>	<u>3,884,065</u>
SERVICE CONTRACT	<u>371,728</u>	<u>293,080</u>	<u>149,756</u>	<u>141,156</u>	<u>166,120</u>	<u>159,701</u>
LIQUIDITY FINANCING						
Personal Income Tax Notes ^{1,2}	<u>-</u>	<u>4,382,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Subtotal Liquidity Financing	<u>-</u>	<u>4,382,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL STATE-SUPPORTED	<u>3,407,903</u>	<u>8,512,392</u>	<u>3,707,428</u>	<u>3,908,108</u>	<u>4,022,437</u>	<u>4,297,240</u>
BY PROGRAM AREA						
Economic Development & Housing	758,990	642,665	587,662	702,644	678,039	754,569
Education	648,215	687,260	851,029	946,376	1,033,332	1,139,264
Environment	178,303	263,234	325,473	247,201	259,611	251,216
Health & Mental Hygiene	304,955	284,060	283,023	225,868	311,157	270,924
State Facilities & Equipment	288,451	475,251	383,103	410,784	327,110	326,018
Transportation	910,619	1,464,077	1,075,318	1,296,790	1,376,358	1,551,599
LGAC ³	<u>318,370</u>	<u>313,845</u>	<u>201,820</u>	<u>78,445</u>	<u>36,830</u>	<u>3,650</u>
Liquidity Financings	<u>-</u>	<u>4,382,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL STATE-SUPPORTED	<u>3,407,903</u>	<u>8,512,392</u>	<u>3,707,428</u>	<u>3,908,108</u>	<u>4,022,437</u>	<u>4,297,240</u>

¹ Personal Income Tax Notes were issued on a subordinated basis.

² FY 2021 short-term notes issued at a premium in order to generate \$4.5 billion of proceeds.

³ Various LGAC series were refunded by Sales Tax Revenue Bonds, but continue to be included under the LGAC program area.

STATE DEBT RETIREMENTS
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
SUBTOTAL STATE-SUPPORTED ¹	<u>3,407,903</u>	<u>8,512,392</u>	<u>3,707,428</u>	<u>3,908,108</u>	<u>4,022,437</u>	<u>4,297,240</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	29,540	31,085	26,760	18,335	19,245	11,340
Moral Obligation						
Housing Finance Agency	155	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	34,440	36,180	37,985	30,000	0	0
SUBTOTAL OTHER STATE	<u>64,135</u>	<u>67,265</u>	<u>64,745</u>	<u>48,335</u>	<u>19,245</u>	<u>11,340</u>
GRAND TOTAL STATE-RELATED	<u>3,472,038</u>	<u>8,579,657</u>	<u>3,772,173</u>	<u>3,956,443</u>	<u>4,041,682</u>	<u>4,308,580</u>

¹ Includes liquidity financings expected to be repaid within FY 2021.

PROJECTED PIT REVENUE BOND COVERAGE RATIOS ¹						
FY 2020 THROUGH 2025						
(thousands of dollars)						
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected	FY 2025 Projected
Projected RBTF Receipts	26,830,698	24,524,345	23,990,810	25,369,675	26,934,105	27,582,905
Projected New PIT Bonds Issuances	3,403,060	9,051,101	5,156,232	4,496,172	4,477,476	3,983,456
Projected Total PIT Bonds Outstanding	37,117,755	43,922,618	46,632,072	48,383,421	49,987,711	50,881,562
Projected Maximum Annual Debt Service	3,950,808	4,466,983	4,928,040	5,330,077	5,572,453	5,573,498
Projected PIT Coverage Ratio	6.8	5.5	4.9	4.8	4.8	4.9

¹ Does not reflect the issuance of short-term PIT Notes, which were issued on a subordinated basis.

PROJECTED SALES TAX REVENUE BOND COVERAGE RATIOS						
FY 2020 THROUGH 2025						
(thousands of dollars)						
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected	FY 2025 Projected
Projected Sales Tax Receipts ¹	3,718,258	2,924,750	6,776,500	7,096,000	7,301,500	7,532,500
Projected New Sales Tax Bonds Issuances	1,423,725	-	1,718,744	1,498,724	1,492,492	1,327,819
Projected Total Sales Tax Bonds Outstanding	11,542,330	10,716,360	11,730,102	12,524,503	13,361,059	14,020,073
Projected Maximum Annual Debt Service	1,356,149	1,356,149	1,324,977	1,369,315	1,367,958	1,442,163
Projected Sales Tax Coverage Ratio	2.7	2.2	5.1	5.2	5.3	5.2

¹ Reflects increased deposits to the Sales Tax Revenue Bond Tax Fund from an amount equal to a one percent rate of taxation to two percent rate of taxation due to the full retirement of LGAC Bonds expected on April 1, 2021.

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23749		Commercial Gaming Revenue Fund	Special Revenue
23750-23799		Medical Marihuana Trust Fund	Special Revenue
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue
24850-24899		Health Care Transformation Fund	Special Revenue
24900-24949		Charitable Gifts Trust Fund	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
24950-24999		Interactive Fantasy Sports Fund	Special Revenue
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Employment and Training Grants Fund	Special Revenue
40350-40399	330	State University Dormitory Income Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30709	119	State Housing Bond Fund	Capital Projects
30710-30719		Smart Schools Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
30900-30949	118	Rail Preservation and Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

